

Changes to UCR 2008, Change 1, Section 5.3.4, AS-SIP Requirements

SECTION	CORRECTION	EFFECTIVE DATE
Not limited to particular section; AS SIP EI req'ts are presented alongside comparable req'ts in each section; 5.3.4.7.1 5.3.4.7.2 5.3.4.7.3 5.3.4.7.3.2 5.3.4.7.4.1 5.3.4.7.4.2 5.3.4.7.6 5.3.4.7.8 5.3.4.7.9 5.3.4.8.2 5.3.4.9 5.3.4.9.7 5.3.4.10.2. 5.3.4.10.2.3 5.3.4.10.2.4 5.3.4.10.3.1 5.3.4.10.3.2 5.3.4.10.3.3 5.3.4.12 5.3.4.13	AS-SIP EI New Material added: Interoperable interface between EI using AS SIP signaling protocol and LSC	18-month Rule Applies
5.3.4.7.2.3	AS-SIP EI New Material added: Registration of AS SIP EI to LSC	18-month Rule
5.3.4.9.7	New Material added: Establishment of video conferencing sessions over the AS SIP signaling plane	18-month Rule
5.3.4.13.9	Modem Relay New Material added: AS SIP signaling used to provide an interoperable mechanism for exchanging and negotiating modem relay capabilities between modem relay-capable gateways and RTS SCIP EIs	18-month Rule
5.3.4.7.9	Significant revision of Confidential Access Level (CAL) Header (used in Classified network)	18-month Rule
5.3.4.7.6	SIP URI requirement	Immediate
5.3.4.12.2	The video telephony call flow MUST use the re-INVITE method, and not the UPDATE method for escalation	Immediate

	of audio call to video call	
5.3.4.13.5.1.2 - .4	Call Forward Unconditional (AS SIP EI) revised	18-month Rule
5.3.4.13.6.1.2 - .4	Call Forward No Answer (AS SIP EI) revised	18-month Rule
5.3.4.13.7.1.2 - .4	Call Forward Busy (AS SIP EI) revised	18-month Rule
5.3.4.13.8.3	Attended Call Transfer: Significant rework of call flow and particulars to address issues arising from use of B2BUAs as opposed to SIP proxies for LSCs, SSs, EBCs	Immediate
Previously found at 5.3.4.14.1.1, 5.3.4.14.2.1, 5.3.4.19.2.2, 5.3.4.19.3.2, 5.3.4.19.4.2, 5.3.4.19.5.2, 5.3.4.19.6.2, 5.3.4.19.7.2	Removed TDM bridging sections	NA, Requirements have been removed
5.3.4.7.5	SBU Network Preconditions: If preconditions are supported then either End-to-End status type or Segmented status-type or both may be supported. Previously if preconditions were supported then segmented status type had to be supported	Immediate (only as a Conditional Requirement)
5.3.4.9.3.7, 5.3.4.9.3.8	H.263 Codec Parameters: Additional information on support for optional annexes and other optional parameters, H.263 sdp offer/answer rules	18-month Rule
5.3.4.9.3.9	H.264 Codec Parameters: Much more detail on H.264 codec parameters, sdp offer/answer rules, H.264 capability exchange rules, etc.	18-month Rule
5.3.4.10.3.2.2.3	Multiple Appearance instruments: Precedence call request intended for multiple appearance phone having equal or higher priority calls and no available appearances is diverted to attendant	Immediate

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5.3.4 AS-SIP Requirements

Section 5.3.4 identifies the technical specifications for an AS-SIP. This specification is based on the commercial standards of Session Initiation Protocol (SIP), and adds elements that allow ASAC functions to be executed in a DoD network. Sections 5.3.4.1 through 5.3.4.13 of this specification address the enabling of signaling for Assured Service over the IP backbone. Sections 5.3.4.14 through 5.3.4.19 of this specification address the TDM- P) signaling interoperability requirements placed on SSs to support calls that traverse both the TDM and IP segments of the DSN. In particular, TDM-to-IP call flows and IP-to-TDM call flows are discussed.

This specification represents functional requirements to enable interoperability among various signaling products. It is not the intent of this generic requirements specification to be a complete product specification for signaling appliances. As a generic requirements specification, the capability to provide AS-SIP signaling by a product (e.g., LSC, MFSS) is called out by the respective product specifications in UCR 2008, Section 5.3.2, Assured Services Requirements. It is expected that the product specifications (a GSR) are the basis for certification testing and for the product being placed on the APL.

5.3.4.1 *Assured Service*

The driver behind the AS-SIP specification is the requirement for providing Assured Services to the Warfighter.

5.3.4.2 *Reference Documents*

The reference documents used are cited in UCR 2008, Appendix A, Definitions, Abbreviations and Acronyms, and References.

5.3.4.3 *Applicable Standards*

The standards used in this section are provided in UCR 2008, Appendix A, Definitions, Abbreviations and Acronyms, and References, and are referenced as part of the AS-SIP specification.

5.3.4.4 *Definitions*

Definitions are found in UCR 2008, Appendix A, Definitions, Abbreviations and Acronyms, and References.

5.3.4.5 Signaling Design

The network-level signaling design is depicted in [Figure 5.3.4.5-1](#), Network-Level AS-SIP Signaling Design. The signaling architecture is constructed as a two-tier hierarchy. Local Session Controllers are located at B/P/C/Ss and represent the level of the signaling hierarchy closest to the EI, and they may be thought of as the packet-switched equivalent of the EO, PBX1, or PBX2. Softswitches are located at SDNs and represent the upper level of the hierarchy and may be thought of as analogous to class 4 Tandem Switches. Functionally, SSs are comprised of a Tier0 LSC and an IWF enabling interoperability in the signaling plane between the IP portion of the DSN and the TDM portion of the DSN. An associated MG function performs the interworking between TDM circuit-switched bearer streams and Real-Time Transport Protocol (RTP)/UDP/IP packets.

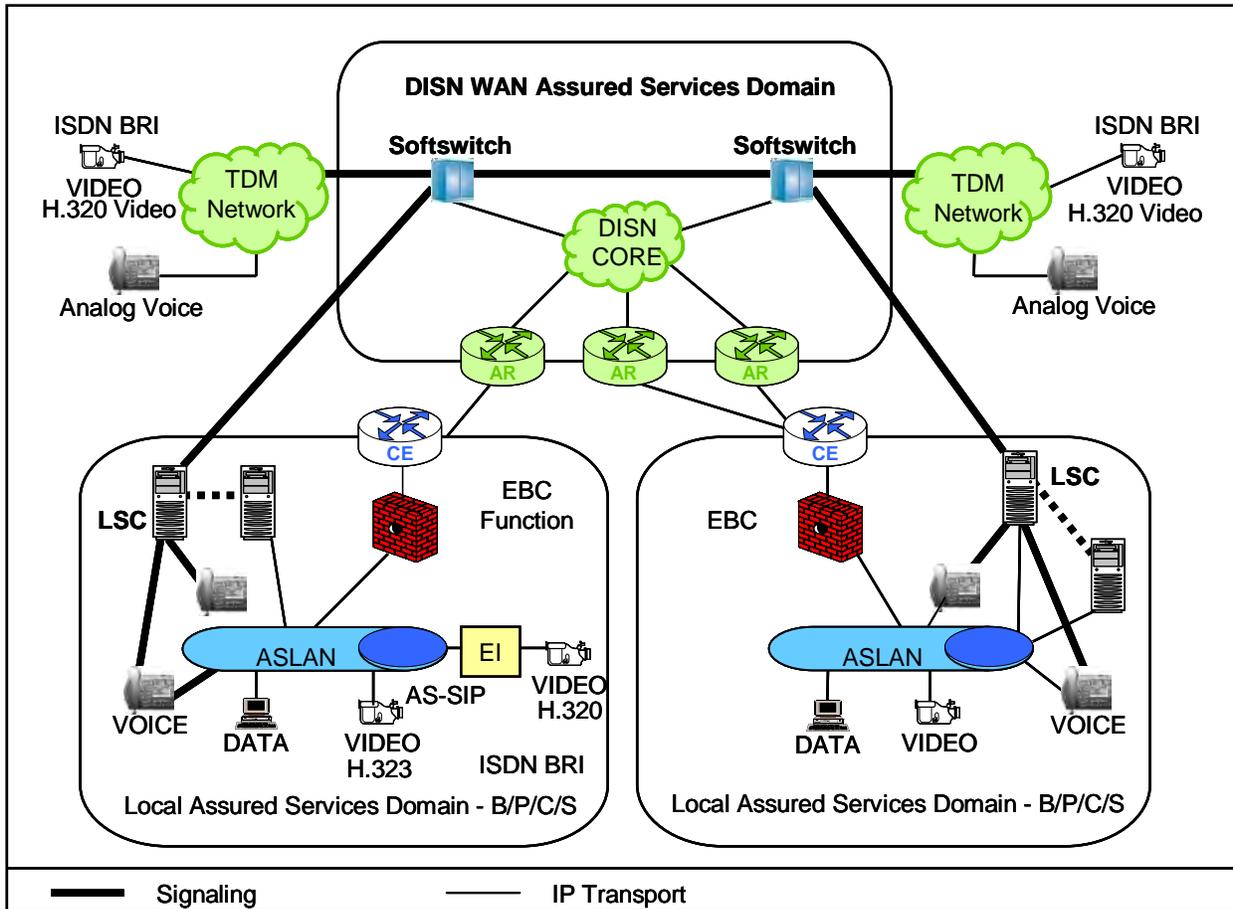


Figure 5.3.4.5-1. Network-Level AS-SIP Signaling Design

Every LSC is assigned to a primary SS and to at least one secondary SS.

Every SS serves a set of LSCs that may be located at various B/P/C/Ss.

All voice and video call signaling messages received by an LSC from local EIs and intended for a destination over the UC WAN are sent by the LSC in the form of an AS-SIP message to the assigned SS. Similarly, all voice and video call signaling messages sent from a remote location and intended for IP EIs associated with a given LSC will be routed to the SS assigned to the destination LSC and the SS will forward the AS-SIP signaling messages to the destination LSC.

The basic AS-SIP message flow between an originating LSC assigned to one SS and a destination LSC assigned to another SS is:

Originating LSC --- SS 1 ----- SS 2 --- Destination LSC

The basic AS-SIP message flow between an originating LSC and a destination LSC assigned to the same SS is:

Originating LSC --- SS --- Destination LSC

The access link between the CE Router and the AR is resource constrained and the LSC has primary responsibility for ensuring that the telephony traffic across the access link does not exceed a provisioned threshold call count and that the video traffic across the access link does not exceed a provisioned threshold bandwidth.

The SS is responsible for implementing a Policing function to protect the access links (and to protect the DSN network itself) whereby the SS intervenes by blocking call requests or preempting call requests and existing calls when the SS determines that the LSC has exceeded its provisioned threshold for voice or video traffic.

For the 2010–2012 timeframe, the UC WAN is considered to be bandwidth unlimited.

5.3.4.6 *Calling Path Reference Cases*

Based on the design described in UCR 2008, [Section 5.3.4.5](#), Signaling Design, the calling patterns that must be supported to provide the telephony services can be identified. This section lists the referenced calling paths for the signaling associated with IP-to-IP calls over an IP core (see [Figure 5.3.4.6-1](#), IP-to-IP Calls over an IP Backbone).

The calling path reference cases for calls involving both IP and TDM signaling appliances may be found in UCR 2008, [Section 5.3.4.14](#), SIP Translation Requirements for Interworking AS-SIP Signaling Appliances.

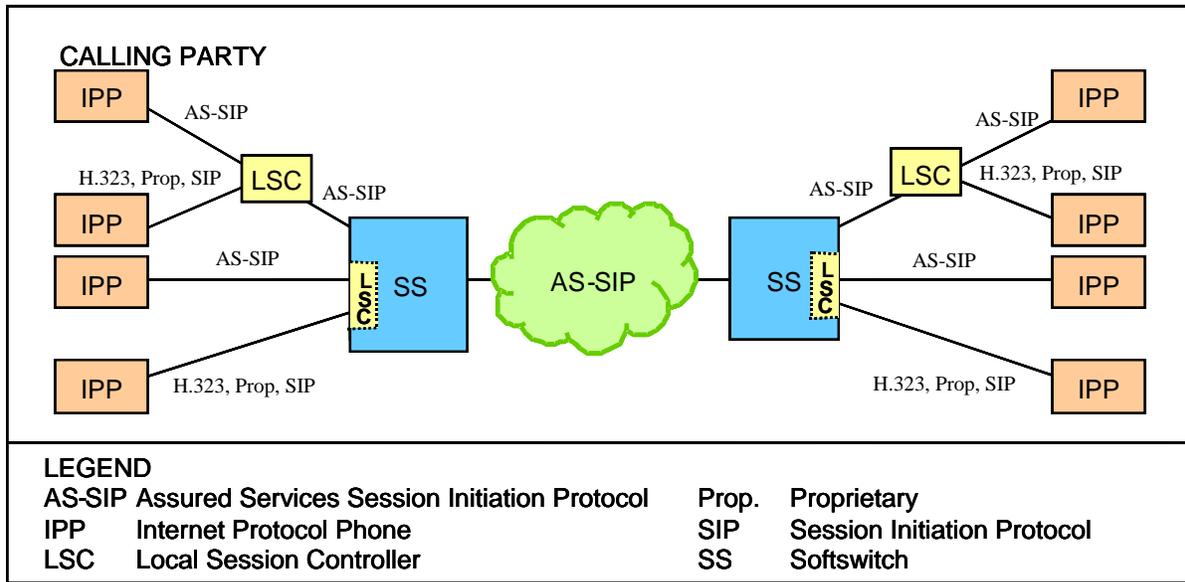


Figure 5.3.4.6-1. IP-to-IP Calls over an IP Backbone

NOTE: Reference cases 2A through 2P (see Table 5.3.4.6-1, Reference Case: IP-to-IP Calls over an IP Backbone) represent the call paths when the same SS serves both the calling party and called party’s LSC (or the calling party’s EI directly) and the called party’s LSC (or the called party’s EI directly).

5.3.4.7 SIP Requirements for AS-SIP Signaling Appliances and AS-SIP EIs

Several sections (including subsections) have both SBU and classified requirements. The classified-specific requirements are defined in Requirements 5.3.4.7.3.1.13 through 5.3.4.7.3.1.22 (Route Header Requirements); Requirement 5.3.4.7.3.2.1 (Proxy Require); Section 5.3.4.7.4.1.11, (418 Response); Requirements 5.3.4.7.5b.1 through 5.3.4.7.5b.13 (SIP Preconditions); Requirements 5.3.4.7.9.3 through 5.3.4.7.9.11 (CAL Requirements); and Requirements 5.3.4.10.2.1.2.5 through 5.3.4.10.2.1.2.8 (Precedence Levels). In addition, sections specifying “domain name,” “namespace,” and/or “domain” subfields define “uc” as **Required** for the SBU environment, and “cuc” as **Required** for the classified environment.

Table 5.3.4.6-1. Reference Case: IP-to-IP Calls over an IP Backbone

Ref. Case	Originator Phone	Originator Signaling	Network Signaling and Call Path							Terminator Signaling	Terminator Phone
			LSC	AS-SIP	SS	AS-SIP	SS	AS-SIP	LSC		
1A	IP phone	AS-SIP	LSC	AS-SIP	SS	AS-SIP	SS	AS-SIP	LSC	AS-SIP	IP phone
1B	IP phone	AS-SIP	LSC	AS-SIP	SS	AS-SIP	SS	AS-SIP	LSC	H323, Prop., SIP	IP phone
1C	IP phone	AS-SIP	LSC	AS-SIP	SS	AS-SIP	←SS LSC comp ¹ →			AS-SIP	IP phone

¹ LSC comp = the LSC component of the Softswitch

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Ref. Case	Originator Phone	Originator Signaling	Network Signaling and Call Path							Terminator Signaling	Terminator Phone
			LSC	AS-SIP	SS	AS-SIP	←SS LSC comp →				
1D	IP phone	AS-SIP	LSC	AS-SIP	SS	AS-SIP	←SS LSC comp →			H323, Prop, SIP	IP phone
1E	IP phone	H323, Prop, SIP	LSC	AS-SIP	SS	AS-SIP	SS	AS-SIP	LSC	AS-SIP	IP phone
1F	IP phone	H323, Prop, SIP	LSC	AS-SIP	SS	AS-SIP	SS	AS-SIP	LSC	H323, Prop, SIP	IP phone
1G	IP phone	H323, Prop, SIP	LSC	AS-SIP	SS	AS-SIP	←SS LSC comp →			AS-SIP	IP phone
1H	IP phone	H323, Prop, SIP	LSC	AS-SIP	SS	AS-SIP	←SS LSC comp →			H323, Prop, SIP	IP phone
1I	IP phone	AS-SIP			← LSC comp SS →	AS-SIP	SS	AS-SIP	LSC	AS-SIP	IP phone
1J	IP phone	AS-SIP			← LSC comp SS →	AS-SIP	SS	AS-SIP	LSC	H323, Prop, SIP	IP phone
1K	IP phone	AS-SIP			← LSC comp SS →	AS-SIP	←SS LSC comp →			AS-SIP	IP phone
1L	IP phone	AS-SIP			← LSC comp SS →	AS-SIP	←SS LSC comp →			H323, Prop, SIP	IP phone
1M	IP phone	H323, Prop, SIP			← LSC comp SS →	AS-SIP	SS	AS-SIP	LSC	AS-SIP	IP phone
1N	IP phone	H323, Prop, SIP			← LSC comp SS →	AS-SIP	SS	AS-SIP	LSC	H323, Prop, SIP	IP phone
1O	IP phone	H323, Prop, SIP			← LSC comp SS →	AS-SIP	←SS LSC comp →			AS-SIP	IP phone
1P	IP phone	H323, Prop, SIP			← LSC comp SS →	AS-SIP	←SS LSC comp →			H323, Prop, SIP	IP phone
2A	IP phone	AS-SIP	LSC	AS-SIP	SS	AS-SIP			LSC	AS-SIP	IP phone
2B	IP phone	AS-SIP	LSC	AS-SIP	SS	AS-SIP			LSC	H323, Prop, SIP	IP phone
2C	IP phone	AS-IP	LSC	AS-SIP	← SS LSC comp →					AS-SIP	IP phone
2D	IP phone	AS-SIP	LSC	AS-SIP	← SS LSC comp →					H323, Prop, SIP	IP phone
2E	IP phone	H323, Prop, SIP	LSC	AS-SIP	SS	AS-SIP			LSC	AS-SIP	IP phone
2F	IP phone	H323, Prop, SIP	LSC	AS-SIP	SS	AS-SIP			LSC	H323, Prop, SIP	IP phone
2G	IP phone	H323, Prop, SIP	LSC	AS-SIP	← SS LSC comp →					AS-SIP	IP phone
2H	IP phone	H323, Prop, SIP	LSC	AS-SIP	← SS LSC comp →					H323, Prop, SIP	IP phone
2I	IP phone	AS-SIP			← LSC comp SS →	AS-SIP			LSC	AS-SIP	IP phone
2J	IP phone	AS-SIP			← LSC comp SS →	AS-SIP			LSC	H323, Prop, SIP	IP phone
2K	IP phone	AS-SIP			← LSC comp Softswitch LSC comp →					AS-SIP	IP phone
2L	IP phone	AS-SIP			← LSC comp Softswitch LSC comp →					H323, Prop, SIP	IP phone
2M	IP phone	H323, Prop., SIP			← LSC comp SS →	AS-SIP			LSC	AS-SIP	IP phone
2N	IP phone	H323, Prop, SIP			← LSC comp SS →	AS-SIP			LSC	H323, Prop, SIP	IP phone

Ref. Case	Originator Phone	Originator Signaling	Network Signaling and Call Path						Terminator Signaling	Terminator Phone	
2O	IP phone	H323, Prop, SIP			← LSC comp Softswitch LSC comp →					AS-SIP	IP phone
2P	IP phone	H323, Prop, SIP			← LSC comp Softswitch LSC comp →					H323, Prop, SIP	IP phone

5.3.4.7.1 AS-SIP Signaling Appliances and AS-SIP EIs

In this specification, the term AS-SIP signaling appliance refers to LSCs and SSs.

The LSC or the LSC component of an SS is the signaling plane appliance that directly serves an IP EI. The LSC serves two main categories of IP EIs as follows:

- AS-SIP EIs (also referred to as Generic End Instruments (GEIs) elsewhere in UCR 2008) are IP EIs that support the AS-SIP signaling protocol as defined herein.
- Proprietary IP EIs (i.e., SIP EIs, H.323 EIs, other vendor-proprietary EIs) where a further distinction with respect to LSC requirements is made between SIP EIs and H.323 or vendor proprietary EIs.

The LSCs MUST meet the requirements defined in this specification for supporting the interoperable AS-SIP line side interface with AS-SIP EIs.

This specification provides differentiated sets of requirements for proprietary EIs that are SIP EIs and that are H.323 and vendor-proprietary EIs.

The LSCs that support SIP EIs MUST comply with the differentiated set of requirements defined for SIP EIs if they serve SIP EIs, and LSCs MUST comply with the differentiated set of requirements defined for H.323 and/or vendor-proprietary EIs if they serve H.323 and/or vendor-proprietary EIs.

5.3.4.7.1.1 All AS-SIP signaling appliances MUST comply with the SIP syntax and encoding rules set forth in RFC 3261. [RFC 3261, Section 25, Augmented BNF for the SIP Protocol]

5.3.4.7.1.1a All AS-SIP EIs MUST comply with the SIP syntax and encoding rules set forth in RFC 3261. [RFC 3261, Section 25, Augmented BNF for the SIP Protocol]

5.3.4.7.1.2 All AS-SIP signaling appliances MUST comply with the requirement for SIP message structure set forth in RFC 3261, which covers SIP requests, SIP responses, SIP header fields, and SIP bodies. [RFC 3261, Section 7, SIP Messages]

5.3.4.7.1.2a All AS-SIP EIs MUST comply with the requirement for SIP message structure set forth in RFC 3261, which covers SIP requests, SIP responses, SIP header fields, and SIP bodies. [RFC 3261, Section 7, SIP Messages]

5.3.4.7.1.3 When an AS-SIP signaling appliance does not understand a header field in a request (and support for the header field is not a mandatory requirement under this specification), the AS-SIP signaling appliance MUST ignore that header field and continue processing the message. The AS-SIP signaling appliances MUST ignore any malformed header fields that are not necessary for processing requests. [RFC 3261, Section 8.2.2, Header Inspection]

5.3.4.7.1.3a When an AS-SIP EI does not understand a header field in a request (and support for the header field is not a mandatory requirement under this specification), the AS-SIP EI MUST ignore that header field and continue processing the message. The AS-SIP EIs MUST ignore any malformed header fields that are not necessary for processing requests. [RFC 3261, Section 8.2.2, Header Inspection]

5.3.4.7.1.3b The AS-SIP signaling appliances that function as B2BUAs MUST preserve header fields they do not understand when copying SIP messages from one side of the B2BUA to the other.

Note: A B2BUA is a logical entity that receives a request and processes it as a user agent server (UAS). To determine how the request should be answered, it acts as a user agent client (UAC) and generates requests. Unlike a proxy server, it maintains dialog state and must participate in all requests sent on the dialogs it has established. Since it is a concatenation of a UAC and UAS, no explicit definitions are needed for its behavior. [RFC 3261]

5.3.4.7.1.3c When an AS-SIP signaling appliance, that is implemented as a SIP proxy, receives a SIP Request message, 2xx response, or 18x response, then the AS-SIP signaling appliance MUST add a Record-Route header whereby the userinfo part of the SIP URI is a unique identifier for the AS-SIP signaling appliance and an IP address is used for the host name.

Example:

Record-Route: <sip:lsc3@192.168.24.132;lr>

NOTE: This requirement is not applicable to an AS-SIP signaling appliance that is implemented as a B2BUA.

5.3.4.7.1.3d When an LSC, SS, or EBC is implemented as a B2BUA, then:

- Whenever the LSC, SS, or EBC receives a SIP request, before it forwards the request downstream, the LSC, SS, or EBC MUST replace the hostname part

of the SIP URI of the Contact header with its own routable IP address (i.e., B2BUAs perform this replacement on all SIP requests).

- Whenever the LSC, SS, or EBC receives a SIP response, before it forwards the response upstream, the LSC, SS, or EBC **MUST** replace the hostname part of the SIP URI of the Contact header with its own routable IP address (i.e., B2BUAs perform this replacement on all SIP responses).

5.3.4.7.1.4 All AS-SIP signaling appliances **MUST** be call stateful.

5.3.4.7.1.5 Upon receipt of a new request, AS-SIP signaling appliances **MUST** perform request validation, route information preprocessing, determine request targets, perform request forwarding, perform response processing, process timer C, handle transport error, handle CANCEL processing, and perform proxy route processing according to RFC 3261. [RFC 3261, Sections 16.3, Request Validation; 16.4, Route Information Preprocessing; 16.5, Determine Request Targets; 16.6, Perform Request Forwarding; 16.7, Perform Response Processing; 16.8, Process Timer C; 16.9, Handle Transport Error; 16.10, Handle CANCEL Processing; and 16.12, Perform Proxy Route Processing, respectively]

NOTE: Nothing in this requirement is to be interpreted to preclude an AS-SIP signaling appliance from being implemented by a B2BUA. In that case, the functions above that apply to a B2BUA **MUST** be implemented and the functions associated solely with a proxy server but not with a B2BUA (e.g., proxy route and timer C processing) are not required as long as the functional requirements and interoperability requirements of the AS-SIP signaling appliance, as defined in this specification, are met.

5.3.4.7.1.6 All AS-SIP signaling appliances **MUST** comply with the requirements applicable to non-INVITE client transactions. [RFC 3261, Sections 17.1.2, Non-INVITE Client Transaction; 17.1.3, Matching Responses to Client Transactions; 17.1.4, Handling Transport Errors; 17.2.2, Non-INVITE Server Transaction; 17.2.3, Matching Requests to Server Transactions; and 17.2.4, Handling Transport Errors]

5.3.4.7.1.6a All AS-SIP EIs **MUST** comply with the requirements applicable to non-INVITE client transactions. [RFC 3261, Sections 17.1.2, Non-INVITE Client Transaction; 17.1.3, Matching Responses to Client Transactions; 17.1.4, Handling Transport Errors; 17.2.2, Non-INVITE Server Transaction; 17.2.3, Matching Requests to Server Transactions; and 17.2.4, Handling Transport Errors]

5.3.4.7.1.7 All AS-SIP signaling appliances **MUST** support generation of the long form of the SIP header fields along with the receipt and processing of the long form of the SIP header fields.

NOTE: The long form is the default for the SIP header fields.

5.3.4.7.1.7a All AS-SIP EIs **MUST** support generation of the long form of the SIP header fields along with the receipt and processing of the long form of the SIP header fields. **NOTE:** The long form is the default for the SIP header fields.

5.3.4.7.1.8 All AS-SIP signaling appliances **MUST** support receiving and processing the compact form of the SIP header fields. [RFC 3261, Section 20, Header Fields]

5.3.4.7.1.8a All AS-SIP EIs **MUST** support receiving and processing the compact form of the SIP header fields. [RFC 3261, Section 20, Header Fields]

5.3.4.7.1.9 All AS-SIP signaling appliances serving IP EIs **MUST** support the offer/answer model for the Session Description Protocol (SDP). [RFC 3264]

5.3.4.7.1.9a All AS-SIP EIs **MUST** support the offer/answer model for the SDP. [RFC 3264]

5.3.4.7.1.10 If an LSC receives a call request from a served IP EI and the LSC has been unable to establish a TLS connection with its EBC and is unable to do so upon receipt of the INVITE, then the AS-SIP signaling appliance **MUST** ensure that the IP EI plays the Isolated Code Announcement (ICA) and terminates the call request and **MUST** send an alarm to the NMS. The alarm **MUST** notify the NMS that the LSC was unable to establish a TLS connection and **MUST** provide the identity of the EBC with which the LSC was unable to establish the TLS connection.

5.3.4.7.1.10a If an LSC receives an INVITE from a served AS-SIP EI and the LSC has been unable to establish a TLS connection with its EBC and is unable to do so upon receipt of the INVITE, then:

1. The LSC **MUST** notify the NMS that the LSC was unable to establish a TLS connection and **MUST** provide the identity of the EBC with which the LSC was unable to establish the TLS connection.
2. The LSC **MUST** establish a bearer connection between the AS-SIP EI and a media server associated with the LSC. See [Figure 5.3.4.7-1](#), LSC Implements Playing of ICA at AS-SIP EI. The LSC and media server exchange the necessary signaling to provide the media server with the IP address and UDP port for the bearer stream with the AS-SIP EI and the LSC instructs the media server to play the ICA over the bearer stream to the AS-SIP EI after the bearer is established. The 183 (Session Progress) response sent by the LSC to the AS-SIP EI includes the sdp answer with the IP address and UDP port for a bearer stream with the media server. The AS-SIP EI responds with a PRACK to which the LSC responds with a 200 OK. The media server plays the announcement to the AS-SIP EI and terminates the session with the LSC, and the LSC sends a 403 (Forbidden) response to the AS-SIP EI. The AS-SIP EI responds with an ACK and terminates the call request. **NOTE:** The media server is considered a part of the LSC SUT; therefore, the signaling between the LSC and

media server is left to the vendor as long as the announcement is played and the signaling between the LSC and AS-SIP EI complies with the specific call flow depicted in this section.

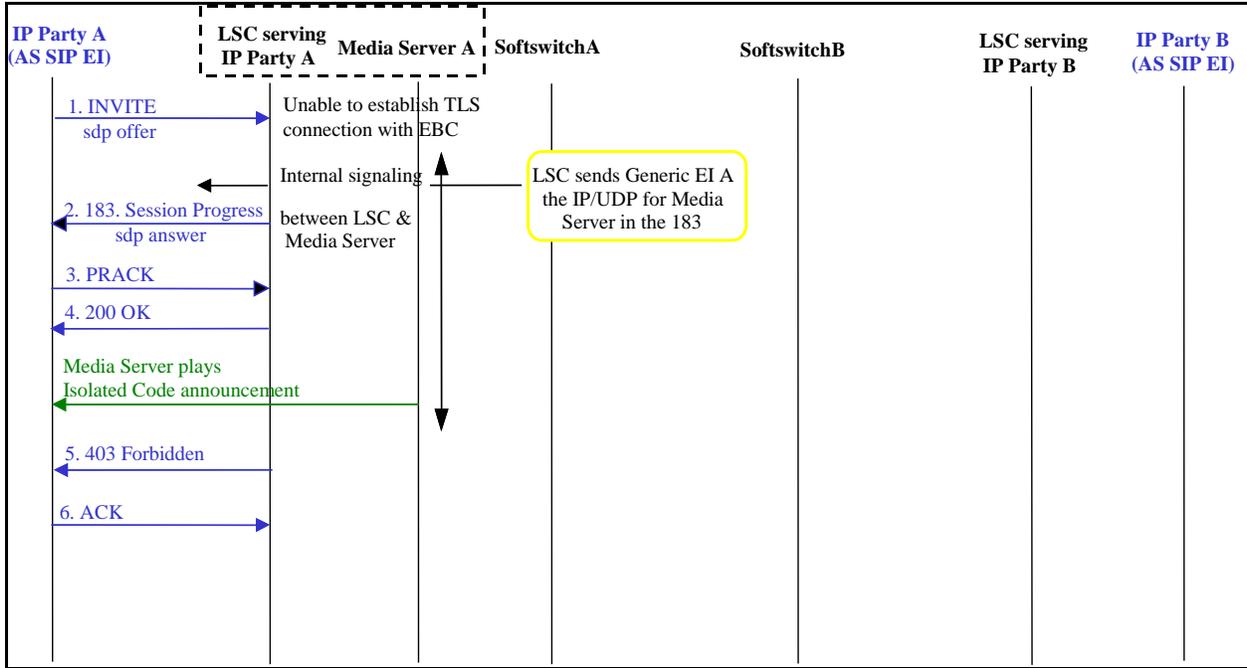


Figure 5.3.4.7-1. LSC Implements Playing of ICA at AS-SIP EI

5.3.4.7.1.11 If an EBC receives an INVITE from its AS-SIP signaling appliance and has been unable to establish a TLS connection with either the EBC or the AS-SIP signaling appliance that is the next hop for the INVITE and is unable to do so upon receipt of the INVITE, then the EBC MUST reply to the INVITE with a 403 (Forbidden) response code with a Warning header with warn-code 399 (Miscellaneous warning) and warn-text “TLS connection failure”.

NOTE: At the network element level an alarm MUST be sent to the NMS when an AS-SIP signaling appliance is unable to establish a TCP/TLS connection with another AS-SIP signaling appliance or whenever a TCP/TLS connection terminates abnormally.

5.3.4.7.1.12 When an SS receives an INVITE from either a served LSC or another SS where the Request-URI has a DSN telephone number for which the SS has no entry in its Location Server, then the SS MUST respond with a 404 (Not Found) response code. Upon receipt of the 404 (Not Found) response message the originating LSC is responsible for ensuring that:

1. The EI plays the Vacant Code Announcement (VCA): “Remote signaling switch. Your call cannot be completed as dialed. Please consult your directory and call again or ask your operator for assistance. This is a recording. Remote signaling switch.” ”

2. The EI is instructed to terminate the call. In the case of a SIP EI the originating LSC forwards the 404 (Not Found) response code after the VCA is played to the user.

3. In the case of an AS-SIP EI, the LSC establishes a bearer connection between the AS-SIP EI and a media server associated with the LSC. (See Figure 5.3.4.7-2, LSC Implements Playing of VCA at AS-SIP EI.) The LSC and media server exchange the necessary signaling to provide the media server with the IP address and UDP port for the bearer stream with the AS-SIP EI and the LSC instructs the media server to play the VCA over the bearer stream to the AS-SIP EI after the bearer is established. The 183 (Session Progress) response sent by the LSC to the AS-SIP EI includes the sdp answer with the IP address and UDP port for a bearer stream with the media server. The AS-SIP EI responds with a PRACK to which the LSC responds with a 200 OK. The media server plays the announcement to the AS-SIP EI and terminates the session with the LSC, and the LSC sends a 404 (Not Found) response to the AS-SIP EI. The AS-SIP EI responds with an ACK and terminates the call request. The LSC forwards the ACK to the SS.

Note: It is acceptable for a vendor implementation to send the Ack message response to the 404 on a hop-by-hop basis.

NOTE: The media server is considered a part of the LSC SUT; therefore, the signaling between the LSC and media server is left to the vendor so long as the announcement is played and the signaling between the LSC and AS-SIPEI complies with the specific call flow depicted in this section.

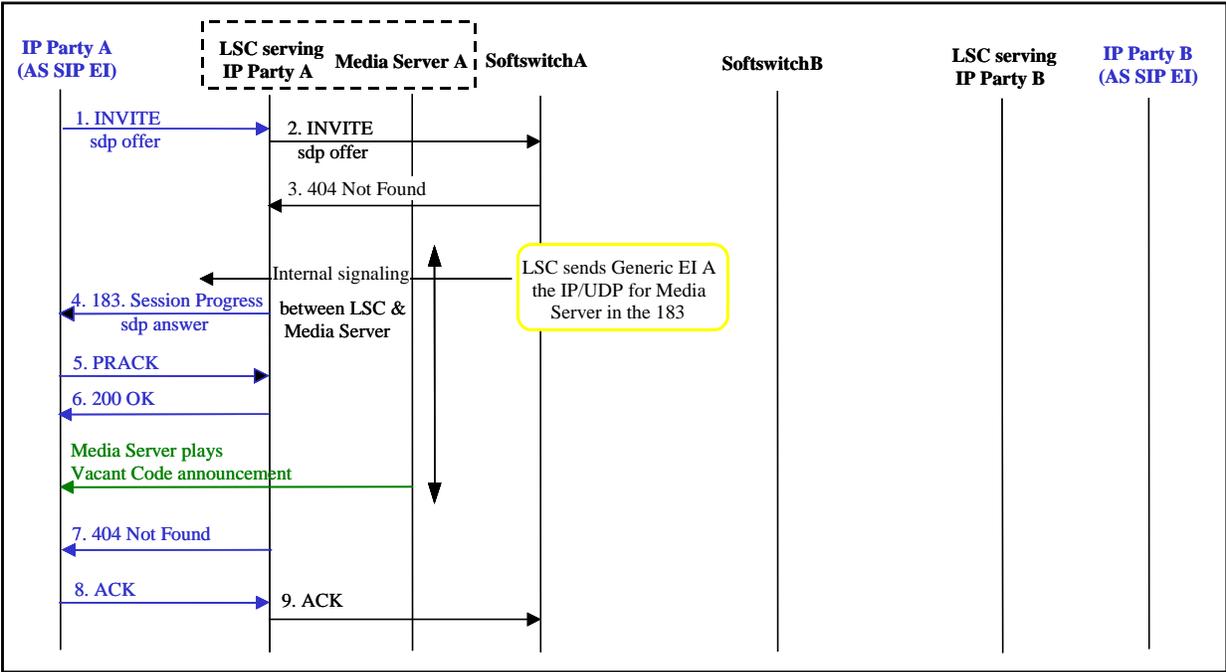


Figure 5.3.4.7-2. LSC Implements Playing of VCA at AS-SIP EI

5.3.4.7.1.13 When an LSC receives an inbound INVITE from its primary (or secondary) SS whose Request-URI has a DSN telephone number for which the LSC has no entry in its Location Server, then the LSC MUST respond with a 404 (Not Found) response message. Upon receipt of the 404 (Not Found) response message, the originating LSC is responsible for ensuring that:

1. The EI plays the VCA: “Remote signaling switch.” Your call cannot be completed as dialed. Please consult your directory and call again or ask your operator for assistance. This is a recording. Remote signaling switch.”
2. The EI is instructed to terminate the call. In the case of a SIP EI the originating LSC forwards the 404 (Not Found) response message after the VCA is played to the user.
3. In the case of an AS-SIP EI, the LSC establishes a bearer connection between the AS-SIP EI and a media server associated with the LSC. See [Figure 5.3.4.7-3](#), Originating LSC Implements Playing of VCA at AS-SIP EI upon Receipt of 404 from Destination LSC. The LSC and media server exchange the necessary signaling to provide the media server with the IP address and UDP port for the bearer stream with the AS-SIP EI, and the LSC instructs the media server to play the the VCA over the bearer stream to the AS-SIP EI after the bearer is established. The 183 (Session Progress) response sent by the LSC to the AS-SIP EI includes the sdp answer with the IP address and UDP port for a bearer stream with the media server. The AS-SIP EI responds with a PRACK to which the LSC responds with a 200 OK. The media server plays the announcement to the AS-SIP EI and terminates the session with the LSC, and the LSC sends a 404 (Not Found) response to the AS-SIP EI. The AS-SIP EI responds with an ACK and terminates the call request. The originating LSC forwards the ACK to the destination LSC that generated the 404 (Not Found) response.

NOTE: It is acceptable to send the ACK message response to the 404 (Not Found) response on a hop-by-hop basis.

NOTE: The media server is considered a part of the LSC SUT; therefore, the signaling between the LSC and media server is left to the vendor as long as the announcement is played and the signaling between the LSC and AS-SIP EI complies with the specific call flow depicted in this section.

5.3.4.7.1.13a An IP packet is only allowed to carry one AS-SIP Request message or AS-SIP response message. In other words, multiple AS-SIP messages are not permitted to be carried as the payload of any given IP packet.

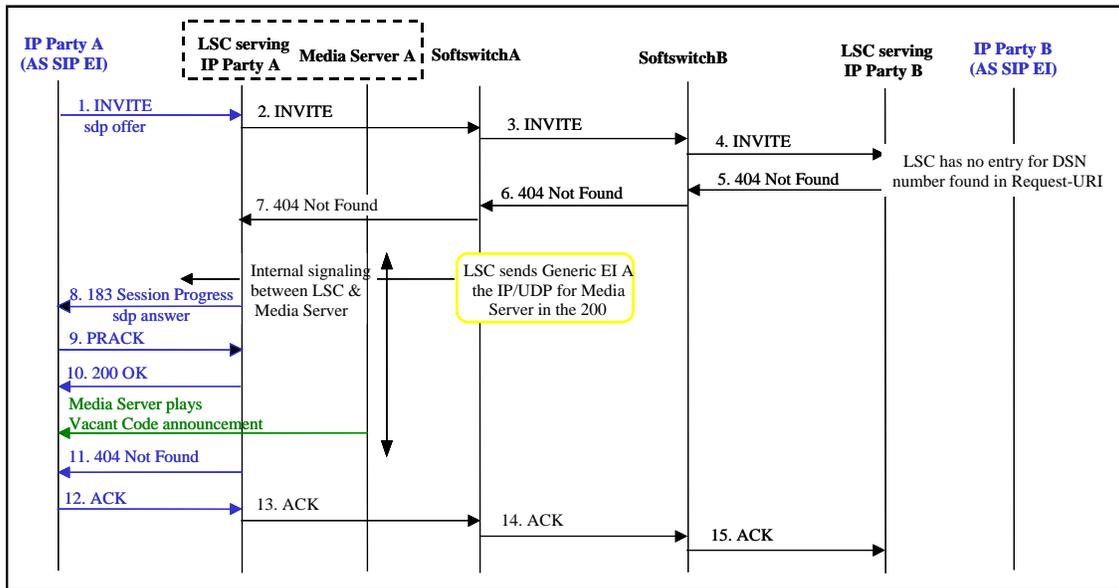


Figure 5.3.4.7-3. Originating LSC Implements Playing of VCA at AS-SIP EI upon Receipt of 404 Response from Destination LSC

Early Media

5.3.4.7.1.13b When an originating LSC (not serving an AS-SIP EI) receives an sdp answer (or offer in the case of an Empty INVITE) in a reliable first 18x response to an outbound INVITE, the LSC MUST interpret this to mean that the call is being interworked and that the EI will receive early media in the backward RTP bearer path.

5.3.4.7.1.13b.1 In all cases, a non-failure provisional message greater than 100 (e.g., any 18x message) that includes an sdp body MUST comply with RFC 3262. The 18x message with the sdp body MUST include an RSeq header and MUST contain a Require header field with the option tag “100rel.” The originating LSC (not serving an AS-SIP EI) that is the recipient of the reliable 18x message with an sdp answer MUST respond with a PRACK that includes the Rack header. The originating LSC (not serving an AS-SIP EI) that is the recipient of a reliable 18x message with an sdp offer (Empty INVITE) MUST respond with a PRACK that includes the Rack header and the sdp answer. The recipient of the PRACK MUST respond with a 200 OK PRACK.

NOTE: All AS-SIP signaling appliances and all AS-SIP EIs MUST support RFC 3262.

5.3.4.7.1.13c When an originating AS-SIP EI receives an sdp answer in a reliable first 18x response to an outbound INVITE, the originating AS-SIP EI MUST interpret this to mean that the call is being interworked and that the originating AS-SIP EI will receive early media in the backward RTP bearer path.

5.3.4.7.1.13c.1 The 18x message with sdp body MUST include an RSeq header and MUST contain a Require header field containing the option tag “100rel.” The AS-SIP EI that is the recipient of the reliable 18x message with the sdp body MUST respond with a PRACK that includes the Rack header, and then the recipient of the PRACK MUST respond with a 200 OK PRACK.

NOTE: The AS-SIP EIs MUST NOT generate Empty INVITEs; therefore, they cannot validly receive an sdp offer in the first 18x response.

5.3.4.7.1.13d The originating LSC (and the originating AS-SIP EI, if present) interprets the absence of an sdp answer in the first 18x response to an outbound INVITE to mean that the call is NOT being interworked, early media is not going to be received by the EI in the backward bearer path, and ring back must be generated locally.

5.3.4.7.1.13e The originating LSC interprets the absence of an sdp offer (in the case of an Empty INVITE) in the first 18x response to an outbound INVITE to mean that the call is NOT being interworked, early media is not going to be received by the EI in the backward bearer path, and ring back must be generated locally.

NOTE: The presence of preconditions in a call flow represents the single exception to this method by which the originating LSC determines whether the EI will be receiving early media over the backward bearer path or generating ring back locally, or whether the AS-SIP EI determines whether it will be receiving early media.

5.3.4.7.1.13f In the case of preconditions, the first provisional response (> 100 trying) from the terminating LSC or SS normally MUST contain an sdp regardless of whether the call is being interworked to the TDM network; therefore, when initiating a call with preconditions the originating LSC cannot interpret the presence of the sdp in the first 18x response to mean that early media will be received on the bearer stream. To enable the originating LSC to distinguish between calls using preconditions that are being interworked to the TDM network versus those calls that are not being interworked, the following modification is made to calls with preconditions that are NOT being interworked:

5.3.4.7.1.13f.1 End-to-End Status Type Precondition: After the originating LSC sends the INVITE with the sdp offer that includes precondition attributes and the remote side responds with a reliable 183 (Session Progress) response (including RSeq header and having a Require header field containing the option tag “100rel”) with the initial sdp answer, the originating LSC sends the PRACK, and the remote side sends 200 (OK PRACK), then if the terminating AS-SIP signaling appliance is an LSC serving a called IP EI the terminating LSC immediately sends a 183 (Session Progress) response without any sdp. (See [Requirement 5.3.4.13.2.1.3](#) and [Figure 5.3.4.13-2](#), Successful Basic IP-to-IP Call (End-to-End Precondition).) The presence of this 183 (Session Progress)

response notifies the originating LSC that the call is NOT being interworked. Conversely, when the terminating AS-SIP signaling appliance is interworking the call, then after sending the 200 OK to the PRACK the terminating side refrains from sending any additional 18x AS-SIP responses until the terminating side receives the UPDATE message from the originating LSC. (See [Requirement 5.3.4.19.2.4.3](#) and [Figure 5.3.4.19-6](#), Successful Basic IP-to-TDM Call (End-to-End Precondition).)

5.3.4.7.1.13f.2 Segmented Status Type Precondition: When the terminating AS-SIP signaling appliance is an LSC serving an IP EI, then the terminating LSC initially responds with a 183 (Session Progress) response that does not have an sdp. This signals to the originating LSC that the call is NOT being interworked. Upon successful completion of resource reservation, the terminating LSC sends a reliable 180 (Ringing) response (including the RSeq header and a Require header field containing the option tag “100rel”) with the sdp answer that among other things confirms the successful resource reservation. The originating LSC responds with a PRACK, and the terminating LSC sends the 200 OK PRACK. See [Requirement 5.3.4.13.2.1.4](#) and [Figure 5.3.4.13-4](#), Successful Basic IP-to-IP Call (Segmented Precondition).

NOTE: If the call is being interworked the interworking gateway does not send the 183 (Session Progress) response, rather it simply sends the reliable 180 (Ringing) response with sdp in accordance with RFC 3312. The originating LSC responds with PRACK and the terminating LSC sends a 200 OK PRACK. The absence of the 183 (Session Progress) response without an sdp body before the reliable 180 (Ringing) response with an sdp body that includes the precondition will signify to the originating AS-SIP signaling appliance that early media will be sent to the EI over the backward bearer path. (See [Requirement 5.3.4.19.2.4.2](#) and [Figure 5.3.4.19-5](#), Successful Basic IP-to-TDM Call (Segmented Precondition).)

5.3.4.7.1.14 The LSCs serving IP EIs MUST ensure that all outbound INVITEs forwarded onto the UC WAN include a Supported header with the option tag “100rel.”

5.3.4.7.1.14.1 The AS-SIP EIs MUST include a Supported header with the option tag “100rel” in all outbound INVITEs.

NOTE: The AS-SIP EIs and LSCs serving IP EIs MUST NOT place the option tag “100rel” in the Require header of an outbound INVITE.

NOTE: All AS-SIP signaling appliances and all AS-SIP EIs MUST support RFC 3262.

5.3.4.7.1.15 When an AS-SIP signaling appliance receives an INVITE (having an sdp offer) and will be interworking the signaling to the TDM network, then the AS-SIP signaling appliance

MUST return an sdp answer in the first non-failure reliable provisional response. This 18x reliable response MUST comply with RFC 3262. For example:

IP EI ➔ LSC A ➔ Softswitch A ➔ Softswitch B ➔ EO ➔ analog EI
IP EI ◀ LSC A ◀ Softswitch A ◀ Softswitch B 18x reliable response

In this example, Softswitch B performs the signal interworking between SIP and SS7 ISUP or ISDN; therefore, Softswitch B MUST return an sdp answer in the first 18x response.

5.3.4.7.1.15.1 When the originating LSC (that is not serving an AS-SIP EI) receives an sdp answer in a reliable first 18x response, then the originating LSC MUST respond with an RFC 3262-compliant PRACK (to which the terminating LSC or SS will respond with a 200 OK). The originating EI MUST listen to the bearer stream for early media and MUST NOT generate local tones and announcements UNLESS the originating LSC subsequently receives a SIP message in the signaling plane that requires the originating LSC to instruct the IP EI to play an announcement locally.

5.3.4.7.1.15.1.1 When an originating AS-SIP EI receives an sdp answer in a reliable first 18x response, then the originating AS-SIP EI MUST respond with an RFC 3262-compliant PRACK (to which the terminating LSC or SS will respond with a 200 OK). The AS-SIP EI MUST listen to the bearer stream for early media and MUST NOT generate local tones UNLESS the originating AS-SIP EI subsequently receives an AS-SIP message in the signaling plane that requires it to play a tone locally.

5.3.4.7.1.16 When an LSC receives an INVITE (having an sdp offer) intended for a served IP EI, then the AS-SIP signaling appliance MUST NOT return an sdp answer in any provisional response and MUST only place the sdp answer in the 200 response.

5.3.4.7.1.16.1 When the originating LSC (and originating SIP IP EI, if applicable) does not receive an sdp answer in the first 18x response, then the originating LSC MUST instruct the originating IP EI to generate local tones and announcements, as required, and the IP EI does not listen for early media over the bearer stream.

5.3.4.7.1.16a When an AS-SIP EI receives an INVITE (having an sdp offer), then the AS-SIP EI MUST NOT return an sdp answer in any provisional response and MUST only place the sdp answer in the 200 response.

5.3.4.7.1.16a.1 When the AS-SIP EI does not receive an sdp answer in the first 18x response, then the AS-SIP EI MUST generate local tones, as required, and the AS-SIP EI does not listen for early media over the bearer stream.

Early Media and Empty INVITE

5.3.4.7.1.17 When an AS-SIP signaling appliance receives an Empty INVITE (i.e., an INVITE that does not include an sdp offer) and said AS-SIP signaling appliance will be interworking the signaling to the TDM network, then the AS-SIP signaling appliance **MUST** send an sdp offer in the first reliable non-failure provisional response (1xx response code greater than a 100 response code). This 18x response **MUST** comply with RFC 3262.

5.3.4.7.1.17.1 When the originating LSC receives an sdp offer in the reliable first 18x response, then the originating LSC **MUST** respond with a PRACK per RFC 3262 that also includes an sdp answer (to which the interworking LSC or SS will respond with a 200 OK) and the originating LSC, **MUST** ensure that the originating EI listens to the bearer stream for early media and **MUST NOT** generate local tones and announcements **UNLESS** the originating LSC subsequently receives a SIP message in the signaling plane that requires the originating LSC to instruct the IP EI to play an announcement locally.

5.3.4.7.1.18 When an AS-SIP signaling appliance receives an Empty INVITE intended for a served IP EI, then the AS-SIP signaling appliance **MUST NOT** send an sdp offer in any provisional response (1xx response code greater than a 100 response code) and **MUST** only send the sdp offer in the 200 response.

5.3.4.7.1.18.1 When the originating LSC does not receive an sdp offer in the first 18x response, then the originating LSC **MUST** instruct the originating EI to generate local tones and announcements, as required, and the IP EI does not listen for early media over the bearer stream.

5.3.4.7.1.18a When an AS-SIP EI receives an Empty INVITE, it **MUST NOT** send an sdp offer in any provisional response (1xx response code greater than a 100 response code) and **MUST** only send the sdp offer in the 200 response.

See [Section 5.3.4.10.4](#), ASAC Rule Set, for requirements relating to Empty INVITE and ASAC call count.

Interworking Early Media to the TDM Network

The next section describes calling from the TDM network to establish sessions in the IP network.

5.3.4.7.1.19 When an AS-SIP signaling appliance that is interworking SIP signaling with the TDM network receives a 180 (Ringing) response from the IP network, the AS-SIP signaling appliance **MUST** ensure that the appropriate ring back tone (e.g., ring back, precedence ring back) is generated on the TDM network. NOTE: [Section 5.3.4.14](#), SIP Translation Requirements for Interworking AS-SIP Signaling Appliances, details the interworking for the 180 (Ringing) response in the signaling plane.

5.3.4.7.1.20. Announcements are not sent in-band on the DSN TDM network; therefore, when an AS-SIP signaling appliance that is interworking SIP signaling with the TDM network receives a 480 (Temporarily Unavailable), 486 (Busy Here), or 488 (Not Acceptable Here) response from the IP network with either no Reason header or a Reason header that does NOT have a preemption cause, the AS-SIP signaling appliance does NOT generate an announcement to be sent to the TDM network, rather it sends either a REL with Q.850 cause code 46 precedence call blocked (in the case of SS7 ISUP) or a Disconnect (in the case of ISDN) with the appropriate cause code message to the TDM network.

If a precedence call cannot be established in the IP network because the IP resources are being used by the same or higher precedence traffic in the IP network, the cause code will be sent to the TDM network with a Q.850 cause code 46 precedence call blocked (in the case of ISDN).

Media Feature Tags

5.3.4.7.1.21 An LSC that receives an outbound call request from a served IP EI MAY include an audio media feature tag and a video media feature tag, as appropriate, in the Contact header field of the INVITE message. [RFC 3840]

5.3.4.7.1.22 The AS-SIP signaling appliances are NOT required to process and act on the audio media tag and the video media tag in the Contact header but all intermediary AS-SIP signaling appliances MUST preserve the audio media tag (if present) and the video tag (if present) when forwarding the INVITE. (i.e., intermediary AS-SIP signaling appliances MUST NOT strip off or modify the media feature tags).

P-Asserted-Identity

5.3.4.7.1.23 When an LSC receives a call request from a served IP EI intended for a destination outside the enclave, then the AS-SIP signaling appliance MUST generate the P-Asserted-Identity header as follows:

- If the identity of the caller is known, then the PAssertedID-value MUST include the name-addr field, e.g., the name of the caller in double quotes per RFC 3325.
- The PAssertedID-value MUST always contain the SIP URI of the calling EI whereby the userinfo part includes the full 10-digit DSN number.

Examples:

P-Asserted-Identity: "John Anderson" <sip:3121234567@uc.mil;user=phone>

P-Asserted-Identity: <sip:3121234567@uc.mil;user=phone>

LSCs Serving AS-SIP EIs

5.3.4.7.1.24 When an AS-SIP EI is served by (i.e., assigned to) an LSC the assigned LSC MUST be the recipient of every outbound AS-SIP message sent by the AS-SIP EI.

5.3.4.7.1.25 The LSC to which the AS-SIP EI is assigned MUST be the recipient of every inbound AS-SIP message intended for the AS-SIP EI.

5.3.4.7.1.26 The LSC serving the AS-SIP EI MUST support authentication of the AS-SIP EIs. The user of the AS-SIP EI is required to perform user authentication to the LSC when initiating precedence call requests. [RFC 3261, Sections 22.1, Framework; 22.3, Proxy-to-User Authentication; and 22.4, The Digest Authentication Scheme]

5.3.4.7.1.27 An LSC serving an AS-SIP EI MAY be capable of operating as a redirect server. [RFC 3261, Section 8.3, Redirect Servers]

5.3.4.7.1.28 If the LSC serving an AS-SIP EI receives a SIP message with an encapsulated ISUP message (i.e., application/isup MIME object), then the LSC MUST either:

- Respond with a 405 (Method Not Allowed) response, or
- Respond with a 415 (Unsupported Media Type) response with an Accept header that includes the supported Content-Types but does not include application/isup.

LSCs Serving IP EIs Other Than AS-SIP EIs

5.3.4.7.1.29 When an IP EI (other than an AS-SIP EI) is served by (i.e., assigned to) an LSC, then the LSC MUST be the recipient of every outbound call signaling message sent by the IP EI.

NOTE: In the case of a SIP EI, the LSC is responsible for performing the translation function between the SIP signaling on the line side to the AS-SIP signaling on the trunk side.

NOTE: In the case of H.323 or other vendor-proprietary signaling, the LSC is responsible for performing the translation function between the H.323 or vendor-proprietary signaling on the line side to the AS-SIP signaling on the trunk side.

5.3.4.7.1.30 When an IP EI (other than an AS-SIP EI) is served by (i.e., assigned to) an LSC, then the LSC MUST be the recipient of every inbound AS-SIP message intended for the IP EI.

NOTE: In the case of a SIP EI, the LSC is responsible for performing the translation function between the AS-SIP signaling on the trunk side to the SIP signaling on the line side.

NOTE: In the case of H.323 or other vendor-proprietary signaling, the LSC is responsible for performing the translation function between the AS-SIP signaling on the trunk side and the H.323 or vendor-proprietary signaling on the line side.

5.3.4.7.1.31 The LSCs serving IP EIs (other than AS-SIP EIs) **MUST** support authentication of the IP EIs. The user of the IP EI is required to perform user authentication to the LSC when initiating precedence call requests.

5.3.4.7.1.32 The LSCs serving IP EIs **MAY** be capable of operating as redirect servers. [RFC 3261, Section 8.3, Redirect Servers]

5.3.4.7.1.33 The LSCs serving H.323 and/or proprietary IP EIs **MUST** comply with the rules for UAC behavior outside of a dialog. [RFC 3261, Section 8.1, UAC Behavior, and subsections]

5.3.4.7.1.34 The LSCs serving H.323 and/or proprietary IP EIs **MUST** comply with the rules for UAS behavior outside of a dialog. [RFC 3261, Section 8.2, UAS Behavior, and subsections]

5.3.4.7.1.35 When an LSC serving H.323 and/or proprietary EIs receives a request that contains a Require header field with one or more option tags that it does not understand, then it **MUST** return a 420 (Bad Extension) response code. The response **MUST** include an Unsupported header field listing those option tags the element did not understand. [RFC 3261, Section 8.2.2.3, Require]

5.3.4.7.1.36 The LSCs serving H.323 and/or proprietary EIs **MUST** comply with the rules and procedures for dialogs. [RFC 3261, Section 12, Dialogs]

5.3.4.7.1.37 If the LSC serving IP EIs (other than AS-SIP EIs) receives a SIP message with an encapsulated ISUP message (i.e., application/isup MIME object), then the LSC **MUST** either:

- Respond with a 405 (Method Not Allowed) response, or
- Respond with a 415 (Unsupported Media Type) response with an Accept header that includes the supported Content-Types but does not include application/isup.

5.3.4.7.2 *Methods*

5.3.4.7.2.1 **INVITE Request**

5.3.4.7.2.1.1 The LSCs and AS-SIP EIs **MUST** support generating and receiving SIP INVITE requests.

5.3.4.7.2.1.2 The LSCs and AS-SIP EIs **MUST** comply with the requirements of RFC 3261. [RFC 3261, Sections 13.2.1, Creating the Initial INVITE; 13.2.2, Processing the INVITE Responses; and 13.3.1, Processing of the INVITE]

5.3.4.7.2.1.3 The LSCs and AS-SIP EIs **MUST** support the generating and receiving of SIP re-INVITE requests. [RFC 3261, Section 14, Modifying and Existing Session]

5.3.4.7.2.2 CANCEL Request

The LSCs and AS-SIP EIs **MUST** support the generating, receiving, and processing of SIP CANCEL requests. [RFC 3261, Sections 16.7, Response Processing, and 16.10, CANCEL Processing]

5.3.4.7.2.3 REGISTER Request

AS-SIP EI Creation of Register Request

5.3.4.7.2.3.1 The AS-SIP EIs **MUST** generate Register requests (and send the Register requests to the serving LSC/Registrar) in compliance with RFC 3261, Section 10.2, Constructing the REGISTER Request where:

5.3.4.7.2.3.1.1 The Request-URI **MUST** consist of the Fully Qualified Domain Name (FQDN) for the domain to which both the LSC/Registrar and the AS-SIP EI belong. **NOTE:** The userinfo part and the “@” are not present in the Request-URI of the Register request.

5.3.4.7.2.3.1.2 The To header field **MUST** contain the address of record for the AS-SIP EI; specifically a SIP URI where the userinfo part consists of the 10-digit DSN number for the AS-SIP EI and the hostname consists of the FQDN for the domain in which the AS-SIP EI resides.

5.3.4.7.2.3.1.3 The From header field **MUST** contain the address of record for the AS-SIP EI; specifically a SIP URI where the userinfo part consists of the 10-digit DSN number for the AS-SIP EI and the hostname consists of the FQDN for the domain in which the AS-SIP EI resides.

5.3.4.7.2.3.1.4 The AS-SIP EI **SHOULD** use the same value for the Call-ID header for all registrations sent to a given LSC/Registrar.

5.3.4.7.2.3.1.5 The AS-SIP EI **MUST** increment the CSeq value by one for each Register request with the same Call-ID.

5.3.4.7.2.3.2 The initial Register request **MUST** include a Contact header having the following SIP URI contact addresses:

- SIP URI where the userinfo part is the 10-digit DSN number for the AS-SIP EI and where the hostname is the IPv4 address of the AS-SIP EI.
- SIP URI where the userinfo part is the 10-digit DSN number for the AS-SIP EI and where the hostname is the IPv6 address of the AS-SIP EI.
- **[Conditional]** If the AS-SIP EI also has a PSTN number associated with it, then include the SIP URI where the userinfo part is the E.164 address of the AS-SIP EI prepended by the “+” sign and where the hostname is the FQDN for the domain in which the AS-SIP EI resides.

5.3.4.7.2.3.3 The AS-SIP EIs **MUST NOT** send a new Register request until a final response from the Registrar has been received for the previous Register request or until the previous Register request has timed out.

5.3.4.7.2.3.4 The AS-SIP EIs **MAY** include the “expires” parameter along with a SIP URI of a contact address in the Contact header where the “expires” parameter indicates how long the AS-SIP EI would like the binding to be valid without a refresh. The Registrar will treat malformed values for the “expires” parameter as having a value of 3600 seconds.

5.3.4.7.2.3.4.1 In the absence of an “expires” parameter in the Contact header, the presence of an Expires header in the Register request represents the length of the interval the AS-SIP EI would like the binding to be valid without a refresh.

NOTE: The AS-SIP EI is **NOT** required to include either “expires” parameters with contact addresses and is **NOT** required to include an Expires header.

5.3.4.7.2.3.5 The AS-SIP EIs **MUST** support requesting the immediate removal of a contact address binding by specifying an “expires” parameter with a value of “0” for a contact address.

5.3.4.7.2.3.6 The AS-SIP EIs **MUST** support the use of the “*” Contact field header value in conjunction with the presence of the Expires header set to “0.” This requests the removal of all bindings associated with the address of record. **NOTE:** The “*” Contact header value **MUST NOT** be used unless the Expires header is present and set to “0.”

5.3.4.7.2.3.7 The AS-SIP EIs **MAY** include “q” parameters with the contact addresses rank the various bindings.

5.3.4.7.2.3.8 Since registrations expire unless refreshed, the AS-SIP EIs MUST refresh the bindings it has established before the expiration of the bindings; otherwise, the bindings time out and are removed from the Registrar database.

Registrar Processing of Register Request

5.3.4.7.2.3.9 The LSC/Registrars MUST receive/process Register requests received from AS-SIP EIs in compliance with RFC 3261, Section 10, Registrations.

5.3.4.7.2.3.10 The default requirement for LSC/Registrar authentication of the AS-SIP EI is the successful establishment of the TCP/TLS connection between the AS-SIP EI and the LSC/Registrar. User authentication is NOT a default requirement of the registration process.

5.3.4.7.2.3.10.1 However, the UCR does not preclude user authentication either upon receipt of a Register request from an AS-SIP EI for which the Registrar has no current bindings or even when the AS-SIP EI is renewing, adding, or removing bindings. If a Registrar is configured to engage in user authentication, then the Registrar employs RFC 3261, Section 22, Usage of HTTP Authentication, and in particular the Registrar sends the 401 (Unauthorized) response that includes the WWW-Authenticate response-header field to the AS-SIP EI, which MUST resend the Register request with the Authorization header field. The AS-SIP EI MUST also increment the CSeq header field when it resends the Register request with the Authorization header field.

5.3.4.7.2.3.10.1.1 If the authenticated user attempts to modify a binding but is not authorized to modify bindings, the registrar MUST return a 403 (Forbidden) response.

5.3.4.7.2.3.11 When the Registrar receives a Register request and the address of record found in the “To” header is not valid for the domain in the Request-URI, then the Registrar responds to the AS-SIP EI with a 404 (Not Found) response.

5.3.4.7.2.3.12 When the Registrar receives a Register request and the address of record found in the “To” header is valid for the domain in the Request-URI, then the Registrar looks for a Contact header in the Register request. If no Contact header is present, then the Registrar responds with a 200 OK with Contact header field values for all current bindings and an “expires” value set by the Registrar for each contact binding. The response SHOULD include a Date header field to enable the AS-SIP EI to keep synchronized with the Registrar for determining the expiration of a binding.

5.3.4.7.2.3.12.1 If the Register request has a Contact header with a contact value “*” but either there are additional contact values or the Expires header has an expiration time that is not zero, then the request is invalid and the Registrar responds with a 400 (Invalid Request) response.

5.3.4.7.2.3.12.2 If the Register request has a Contact header with a contact value “*” and an Expires header with the value “0,” then:

- If the Call-ID of the Register request does not match the Call-ID associated with a particular binding, then the binding is removed.
- If the Call-ID stored with the binding matches the Call-ID of the current Register request and the CSeq of the current Register request is greater than the CSeq stored with the binding, then the binding is removed.
- If the Call-ID stored with the binding matches the Call-ID of the current Register request and the CSeq of the current Register request is less than the CSeq stored with the binding, then the update is aborted and the request fails. The Registrar responds with a 500 (Server Error) response and all changes made pursuant to the Register request **MUST** be reversed and the bindings restored to their previous values.

5.3.4.7.2.3.12.3 If the Register request has a Contact header with enumerated contact address bindings, then:

5.3.4.7.2.3.12.3.1 The Registrar sets an expiration interval for each contact address binding. The Registrar recognizes the “expires” parameter, if present, as indicating the requested expiration interval for the associated contact address. If an “expires” parameter is not present for a given contact address, then if an Expires header is present the value of the Expires header is treated as the requested expiration interval. If neither is present, then the requested expiration is the locally configured default expiration value at the Registrar.

5.3.4.7.2.3.12.3.2 The Registrar **MAY** set the expiration to a value less than the requested expiration interval.

5.3.4.7.2.3.12.3.3 The Registrar **MAY** reject the Register request if the requested expiration value is greater than 0, but less than 3600 (i.e., 1 hour) and less than the minimum interval configured at the Registrar. The Registrar responds with a 423 (Interval Too Brief) response that includes a Min-Expires header with the minimum acceptable expiration interval.

5.3.4.7.2.3.12.3.3.1 If an AS-SIP EI receives a 423 (Interval Too Brief) response, the AS-SIP EI MAY retry the registration after making the expiration interval of all contact addresses in the REGISTER request equal to or greater than the value expiration interval in the Min-Expires header field of the 423 (Interval Too Brief) response.

5.3.4.7.2.3.12.3.4 The Registrar removes bindings when the “expires” parameter for the contact address is zero.

5.3.4.7.2.3.12.3.5 The Registrar adds new bindings for contact addresses that do not currently exist in the database.

5.3.4.7.2.3.12.3.6 If a binding does exist, then the Registrar checks the Call-ID value. If the Call-ID value in the existing binding differs from the Call-ID value in the request, the binding MUST be removed if the expiration time in the contact address in the Register request is zero and updated otherwise.

5.3.4.7.2.3.12.3.7 If a binding does exist and the Call-ID value of the existing binding matches that of the Register request and the CSeq value for the Register request is higher than that of the existing binding, then the binding MUST be removed if the expiration time in the contact address in the Register request is zero and updated otherwise.

5.3.4.7.2.3.12.3.8 If a binding does exist and the Call-ID value of the existing binding matches that of the Register request and the CSeq value for the Register request is lower than that of the existing binding, then the update is aborted and the request fails. The Registrar responds with a 500 (Server Error) response and all changes made pursuant to the Register request MUST be reversed and the bindings restored to their previous values.

5.3.4.7.2.3.13 For each binding, the Registrar stores the Call-ID and CSeq values from the request.

5.3.4.7.2.3.14 When a Registrar returns a 200 OK response, then the response MUST contain Contact header field values enumerating all current bindings. Each contact value MUST feature an “expires” parameter indicating its expiration interval chosen by the Registrar. The response SHOULD include a Date header field.

5.3.4.7.2.3.15 When an AS-SIP EI receives the 200 OK from the Registrar, the AS-SIP EI confirms that it created the contact address and, if so, updates the expiration time interval according to the “expires” parameter or, if the “expires” parameter is absent, the value of the Expires header field.

NOTE: The Registrar function does not refer to a registration process between the LSC and the SS.

5.3.4.7.2.4 OPTIONS Request

5.3.4.7.2.4.1 The LSCs and AS-SIP EIs **MUST** comply with the requirements of RFC 3261, Section 11, Querying for Capabilities, which includes 11.1, Construction of OPTIONS Request, and 11.2, Processing of OPTIONS Request.

5.3.4.7.2.4.1.1 All SSs **MUST** comply with the requirements of RFC 3261, Section 11, Querying for Capabilities, which includes 11.1, Construction of OPTIONS Request, and 11.2, Processing of OPTIONS Request. Softswitches **MUST** respond to SIP OPTIONS requests when the SS is identified in the Request-URI or when the SS receives an OPTIONS request in which the Max-Forwards header field value is zero.

NOTE: The OPTIONS request is used by LSCs as a component of the SS failover mechanism; the complete requirements are found in UCR 2008, Section 5.3.2, Assured Real Time Services.

5.3.4.7.2.5 BYE Request

The LSCs and AS-SIP EIs **MUST** support the generating and receiving of SIP BYE requests and **MUST** comply with the requirements of RFC 3261. [RFC 3261, Section 15, Terminating a Session]

5.3.4.7.2.6 ACK Request

The LSCs and AS-SIP EIs **MUST** support generating and receiving SIP ACK requests. [RFC 3261]

5.3.4.7.2.7 PRACK Request

5.3.4.7.2.7.1 The LSCs and AS-SIP EIs **MUST** support generating and receiving the SIP PRACK method. [RFC 3262]

5.3.4.7.2.7.2 The LSCs and AS-SIP EIs MUST support the use of the option tag “100rel” with the Require and Supported header fields, and MUST support the use of the Rack and RSeq header fields. [RFC 3262]

5.3.4.7.2.8 UPDATE Request

The LSCs and AS-SIP EIs MUST support the generating and receiving of the SIP UPDATE method. [RFC 3311] In particular, in this specification UPDATE is recommended for refreshing the SIP session timer, is used in the alternative call transfer call flows that do not use the REFER method, and is used in the optional end-to-end precondition call flow.

5.3.4.7.2.9 REFER Request

The LSCs and AS-SIP EIs MUST be capable of receiving/processing REFER requests.

The LSCs and AS-SIP EIs MAY generate REFER requests when acting in the capacity of the transferor in the call transfer supplementary service.

“A proxy [e.g., an LSC] should process a REFER request the same way it processes an OPTIONS request.” [RFC 3515, Section 2.6, Behavior of SIP Proxies]

5.3.4.7.2.10 SUBSCRIBE Request

At this time the UCR has not mandated the use of any event packages in conjunction with the SUBSCRIBE request. A requirement to support specified event packages may be added in the future.

5.3.4.7.2.11 NOTIFY Request

The LSCs and AS-SIP EIs MUST support the NOTIFY method for event notification. [RFC 3265] In particular, this specification uses the NOTIFY request in the call transfer supplementary service (see [Section 5.3.4.13](#), Calling Services).

5.3.4.7.3 Header Fields

[Table 5.3.4.7-1](#) and [Table 5.3.4.7-2](#) (from RFC 3261) identify the header fields specified in RFC 3261. For the reader’s convenience, a description of the symbols used in the tables augmented by header fields specified in subsequent SIP extensions are provided in [Table 5.3.4.7-1](#), Summary of Header Fields, A-Z, and the following text. [RFC 3261, Section 20, Header Fields]

The **where** column values are:

R: The header field may only appear in requests.

r: The header field may only appear in responses.

2xx, 4xx, etc.: A numerical value or range that indicates response codes with which the header field can be used.

c: The header field is copied from the request to the response.

c(1): The header field is copied with the addition of a tag.

An empty entry in the **where** column indicates that the header field may be present in all requests and responses.

The **proxy** column describes the operations a proxy may perform on a header field:

a: A proxy can add or concatenate the header field if not present.

m: A proxy can modify an existing header field value.

d: A proxy can delete a header field value.

r: A proxy must be able to read the header field; thus this header field cannot be encrypted.

The next six columns relate to the presence of a header field in a method:

c: Conditional; the requirements on the header field depend on the context of the message.

m: The header field is mandatory.

m*:The header field **SHOULD** be sent, but clients/servers need to be prepared to receive messages without that header field.

o: The header field is optional.

t: The header field **SHOULD** be sent, but clients/servers need to be prepared to receive messages without that header field.

If a stream-based protocol, such as TCP, is used as a transport, then the header field **MUST** be sent.

*: The header field is required if the message body is not empty.

-: The header field is not applicable.

The underlined entries signify the minimum set of header fields that MUST be supported by at least one category of an AS-SIP signaling appliance.

Table 5.3.4.7-1. Summary of Header Fields, A–Z (Part 1)

HEADER FIELD	WHERE	PROXY	ACK	BYE	CAN	INV	OPT	REG
<u>Accept</u>	R		-	o	-	o	m*	o
<u>Accept</u>	2xx		-	-	-	o	m*	o
<u>Accept</u>	415		-	c	-	c	c	c
Accept-Contact	R	a r	o	o	o	o	o	-
Accept-Encoding	R		-	o	-	o	o	o
Accept-Encoding	2xx		-	-	-	o	m*	o
Accept-Encoding	415		-	c	-	c	c	c
Accept-Language	R		-	o	-	o	o	o
Accept-Language	2xx		-	-	-	o	m*	o
Accept-Language	415		-	c	-	c	c	c
Accept-Resource-Priority	200	a m d r	-	o	o	o	o	o
Accept-Resource-Priority	417	a m d r	-	o	o	o	o	o
<u>Alert-Info</u>	R	a r	-	-	-	o	-	-
<u>Alert-Info</u>	180	ar	-	-	-	m	-	-
<u>Allow</u>	R		-	o	-	o	o	o
<u>Allow</u>	2xx		-	o	-	m*	m*	o
<u>Allow</u>	r		-	o	-	o	o	o
<u>Allow</u>	405		-	m	-	m	m	m
<u>Allow-Events</u>	R		o	o	-	o	o	o
<u>Allow-Events</u>	2xx		-	o	-	o	o	o
<u>Allow-Events</u>	489		-	-	-	-	-	-
Authentication-Info	2xx		-	o	-	o	o	o
<u>Authorization</u>	R	m	m	m	m	m	m	
<u>Call-ID</u>	c	r	m	m	m	m	m	m
Call-Info	ar		-	-	-	o	o	o
<u>Contact</u>	R		o	-	-	m	o	o
<u>Contact</u>	1xx		-	-	-	o	-	-
<u>Contact</u>	2xx		-	-	-	m	o	o
<u>Contact</u>	3xx	d	-	o	-	o	o	o
<u>Contact</u>	485		-	o	-	o	o	o
<u>Content-Disposition</u>			o	o	-	o	o	o
<u>Content-Encoding</u>			o	o	-	o	o	o
<u>Content-Language</u>			o	o	-	o	o	o
<u>Content-Length</u>		a r	t	t	t	t	t	t
<u>Content-Type</u>			*	*	-	*	*	*
<u>Cseq</u>	c	r	m	m	m	m	m	m
<u>Date</u>		a	o	o	o	o	o	o

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HEADER FIELD	WHERE	PROXY	ACK	BYE	CAN	INV	OPT	REG
Error-Info	300-699	a	-	o	o	o	o	o
<u>Event</u>	R		-	-	-	-	-	-
<u>Expires</u>			-	-	-	o	-	o
<u>From</u>	c	r	m	m	m	m	m	m
In-Reply-To	R		-	-	-	o	-	-
Join	R		-	-	-	o	-	-
<u>Max-Forwards</u>	R	a m r	m	m	m	m	m	m
<u>Min-Expires</u>	423		-	-	-	-	-	m
<u>Min-SE</u>	R	a m r	-	-	-	o	-	-
<u>Min-SE</u>	2xx	a r	-	-	-	o	-	-
MIME-Version			o	o	-	o	o	o
Organization	ar		-	-	-	o	o	o
P-Access-Network-Info	dr		-	o	-	o	o	o
<u>P-Asserted-Identity</u>	adr		-	o	-	o	o	-
P-Associated-URI	2xx		-	-	-	-	-	o
P-Called-Party-ID	R	a m r	-	-	-	o	o	-
P-Charging-Function-Addresses	adr		-	o	-	o	o	o
P-Charging-Vector	admr		-	o	-	o	o	o
P-DCS-Billing-Info	admr		-	-	-	o	-	-
P-DCS-LAES	adr		-	-	-	o	-	-
P-DCS-OSPS	R	d r	-	-	-	o	-	-
P-DCS-Redirect		a d r	-	-	-	o	-	-
P-DCS-Trace-Party-ID	R	d r	-	-	-	o	-	-
P-Media-Authorization	R	a d	o	-	-	o	-	-
P-Media-Authorization	2xx	a d	-	-	-	o	-	-
P-Media-Authorization	101-199	a d	-	-	-	o	-	-
Path	R	a r	-	-	-	-	-	o
Path	2xx		-	-	-	-	-	o
P-Preferred-Identity		a d r	-	o		o	o	-
Priority	R	a r	-	-	-	o	-	-
Privacy		a m r d	o	o	o	o	o	o
<u>Proxy-Authenticate</u>	407	a r	-	m	-	m	m	m
<u>Proxy-Authenticate</u>	401	a r	-	o	o	o	o	o
<u>Proxy-Authorization</u>	R	d r	o	o	-	o	o	o
<u>Proxy-Require</u>	R	a r	-	o	-	o	o	o
P-Visited-Network-ID	R	a d	-	-	-	o	o	o
<u>Rack</u>	R		-	-	-	-	-	-
<u>Reason</u>	R		o	o	o	o	o	o
<u>Record-Route</u>	R	a r	o	o	o	o	o	-
<u>Record-Route</u>	2xx,18x	m r	-	o	o	o	o	-
<u>Refer-To</u>	R		-	-	-	-	-	-
<u>Referred-By</u>	R		-	o	-	o	o	o
<u>Reject-Contact</u>	R	a r	o	o	o	o	o	-
<u>Replaces</u>	R		-	-	-	o	-	-

HEADER FIELD	WHERE	PROXY	ACK	BYE	CAN	INV	OPT	REG
Reply-To			-	-	-	o	-	-
Request-Disposition	R	ar	o	o	o	o	o	o
Require	ar		-	c	-	c	c	c
Resource-Priority	R	a m d r	o	o	o	o	o	o
Retry-After	404,413,480,486		-	o	o	o	o	o
	500,503		-	o	o	o	o	o
	600,603		-	o	o	o	o	o
Route	R	a d r	c	c	c	c	c	c
Rseq								
Security-Client	R	a r d	-	o	-	o	o	o
Security-Server	421,494		-	o	-	o	o	o
Security-Verify	R	a r d	-	o	-	o	o	o
Server	r		-	o	o	o	o	o
Service-Route	2xx	a r	-	-	-	-	-	o
Session-Expires	422		-	-	-	m	-	-
Session-Expires	422		-	-	-	m	-	-
SIP-Etag	2xx		-	-	-	-	-	-
SIP-If-Match	R		-	-	-	-	-	-
Subject	R		-	-	-	o	-	-
Subscription-State	R		-	-	-	-	-	-
Supported	R		-	o	o	m*	o	o
Supported	2xx		-	o	o	m*	m*	o
Timestamp			o	o	o	o	o	o
To	c(1)	r	m	m	m	m	m	m
Unsupported	420		-	m	-	m	m	m
User-Agent			o	o	o	o	o	o
Via	R	a m r	m	m	m	m	m	m
Via	rc	d r	m	m	m	m	m	m
Warning	r		-	o	o	o	o	o
WWW-Authenticate	401	a r	-	m	-	m	m	m
WWW-Authenticate	407	a r	-	o	-	o	o	o

Table 5.3.4.7-2. Summary of Header Fields, A–Z (Part 2)

HEADER FIELD	WHERE	PROXY	PRA	UPD	SUB	NOT	INF	MSG	REF	PUB
Accept	R		o		o	o			o	o
Accept	2xx		-		-	-			-	-
Accept	415		c		o	o			c	m*
Accept-Contact	R	ar	o	o	o	o	o	o	o	
Accept-Encoding	R		o		o	o			o	o
Accept-Encoding	2xx		-		-	-			-	-
Accept-Encoding	415		c		o	o			c	m*
Accept-Language	R		o		o	o			o	o
Accept-Language	2xx		-		-	-			-	-

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HEADER FIELD	WHERE	PROXY	PRA	UPD	SUB	NOT	INF	MSG	REF	PUB
Accept-Language	415		c		o	o			c	m*
Accept-Resource-Priority	200	a m d r	o	o	o	o	o	o	o	o
Accept-Resource-Priority	417	a m d r	o	o	o	o	o	o	o	o
<u>Alert-Info</u>	R		-		-	-			-	-
<u>Alert-Info</u>	180		-		-	-			-	-
<u>Allow</u>	R		o		o	o			o	o
<u>Allow</u>	2xx		o		o	o				
<u>Allow</u>	r		o		o	o			o	o
<u>Allow</u>	405		m		m	m			m	m
<u>Allow-Events</u>	R									o
<u>Allow-Events</u>	2xx									
<u>Allow-Events</u>	489									m
Authentication-Info	2xx		o		o	o			o	o
Authorization	R		o		o	o			o	o
<u>Call-ID</u>	c		m		m	m			m	m
Call-Info			-						-	o
<u>Contact</u>	R		-		m	m			m	-
<u>Contact</u>	1xx		-		o	o			-	-
<u>Contact</u>	2xx		-		m	o			m	-
<u>Contact</u>	3xx		o		m	m			o	o
<u>Contact</u>	485		o		o	o			o	o
<u>Contact</u>	3xx-6xx								o	o
<u>Content-Disposition</u>			o		o	o			o	o
<u>Content-Encoding</u>	o				o	o			o	o
<u>Content-Language</u>			o		o	o			o	o
<u>Content-Length</u>			t		t	t			o	t
<u>Content-Type</u>			*		*	*			*	*
<u>Cseq</u>	c		m		m	m			m	m
<u>Date</u>			o		o	o			o	o
Error-Info	300-699		o		o	o			o	o
<u>Event</u>	R									m
<u>Expires</u>			-		o	-			o	o
<u>Expires</u>	2xx				-	m			-	m
<u>From</u>	c		m		m	m			m	m
<u>In-Reply-To</u>	R		-		-	-			-	-
Join	R		-	-	-	-	-	-	-	-
<u>Max-Forwards</u>	R		m		m	m			m	m
<u>Min-Expires</u>	423		-		m	-			-	m
<u>Min-SE</u>	R	a m r	-	o	-	-				
<u>Min-SE</u>	422		-	m	-	-				
MIME-Version			o		o	o			o	o
Organization			-		o	-			o	o
P-Access-Network-	dr		-	-	o	-	-	o	o	

HEADER FIELD	WHERE	PROXY	PRA	UPD	SUB	NOT	INF	MSG	REF	PUB
Info										
<u>P-Asserted-Identity</u>	adr		-	-	o	o	-		o	
<u>P-Associated-URI</u>	2xx		-	-	-	-	-	-	-	
<u>P-Called-Party-ID</u>	R	a m r	-	-	o	-	-	o	o	
<u>P-Charging-Function-Addresses</u>	adr		o	o	o	o	o	o	o	
<u>P-Charging-Vector</u>	admr		o	o	o	o	o	o	o	
<u>P-DCS-Billing-Info</u>	admr			-	-	-	-	-	-	
<u>P-DCS-LAES</u>	adr			-	-	-	-	-	-	
<u>P-DCS-OSPS</u>	R	d r		-	o	-	-	-	-	
<u>P-DCS-Redirect</u>	adr	-	-	-	-	-	-			
<u>P-DCS-Trace-Party-ID</u>	R	d r	-	-	-	-	-	-		
<u>P-Media-Authorization</u>	R	a d		o	o	-	-	-		
<u>P-Media-Authorization</u>	2xx	a d		o	o	-	-	-		
<u>Path</u>										
<u>Path</u>										
<u>P-Preferred-Identity</u>		a d r	-	-	o	o			-	o
<u>Priority</u>	R		-		o	-		-	o	
<u>Privacy</u>		a d m r	o	o	o	o	o	o		
<u>Proxy-Authenticate</u>	407		m		m	m			m	m
<u>Proxy-Authenticate</u>	401		m						o	o
<u>Proxy-Authorization</u>	R		o		o	o			o	o
<u>Proxy-Require</u>	R		o		o	o			o	o
<u>P-Visited-Network-ID</u>	R	a d	-	-	o	-	-	o	o	
<u>Rack</u>	R		m		-	-				
<u>Reason</u>										
<u>Record-Route</u>	R		o		o	o			o	-
<u>Record-Route</u>	2xx		o		o	o			o	-
<u>Record-Route</u>	18x		o						o	-
<u>Record-Route</u>	401,484				o	o				-
<u>Refer-To</u>	R		-	-	-	-	-	-	m	-
<u>Referred-By</u>										
<u>Reject-Contact</u>	R	a r	o	o	o	o	o	o	o	
<u>Replaces</u>	R		-	-	-	-	-	-	-	
<u>Reply-To</u>			-		-	-			-	
<u>Request-Disposition</u>	R	a r	o	o	o	o	o	o	o	
<u>Require</u>			c		o	o			c	o
<u>Resource-Priority</u>	R	a m d r	o	o	o	o	o	o	o	o

HEADER FIELD	WHERE	PROXY	PRA	UPD	SUB	NOT	INF	MSG	REF	PUB
<u>Retry-After</u>	404,413, 480,486		o		o	o			o	o
Retry-After	500,503		o		o	o			o	o
Retry-After	600,603		o		o	o			o	o
<u>Route</u>	R		c		c	c			c	c
<u>Rseq</u>	1xx		-		o	o				
Security-Client	R	a r d	-	o	o	o	o	o		
Security-Server	421,49		-	o	o	o	o	o		
Security-Verify	R	a r d	-	o	o	o	o	o		
Server	r		o		o	o			o	o
Service-Route	2xx	a r	-							
<u>Session-Expires</u>	R	a m r	-	o	-	-				
Session-Expires	2xx	a r	-	o	-	-				
SIP-Etag	2xx		-	-	-	-	-	-	-	m
SIP-If-Match	R		-	-	-	-	-	-	-	o
Subject	R		-		-	-			-	o
<u>Subscription-State</u>										
<u>Supported</u>	R		o		o	o			o	o
Supported	2xx		o		o	o			o	o
Timestamp			o		o	o			o	o
<u>To</u>	c(1)		m		m	m			m	m
Unsupported	420		m		o	o			o	o
User-Agent			o		o	o			o	o
<u>Via</u>	R		m		-	o				m
Via		c								m
Via	rc	d r								m
<u>Warning</u>	r		o		o	o			o	o
<u>WWW-Authenticate</u>	401	a r	m		m	m			m	m
WWW-Authenticate	407	a r							o	o

[Table 5.3.4.7-3](#), IANA Registry Listing of Header Field Parameters, is a copy of the IANA Registry listing the header field parameters and parameter values. [RFC 3968, Section 4.1, Header Field Parameters Sub-Registry] The underlined entries signify the header fields that MUST be supported by at least one category of an AS-SIP signaling appliance.

Table 5.3.4.7-3. IANA Registry Listing of Header Field Parameters

HEADER FIELD	PARAMETER NAME	PREDEFINED VALUES	REFERENCE
<u>Accept</u>	q	No	[RFC3261]
Accept-Encoding	q	No	[RFC3261]
Accept-Language	q	No	[RFC3261]
<u>Authorization</u>	algorithm	Yes	[RFC3261] [RFC3310]

HEADER FIELD	PARAMETER NAME	PREDEFINED VALUES	REFERENCE
<u>Authorization</u>	auts	No	[RFC3310]
<u>Authorization</u>	cnonce	No	[RFC3261]
<u>Authorization</u>	nc	No	[RFC3261]
<u>Authorization</u>	nonce	No	[RFC3261]
<u>Authorization</u>	opaque	No	[RFC3261]
<u>Authorization</u>	qop	Yes	[RFC3261]
<u>Authorization</u>	realm	No	[RFC3261]
<u>Authorization</u>	response	No	[RFC3261]
<u>Authorization</u>	uri	No	[RFC3261]
<u>Authorization</u>	username	No	[RFC3261]
Authentication-Info	cnonce	No	[RFC3261]
Authentication-Info	nc	No	[RFC3261]
Authentication-Info	nextnonce	No	[RFC3261]
Authentication-Info	qop	Yes	[RFC3261]
Authentication-Info	rspauth	No	[RFC3261]
Call-Info	purpose	Yes	[RFC3261]
<u>Contact</u>	expires	No	[RFC3261]
<u>Contact</u>	q	No	[RFC3261]
<u>Content-Disposition</u>	handling	Yes	[RFC3261]
<u>Event</u>	id	No	[RFC3265]
<u>From</u>	tag	No	[RFC3261]
P-Access-Network-Info	cgi-3gpp	No	[RFC3455]
P-Access-Network-Info	utran-cell-id-3gpp	No	[RFC3455]
P-Charging-Function-Addresses	ccf	No	[RFC3455]
P-Charging-Function-Addresses	ecf	No	[RFC3455]
P-Charging-Vector	icid-value	No	[RFC3455]
P-Charging-Vector	icid-generated-at	No	[RFC3455]
P-Charging-Vector	orig-ioi	No	[RFC3455]
P-Charging-Vector	term-ioi	No	[RFC3455]
P-DCS-Billing-Info	called	No	[RFC3603]
P-DCS-Billing-Info	calling	No	[RFC3603]
P-DCS-Billing-Info	charge	No	[RFC3603]
P-DCS-Billing-Info	locroute	No	[RFC3603]
P-DCS-Billing-Info	rksgroup	No	[RFC3603]
P-DCS-Billing-Info	routing	No	[RFC3603]
P-DCS-LAES	content	No	[RFC3603]
P-DCS-LAES	key	No	[RFC3603]
P-DCS-Redirect	count	No	[RFC3603]
P-DCS-Redirect	redirector-uri	No	[RFC3603]
<u>Proxy-Authenticate</u>	algorithm	Yes	[RFC3261] [RFC3310]
<u>Proxy-Authenticate</u>	domain	No	[RFC3261]
<u>Proxy-Authenticate</u>	nonce	No	[RFC3261]
<u>Proxy-Authenticate</u>	opaque	No	[RFC3261]
<u>Proxy-Authenticate</u>	qop	Yes	[RFC3261]

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HEADER FIELD	PARAMETER NAME	PREDEFINED VALUES	REFERENCE
<u>Proxy-Authenticate</u>	realm	No	[RFC3261]
<u>Proxy-Authenticate</u>	stale	Yes	[RFC3261]
<u>Proxy-Authorization</u>	algorithm	Yes	[RFC3261] [RFC3310]
<u>Proxy-Authorization</u>	auts	No	[RFC3310]
<u>Proxy-Authorization</u>	cnonce	No	[RFC3261]
<u>Proxy-Authorization</u>	nc	No	[RFC3261]
<u>Proxy-Authorization</u>	nonce	No	[RFC3261]
<u>Proxy-Authorization</u>	opaque	No	[RFC3261]
<u>Proxy-Authorization</u>	qop	Yes	[RFC3261]
<u>Proxy-Authorization</u>	realm	No	[RFC3261]
<u>Proxy-Authorization</u>	response	No	[RFC3261]
<u>Proxy-Authorization</u>	uri	No	[RFC3261]
<u>Proxy-Authorization</u>	username	No	[RFC3261]
<u>Reason</u>	cause	Yes	[RFC3326]
<u>Reason</u>	text	No	[RFC3326]
<u>Retry-After</u>	duration	No	[RFC3261]
<u>Security-Client</u>	alg	Yes	[RFC3329]
<u>Security-Client</u>	ealg	Yes	[RFC3329]
<u>Security-Client</u>	d-alg	Yes	[RFC3329]
<u>Security-Client</u>	d-qop	Yes	[RFC3329]
<u>Security-Client</u>	d-ver	No	[RFC3329]
<u>Security-Client</u>	mod	Yes	[RFC3329]
<u>Security-Client</u>	port1	No	[RFC3329]
<u>Security-Client</u>	port2	No	[RFC3329]
<u>Security-Client</u>	prot	Yes	[RFC3329]
<u>Security-Client</u>	q	No	[RFC3329]
<u>Security-Client</u>	spi	No	[RFC3329]
<u>Security-Server</u>	alg	Yes	[RFC3329]
<u>Security-Server</u>	ealg	Yes	[RFC3329]
<u>Security-Server</u>	d-alg	Yes	[RFC3329]
<u>Security-Server</u>	d-qop	Yes	[RFC3329]
<u>Security-Server</u>	d-ver	No	[RFC3329]
<u>Security-Server</u>	mod	Yes	[RFC3329]
<u>Security-Server</u>	port1	No	[RFC3329]
<u>Security-Server</u>	port2	No	[RFC3329]
<u>Security-Server</u>	prot	Yes	[RFC3329]
<u>Security-Server</u>	q	No	[RFC3329]
<u>Security-Server</u>	spi	No	[RFC3329]
<u>Security-Verify</u>	alg	Yes	[RFC3329]
<u>Security-Verify</u>	ealg	Yes	[RFC3329]
<u>Security-Verify</u>	d-alg	Yes	[RFC3329]
<u>Security-Verify</u>	d-qop	Yes	[RFC3329]
<u>Security-Verify</u>	d-ver	No	[RFC3329]
<u>Security-Verify</u>	mod	Yes	[RFC3329]

HEADER FIELD	PARAMETER NAME	PREDEFINED VALUES	REFERENCE
Security-Verify	port1	No	[RFC3329]
Security-Verify	port2	No	[RFC3329]
Security-Verify	prot	Yes	[RFC3329]
Security-Verify	q	No	[RFC3329]
Security-Verify	spi	No	[RFC3329]
Subscription-State	expires	No	[RFC3265]
Subscription-State	reason	Yes	[RFC3265]
Subscription-State	retry-after	No	[RFC3265]
To	tag	No	[RFC3261]
Via	branch	No	[RFC3261]
Via	comp	Yes	[RFC3486]
Via	maddr	No	[RFC3261]
Via	received	No	[RFC3261]
Via	rport	No	[RFC3581]
Via	ttl	No	[RFC3261]
WWW-Authenticate	algorithm	Yes	[RFC3261] [RFC3310]
WWW-Authenticate	domain	Yes	[RFC3261]
WWW-Authenticate	nonce	No	[RFC3261]
WWW-Authenticate	opaque	No	[RFC3261]
WWW-Authenticate	qop	Yes	[RFC3261]
WWW-Authenticate	realm	No	[RFC3261]
WWW-Authenticate	stale	Yes	[RFC3261]

5.3.4.7.3.1 LSC Header Requirements

5.3.4.7.3.1.1 The LSCs MUST, in adherence with the enumerated RFCs, be capable of generating, receiving, and processing the following SIP header fields: [RFC 3261, Section 20, Header Fields] [RFC 3262] [RFC 3265] [RFC 3325] [RFC 3326] [RFC 3515] [RFC 3891] [RFC 4028] [RFC 4412 as modified herein]

- Accept
- Alert-Info
- Allow
- Allow-Events
- Authorization (receive only)
- Call-ID
- Contact
- Content-Disposition
- Content-Length
- Content-Type
- CSeq
- Date

Event
Expires
From
Max-Forwards
Min-Expires
Min-SE
P-Asserted-Identity
Proxy-Authenticate (generate only)
Proxy-Authorization (receive and process only)
Proxy-Require (Classified only)
Rack
Reason
Record-Route
Refer-To
Replaces
Require
Resource-Priority
Retry-After
RSeq
Session-Expires
Subscription-State
Supported
To
Unsupported
Via
Warning
WWW-Authenticate (receive only)

Referred-By Header

5.3.4.7.3.1.2 The LSCs MUST be capable of receiving and processing the Referred-By header field.

5.3.4.7.3.1.2.1 The LSCs that generate the REFER header MUST also generate the Referred-By header.

5.3.4.7.3.1.3 Whenever an LSC creates a request, he “From” header field MUST include a tag field. [RFC 3261, Section 19.3, Tags]

5.3.4.7.3.1.4 Whenever an LSC creates a request that is part of a dialog the “To” header field MUST include a tag field. [RFC 3261, Section 19.3, Tags]

5.3.4.7.3.1.5 The LSCs MUST support the use of option tags for the Require, Supported, and Unsupported header fields. Currently, option tags used in this specification are “replaces”, “100rel”, “resource-priority”, “precondition”, and “timer”.

5.3.4.7.3.1.6 Removed

5.3.4.7.3.1.7 Removed

5.3.4.7.3.1.8 Removed

Route Header – LSC

5.3.4.7.3.1.9 When an LSC sends an initial SIP INVITE Request (i.e., the request that begins a dialog²) to its local EBC intended for its SS, then the LSC MUST add two Route header field values, which either takes the form of a route set comprising two Route headers where the first Route header is the sip uri for the EBC at the enclave, and the second Route header is the sip uri for the EBC serving the SS or takes the form of one Route header with two comma-separated field values.

NOTE: This requirement does not preclude the LSC from adding Route headers to SIP requests other than the initial INVITE; however, the LSC is only required to add Route headers to the initial INVITE.

5.3.4.7.9.1 The format of the sip uri of the Route headers MUST consist of an alphanumeric identifier for the userinfo part and an IP address for the host name.

Example:

Route: <sip:ebcenc1@192.168.7.125;lr>

Route: <sip:ebcsdn3@195.117.2.1;lr>

or

Route: <sip:ebcenc1@192.168.7.125;lr>, <sip:ebcsdn3@195.117.2.1;lr>

5.3.4.7.3.1.10 Reserved.

² A dialog consists of the sequence of messages that begins with an initial SIP INVITE request and ends with either a 3xx, 4xx, 5xx, or 6xx response, or in the case of a 200 success response, the sequence of messages ends with a BYE request.

Route Header – Softswitch

5.3.4.7.3.1.11 When an SS forwards an initial SIP INVITE request (i.e., the request that begins a dialog) to a peer SS, then the SS MUST add two Route header field values, which either may take the form of a route set comprising two Route headers where the first Route header is the sip uri for the EBC that serves the SS, and the second Route header is the sip uri for the EBC serving the peer SS, or take the form of one Route header with two comma-separated field values.

NOTE: This requirement does not preclude the SS from adding Route headers to SIP requests other than the initial INVITE; however, the SS is only required to add Route headers to the initial INVITE.

5.3.4.7.3.1.11.1 The default format of the sip uri for the Route header will consist of an alphanumeric identifier for the userinfo part and an IP address for the host name.

Example:

Route: <sip:ebcsdn3@192.168.100.100;lr>

Route: <sip:ebcsdn7@196.1.2.111;lr>

or

Route: <sip:ebcsdn3@192.168.100.100;lr>, <sip:ebcsdn7@196.1.2.111;lr>

5.3.4.7.3.1.12 **[Required: MFSS]** When an SS forwards an initial SIP INVITE request (i.e., the request that begins a dialog) to a subtended LSC, then the SS MUST add two Route header field values, which either may take the form of a route set comprising two Route headers where the first Route header is the sip uri for the EBC that serves the SS, and the second Route header is the sip uri for the EBC serving the subtended LSC, or take the form of one Route header with two comma-separated field values.

NOTE: This requirement does not preclude the SS from adding Route headers to SIP requests other than the initial INVITE; however, the SS is only required to add Route headers to the initial INVITE.

5.3.4.7.3.1.12.1 The default format of the sip uri for the Route header will consist of an alphanumeric identifier for the userinfo part and an IP address for the host name.

Example:

Route: <sip:ebcsdn7@192.168.88.50;lr>

Route: <sip:ebcenc25@188.2.44.3;lr>

or

Route: <sip:ebcsdn7@192.168.88.50;lr>, <sip:ebcenc25@188.2.44.3;lr>

Route Header – LSC (Classified Network only)

The Route Header requirements for LSCs and SSs are predicated on the SBU network architecture in which EBCs are required at each enclave having at least one AS-SIP signaling appliance.

The current VoSIP architecture does not employ EBCs; therefore, it is anticipated that during the transition toward full implementation of AS-SIP within the classified network there will be instances where EBCs may or not be present at all locations encountered on an end-to-end AS-SIP call. Therefore, the classified requirements must include specifications for the various permutations of Route headers for the situations where an EBC is present at a Tier0 SS or at an LSC, or at both. If there is not an EBC at either location and there are no intermediary AS-SIP signaling appliances between an LSC and its Tier0 SS, then there may not be a need for a Route header.

The complete set of Route Header requirements for LSCs and Tier0 SSs in the classified network is set forth as follows:

5.3.4.7.3.1.13 If an EBC is deployed at the enclave of an LSC and an EBC is deployed at the Tier0 SS serving the given LSC, then when the LSC sends an initial SIP INVITE request (i.e., the request that begins a dialog) to its local EBC intended for its Tier0 SS, the LSC **MUST** add two Route header field values, which either take the form of a route set comprising two Route headers where the first Route header is the sip uri for the EBC at the enclave, and the second Route header is the sip uri for the EBC serving the Tier0 SS, or take the form of one Route header with two comma-separated field values.

NOTE: This requirement does not preclude the LSC from adding Route headers to SIP requests other than the initial INVITE; however, the LSC is only required to add Route headers to the initial INVITE.

5.3.4.7.3.1.13.1 The format of the sip uri of the Route headers **MUST** consist of an alphanumeric identifier for the userinfo part and an IP address for the host name.

Example:

Route: <sip:ebcenc1@192.168.7.125;lr>
Route: [sip:ebcsdn3@195.117.2.1;lr](#)

or

Route: <sip:ebcenc1@192.168.7.125;lr>, <sip:ebcsdn3@195.117.2.1;lr>

5.3.4.7.3.1.14 If there is an EBC deployed at the enclave but not at the Tier0 SS, then when the LSC sends an initial SIP INVITE request (i.e., the request that begins a dialog) to its local EBC intended for its Tier0 SS the LSC MUST add two Route header field values, which either may take the form of a route set comprising two Route headers where the first Route header is the sip uri for the EBC at the enclave, and the second Route header is the sip uri for the Tier0 SS or take the form of one Route header with two comma-separated field values (the first having the sip uri of the EBC at the enclave and the second having the sip uri of the Tier0 SS).

NOTE: This requirement does not preclude the LSC from adding Route headers to SIP requests other than the initial INVITE; however, the LSC is only required to add Route headers to the initial INVITE.

5.3.4.7.3.1.14.1 The format of the sip uri of the Route headers MUST consist of an alphanumeric identifier for the userinfo part and an IP address for the host name.

Example:

Route: <sip:ebcenc1@192.168.7.125;lr>
Route: <sip:Tier0sdn3@195.117.3.121;lr>

or

Route: <sip:ebcenc1@192.168.7.125;lr>, <sip:Tier0sdn3@195.117.3.121;lr>

5.3.4.7.3.1.15 If there is not an EBC deployed at the enclave but there is an EBC deployed at the Tier0 SS, then when the LSC sends an initial SIP INVITE request (i.e., the request that begins a dialog) to the EBC serving the Tier0 SS, the LSC MUST either add one Route header with the sip uri of the EBC serving the Tier0 SS (NOTE: The EBC serving the Tier0 SS always sends its inbound sip messages to the Tier0 SS.) or add two Route header field values, which either may take the form of two Route headers where the first Route header is the sip uri for the EBC serving the Tier0 SS and the second

Route header is the sip uri for the Tier0 SS or take the form of one Route header with two comma-separated field values (the first having the sip uri of the EBC serving the Tier) SS and the second having the sip uri of the Tier0 SS).

NOTE: This requirement does not preclude the LSC from adding Route headers to SIP requests other than the initial INVITE; however, the LSC is only required to add Route headers to the initial INVITE.

5.3.4.7.3.1.15.1 The format of the sip uri of the Route headers MUST consist of an alphanumeric identifier for the userinfo part and an IP address for the host name.

Example 1:

Route: <sip:ebcsdn3@195.117.2.1;lr>

Example 2:

Route: <sip:ebcsdn3@195.117.2.1;lr>
Route: <sip:Tier0sdn3@195.117.3.121;lr>

or

Route: <sip:ebcsdn3@195.117.2.1;lr>, <sip:Tier0sdn3@195.117.3.121;lr>

5.3.4.7.3.1.16 Reserved

5.3.4.7.3.1.17 Reserved

5.3.4.7.3.1.18 Reserved

Route Header – Tier0 SS (Classified Network only)

5.3.4.7.3.1.19 (NOTE: This paragraph applies to classified network only) If EBCs are used in conjunction with Tier0 SSs, then when a Tier0 SS forwards an initial SIP INVITE Request (i.e., the request that begins a dialog) to a peer Tier0 SS, as a default configuration, the Tier0 SS MUST add two Route header field values, which either may take the form of a route set comprising two Route headers where the first Route header is the sip uri for the EBC that serves the Tier0 SS, and the second Route header is the sip uri for the EBC serving the peer Tier0 SS, or take the form of one Route header with two comma-separated field values.

NOTE: This requirement does not preclude the Tier0 SS from adding Route headers to SIP requests other than the initial INVITE; however, the Tier0 SS is only required to add Route headers to the initial INVITE.

5.3.4.7.3.1.19.1 The default format of the sip uri for the Route header will consist of an alphanumeric identifier for the userinfo part and an IP address for the host name.

Example:

Route: <sip:ebscdn3@192.168.100.100;lr>

Route: <sip:ebscdn7@196.1.2.111;lr>

or

Route: <sip:ebscdn3@192.168.100.100;lr>, <sip:ebscdn7@196.1.2.111;lr>

5.3.4.7.3.1.20 (NOTE: This paragraph applies to classified network only) If EBCs are used in conjunction with Tier0 SSs and at the enclaves in conjunction with LSCs, then when a Tier0 SS forwards an initial SIP INVITE request (i.e., the request that begins a dialog) to a served LSC, as a default configuration, the Tier0 SS MUST add two Route header field values, which either may take the form of a route set comprising two Route headers where the first Route header is the sip uri for the EBC that serves the Tier0 SS, and the second Route header is the sip uri for the EBC of the served LSC, or take the form of one Route header with two comma-separated field values.

NOTE: This requirement does not preclude the Tier0 SS from adding Route headers to SIP requests other than the initial INVITE; however, the Tier0 SS is only required to add Route headers to the initial INVITE.

5.3.4.7.3.1.21 (NOTE: This paragraph applies to classified network only) If there is an EBC deployed at the Tier0 SS but not at the enclave of a served LSC, then when the Tier0 SS sends an initial SIP INVITE request (i.e., the request that begins a dialog) to its local EBC intended for the served LSC, the Tier0 SS MUST add two Route header field values, which either may take the form of a route set comprising two Route headers where the first Route header is the sip uri for its own EBC, and the second Route header is the sip uri for the EBC serving the LSC, or take the form of one Route header with two comma-separated field values.

NOTE: This requirement does not preclude the Tier0 SS from adding Route headers to SIP requests other than the initial INVITE; however, the Tier0 SS is only required to add Route headers to the initial INVITE.

5.3.4.7.3.1.22 (NOTE: This paragraph applies to classified network only) If there is not an EBC deployed at the Tier0 SS but there is an EBC deployed at the enclave of the served LSC, then when the Tier0 SS sends an initial SIP INVITE request (i.e., the request that begins a dialog) to the EBC serving the LSC, the LSC MUST either add one Route header with the sip uri of the EBC serving the LSC (NOTE: The EBC serving the LSC always sends its inbound sip messages to the LSC) or add two Route header field values, which either may take the form of Route headers where the first Route header is the sip uri for the EBC serving the LSC, and the second Route header is the sip uri for the LSC, or take the form of one Route header with two comma-separated field values.

NOTE: This requirement does not preclude the Tier0 SS from adding Route headers to SIP requests other than the initial INVITE; however, the Tier0 SS is only required to add Route headers to the initial INVITE.

CCA-ID Parameter for Contact Header

The purpose behind the CCA-ID is to provide a simple mechanism for an SS to identify the served LSC that is the source of the INVITE. This is important information required to enable the SS to conduct its Policing function. The CCA-ID also provides a simple mechanism for a destination SS to identify the originating SS from which it receives an INVITE.

5.3.4.7.3.1.23 When an LSC either receives an INVITE from a served AS-SIP EI or a SIP EI or generates an INVITE on behalf of a served H.323 or vendor-proprietary IP EI and the INVITE is intended for a destination over the UC WAN, then the LSC MUST add a “CCA-ID” parameter to the SIP URI of the Contact header. The value of the “CCA-ID” parameter consists of a variable length character string having a maximum length of 20 characters from the set specified in [Requirement 5.3.4.7.3.1.23.1](#) and a unique value of the “CCA-ID” parameter is associated with each LSC (and each SS).

5.3.4.7.3.1.23.1 The syntax for the “CCA-ID” parameter is:

CCA-ID = token = 1*(alphanumeric / "-" / ".")

Example:

Contact: <sip:3121111111@10.10.10.10;user=phone;CCA-ID=lscA.scottafb >

5.3.4.7.3.1.24 When an LSC receives an inbound INVITE from the UC WAN intended for a served AS-SIP EI or SIP EI, the LSC MUST strip off the “CCA-ID” parameter.

5.3.4.7.3.1.24.1 When an intermediary SS either receives an INVITE from a served LSC or from another SS, then the intermediary SS MUST replace the existing value of the “CCA-ID” parameter with the value of its own “CCA-ID” parameter, before forwarding the INVITE onward to the next AS-SIP signaling appliance.

5.3.4.7.3.1.25 In the event an SS receives an INVITE that either does not include the CCA-ID parameter in the Contact header of the INVITE or includes a CCA-ID parameter in the Contact header of the INVITE that has an unknown value, then the SS MUST reject the INVITE by sending a 403 (Forbidden) response that includes a Warning header with warn-code 399 (Miscellaneous warning) and warn-text “Missing CCA-ID.” The SS MUST send an alarm to the NMS. The alarm MUST notify the NMS that the SS received an INVITE that did not include a CCA-ID.

5.3.4.7.3.1.25.1 When an LSC receives a 403 (Forbidden) response to an outbound INVITE from a served non-AS-SIIP EI then the LSC MUST:

- Ensure that the non-AS-SIIP EI plays the ICA.
- Ensure that the non-AS-SIIP EI terminates the call request.
- Respond to the 403 (Forbidden) response with an ACK.
- Send an alarm to the NMS to alert the NMS that the LSC has received a 403 (Forbidden) response to an outbound INVITE.

5.3.4.7.3.1.25.2 When an LSC receives a 403 (Forbidden) response to an outbound INVITE from a served AS-SIIP EI, then the LSC MUST:

- Send an alarm to the NMS to alert the NMS that the LSC has received a 403 (Forbidden) to an outbound INVITE.
- Establish a bearer connection between the AS-SIP EI and a media server associated with the LSC. Please see [Figure 5.3.4.7-4](#), Originating LSC Implements Playing of ICA to AS-SIP EI on Receipt of 403 (Forbidden) in Response to Outbound INVITE. The LSC and media server exchange the necessary signaling to provide the media server with the IP address and UDP port for the bearer stream with the AS-SIP EI and the LSC instructs the media server to play the ICA over the bearer stream to the AS-SIP EI once the bearer is established. The 183 (Session Progress) response sent by the LSC to the AS-SIP EI includes the sdp answer with the IP address and UDP port for a bearer stream with the media server. The AS-SIP EI responds with a PRACK to which the LSC responds with a 200 OK. The media server plays the

announcement to the AS-SIP EI and terminates the session with the LSC and the LSC sends a 403 (Forbidden) response to the AS-SIP EI. The AS-SIP EI responds with an ACK and terminates the call request. The LSC forwards the ACK onward to the SS.

NOTE: The media server is considered a part of the LSC SUT; therefore, the signaling between the LSC and media server is left to the vendor so long as the announcement is played and the signaling between the LSC and AS-SIP EI complies with the specific call flow depicted in this section.

Via Header – Rules for SIP Proxy

5.3.4.7.3.1.25a When an LSC implemented as a SIP proxy server receives an outbound AS-SIP request from a served AS-SIP EI or an outbound SIP request from a served SIP EI, then the LSC **MUST** add its own Via header.

5.3.4.7.3.1.26 When an LSC implemented as a SIP proxy server receives an inbound AS-SIP request for a served AS-SIP EI or SIP EI, then the LSC **MUST** add its own Via header.

5.3.4.7.3.1.27 When an LSC implemented as a SIP proxy server receives an AS-SIP response from a served AS-SIP EI or a SIP response from a served SIP EI, then before forwarding an AS-SIP response, the LSC **MUST** remove the topmost Via header.

5.3.4.7.3.1.28 When an LSC implemented as a SIP proxy server receives an AS-SIP response intended for a served AS-SIP EI or SIP EI, then before forwarding the AS-SIP response the LSC **MUST** remove the topmost Via header.

5.3.4.7.3.1.29 When an SS implemented as a SIP proxy server receives an AS-SIP request from an LSC or another SS, then the SS **MUST** add its own Via header.

5.3.4.7.3.1.30 When an SS implemented as a SIP proxy server receives an AS-SIP response, then before forwarding the AS-SIP response the SS **MUST** remove the topmost Via header.

Via Header – Rules for B2BUA

5.3.4.7.3.1.31 When an LSC implemented as a B2BUA receives an outbound AS-SIP request from a served AS-SIP EI or an outbound SIP request from a served SIP EI, then the LSC does **NOT** copy the Via header from the incoming call leg to the outgoing call leg; however, this specification does not forbid the B2BUA from copying the Via header. The LSC **MUST** add its own Via header to the new call leg.

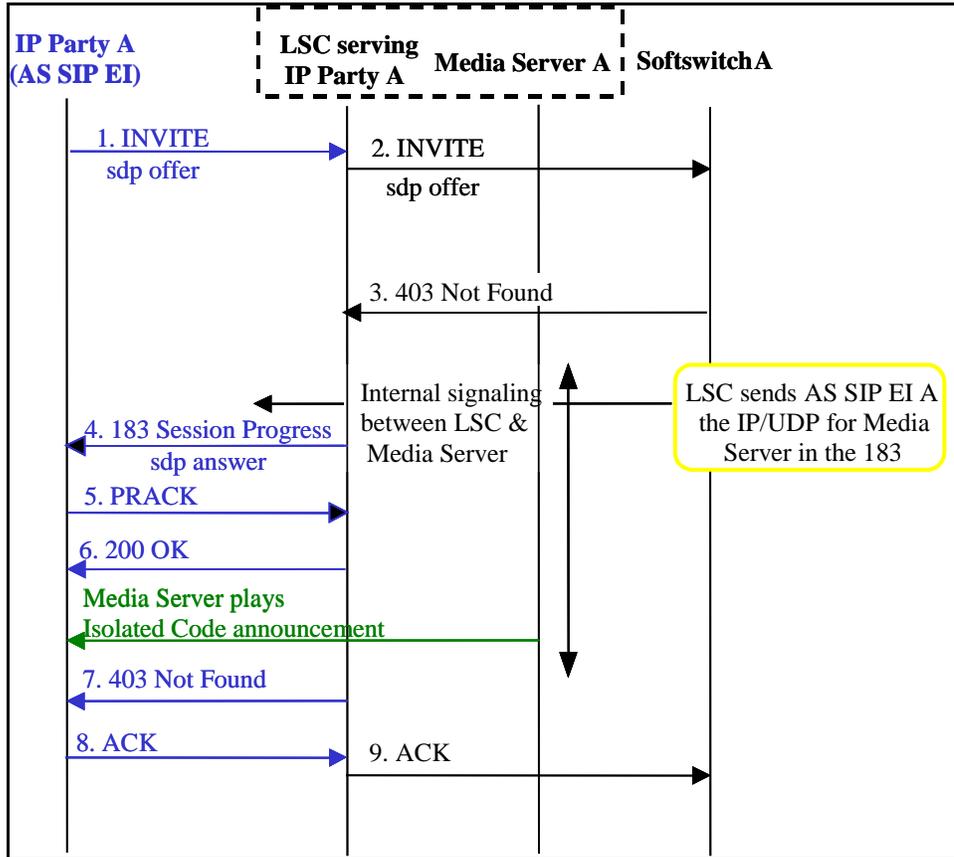


Figure 5.3.4.7-4. Originating LSC Implements Playing of ICA to AS-SIP EI on Receipt of 403 (Forbidden) in Response to Outbound INVITE

5.3.4.7.3.1.32 When an LSC implemented as a B2BUA receives an inbound AS-SIP request intended for a served AS-SIP EI or SIP EI, then the LSC does NOT copy the Via headers from the incoming call leg to the outgoing call leg; however, this specification does not forbid the B2BUA from copying the Via headers. The LSC MUST add its Via header to the new call leg.

5.3.4.7.3.1.33 When an LSC implemented as a B2BUA receives an AS-SIP response from a served AS-SIP EI or SIP response from a SIP EI, then the B2BUA removes the topmost header. Then the B2BUA generates the response for the call leg in which it is acting as a UAS and MUST place the set of Via headers it had received in the original SIP request into the SIP response.

5.3.4.7.3.1.34 When an LSC implemented as a B2BUA receives an AS-SIP response intended for a served AS-SIP EI or SIP EI, then the B2BUA removes the topmost Via header. Then the B2BUA generates the response for the call leg in which it is acting as a UAS and MUST place the set of Via headers it had received in the original AS-SIP

request (or SIP request) into the AS-SIP (or SIP) response. If there are no intervening subtended LSCs between the B2BUA and the served AS-SIP EI or SIP EI, then the only Via header placed into the response is that of the served AS-SIP EI or SIP EI.

5.3.4.7.3.1.35 When an SS implemented as a B2BUA receives an AS-SIP request, then the SS generally does NOT copy the Via header(s) from the incoming call leg to the outgoing call leg; however, this specification does not forbid the B2BUA from copying the Via headers. The SS MUST add its own Via header to the new call leg.

5.3.4.7.3.1.36 When an SS implemented as a B2BUA receives an AS-SIP response, then the B2BUA removes the topmost header. Then the B2BUA generates the response for the call leg in which it is acting as a UAS and MUST place the set of Via headers it had received in the original SIP request into the SIP response.

Via Header – Rules for LSC Acting as UAC/UAS on Behalf of the IP EI

5.3.4.7.3.1.37 When an LSC generates an outbound AS-SIP request on behalf of a served H.323 or vendor-proprietary IP EI, then the LSC MUST add its own Via header to the AS-SIP request.

5.3.4.7.3.1.38 When an LSC receives an inbound AS-SIP request intended for a served H.323 or vendor-proprietary IP EI, then the LSC operates as the UAS for SIP purposes and sends the appropriate protocol request to the H.323 or vendor-proprietary IP EI.

5.3.4.7.3.1.39 When an LSC generates an AS-SIP response on behalf of a served H.323 or vendor-proprietary IP EI, then the LSC MUST include the Via headers received in the corresponding AS-SIP request before forwarding the AS-SIP response.

5.3.4.7.3.1.40 When an LSC receives an AS-SIP response intended for a served H.323 or vendor-proprietary IP EI, then the LSC operates as the UAC for SIP purposes and sends the appropriate protocol response to the H.323 or vendor-proprietary IP EI.

Via Header – Rules for AS-SIP EI

5.3.4.7.3.1.41 When an AS-SIP EI generates an outbound AS-SIP request, the AS-SIP EI MUST add its own Via header to the AS-SIP request.

5.3.4.7.3.1.42 When an AS-SIP EI generates an AS-SIP response, the AS-SIP EI MUST include The Via headers received in the corresponding AS-SIP request before forwarding the AS-SIP response.

5.3.4.7.3.2 AS-SIP EI Header Requirements

5.3.4.7.3.2.1 The AS-SIP EIs MUST, in adherence with the enumerated RFCs, be capable of generating, receiving, and processing the following SIP header fields: [RFC 3261, Section 20, Header Fields] [RFC 3262] [RFC 3265] [RFC 3326] [RFC 3515] [RFC 3891] [RFC 4028] [RFC 4412 as modified herein]

Accept
Alert-Info
Allow
Allow-Events
Authorization (generate and send only)
Call-ID
Contact
Content-Disposition
Content-Length
Content-Type
CSeq
Date
Event
Expires
From
Max-Forwards
Min-Expires
Min-SE
P-Asserted-Identity (receive only)
Proxy-Authenticate (receive only)
Proxy-Authorization (generate and send only)
Proxy-Require (generate for Classified network only)
Rack
Reason
Record-Route
Refer-To
Replaces
Require
Resource-Priority
Retry-After
RSeq
Session-Expires
Subscription-State
Supported
To

Unsupported
Via
Warning
WWW-Authenticate (generate and send only)

Referred-By Header

5.3.4.7.3.2.2 The AS-SIP EIs MUST be capable of receiving and processing the Referred-By header field. [RFC 3892]

5.3.4.7.3.2.3 The AS-SIP EIs that generate the REFER request MUST also generate the Referred-By header.

Tags

5.3.4.7.3.2.4 Whenever an AS-SIP EI creates a request, the From header field MUST include a tag field. [RFC 3261, Section 19.3, Tags]

5.3.4.7.3.2.5 Whenever an AS-SIP EI creates a request that is part of a dialog, the To header field MUST include a tag field. [RFC 3261, Section 19.3, Tags]

5.3.4.7.3.2.6 The AS-SIP EIs MUST support the use of option tags for the Require, Supported, and Unsupported header fields. Currently, option tags used in this specification are “replaces”, “100rel”, “resource-priority”, “precondition”, and “timer”.

5.3.4.7.4 Response Codes

5.3.4.7.4.1 LSCs Serving AS-SIP EIs

5.3.4.7.4.1.1 The LSCs serving AS-SIP EIs MUST support response processing. [RFC 3261, Section 16.7, Response Processing]

5.3.4.7.4.1.2 The LSCs serving AS-SIP EIs MUST support the forwarding of the provisional (1xx) response codes: 100 (Trying), 180 (Ringing), and 183 (Session Progress). [RFC 3261, Section 16.7, Response Processing]

5.3.4.7.4.1.2a The LSCs serving AS-SIP EIs MUST support generating a 100 (Trying) response code.

5.3.4.7.4.1.3 The LSCs serving AS-SIP EIs MUST support the forwarding of the successful (2xx) response codes: 200 (OK) [RFC 3261] and (202) Accepted [RFC 3515].

5.3.4.7.4.1.4 The LSCs serving AS-SIP EIs **MUST** support the generating of a 200 (OK) response code in response to a CANCEL request. [RFC 3261, Section 16.10, CANCEL Processing]

5.3.4.7.4.1.5 The LSCs serving AS-SIP EIs **MAY** be capable of operating as redirect servers and **MAY** support the redirection (3xx) response codes: 300 (Multiple Choices), 301 (Moved Permanently), 302 (Moved Temporarily), and 305 (Use Proxy). [RFC 3261, Section 21.3, Redirection 3xx]

5.3.4.7.4.1.6 The LSCs serving AS-SIP EIs **MUST** support the forwarding of the following request failure (4xx) response codes:

400 (Bad Request)	421 (Extension Required)
401 (Unauthorized)	422 (Session Interval Too Small)
403 (Forbidden)	423 (Interval Too Brief)
404 (Not Found)	480 (Temporarily Unavailable)
405 (Method Not Allowed)	481 (Call/Transaction Does Not Exist)
406 (Not Acceptable)	482 (Loop Detected)
407 (Proxy Authentication Required)	483 (Too Many Hops)
408 (Request Timeout)	484 (Address Incomplete)
410 (Gone)	485 (Ambiguous)
413 (Request Entity Too Large)	486 (Busy Here)
414 (Request-URI Too Long)	487 (Request Terminated)
415 (Unsupported Media Type)	488 (Not Acceptable Here)
416 (Unsupported URI Scheme)	491 (Request Pending)
417 (Unknown Resource-Priority)	
420 (Bad Extension)	

NOTE: In those instances in which 4xx messages trigger the playing of announcements at the AS-SIP EI (e.g., BPA, Busy Not Equipped Announcement (BNEA), ICA, VCA), then the 4xx message is **NOT** forwarded to the AS-SIP EI, rather appropriate interoperable signaling is defined between the LSC/media server and the AS-SIP EI to manage the streaming of the announcement from the media server to the AS-SIP EI followed by the termination of the call request.

5.3.4.7.4.1.6a An LSC serving AS-SIP EIs **MAY** support the generating of a 401 (Unauthorized) response code in connection with the receipt of a Register request from an AS-SIP EI for which the Registrar has no current bindings or even when the AS-SIP EI is renewing, adding, or removing bindings.

5.3.4.7.4.1.6b An LSC serving AS-SIP EIs **MUST** support the generating of a 403 (Forbidden) response.

5.3.4.7.4.1.7 An LSC serving AS-SIP EIs MUST support the generating of a 404 (Not Found) response code if the Request-URI of an inbound request from the UC WAN identifies a DSN number that is unknown to the LSC. The 404 response is sent back toward the originating LSC. [RFC 3261, Section 16.5, Determining Request Targets]

5.3.4.7.4.1.7a An LSC serving AS-SIP EIs MUST support the generating of a 405 (Method Not Allowed) response code. One example where generation of this code is applicable is upon receipt of an INVITE that includes an encapsulated ISUP message.

5.3.4.7.4.1.8 The LSCs serving AS-SIP EIs MUST support the generating of a 407 (Proxy Authentication Required) response code. [RFC 3261, Section 22.3, Proxy-to-User Authentication]

5.3.4.7.4.1.9 The LSCs serving AS-SIP EIs MUST support the generating of a 408 (Request Timeout) response code when all client transactions in a response have terminated and no final response has been received. [RFC 3261, Section 16.6, Request Forwarding]

5.3.4.7.4.1.9a An LSC serving AS-SIP EIs MUST support the generating of a 415 (Unsupported Media Type) response code. One example where generation of this code is applicable is upon receipt of an INVITE that includes an encapsulated ISUP message.

5.3.4.7.4.1.10 The LSCs serving AS-SIP EIs MUST support the generating of a 416 (Unsupported URI Scheme) response code upon receipt of a Request-URI that it does not understand. [RFC 3261, Section 16.3, Request Validation]

5.3.4.7.4.1.10a The LSCs serving AS-SIP EIs MUST support the generating of a 417 (Unsupported Resource-Priority) response code upon receipt of an INVITE with a Resource-Priority header intended for a served AS-SIP EI and the INVITE has a Require header field with an option tag “resource-priority”.

5.3.4.7.4.1.11 (NOTE: This paragraph applies to classified network only.) The LSCs MUST support the generating of a 418 (Incompatible CAL) response code upon receipt of an INVITE that cannot be resolved to a valid CAL. The 418 response SHOULD contain the CAL header with the reflected-access-level set to the last successfully resolved value in the request path. The local-access-level SHOULD be set to the access-level supported by the destination UAS or to the access-level supported for the routing domain that failed resolution at an intermediate Tier0 SS.

5.3.4.7.4.1.11a The LSCs serving AS-SIP EIs MUST support the generating of a 420 (Bad Extension) response upon receipt of a proxy-require header having an unrecognized option tag.

5.3.4.7.4.1.11b The LSCs serving AS-SIP EIs **MUST** support the generating of a 422 (Session Interval Too Small) if the Session-Expires interval is lower than the value of Min-SE that the LSC would wish to assert.

5.3.4.7.4.1.11c The registrar component of the LSCs serving AS-SIP EIs **MUST** support the generating of a 423 (Interval Too Brief) response if the requested expiration value in the Register request is greater than 0, but less than 3600 (i.e., 1 hour) and less than the minimum interval configured at the Registrar.

5.3.4.7.4.1.11d The LSCs serving AS-SIP EIs **MUST** support the generating of a 481 (Call/Transaction Does Not Exist) response.

5.3.4.7.4.1.12 The LSCs serving AS-SIP EIs **MAY** generate a 482 (Loop Detected) response code if a forwarding loop is detected. [RFC 3261, Section 16.3, Request Validation]

5.3.4.7.4.1.13 The LSCs serving AS-SIP EIs **MUST** support the generating of a 483 (Too Many Hops) response code upon receiving a request (other than an OPTIONS request) in which the Max-Forwards header field has a value of zero. [RFC 3261, Section 16.3, Request Validation]

NOTE: If the method is OPTIONS, then the 483 (Too Many Hops) response code is not sent, instead the requirement in RFC 3261 applies. [RFC 3261, Section 11, Querying for Capabilities]

5.3.4.7.4.1.14 The LSCs serving AS-SIP EIs **SHOULD** support the generating of a 485 (Ambiguous) response code if the Request-URI does not provide sufficient information for the LSC to determine the target set. [RFC 3261, Section 16.5, Determining Request Targets]

5.3.4.7.4.1.14a The LSCs serving AS-SIP EIs **MUST** support the generating of a 487 (Request Terminated) response code.

5.3.4.7.4.1.14b The LSCs serving AS-SIP EIs **MUST** support the generating of a 488 (Not Acceptable Here) response code.

5.3.4.7.4.1.15 The LSCs serving AS-SIP EIs **MUST** support the forwarding of the server failure (5xx) response codes:

500 (Server Internal Error)	504 (Server Timeout)
501 (Not Implemented)	505 (Version Not Supported)
502 (Bad Gateway)	513 (Message Too Large) [RFC 3261]
503 (Service Unavailable)	

5.3.4.7.4.1.16 If an LSC serving AS-SIP EIs implements preconditions, then the LSC MUST support generating and receiving/processing a 580 (Precondition Failure) response code.

5.3.4.7.4.1.17 The LSCs serving AS-SIP EIs MUST support the forwarding of the global failures (6xx) response codes: 600 (Busy Everywhere), 603 (Decline), 604 (Does Not Exist Anywhere), and 606 (Not Acceptable).

5.3.4.7.4.2 AS-SIP EIs

5.3.4.7.4.2.1 The AS-SIP EIs MUST support sending, receiving, and processing the provisional (1xx) response codes: 100 (Trying), 180 (Ringing), and 183 (Session Progress). [RFC 3261, Section 21.1, Provisional 1xx]

5.3.4.7.4.2.2 The AS-SIP EIs MUST support sending, receiving, and processing the successful (2xx) response codes: 200 (OK). [RFC 3261, Section 21.2, 200 OK, and 202 (Accepted)] [RFC 3515]

5.3.4.7.4.2.3 The AS-SIP EIs MAY be capable of operating as redirect servers and MAY support the following redirection (3xx) response codes: 300 (Multiple Choices), 301 (Moved Permanently), 302 (Moved Temporarily), and 305 (Use Proxy). [RFC 3261, Section 21.3, Redirection 3xxx]

5.3.4.7.4.2.4 The AS-SIP EIs MUST support generating and receiving/processing the following request failure (4xx) response codes:

400 (Bad Request)	414 (Request-URI Too Long)
401 (Unauthorized) – receive only	415 (Unsupported Media Type)
403 (Forbidden)	416 (Unsupported URI Scheme)
404 (Not Found)	417 (Unknown Resource-Priority)
405 (Method Not Allowed)	420 (Bad Extension)
406 (Not Acceptable)	421 (Extension Required)
407 (Proxy Authentication Required) – receive only	422 (Session Interval Too Small)
408 (Request Timeout)	423 (Interval Too Brief) – receive only
410 (Gone)	480 (Temporarily Unavailable)
413 (Request Entity Too Large)	

481 (Call/Transaction Does Not Exist)	485 (Ambiguous) – receive only
482 (Loop Detected) – receive only	486 (Busy Here)
483 (Too Many Hops)	487 (Request Terminated)
484 (Address Incomplete) – receive only	488 (Not Acceptable Here) – receive only
	491 (Request Pending)

[RFC 3261, Section 21.4, Request Failure 4xx]

5.3.4.7.4.2.5 The AS-SIP EIs upon properly receiving a CANCEL for an INVITE MUST first send a 200 (OK) response code to the CANCEL and then follow up with a 487 (Request Terminated) response code to the INVITE.

5.3.4.7.4.2.6 The AS-SIP EIs MUST support the server failure (5xx) response codes:

500 (Server Internal Error)	504 (Server Timeout)
501 (Not Implemented)	505 (Version Not Supported)
502 (Bad Gateway) – receive only	513 (Message Too Large)
503 (Service Unavailable)	

[RFC 3261, Section 21.5, Server Failure 5xx]

5.3.4.7.4.2.6a If an AS-SIP EI implements preconditions, then the AS-SIP EI MUST support generating and receiving a 580 (Precondition Failure) response code.

5.3.4.7.4.2.7 The AS-SIP EIs MUST support generating and receiving/processing the global failures (6xx) response codes: 600 (Busy Everywhere), 603 (Decline), 604 (Does Not Exist Anywhere), 606 (Not Acceptable). [RFC 3261, Section 21.6, Global Failures 6xx]

5.3.4.7.4.3 LSCs Serving SIP EIs

5.3.4.7.4.3.1 The LSCs serving SIP EIs MUST support response processing. [RFC 3261, Section 16.7, Response Processing]

5.3.4.7.4.3.2 The LSCs serving SIP EIs MUST support the forwarding of the provisional (1xx) response codes: 100 (Trying), 180 (Ringing), and 183 (Session Progress). [RFC 3261, Section 16.7, Response Processing]

5.3.4.7.4.3.3 The LSCs serving SIP EIs MUST support the generating and forwarding of the successful (2xx) response codes: 200 (OK) [RFC3261] and 202 (Accepted) [RFC 3515].

5.3.4.7.4.3.4 Reserved.

5.3.4.7.4.3.5 The LSCs serving SIP EIs MAY be capable of operating as redirect servers and MAY support the redirection (3xx) response codes: 300 (Multiple Choices), 301 (Moved Permanently), 302 (Moved Temporarily), and 305 (Use Proxy). [RFC 3261, Section 21.3, Redirection 3xx]

5.3.4.7.4.3.6 The LSCs serving SIP EIs MUST support the forwarding of the request failure (4xx) response codes:

400 (Bad Request)	421 (Extension Required)
401 (Unauthorized)	422 (Session Interval Too Small)
403 (Forbidden)	423 (Interval Too Brief) – receive only
404 (Not Found)	480 (Temporarily Unavailable)
405 (Method Not Allowed)	481 (Call/Transaction Does Not Exist)
406 (Not Acceptable)	482 (Loop Detected) – receive only
407 (Proxy Authentication Required)	483 (Too Many Hops)
408 (Request Timeout)	484 (Address Incomplete)
410 (Gone)	485 (Ambiguous)
413 (Request Entity Too Large)	486 (Busy Here)
414 (Request-URI Too Long)	487 (Request Terminated)
415 (Unsupported Media Type)	488 (Not Acceptable Here)
416 (Unsupported URI Scheme)	491 (Request Pending)
417 (Unknown Resource-Priority)	
420 (Bad Extension)	

5.3.4.7.4.3.6b An LSC serving SIP EIs MUST support the generating of a 403 (Forbidden) response code.

5.3.4.7.4.3.7 The LSCs serving SIP EIs MUST support the generating of a 404 (Not Found) response code if the Request-URI indicates a resource at the LSCs that does not exist. [RFC 3261, Section 16.5, Determining Request Targets]

5.3.4.7.4.3.8 The LSCs serving SIP EIs MUST support the generating of either a 401 (Unauthorized) or a 407 (Proxy Authentication Required) response code, as appropriate. [RFC 3261, Section 22.2 User-to-User Authentication, Section 22.3, Proxy-to-User Authentication]

5.3.4.7.4.1.8a An LSC serving SIP EIs MUST support the generating of a 405 (Method Not Allowed) response code. One example where generation of this code is applicable is upon receipt of an INVITE that includes an encapsulated ISUP message.

5.3.4.7.4.3.9 The LSCs serving SIP EIs **MUST** support the generating of a 408 (Request Timeout) response code when all client transactions in a response have terminated and no final response has been received. [RFC 3261, Section 16.6, Request Forwarding]

5.3.4.7.4.1.9a An LSC serving SIP EIs **MUST** support the generating of a 415 (Unsupported Media Type) response code. One example where generation of this code is applicable is upon receipt of an INVITE that includes an encapsulated ISUP message.

5.3.4.7.4.3.10 The LSCs serving SIP EIs **MUST** support the generating of a 416 (Unsupported URI Scheme) response code upon receipt of a Request-URI that it does not understand. [RFC 3261, Section 16.3, Request Validation]

5.3.4.7.4.1.10a The LSCs serving SIP EIs **MUST** support the generating of a 417 (Unsupported Resource-Priority) response code upon receipt of an INVITE with a Resource-Priority header intended for a served AS-SIP EI and the INVITE has a Require header field with an option tag “resource-priority”.

5.3.4.7.4.3.11 (NOTE: This paragraph applied to classified network only.) The LSCs **MUST** support the generating of a 418 (Incompatible CAL) response code upon receipt of an INVITE or UPDATE that cannot be resolved to a valid CAL. The 418 response **SHOULD** contain the CAL header with the reflected-access-level set to the last successfully resolved value in the request path. The local-access-level **SHOULD** be set to the access-level supported by the destination UAC or to the access-level supported for the routing domain that failed resolution at an intermediate Tier0 SS.

5.3.4.7.4.1.11a The LSCs serving SIP EIs **MUST** support the generating of a 420 (Bad Extension) response code upon receipt of a proxy-require header having an unrecognized option tag.

5.3.4.7.4.1.11b The LSCs serving SIP EIs **MUST** support the generating of a 422 (Session Interval Too Small) response code if the Session-Expires interval is lower than the value of Min-SE that the LSC would wish to assert.

5.3.4.7.4.1.11c The registrar component of the LSCs serving SIP EIs **MUST** support the generating of a 423 (Interval Too Brief) response code if the requested expiration value in the Register request is greater than 0, but less than 3600 (i.e., 1 hour) and less than the minimum interval configured at the Registrar

5.3.4.7.4.3.12 The LSCs serving SIP EIs that are unable to establish a target for a received request **SHOULD** support generating a 480 (Temporarily Unavailable) response code. [RFC 3261, Section 16.5, Determining Request Targets]

NOTE: If the LSC serves a nonpreemptable SIP EI, then the LSC MUST support the generating of a 480 (Temporarily Unavailable) response code.

5.3.4.7.4.1.12a The LSCs serving SIP EIs MUST support the generating of a 481 (Call/Transaction Does Not Exist) response code.

5.3.4.7.4.3.13 The LSCs serving SIP EIs MAY generate a 482 (Loop Detected) response code if a forwarding loop is detected. [RFC 3261, Section 16.3, Request Validation]

5.3.4.7.4.3.14 The LSCs serving SIP EIs MUST support the generating of a 483 (Too Many Hops) response code upon receiving a request (other than an OPTIONS request) in which the Max-Forwards header field has a value of zero. [RFC 3261, Section 16.3, Request Validation]

NOTE: If the method is OPTIONS, then the 483 (Too Many Hops) response code is not sent, instead the requirement in RFC 3261 applies. [RFC 3261, Section 11, Querying for Capabilities]

5.3.4.7.4.3.15 The LSCs serving SIP EIs SHOULD support the generating of a 485 (Ambiguous) response code if a forwarding loop is detected. [RFC 3261, Section 16.3, Request Validation]

5.3.4.7.4.1.15a The LSCs serving SIP EIs MUST support the generating of a 487 (Request Terminated) response code.

5.3.4.7.4.1.15b The LSCs serving SIP EIs MUST support the generating of a 488 (Not Acceptable Here) response code.

5.3.4.7.4.3.16 The LSCs serving SIP EIs MUST support the forwarding of the server failure (5xx) response codes:

500 (Server Internal Error)	503 (Service Unavailable)
501 (Not Implemented)	504 (Server Timeout)
502 (Bad Gateway) – receive only	505 (Version Not Supported)
	513 (Message Too Large)

[RFC 3261]

5.3.4.7.4.3.16a If an LSC serving SIP EIs implements preconditions, then the LSC MUST support generating and receiving/processing a 580 (Precondition Failure) response code.

5.3.4.7.4.3.17 The LSCs serving SIP EIs SHOULD generate and send upstream a 500 (Server Internal Error) response code when the only response received to a request is a

503 (Service Unavailable) response code. [RFC 3261, Section 16.7, Response Processing]

5.3.4.7.4.3.18 The LSCs serving SIP EIs MUST support forwarding of the global failures (6xx) response codes: 600 (Busy Everywhere), 603 (Decline), 604 (Does Not Exist Anywhere), 606 (Not Acceptable).

5.3.4.7.4.4 LSCs Serving H.323 and/or Proprietary IP EIs

5.3.4.7.4.4.1 The LSCs serving H.323 and/or proprietary IP EIs MUST support generating, receiving, and processing the provisional (1xx) response codes: 100 (Trying), 180 (Ringing), and 183 (Session Progress). [RFC 3261, Section 21.1, Provisional 1xx]

5.3.4.7.4.4.2 The LSCs serving H.323 and/or proprietary IP EIs MUST support generating and receiving/processing the successful (2xx) response codes: 200 (OK) [RFC3261] and 202 (Accepted) [RFC 3515].

5.3.4.7.4.4.3 The LSCs serving H.323 and/or proprietary IP EIs MAY be capable of operating as redirect servers and MAY support the following redirection (3xx) response codes: 300 (Multiple Choices), 301 (Moved Permanently), 302 (Moved Temporarily), and 305 (Use Proxy). [RFC 3261, Section 21.3, Redirection 3xx]

5.3.4.7.4.4.4 The LSCs serving H.323 and/or proprietary IP EIs MUST support generating, receiving, and processing the following request failure (4xx) response codes:

400 (Bad Request)	421 (Extension Required)
401 (Unauthorized)	422 (Session Interval Too Small)
403 (Forbidden)	423 (Interval Too Brief) – receive only
404 (Not Found)	480 (Temporarily Unavailable)
405 (Method Not Allowed)	481 (Call/Transaction Does Not Exist)
406 (Not Acceptable)	482 (Loop Detected) – receive only
408 (Request Timeout)	483 (Too Many Hops)
410 (Gone)	484 (Address Incomplete)
413 (Request Entity Too Large)	485 (Ambiguous)
414 (Request-URI Too Long)	486 (Busy Here)
415 (Unsupported Media Type)	487 (Request Terminated)
416 (Unsupported URI Scheme)	488 (Not Acceptable Here)
417 (Unknown Resource-Priority)	491 (Request Pending)
420 (Bad Extension)	

[RFC 3261, Section 21.4, Request Failure 4xx]

5.3.4.7.4.4.5 The LSCs serving H.323 and/or proprietary IP EIs upon properly receiving a CANCEL from an INVITE MUST first send a 200 (OK) response code to the CANCEL, and then follow up with a 487 (Request Terminated) to the INVITE.

5.3.4.7.4.4.6 The LSCs serving H.323 and/or proprietary IP EIs MUST support the generating and receiving/processing the server failure (5xx) response codes:

500 (Server Internal Error)
501 (Not Implemented)
502 (Bad Gateway)
503 (Service Unavailable)
504 (Server Timeout)
505 (Version Not Supported)
513 (Message Too Large) [RFC 3261]

5.3.4.7.4.4.6a If an LSC serving H.323 and/or proprietary IP EIs implements preconditions, then the LSC MUST support generating and receiving/processing a 580 (Precondition Failure) response code.

5.3.4.7.4.4.7 The LSCs serving H.323 and/or proprietary IP EIs MUST support the generating and receiving/processing of global failures (6xx) response codes: 600 (Busy Everywhere), 603 (Decline), 604 (Does Not Exist Anywhere), 606 (Not Acceptable). [RFC 3261, Section 21.6, Global Failures 6xx]

5.3.4.7.5 SIP Preconditions – SBU Network Only

5.3.4.7.5.1 The implementation of preconditions in the SBU network is optional.

5.3.4.7.5.2 **[Conditional]** When an AS-SIP signaling appliance supports preconditions, the implementation must be in accordance with RFC 3312 unless a contrary requirement is located in this section (i.e., in UCR Section 5.3.4.7.5) in which case the UCR requirement supercedes RFC 3312.

5.3.4.7.5.3 **[Conditional]** When an AS-SIP signaling appliance supports preconditions, the activation of preconditions MUST be configurable so that the administrator of the device may enable or disable preconditions without removing the AS-SIP signaling appliance from service or losing state on existing calls or call requests.

5.3.4.7.5.4 [**Conditional**] When an AS-SIP signaling appliance supports preconditions, the only required precondition-type is “qos.” [**Until 2012**]

5.3.4.7.5.5 [**Conditional**] When an AS-SIP signaling appliance supports preconditions, the end-to-end status type or the segmented status type **MUST** be supported.

NOTE: An AS-SIP signaling appliance is not precluded from supporting both the end-to-end status type and the segmented status type.

5.3.4.7.5.6 [**Conditional**] When an AS-SIP signaling appliance supports preconditions, the strength-tag **MUST** be set to “optional” or “none.”

5.3.4.7.5.7 [**Conditional**] When an AS-SIP signaling appliance initiates an offer including one or more preconditions (and noting the strength-tag must be set to “optional”), then the INVITE **MUST** include the option tag “precondition” in either a Supported header field or a Require header field. It is, however, **RECOMMENDED** that the Supported header field be used in this case. The lack of preconditions in the answer would indicate that the answerer did not support this extension. [RFC 3312, Integration of Resource Management and SIP, Section 11, October 2002]

5.3.4.7.5.8 When an AS-SIP signaling appliance that does not support preconditions receives an offer including one or more preconditions and a Require header field with the option tag “precondition,” then the AS-SIP signaling appliance **MUST** respond with a 420 (Bad Extension) response code having an Unsupported header with the option tag “precondition.”

5.3.4.7.5.9 [**Conditional**] If an AS-SIP signaling appliance sends an sdp offer with preconditions and receives a 420 (Bad Extension) response code having a Supported header with the option tag “precondition,” then the default behavior for the AS-SIP signaling appliance is to retry the request and omit the precondition.

5.3.4.7.5.10 [**Conditional**] If an AS-SIP signaling appliance that sends an sdp offer with preconditions (where the “precondition” tag is placed in the Supported header **NOT** the Require header) receives either:

- a 180 (Ringing) response does not include an sdp answer, or
- (in the case of segmented preconditions) a 183 (Session Progress) response that does not include an sdp answer followed by a 180 (Ringing) that does not include an sdp answer, or
- a 18x with an sdp answer having no precondition attributes

the AS-SIP signaling appliance **MUST** proceed with the standard call establishment procedure.
NOTE: For the SBU network all strength-tags in the sdp offer **MUST** have the value “optional” or “none.”

5.3.4.7.5.10b **[Conditional]** If the sdp offer with preconditions (where the “precondition” tag is placed in the Supported header NOT the Require header) is sent by the terminating AS-SIP signaling appliance in response to a received INVITE (without SDP) and the SDP answer in the PRACK does NOT include precondition attributes then the call establishment **MUST** proceed as usual.

5.3.4.7.5.11 **[Conditional]** If AS-SIP signaling appliances serving IP EIs implement RFC 3312 for a call request, each local and remote AS-SIP signaling appliance **MAY** use its own quality-of-service mechanism, including, but not limited to, RSVP and call counting.

5.3.4.7.5.12 If the preconditions are implemented, then the implementation **MUST** be consistent with assured service precedence and preemption rules. In particular, when preconditions are applied to a precedence call request (i.e., priority or higher) and the preconditions cannot be met, except for the preemption of one or more lesser precedence calls and/or call requests, then the lesser precedence call(s) and/or call requests **MUST** be preempted.

NOTE: Please see [Section 5.3.4.10](#), Precedence and Preemption, for details on the precedence and preemption requirements.

An example of the AS-SIP segmented status type precondition call flow is found at [Requirement 5.3.4.13.2.1.3](#) and an example of the AS-SIP end-to-end status type precondition call flow is found at [Requirement 5.3.4.13.2.1.4](#).

5.3.4.7.5b SIP Preconditions – UC Classified Network Only

5.3.4.7.5b.1 Implementation of preconditions is conditional for the classified network. [RFC 3312]

5.3.4.7.5b.1.1 **[Conditional]** The AS-SIP signaling appliances **MUST** be configurable so the administrator of the device may enable or disable preconditions without removing the AS-SIP signaling appliance from service or losing state on existing calls or call requests.

5.3.4.7.5b.2 **[Conditional]** If preconditions are implemented and enabled, then, at this time, the only required precondition-type is “qos.”

5.3.4.7.5b.3 [**Conditional**] If preconditions are implemented, then the end-to-end status-type MUST be supported.

5.3.4.7.5b.4 [**Conditional**] If preconditions are implemented, then the AS-SIP signaling appliances MUST employ the RSVP as the network resource reservation mechanism.

5.3.4.7.5b.5 Reserved.

5.3.4.7.5b.6 [**Conditional**] When an AS-SIP signaling appliance initiates an offer including one or more preconditions (strength-tag(s) are all either “optional” or “none”), then the INVITE MUST include the option tag “precondition” in either a Supported header field or a Require header field. It is, however, RECOMMENDED that the Supported header field be used in this case. The lack of preconditions in the answer would indicate that the answerer did not support this extension. [RFC 3312, Integration of Resource Management and SIP, Section 11, October 2002]

5.3.4.7.5b.7 [**Conditional**] When an AS-SIP signaling appliance initiates an offer including one or more preconditions (where at least one strength-tag is “mandatory”), then the INVITE MUST include the option tag “precondition” include a Require header field with the option tag “precondition.”

5.3.4.7.5b.8 When an AS-SIP signaling appliance that does not support preconditions receives an offer including one or more preconditions and a Require header field with the option tag “precondition,” then the AS-SIP signaling appliance MUST respond with a 420 (Bad Extension) response code having an Unsupported header with the option tag “precondition.”

“Optional” Strength-Tag

5.3.4.7.5b.9 [**Conditional**] When an AS-SIP signaling appliance sends a precondition offer that includes precondition(s) all of whose strength-tag(s) are either “optional” or “none” and receives a 420 (Bad Extension) response code with an Unsupported header with the option tag “precondition,” then the default behavior for the AS-SIP signaling appliance is to retry the request and omit the precondition.

5.3.4.7.5b.9b [**Conditional**] If an AS-SIP signaling appliance that sends an sdp offer with preconditions (where all strength-tags are either “optional” or “none” and where the “precondition” tag is placed in the Supported header NOT the Require header) receives either:

- a 180 (Ringing) response does not include an sdp answer, or
- (in the case of segmented preconditions) a 183 (Session Progress) response that does not include an sdp answer followed by a 180 (Ringing) response that

does not include an sdp answer, or

- a 18x with an sdp answer having no precondition attributes

the AS-SIP signaling appliance **MUST** proceed with the standard call establishment procedure.

5.3.4.7.5b.9c [**Conditional**] If the sdp offer with preconditions (where all strength-tags are either “optional” or “none” and where the “precondition” tag is placed in the Supported header NOT the Require header) is sent by the terminating AS-SIP signaling appliance in response to a received INVITE (without SDP) and the SDP answer in the PRACK does NOT include precondition attributes then the call establishment **MUST** proceed as usual.

“Mandatory” Strength-Tag

5.3.4.7.5b.10 [**Conditional**] When an AS-SIP signaling appliance sends a precondition offer that includes at least one precondition whose strength-tag is “mandatory” and receives a 420 (Bad Extension) response code with an Unsupported header with the option tag “precondition,” then local policy will determine whether the AS-SIP signaling appliance retries the request without the precondition.

5.3.4.7.5b.11 [**Conditional**] When an AS-SIP signaling appliance sends an sdp offer with preconditions and receives a 580 (Precondition Failure) response code, then local policy will determine whether the AS-SIP signaling appliance retries the request without the precondition..

5.3.4.7.5b.12 [**Conditional**] When preconditions are implemented, then the AS-SIP signaling appliances are NOT required to support, or authorized to use, the segmented status type at the present time.

5.3.4.7.5b.13 [**Conditional**] If preconditions are implemented, then the implementation **MUST** be consistent with assured service precedence and preemption rules.

In particular, when preconditions are applied to a precedence call request (i.e., PRIORITY or higher) and the preconditions cannot be met, except for the preemption of one or more lesser precedence calls and/or call requests, then the lesser precedence call(s) and/or call requests **MUST** be preempted.

NOTE: Please see [Section 5.3.4.10](#), Precedence and Preemption, for details on the precedence and preemption requirements.

An example of the AS-SIP end-to-end status type precondition call flow is found at [Requirement 5.3.4.13.2.1.4](#) and an example of the AS-SIP segmented status type precondition call flow is found at [Requirement 5.3.4.13.2.1.3](#).

5.3.4.7.6 *SIP URI and Mapping of Telephone Number into SIP URI*

DSN Numbers

5.3.4.7.6.1 When an LSC receives a call request from a served IP EI intended for a destination outside the enclave and the dialed number is from the DSN worldwide numbering plan, then the LSC MUST ensure that the userinfo part of the Request-URI field of the INVITE is the complete 10-digit DSN number.

5.3.4.7.6.1.1 In the event the dialed number received from a served IP EI is a DSN number having fewer than the full 10 digits, then the LSC MUST prepend the missing digits to create the full 10-digit DSN number.

5.3.4.7.6.1.1.1 If the LSC has insufficient information to create the full 10-digit DSN number based on the dialed number and the served IP EI is NOT an AS-SIP EI then the LSC MUST arrange for the playing of the VCA “LSC Name and Location. Your call cannot be completed as dialed. Please consult your directory and call again or ask your operator for assistance. This is a recording. LSC Name and Location.” The EI is then instructed to terminate the call.

5.3.4.7.6.1.2 An AS-SIP EI MUST place the dialed DSN number into the userinfo part of the Request-URI field of the INVITE. If the user entered fewer than the full 10 digits of the DSN number the AS-SIP EI is NOT required to prepend the necessary digits to create a complete 10-digit DSN number.

5.3.4.7.6.1.2.1 In the event the userinfo part of the Request-URI of an INVITE received from a served AS-SIP EI or SIP EI has fewer than a full 10-digit DSN number, then the LSC MUST prepend the missing digits to create the full 10-digit DSN number.

5.3.4.7.6.1.2.1.1 If the LSC has insufficient information to create the full 10-digit DSN number, then the LSC establishes a bearer connection between the AS-SIP EI and a media server associated with the LSC. See [Figure 5.3.4.7-5](#), Originating LSC Implements Playing of VCA at AS-SIP EI when LSC is Unable to Create a Full 10-Digit DSN Number for the userinfo Part of the Request-URI Field. The LSC and media server exchange the necessary signaling to provide the media server with the IP address and UDP port for the bearer stream with the AS-SIP EI and the LSC instructs the media server to play the VCA over the bearer stream to the AS-SIP EI once the bearer is established. The 183 Session Progress response sent by the LSC to the AS-SIP EI includes the sdp answer with the IP address and UDP port for a bearer stream with the media server.

The AS-SIP EI responds with a PRACK to which the LSC responds with a 200 OK. The media server plays the announcement to the AS-SIP EI and terminates the session with the LSC and the LSC sends a 404 (Not Found) response to the AS-SIP EI. The AS-SIP EI responds with an ACK and terminates the call request.

NOTE: The media server is considered a part of the LSC SUT; therefore, the signaling between the LSC and media server is left to the vendor so long as the announcement is played and the signaling between the LSC and AS-SIP EI complies with the specific call flow depicted in this section.

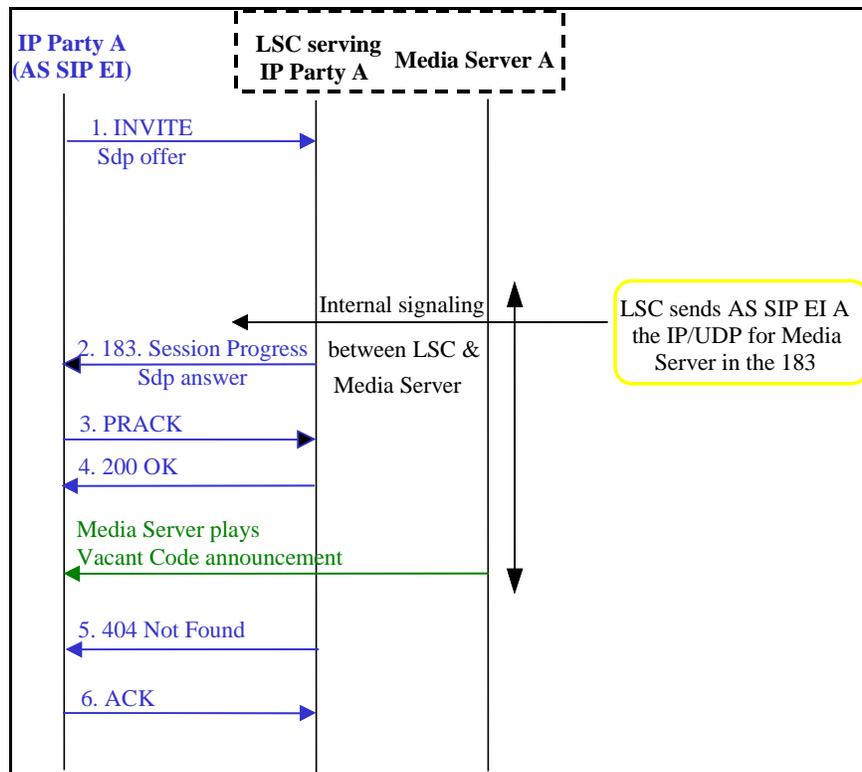


Figure 5.3.4.7-5. Originating LSC Implements Playing of VCA at AS-SIP EI when LSC is Unable to Create a Full 10-Digit DSN Number for the userinfo Part of the Request-URI Field

5.3.4.7.6.1.3 When the LSC serving an IP EI other than an AS-SIP EI or SIP EI generates a SIP request or response, then the LSC MUST place a full 10-digit number into the userinfo part of the SIP URI in the To header, From header, Contact header, and

P-Asserted-Identity header¹, Refer-To header (when present), and Referred-by header (when present) of SIP requests.

5.3.7.6.4.1.4 When an AS-SIP EI generates a SIP request or response and the AS-SIP EI knows the full 10-digit DSN number intended for the userinfo part of a SIP URI for the To header, From header, Contact header, Refer-To header (when present), and Referred-by header (when present) the AS-SIP EI MUST insert the full 10-digit DSN number otherwise the AS-SIP EI enters the known digits of the DSN number into the userinfo part of the SIP URI.

5.3.4.7.6.1.4.1 In the event the LSC receives a SIP request or response from a served AS-SIP EI or SIP EI in which the userinfo part of the SIP URI in any of the To header, From header, Contact header, Refer-To header (when present), or Referred-by header (when present) do not have a full 10-digit DSN number then the LSC MUST prepend the missing digits to create the full 10-digit DSN number.

5.3.4.7.6.2 In the event an LSC receives an INVITE from a served AS-SIP EI² or SIP EI intended for a destination outside the enclave and having a tel URI, then the LSC MUST convert the tel URI to a SIP URI whereby the userinfo part of the SIP URI is the full 10-digit DSN number. This requirement applies to the Request-URI field and to the SIP URI of the To header, From header, Contact header, Refer-To header (when present), and Referred-by header (when present).

5.3.4.7.6.3 An AS-SIP EI MUST generate a SIP URI and NOT a tel URI.

5.3.4.7.6.4 The 10-digit DSN number MAY be followed by a phone-context descriptor consisting of a domain name (per RFC 3966).

5.3.4.7.6.5 The userinfo part of the Request-URI field is derived from a telephone number (e.g., 10-digit DSN number) and the originating LSC that generates a SIP INVITE on behalf of a served IP EI MUST append a “user=phone” field to the SIP URI.

5.3.4.7.6.5.1 In the event an LSC receives an INVITE from a served AS-SIP EI or SIP EI whose Request-URI does not have the “user=phone” field, then the LSC MUST append the “user=phone” field to the SIP URI.

5.3.4.7.6.5.2 An AS-SIP EI MUST append the “user=phone” field to the Request-URI field.

¹ A P-Asserted-Identity header is generated for the SIP INVITE request.

² An AS-SIP EI is required to generate a SIP URI and NOT a tel URI and an AS-SIP EI that sends an INVITE with a tel URI to its serving LSC is not compliant with UCR [Requirement 5.3.4.7.6.3](#).

5.3.4.7.6.6 The LSC that generates a SIP request or response on behalf of an IP EI MUST append the “user=phone” field to the SIP URI in the To header, From header, Contact header, P-Asserted-Identity header (INVITE only), Refer-To header (when present), or Referred-by header (when present).

5.3.4.7.6.6.1 An AS-SIP EI MUST append the “user=phone” field to the SIP URI of the To header, From header, Contact header, Refer-To header (when present), and Referred-by header (when present) in SIP requests and responses.

5.3.4.7.6.6.2 In the event an LSC receives a SIP request or response from a served AS-SIP EI or SIP EI instrument that does not have the “user=phone” field in the SIP URI in the To header, From header, Contact header, Refer-To header (when present), or Referred-by header (when present) then the LSC MUST append the “user=phone” field to the SIP URI.

5.3.4.7.6.7 When an AS-SIP signaling appliance receives from another AS-SIP signaling appliance an INVITE with a Request-URI where the userinfo part is a full 10-digit DSN number either with or without a phone-context descriptor, the AS-SIP signaling appliance MUST be able to forward the INVITE to the next appropriate AS-SIP signaling appliance or EI.

5.3.4.7.6.8 The hostname of the Request-URI field of an outbound INVITE sent by the LSC to its EBC and intended for a destination outside the enclave MUST be a UC network name. A UC network name is a hostname that consists of one of the following:

- A network domain name that identifies the DoD network to which the appliance belongs. At minimum, the hostname may simply be “uc.mil” (for the SBU network) or “cuc.mil” (for the classified network).
- A network domain name that more precisely identifies the DoD network up to and including the FQDN³ to which the appliance belongs such as “scott. conus.uc.mil”.
- An appliance FQDN that consists of the FQDN for the LSC (e.g., “lsc5.mfss50.scott..conus.uc.mil”).

The hostname of the Request-URI field MUST remain a UC network name as the INVITE traverses each subsequent AS-SIP signaling appliance (e.g., SS, EBC) until the INVITE reaches

³ NOTE: The FQDN syntax MUST be supported by AS-SIP signaling appliances and AS-SIP EIs; however, interaction with a Domain Name Service that resolves domain names to IP addresses is not supported at this time. It is anticipated that with the future integration of UC applications the interaction with DNS may be required and that support for the FQDN syntax will facilitate a smoother evolution to UC.

the terminating LSC serving the destination IP EI⁴ or reaches the interworking gateway in the case of a call being interworked to the TDM network.

NOTE: In the case of the classified network the value for the second level of the UC network name is “cuc.”

5.3.4.7.6.8.1 The hostname of the Request-URI field of an INVITE sent by an AS-SIP EI MUST be a UC network name.

5.3.4.7.6.9 The hostname of the SIP URI of the To header, From header, P-Asserted-Identity header (INVITE request), Refer-To header (when present), and Referred-by header (when present) of a SIP request or response that traverses the UC network MUST be a UC network name. The LSC (serving an IP EI) that generates a SIP request or response MUST place a UC network name in the hostname part of the SIP URI of these headers.

5.3.4.7.6.9.1 The hostname of the SIP URI of the To header, From header, P-Asserted-Identity header, Refer-To header (when present), and Referred-by header (when present) of a SIP request or response generated by an AS-SIP EI MUST be a UC network name.

5.3.4.7.6.9.2 The hostname of the SIP URI in the Contact header of a SIP request or response forwarded by an LSC, EBC, or SS implemented as a B2BUA MUST be a routable IP address.

5.3.4.7.6.9.3 The hostname of the SIP URI in the Contact header of a SIP request or response generated by an AS-SIP EI or by an LSC serving an IP EI implemented as a proxy or forwarded by a LSC, SS, or EBC implemented as a proxy MAY either be a routable IP address or a UC network name.

N11 Service Codes

5.3.4.7.6.10 When an LSC receives an emergency call request (e.g., 911, 112) (or a service code such as directory assistance (e.g., 411) or any other N11 service code) from a served IP EI and the emergency call request is to be sent outside the enclave over the UC core, then the Request-URI field MUST be a SIP URI in which the userinfo part is 411 (or 911 or 112, etc.), the phone-context parameter MUST be included and MUST have the value servicecode.uc.mil, the hostname MUST be a UC network name⁵ and the “user=phone” field MUST be appended to the SIP URI.

⁴ If an AS-SIP signaling appliance forwards an INVITE to a database server such as a LNP database server or other external location server, then the hostname is NOT constrained to be a UC network name. For example, under said circumstances the hostname MAY be a routable IP address.

⁵ A UC network name has a top level having the value “mil,” a second level having the value “uc” i.e., “uc.mil” and MAY assume any of the following formats. The hostname may be simply “uc.mil” or the hostname may be a more

NOTE: All 911 calls are subject to network preemption and all 911 calls are subject to end point preemption unless the end point is nonpreemptable.

NOTE: This requirement is meant to support the deployable scenario.

5.3.4.7.6.11 When the user of an AS-SIP EI dials an emergency call request or a service code or any other N11 service code, then the AS-SIP EI MUST place the service code into the userinfo part of the Request-URI field, MUST include the phone-context parameter servicecode. uc.mil, MUST have a UC network name for the hostname part of the SIP URI, and MUST append the “user=phone” field to the SIP URI.

5.3.4.7.6.12 The phone number in the userinfo part of the SIP URI of the P-Asserted-Identity header generated by the originating LSC is the default call back phone number for the 911 call. In the event the P-Asserted-Identity header is not present in the INVITE, then the userinfo part of the SIP URI of the From header is used as the call back phone number.

PSTN Numbers

5.3.4.7.6.13 When an LSC receives a call request from a served IP EI intended for the PSTN that is to be routed through the UC network (as opposed to being routed directly to a PSTN gateway at the enclave), then the userinfo part of the Request-URI field of the outbound INVITE MUST be represented in global E.164 notation.

5.3.4.7.6.13.1 When the call request intended for the PSTN is to be routed through the UC network then it is likely that the userinfo part of the SIP URI of the To header, From

precise UC network name whose top level and second level are “mil” and “uc” (e.g., scotta.fb. uc.mil), or the hostname may be a FQDN whose top level domain is “mil” and whose second level domain is “uc.”

header, Contact header, and possibly the P-Asserted-Identity header will be a PSTN number and whenever the value of the userinfo part of the SIP URI is a PSTN number, then the LSC serving the IP EI MUST represent the userinfo part in global E.164 notation.

NOTE: In the case of a call originating on the PSTN that is interworked over the UC network (as opposed to being interworked locally through a PSTN gateway at the enclave) there are circumstances when the interworking of a CIN parameter to the From header results in a SIP URI whose userinfo part is “unavailable” and whose hostname is the hostname of the originating gateway and not “uc.mil.” There are also circumstances when the SIP URI in the From header may be “anonymous @anonymous.invalid” or may have no userinfo part and only the hostname of the originating gateway. The AS-SIP signaling appliances MUST NOT reject the AS-SIP message simply because the From header has a SIP URI that takes any of these forms.

5.3.4.7.6.13.2 The format of a SIP URI that includes a global E.164 number in the userinfo part is:

sip:+<E.164number>@hostname;user=phone

where the E.164 number replaces <E.164number> including the left and right angle brackets

5.3.4.7.6.13.3 When the dialed number is an International number then the international number is placed after the “+” sign in the userinfo part of the SIP URI.

5.3.4.7.6.13.3.1 When the user of an AS-SIP EI dials an international number, then the AS-SIP EI is only required to place the international number in the userinfo part of the SIP URI. The AS-SIP EI MAY prepend the “+” sign to the international number but this is NOT required. The AS-SIP EI MUST append the “user=phone” field to the URI.

NOTE: The LSC serving the AS-SIP EI will ensure that the “+” is prepended to the E.164 number if the AS-SIP EI fails to do so.

5.3.4.7.6.13.4 When the dialed number is a national significant number, then the LSC serving the calling IP EI is responsible for ensuring that the country code is prefixed to the dialed number and that an E.164 number is generated and placed after the “+” sign in the userinfo part of the SIP URI.

5.3.4.7.6.13.4.1 When the user of an AS-SIP EI dials a national number, then the AS-SIP EI is only required to place the national number in the userinfo part of the

SIP URI. The AS-SIP EI MAY prepend the country code to the national number and MAY prepend the “+” sign to the country code but this is NOT required. The AS-SIP EI MUST append the “user=phone” field to the URI.

5.3.4.7.6.13.5 If the dialed number is a subscriber number that is to be routed through the UC network (as opposed to being routed directly to a PSTN gateway at the enclave), then if the LSC that received the call request from the served IP EI has the requisite knowledge to generate a global E.164 number from the subscriber number, the LSC MUST generate a global E.164 number whereby the LSC prepends the country code, area code, and city code (if necessary) and adds the “+” sign prefix. The global E.164 number is then placed in the userinfo part of the SIP URI.

5.3.4.7.6.13.5.1 If the LSC does not have the requisite knowledge to convert the subscriber number to a global E.164 number then the LSC MUST reject the call request. In the case of an AS-SIP EI or a SIP EI, the LSC responds with a 484 (Address Incomplete) response code.

5.3.4.7.6.13.5.2 When the user of an AS-SIP EI dials a subscriber number, then the AS-SIP EI is only required to place the subscriber number in the userinfo part of the SIP URI. The AS-SIP EI MAY prepend the prefix necessary to convert the subscriber number to a national number (e.g., area code digits) and MAY prepend the country code to the national number and MAY prepend the “+” sign to the country code but this is NOT required. The AS-SIP EI MUST append the “user=phone” field to the URI.

5.3.4.7.6.14 When an AS-SIP signaling appliance receives from another AS-SIP signaling appliance an INVITE with a Request-URI where the userinfo part is a global E.164 number, the AS-SIP signaling appliance MUST be able to forward the INVITE to either:

- The next appropriate AS-SIP signaling appliance, or
- If the given AS-SIP signaling appliance is the interworking gateway, then conduct the SIP to ISDN or SS7 signaling plane interworking of the SIP INVITE.
- A served EI (applicable to LSC only)

5.3.4.7.6.15 When an LSC receives a call request from a served IP EI intended for the PSTN that is to be routed through the UC network (as opposed to being routed directly to a PSTN gateway at the enclave), then the hostname of the Request-URI field of the outbound INVITE sent by the LSC to its EBC and intended for a PSTN gateway outside the enclave MUST be a UC network name.

The hostname of the Request-URI field **MUST** remain a UC network name until the INVITE leaves the UC network.⁶

NOTE: In the case of the classified network the value for the second level of the UC network name is “cuc.”

5.3.4.7.6.15.1 The hostname of the Request-URI field of an INVITE sent by an AS-SIP EI and intended for the PSTN **MUST** be a UC network name.

5.3.4.7.6.15.2 The hostname of the SIP URI of the To header, From header, P-Asserted-Identity header, Refer-To header (when present), and Referred-by header (when present) of a SIP request or response that traverses the UC network to or from a PSTN gateway **MUST** be a UC network name. The LSC serving an IP EI or the LSC or SS acting as an interworking gateway that generates a SIP request or response **MUST** place a UC network name in the hostname part of the SIP URI of these headers.

5.3.4.7.6.15.3 The hostname of the SIP URI of the To header, From header, P-Asserted-Identity header, Refer-To header (when present), and Referred-by header (when present) of a SIP request or response generated by an AS-SIP EI and intended for the PSTN **MUST** be a UC network name.

5.3.4.7.6.15.4 The hostname of the SIP URI in the Contact header of a SIP request or response forwarded by an LSC, EBC, or Softswitch implemented as a B2BUA **MUST** be a routable IP address.

5.3.4.7.6.15.5 The hostname of the SIP URI in the Contact header of a SIP request or response generated by an AS-SIPEI or by an LSC serving an IP EI implemented as a proxy or forwarded by a LSC, Softswitch, or EBC implemented as a proxy **MAY** either be a routable IP address or a UC network name.

Following are four examples of the Request-URI field of an outbound INVITE sent by the AS-SIP signaling appliance serving an IP EI toward the UC core:

10-digit DSN number:

sip:3154561223;phone-context=uc.mil@pac. uc.mil;user=phone
sip:3154561223@pac.us.mil;user=phone

⁶ If an AS-SIP signaling appliance forwards an INVITE to a database server such as a LNP database server or other external location server then the hostname is **NOT** constrained to be a UC network name. For example, under said circumstances the hostname **MAY** be a routable IP address.

3-digit Service Code:

sip:911:phone-context=servicecode.uc.mil@pac. uc.mil;user=phone

PSTN number:

sip:+12135431234@scottafb.uc.mil;user=phone

5.3.4.7.7 64 kbps Transparent Calls (Clear Channel)

5.3.4.7.7.1 [Required: Fixed installations – Conditional: Deployable environments] When an AS-SIP signaling appliance performs the MGC function for an MG, then the the AS-SIP signaling appliance **MUST** support the establishment of 64 kbps unrestricted RTP bearer streams in accordance with RFC 4040.

5.3.4.7.7.1.1 When an AS-SIP signaling appliance (i.e., originating AS-SIP signaling appliance) receives a Q.931 SETUP message where the bearer capability information element (IE) indicates that the bearer is 64 kbps unrestricted, then the AS-SIP signaling appliance **MUST** generate and send an outbound AS-SIP INVITE that includes the “SDP” parameters as specified in RFC 4040 as follows:

- The media (“m=”) field is assigned the value audio. (NOTE: The media field is described in detail in [Section 5.3.4.9.3.1](#), Media (“m=”) Field.)
- The attribute (“a=”) field is assigned the value CLEARMODE/8000. (NOTE: The attribute field is described in detail in [Section 5.3.4.9.3.3](#), Attributes (“a=”) Fields.)
- The a=ptime attribute and the a= maxptime attribute **MAY** be included in the SDP description. (NOTE: The attributes a=ptime and a=maxptime are described in detail in [Requirement 5.3.4.9.3.3.3](#).)

Example from RFC 4040:

```
m=audio 12345 RTP/AVP 97
a=rtpmap:97 CLEARMODE/8000
a=ptime:10
```

5.3.4.7.7.1.2 The originating AS-SIP signaling appliance **MUST** direct the MG to reserve the resources for the clear channel packetization/depacketization and to conduct the transparent relay of the 64 kbps bearer stream using RTP upon completion of session establishment.

5.3.4.7.7.1.3 When an AS-SIP signaling appliance (i.e., terminating AS-SIP signaling appliance) (acting as an MGC for an MG) receives an AS-SIP INVITE intended for the TDM network where the “SDP” parameters have a media field = audio and an attribute field = CLEARMODE/8000, then the AS-SIP signaling appliance MUST create a Q.931 SETUP message with a bearer capability IE indicating that the bearer is 64 kbps unrestricted (depending on local policy).

5.3.4.7.7.1.4 The terminating AS-SIP signaling appliance MUST direct the MG to reserve the resources for the clear channel packetization/depacketization and to conduct the transparent relay of the 64 kbps bearer stream using RTP upon completion of session establishment.

5.3.4.7.8 Transport of Route Code Information over AS-SIP

5.3.4.7.8.1 When an EI is served by an LSC, then the LSC is responsible for ensuring that the route code information dialed by the user is represented in the outbound INVITE message sent over the interface toward the UC WAN by appending the “tgrp” parameter and the “trunk-context” parameter to the userinfo part of the SIP URI of the Request URI as described in this subsection. [RFC 4904]

5.3.4.7.8.1a When the user of an AS-SIP EI enters route code digits 11 or 12, then the AS-SIP EI MUST append the “tgrp” parameter and the “trunk-context” parameter to the userinfo part of the SIP URI of the Request-URI as described in this subsection.

5.3.4.7.8.1.1 [Table 5.3.4.7-4](#), Route Code Digits Input by Caller and Corresponding “tgrp,” “trunk-context,” provides the correspondence between the route code entered during user dialing and the associated value for the “tgrp” parameter and the “trunk-context” parameter.

Table 5.3.4.7-4. Route Code Digits Input by Caller and Corresponding “tgrp” “trunk-context”

ROUTE CODE (ENTERED BY USER)	ROUTE CODE USE	“tgrp”	“trunk-context”
10	Voice Call (default)	N/A (see note)	N/A
11	Circuit Switched Data	tgrp=ccdata	trunk-context=uc.mil
12	Satellite Avoidance	tgrp=nosat	trunk-context=uc.mil

NOTE: 10 represents a voice call and is the default route code. Do not append a “tgrp” parameter and a “trunk-context” parameter to the Request-URI of calls where the route code has the value 10. The absence of the “tgrp” parameter and “trunk-context” parameter is intended to signify to AS-SIP signaling appliances that the instant INVITE represents a voice call.

5.3.4.7.8.2 When an EI initiates a hotline call, then the LSC MUST append a “tgrp” parameter and a “trunk-context” parameter to the userinfo part of the SIP URI of the Request-URI of the

INVITE message where the values are defined in [Table 5.3.4.7-5](#), “tgrp” and “trunk-context” Parameters Inserted for Hotline Calls.

Table 5.3.4.7-5. “tgrp” and “trunk-context” Parameters Inserted for Hotline Calls

ROUTE CODE	ROUTE CODE USE	“tgrp”	“trunk-context”
5	Hotline (off-hook) voice grade (inserted by AS-SIP signaling appliance)	tgrp=hotline	trunk-context=uc.mil
6	Hotline (off-hook) data grade (inserted by AS-SIP signaling appliance)	tgrp=hotline-ccdata	trunk-context=uc.mil

NOTE: The user does not dial these route codes. The AS-SIP signaling appliance shall automatically dial hotline calls when an off-hook condition occurs and convey the appropriate “tgrp” parameter and “trunk-context” parameter as specified in this table.

5.3.4.7.8.2a In the case of a hotline call, AS-SIP EIs MUST NOT append the “tgrp” parameter and a “trunk-context” parameter to the userinfo part of the SIP URI of the Request-URI of the INVITE message. This responsibility belongs to the LSC serving the AS-SIP EI.

Example:

```
sip:3153435335;phone-context=uc.mil;tgrp=hotline;trunk-context=uc.mil@patch.uc.mil; user=phone
```

5.3.4.7.8.3 When an AS-SIP signaling appliance receives an INVITE where the Request-URI includes a “tgrp” parameter and a “trunk-context” parameter appended to the userinfo part of the SIP URI, then the AS-SIP signaling appliance MUST preserve the “tgrp” parameter and the “trunk-context” parameter when forwarding the INVITE to another AS-SIP signaling appliance.

5.3.4.7.8.4 For the reader’s convenience, [Table 5.3.4.7-6](#) shows the mapping of the SIP tgrp value to the ISDN Q.931 IE field/bearer capability.

Table 5.3.4.7-6. SIP tgrp to ISDN Q.931 Mapping

SIP tgrp=VALUE	Q.931 IE / BEARER CAPABILITY
No label	Voice BC
tgrp=ccdata	56/64 kbps circuit-mode data
tgrp=nosat	N/A – not defined
tgrp=hotline	Off-hook indicator of voice, speech BC
tgrp=hotline-ccdata	Off-hook indicator of data, 56/64 kbps cmd BC
LEGEND:	
BC	Bearer Capability
cmd	Circuit Mode Data
IE	Information Element
kbps	Kilobits per Second
N/A	Not Applicable
SIP	Session Initiation Protocol

5.3.4.7.9 CAL General Requirements (Note: CAL Paragraphs apply to Classified only)

It is assumed the reader has some familiarity with the function and operation of Security Access Level (SAL) maps whereby an incoming access value is resolved against a locally configured access value to obtain the resolved access value. A simple way to conceptualize the SAL map is to consider a 2-dimensional x-y matrix vector with the incoming access value (located in the Confidential Access Level (CAL) header – described in [Section 5.3.4.7.9.3](#), CAL Header) as the x-value and the locally configured value for the next destination as the y-value. The resolved value is found at the intersection of the x-value and y-value. The resolved value replaces the incoming value in the CAL header and the signaling message is forwarded to the next destination.

This section describes the requirements for conveying the confidentiality level for a communication session between two or more EIs. A new SIP header, the CAL header, conveys classification level information between the UAC and the UAS. Each LSC and SS in the signaling path of a call request obtains the incoming access value from specific fields in the CAL header and resolves this incoming value with the locally configured value associated with the next destination by means of a SAL map look-up.

For purposes of this section, it is stipulated that the EI has been activated to support one or more classification levels based upon the prior successful completion of a user authentication process.

5.3.4.7.9.3 CAL Header

In this section, we define the CAL header whose purpose is to convey the classification level for a telephony or video session between the parties to the session.

5.3.4.7.9.3.1 The syntax for the CAL header is:

Confidential-Access-Level	= “Confidential-Access-Level” HCOLON local-access-level SEMI reflected-access-level [SEMI access-display]
local-access-level	= (access-level SEMI access-mode)
reflected-access-level	= (“ref” EQUAL access-level SEMI reflected-mode)
access-level	= (1*2DIGIT ; 0 to 99)
access-mode	= (“mode” EQUAL mode-param)
reflected-mode	= (“rmode” EQUAL mode-param)
mode-param	= (fixed / variable)
access-display	= (1*16display-text)
display-text	= (ALPHA/SP/"/"/"/"-")

5.3.4.7.9.3.2 The access-display field is set to PENDING until the confidentiality level is fully resolved by the LSC serving the intended recipient of the INVITE request.

The following are examples showing the Confidential-Access-Level header in an initial INVITE:

Confidential-Access-Level: 4;mode=variable;ref=0;rmode=fixed;PENDING

Confidential-Access-Level: 2;mode=variable;ref=0;rmode=variable;SECRET
(Example of CAL sent by terminating LSC to served AS-SIP EI)

The following are examples showing the Confidential-Access-Level header in a 200 response:

Confidential-Access-Level: 4;mode=fixed;ref=4;rmode=fixed;TOP SECRET
Confidential-Access-Level: 7;mode=variable;ref=6;rmode=variable;SECRET
US/FORN

mode-param Field

5.3.4.7.9.3.3 If an LSC or SS receives an INVITE with a CAL header whose value for the access-mode field is “fixed,” then to forward the INVITE onward, the LSC or SS MUST support the exact value of the access-level in the local-access-level field found in the received CAL header.

5.3.4.7.9.3.3.1 If the LSC or SS does not support the value of the access-level in the local-access-level field found in the received CAL header and the value of the access-mode field is “fixed,” then the LSC or SS MUST respond with a 418 (Incompatible CAL) response code.

5.3.4.7.9.3.4 If an LSC or SS receives an INVITE with a CAL header whose value for the access-mode field is “variable,” then the LSC or SS does NOT need to support the exact value of the access-level in the local-access-level field found in the received CAL header and the value of the access-level in the local-access-level field is allowed to change when the INVITE traverses the LSC or SS.

5.3.4.7.9.3.5 If the value of the access-mode in a CAL header of an INVITE received by an LSC or SS is different than the value of the locally configured access-mode at the LSC or SS, then if the INVITE is forwarded onward the value of the access-mode MUST be fixed.

5.3.4.7.9.4 Presence of CAL Header in INVITE Requests, 200 Responses, 418 Responses

5.3.4.7.9.4.1 The CAL header **MUST** be present in the initial INVITE generated or forwarded by the originating LSC that is intended to establish a new dialog.

5.3.4.7.9.4.2 The CAL header **MUST** be present in the 200 OK response generated or forwarded by the terminating LSC signifying the initial INVITE request has succeeded.

5.3.4.7.9.4.3 The CAL header **MUST NOT** be present in a re-INVITE generated by an LSC serving an IP EI.^{7,8}

5.3.4.7.9.4.4 The CAL header **MAY** be present in a re-INVITE generated or forwarded by an LSC serving an MCU upon the event of a party entering or exiting a multiparty session.

5.3.4.7.9.4.5 The CAL header **MUST** be present in any 200 response to a re-INVITE that has a CAL header that is forwarded or generated by the LSC serving the IP EI that is the recipient of the re-INVITE. If the re-INVITE does not have a CAL header, then the 200 response **MUST NOT** have a CAL header.

5.3.4.7.9.4.6 The CAL header **MUST** be present in any 418 (Incompatible CAL) response code that is sent by an AS-SIP signaling appliance that is unable to grant the classification request in the CAL header of an INVITE (or re-INVITE) in the case of a fixed mode request or is unable to satisfactorily resolve the classification request to an acceptable value in the case of a variable mode request.

5.3.4.7.9.5 AS-SIP EIs and SIP EIs Do NOT Generate CAL Header

5.3.4.7.9.5.1 The SIP EIs and AS-SIP EIs **MUST NOT** add a CAL header to an initial INVITE.

NOTE: If an LSC receives an initial INVITE that has a CAL header from a served SIP EI or AS-SIP EI, then the LSC **MUST** replace the CAL header received in the initial INVITE from the served SIP EI or AS-SIP EI with a CAL header generated by the LSC itself.

5.3.4.7.9.5.2 The SIP EIs and AS-SIP EIs **MUST NOT** add a CAL header to a 200 response to an initial INVITE.

⁷ A CAL header may be generated by an LSC serving a MCU (see [Requirement 5.3.4.7.9.4.4](#)).

⁸ A later revision of CAL requirements may modify this particular requirement to enable renegotiation of the confidentiality level of parties to 3-way calls when the call legs are bridged at an LSC or at an IP EI.

NOTE: If an LSC receives a 200 response that has a CAL header from a served SIP EI or AS-SIP EI, then the LSC MUST replace the CAL header received in the 200 response to the initial INVITE with a CAL header generated by the LSC itself.

5.3.4.7.9.5.3 The SIP EIs and AS-SIP EIs MUST NOT add a CAL header to a re-INVITE.

NOTE: In the case of a multiparty call in the event that either a new party is added to the call or an existing party exits the call, then the MCU and LSC serving the MCU are responsible, if necessary, for generating re-INVITES with CAL headers to adjust the confidentiality level of the multiparty session.

NOTE: If an LSC serving a SIP EI or AS-SIP EI receives a re-INVITE with a CAL header for a served SIP EI or AS-SIP EI, then the LSC MUST remove the CAL header from the re-INVITE before forwarding the re-INVITE onward.

5.3.4.7.9.5.4 The SIP EIs and AS-SIP EIs MUST NOT add a CAL header to a 200 response to a re-INVITE.

NOTE: The LSC serving the SIP EI or AS-SIP EI will replace the CAL header received in the 200 response to a re-INVITE from the served SIP EI or AS-SIP EI with a CAL header generated by the LSC itself.

5.2.4.7.9.5.5 The SIP EIs and AS-SIP EIs MUST place the value of the DN associated with the classification level selected by the user initiating the call into the userinfo part of the SIP URI in the From header of an initial INVITE (i.e., an initial INVITE is an INVITE intended to establish a dialog). In the event the user is authorized for only one classification level, then the SIP or AS-SIP EI MUST place the corresponding DN in the userinfo part of the SIP URI in the From header.

NOTE: The LSC serving the SIP EI or AS-SIP EI generates the CAL based on the DN in the userinfo part of the SIP URI in the From header after verifying that the DN is valid for the current user of the EI (who has previously undergone an authentication process to use the EI).

5.3.4.7.9.5.6 When a SIP EI or AS-SIP EI that sent the original INVITE subsequently sends a re-INVITE, then the DN in the userinfo part of the SIP URI in the From header of the re-INVITE MUST be identical to the DN in the userinfo part of the SIP URI in the From header of the original INVITE.

5.3.4.7.9.6 Generating the CAL header –LSC

5.3.4.7.9.6.1 Upon receipt of an initial outbound call request from a served non-SIP, non-AS-SIP IP EI (that is currently activated to support one or more classification levels), the LSC MUST generate an INVITE that includes a CAL header. The LSC looks up the applicable classification and mode (fixed or variable) for the served EI and MUST enter the configured numeric classification level and the mode in the local-access-level of the CAL header and the text PENDING in the access-display field.

5.3.4.7.9.6.1.1 If the source DN in the call request or other information identifying the classification that was selected by the user does not match an authorized DN for the given IP EI then the LSC MUST reject the call request and ensure that the text “CAL rejected” is displayed on the caller’s IP EI.

5.3.4.7.9.6.2 Upon receipt of an initial outbound INVITE from a served SIP EI or served AS-SIP EI intended to establish a new session, the LSC MUST determine whether the DN in the From header matches an authorized DN for the given SIP EI or AS-SIP EI:

5.3.4.7.9.6.2.1 If the DN in the From header matches an authorized DN for the given SIP EI or AS-SIP EI, then the LSC MUST add a CAL header to the INVITE. The LSC selects the applicable classification and mode (fixed or variable) based on the DN in the userinfo part of the SIP URI in the From header and MUST enter the configured numeric classification and mode in the local-access-level of the CAL header (see [Section 5.3.4.7.9.5](#)) and the text PENDING in the access-display field. If the initial INVITE received from the served SIP EI or AS-SIP EI has a CAL header, the LSC MUST replace the received CAL header with the CAL header that the LSC itself generates.

5.3.4.7.9.6.2.2 If the DN in the From header does not match an authorized DN for the given AS-SIP EI then the LSC MUST send a 418 (Incompatible CAL) response to the AS-SIP EI and the AS-SIP EI MUST display the text “CAL rejected” to the caller.

5.3.4.7.9.6.2.3 If the DN in the From header does not match an authorized classified DN for the given SIP EI then the LSC MUST reject the call request and ensure that the text “CAL rejected” is displayed on the caller’s SIP EI.

5.3.4.7.9.6.3 In the case of an LSC serving an MCU, upon the event of a party entering or exiting the session the LSC MAY generate one or more re-INVITES with CAL headers to modify the classification level of the session for the current set of parties.⁹

⁹ We do not specify whether the MCU or the LSC initiates the modification of the classification level and this is a design and implementation decision that is left to the vendors.

5.3.4.7.9.6.4 When an LSC generates or forwards a re-INVITE from a served IP EI the LSC MUST NOT place a CAL header in the re-INVITE request.¹⁰

5.3.4.7.9.6.4.1 If an LSC receives a re-INVITE with a CAL header from a served SIP EI or AS-SIP EI then the LSC removes the CAL header before forwarding the re-INVITE onward.

5.3.4.7.9.7 Confidential-Access-Level Option Tag

5.3.4.7.9.7.1 The originating LSC that adds the CAL header to an INVITE MUST include a Require header with the option tag “confidential-access-level” and MUST include a Proxy-Require header with the option tag “confidential-access-level” unless the CAL header is not required for the session.

5.3.4.7.9.8 418 (Incompatible CAL) Response

5.3.4.7.9.8.1 When an AS-SIP signaling appliance (i.e., LSC or SS) in the signaling path between parties receives an initial INVITE with a CAL header that the AS-SIP signaling appliance cannot successfully resolve against the locally configured value of the next hop routing domain per UCR [Section 5.3.4.7.9.9](#), CAL Header Processing, then the AS-SIP signaling appliance MUST respond with a 418 Incompatible CAL.

5.3.4.7.9.8.2 The 418 response MUST contain a CAL header in which the local-access-level is set to the classification level and mode that is supported by the AS-SIP signaling appliance that is responding with the 418 and the reflected-access-level is set to the last successfully resolved value in the request path.

5.3.4.7.9.9 CAL Header Processing

INVITE

5.3.4.7.9.9.1 When an AS-SIP signaling appliance (i.e., Softswitch or LSC) receives an initial INVITE with a CAL header from another LSC or Softswitch¹¹ then the AS-SIP signaling appliance that receives the INVITE MUST process the CAL header as follows:

5.3.4.7.9.9.1.1 If the value of the access-mode field is “fixed” then:

¹⁰ A later revision of CAL requirements may modify this particular requirement to enable renegotiation of the confidentiality level of parties to 3-way calls when the call legs are bridged at an LSC or at an IP EI.

¹¹ It is noted that the INVITE will generally pass through 2 EBCs between an LSC and a Softswitch or between a Softswitch and a Softswitch or between a Softswitch and a LSC. The EBCs MUST NOT modify the CAL header.

Step 1: Using a SAL map table or equivalent, the value of the access-level field of the local-access-level in the CAL header is resolved against the locally configured access value for the routing domain over which the INVITE will travel to reach the next LSC or Softswitch or IP EI.

Step 2: If the value of the access-level field is NOT supported by the locally configured access value for the routing domain of the next hop destination then the AS-SIP signaling appliance cannot provide the requested level of confidentiality and the AS-SIP signaling appliance MUST respond with a 418 Incompatible CAL; if the value of the access-level field of the local-access-level in the CAL header is supported when resolved against the locally configured access value for the routing domain over which the INVITE will travel next then the INVITE is forwarded to the next AS-SIP signaling appliance without any changes to the fields of the CAL header.¹²

5.3.4.7.9.9.1.2 If the value of the access-mode field is “variable” then:

Step 1: Using a SAL map table or equivalent, the value of the access-level field of the local-access-level in the CAL header is resolved against the locally configured access value for the routing domain over which the INVITE will travel to reach the next LSC or Softswitch or IP EI.

Step 2: If the value of the access-level field is NOT satisfactorily resolved to an acceptable level then the LSC or Softswitch MUST respond with a 418 Incompatible CAL; if the value of the access-level field is satisfactorily resolved against the locally configured access value for the routing domain of the next hop destination for the INVITE then the LSC or Softswitch MUST forward the INVITE onward with the resolved value for the access-level and mode placed in the local-access-level of the CAL header.¹³

5.3.4.7.9.9.2 When the terminating LSC receives an INVITE with a CAL header intended for a served IP EI then the terminating LSC initially performs the same processing as set forth in [Requirement 5.3.4.7.9.9.1](#) where the locally configured access value refers to the access level supported by the IP EI. If the IP EI either supports the value of the access-level in the local-access-level field of the CAL header (in the case of “fixed” mode) or if the terminating LSC successfully resolves the value of the access-level in the local-access-level of the CAL header with the locally configured access value for the IP EI (in

¹² See 5.3.4.7.9.9.2 for additional requirements regarding the CAL processing behavior of the terminating LSC serving the intended recipient of the INVITE

¹³ See 5.3.4.7.9.9.2 for additional requirements regarding the CAL processing behavior of the terminating LSC serving the intended recipient of the INVITE

the case of “variable” mode) then the terminating LSC conducts the following additional steps:

5.3.4.7.9.9.2.1 In the event the IP EI is not an AS-SIP EI the terminating LSC **MUST** ensure that the IP EI displays the text corresponding to the final classification level as resolved by the terminating LSC where the length of the text identifying the classification level is less than or equal to 16 characters.

5.3.4.7.9.9.2.2 In the event the IP EI is an AS-SIP EI the terminating LSC **MUST**:

- Place the resolved access level and mode in the access-level and access-mode subfields respectively of the local-access-level of the CAL header.
- Generate the text corresponding to the resolved classification level and place the text in the access-display field of the CAL header.
- Send the INVITE with CAL header to the AS-SIP EI.

5.3.4.7.9.9.2.2.1 The AS-SIP EI **MUST** display the text located in the access-display field to the user where the length of the text identifying the classification level is less than or equal to 16 characters.

5.3.4.7.9.10 200 Response

5.3.4.7.9.10.1 When the terminating LSC serving a called non-SIP, non-AS-SIP IP EI generates a 200 response to the initial INVITE on behalf of the served IP EI then the terminating LSC **MUST** include the CAL Header in the 200 response where:

5.3.4.7.9.10.1.1 The terminating LSC looks up the supported classification and mode for the served non-SIP, non-AS-SIP IP EI that is the intended recipient of the INVITE and **MUST** enter the supported classification and mode for the IP EI in the local-access-level of the CAL header in the 200 response.

5.3.4.7.9.10.1.2 The terminating LSC **MUST** place the final resolved classification value from the final SAL map table look-up or equivalent that was performed by the terminating LSC on the INVITE and the final mode value into the access-level and reflected-mode subfields respectively of the reflected-access-level of the CAL header.

5.3.4.7.9.10.1.3 The terminating LSC MUST place the text corresponding to the value of the access-level in the reflected-access-level field into the access-display field of the CAL header.

5.3.4.7.9.10.2 When the terminating LSC receives a 200 response to an INVITE with CAL header from a served SIP EI or AS-SIP EI then the terminating LSC MUST generate a CAL Header for the 200 response where:

5.3.4.7.9.10.2.1 The terminating LSC MUST look up the supported classification and mode for the served SIP EI or AS-SIP EI that was the recipient of the INVITE and MUST enter the configured classification and mode in the access level and access-mode subfields respectively of the local-access-level of the CAL header.

5.3.4.7.9.10.2.2 The terminating LSC MUST place the final resolved classification value from the final SAL map table look-up or equivalent that was performed by the terminating LSC on the INVITE and the final mode value into the access-level and reflected-mode subfields of the reflected-access-level of the CAL header.

5.3.4.7.9.10.2.3 The terminating LSC MUST place the text corresponding to the value of the access-level in the reflected-access-level field into the access-display field of the CAL header.

NOTE: If the 200 response received by the terminating LSC from the SIP EI or AS-SIP EI has a CAL header the received CAL header is removed and replaced by the new CAL header generated by the terminating LSC.

5.3.4.7.9.10.3 When an AS-SIP signaling appliance (i.e., Softswitch or LSC) receives a 200 response with a CAL header from another LSC or Softswitch then the AS-SIP signaling appliance that receives the 200 OK MUST process the CAL header as follows:

5.3.4.7.9.10.3.1 If the value of the access-mode field is “fixed” then:

Step 1: Using a SAL map table or equivalent, the value of the access-level subfield of the local-access-level in the CAL header is resolved against the locally configured access value for the routing domain over which the 200 will travel to reach the next LSC or Softswitch or IP EI in the response path.

Step 2: If the value of the access-level subfield is NOT supported by the locally configured access value for the routing domain of the next hop destination then the AS-SIP signaling appliance MUST set the value of the access-level subfield in

the local-access-level field to 0 (this signifies that the CAL could not be resolved to an acceptable level in the response path); if the value of the access-level subfield of the local-access-level in the CAL header is supported when resolved against the locally configured access value for the routing domain over which the 200 will travel next then the 200 is forwarded to the next AS-SIP signaling appliance in the response path without any changes to the fields of the CAL header¹⁴

5.3.4.7.9.10.3.2 If the value of the access-mode field is “variable” then:

Step 1: Using a SAL map table or equivalent, the value of the access-level subfield of the local-access-level in the CAL header is resolved against the locally configured access value for the routing domain over which the 200 will travel to reach the next LSC or Softswitch or IP EI in the response path.

Step 2: If the value of the access-level field is NOT satisfactorily resolved to an acceptable level then the LSC or Softswitch MUST set the value of the access-level subfield in the local-access-level to 0 (this signifies that the CAL could not be resolved to an acceptable level in the response path); if the value of the access-level subfield is satisfactorily resolved against the locally configured access value for the routing domain of the next hop destination for the 200 then the LSC or Softswitch MUST forward the 200 onward in the response path with the resolved value for the access-level and mode subfields placed in the local-access-level of the CAL header.¹⁵

5.3.4.7.9.10.4 When the originating LSC receives a 200 response with a CAL header intended for a served IP EI then the originating LSC initially performs the same processing as set forth in [Requirement 5.3.4.7.9.10.3](#) where the locally configured access value refers to the access level supported by the served IP EI.

5.3.4.7.9.10.4.1 In the event the IP EI is not an AS-SIP EI the originating LSC MUST ensure that the IP EI displays the text corresponding to the final classification level in the reflected-access-level field where the length of the text identifying the classification level MAY be less than or equal to 16 characters.

5.3.4.7.9.10.4.2 In the event the IP EI is an AS-SIP EI the originating LSC MUST:

¹⁴ See 5.3.4.7.9.10.4 for additional requirements regarding the CAL processing behavior of the originating LSC serving the intended recipient of the 200

¹⁵ See 5.3.4.7.9.10.4 for additional requirements regarding the CAL processing behavior of the originating LSC serving the intended recipient of the 200.

- Confirm that the access-display field has the correct text to represent the value of the access-level in the reflected-access-level field and, if the text in the access-display field received CAL header is not correct, then overwrite it with the correct text.
- Send the 200 with CAL header to the AS-SIP EI.

5.3.4.7.9.10.4.2.1 The AS-SIP EI MUST display the text located in the access-display field to the user.

5.3.4.7.9.11 Example of CAL Header Traversing the Signaling Path

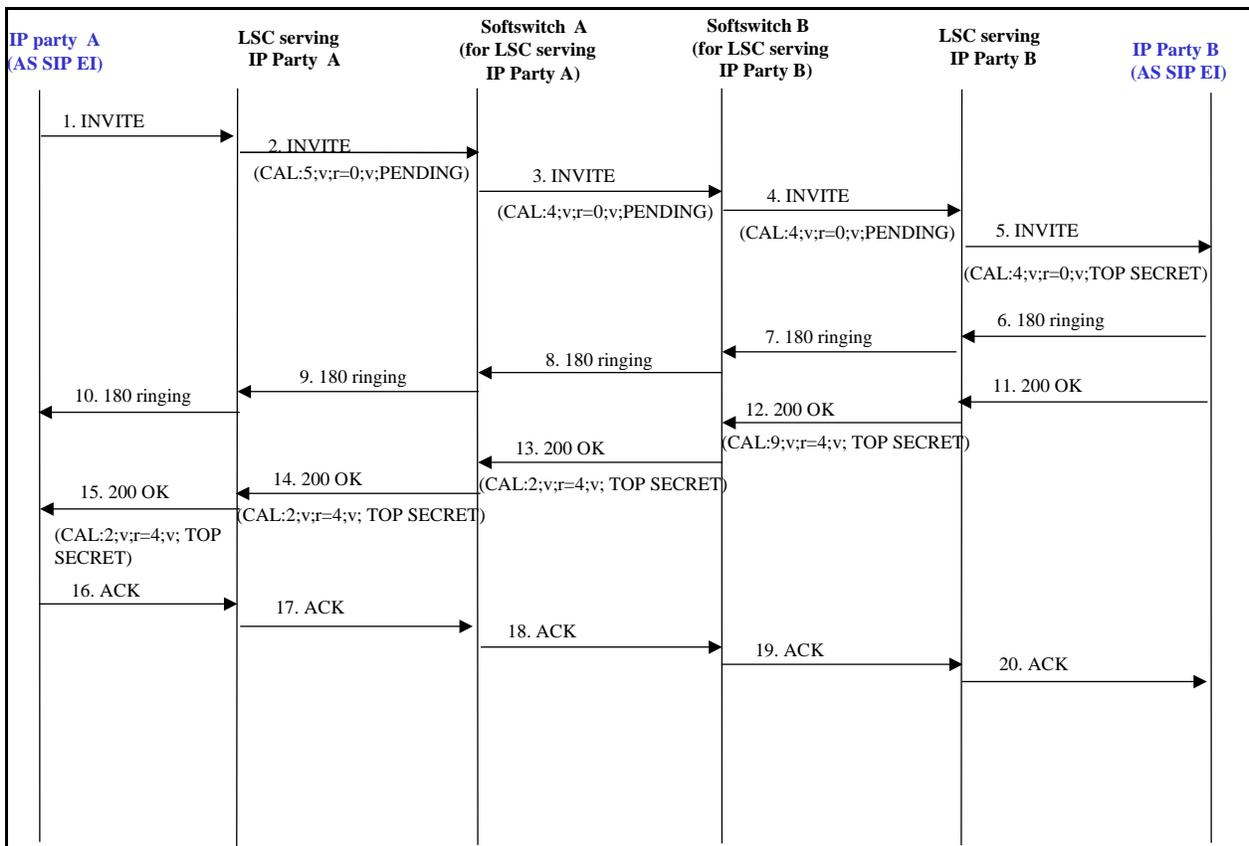


Figure 5.3.4.7-6. Example of CAL Session Establishment using Variable Mode

1. AS-SIP EI (IP party A) sends initial INVITE without a CAL header to the originating LSC (LSC Serving IP party A).
2. Originating LSC looks up the confidentiality level and mode for the INVITE request from IP Party A. Originating LSC checks that the directory number in the userinfo part of the SIP URI of the From header is valid for IP party A and for the IP EI that sent the INVITE.

If so, the originating LSC generates a CAL header with the appropriate values for the local-access-level field. In this example, the access-level is 5 and the mode is variable. Since the INVITE has not reached the terminating LSC, the access-display field has the text: PENDING.

Confidential-Access-Level: 5;mode=variable;ref=0,rmode=variable;PENDING

3. Softswitch A receives the INVITE from the originating LSC and uses the value of the access-level in the CAL header (i.e., 5) and its locally configured value for the routing domain to Softswitch B as inputs into its SAL map to resolve the value for the access-level that will be placed in the CAL header of the INVITE sent to Softswitch B (i.e., 4).

Confidential-Access-Level: 4;mode=variable;ref=0,rmode=variable;PENDING

4. Softswitch B receives the INVITE from Softswitch A and uses the value of the access-level in the CAL header (i.e., 4) and its locally configured value for the routing domain to Softswitch B as inputs into its SAL map to resolve the value for the access-level that will be placed in the CAL header of the INVITE sent to LSC Serving IP party B (terminating LSC) -- (i.e., 4).

Confidential-Access-Level: 4;mode=variable;ref=0,rmode=variable;PENDING

NOTE: For purposes of completeness: If the locally configured mode for the routing domain to Softswitch B had been “fixed” then assuming the confidentiality level supported by the next hop destination matched the value in the access-level subfield of the local-access-level in the received CAL header then the new CAL header forwarded to the terminating LSC would have an access-mode subfield whose value is “fixed”:

Confidential-Access-Level: 4;mode=fixed;ref=0,rmode=fixed;PENDING

5. The terminating LSC receives the INVITE from Softswitch B and uses the value of the access-level in the CAL header (i.e., 4) and its locally configured value for the AS-SIP EI (IP Party B) as inputs into its SAL map to resolve the value for the access-level that will be placed in the CAL header of the INVITE sent to IP Party B (i.e., 4). Let’s say the value 4 corresponds to top secret so the terminating LSC also replaces the text PENDING in the access-display field with TOP SECRET. When the AS-SIP EI (IP Party B) receives the INVITE the AS-SIP EI displays the text TOP SECRET to the user.

Confidential-Access-Level: 4;mode=variable;ref=0,rmode=variable;TOP SECRET

6. The AS-SIP EI (IP Party B) sends a 200 OK without a CAL header to the terminating LSC.

7. The terminating LSC generates the CAL header, places the final resolved access level and mode from the CAL resolution process on the INVITE (step 5) into the reflected-access-level (i.e., 4, variable), places the supported access level and mode of IP party B at AS-SIP EI B into the local-access-level (i.e., 9, variable), and places the text for the resolved confidentiality level into the access-display field. The terminating LSC forwards the 200 with CAL header to Softswitch B.

Confidential-Access-Level: 9;mode=variable;ref=4,rmode=variable;TOP SECRET

8. Softswitch B receives the INVITE from the terminating LSC and uses the value of the access-level subfield in the local-access-level in the CAL header (i.e., 9) and its locally configured value for the routing domain to Softswitch A as inputs into its SAL map to resolve the value for the access-level subfield in the local-access-level field that will be placed in the CAL header of the INVITE sent to Softswitch A -- (i.e., 2).

Confidential-Access-Level: 2;mode=variable;ref=4,rmode=variable;TOP SECRET

9. Softswitch A receives the INVITE from Softswitch B and uses the value of the access-level in the local-access-level field in the CAL header (i.e., 2) and its locally configured value for the routing domain to the originating LSC (LSC Serving IP Party A) as inputs into its SAL map to resolve the value for the access-level subfield in the local-access-level that will be placed in the CAL header of the INVITE sent to the originating LSC -- (i.e., 2).

Confidential-Access-Level: 2;mode=variable;ref=4,rmode=variable;TOP SECRET

15. The originating LSC receives the INVITE from Softswitch A and uses the value of the access-level subfield in the local-access-level in the CAL header (i.e., 2) and its locally configured value for the AS-SIP EI (IP Party A) as inputs into its SAL map to resolve the value for the access-level subfield in the local-access-level that will be placed in the CAL header of the INVITE sent to IP Party A (i.e., 2). When the AS-SIP EI (IP Party A) receives the INVITE the AS-SIP EI displays the text TOP SECRET to the user.

Confidential-Access-Level: 2;mode=variable;ref=4,rmode=variable;TOP SECRET

5.3.4.8 SIP Session Keep-Alive Timer

The purpose of the SIP Session Keep-Alive Timer paragraphs is to actively signal call termination where at least one EI is no longer partaking in the session.

5.3.4.8.1 AS-SIP Signaling Appliances

5.3.4.8.1.1 The AS-SIP signaling appliances **MUST** support the keep-alive mechanism for SIP sessions. [RFC 4028]

5.3.4.8.1.2 Reserved.

5.3.4.8.1.3 The AS-SIP signaling appliances **MUST** support the generating, receiving, and processing of the Session-Expires and Min-SE header fields. The **RECOMMENDED** value for the Session-Expires header field is 1800. The value for the Min-SE header field **MUST** be greater than (>) 90. [RFC 4028]

5.3.4.8.1.4 The AS-SIP signaling appliances **MUST** support the 422 (Session Interval Too Small) response code. [RFC 4028]

5.3.4.8.1.5 The AS-SIP signaling appliances **MUST** support the option tag “timer” for use with the Supported and Require header fields; however, an AS-SIP signaling appliance acting as a UAC or a SIP EI acting as a UAC **MUST NOT** place the option tag “timer” in either a Require header or a Proxy-Require header. [RFC 4028]

5.3.4.8.1.6 The AS-SIP signaling appliances **SHOULD** use the UPDATE method (as opposed to the INVITE method) to perform subsequent session refreshes to minimize the processing impact.

5.3.4.8.1.7 The UPDATE method used to perform subsequent session refreshes **SHOULD NOT** include an SDP offer to minimize the processing impact.

5.3.4.8.1.8 When an AS-SIP signaling appliance receives an outbound request from a served H.323 or proprietary IP EI, then the AS-SIP signaling appliance **MUST** operate in accordance with the UAC behavior (when responsible for performing the refresh). [RFC 4028, Sections 7.1, Generating an Initial Session Refresh Request; 7.2, Processing a 2xx Response; 7.3, Processing a 422 Response; 7.4, Generating Subsequent Session Refresh Requests; and 10, Performing Refreshes]

5.3.4.8.1.9 When an AS-SIP signaling appliance receives an outbound request from a served SIP EI, then:

5.3.4.8.1.9.1 If the SIP EI supports the keep-alive mechanism [RFC 4028], the AS-SIP signaling appliance **MUST** operate in accordance with the proxy behavior.

[RFC 4028, Section 8, Proxy Behavior] The AS-SIP signaling appliance **MUST** meet the requirements set forth in RFC 4028. [RFC 4028, Sections 8.1, Processing of Requests; 8.2, Processing of Responses; and 8.3, Session Expiration]

5.3.4.8.1.9.2 If the SIP EI does NOT support the keep-alive mechanism [RFC 4028], the AS-SIP signaling appliance MUST operate in accordance with the UAC behavior (when responsible for performing the refresh). [RFC 4028, Sections 7.1, Generating an Initial Session Refresh Request; 7.2, Processing a 2xx Response; 7.3, Processing a 422 Response; 7.4, Generating Subsequent Session Refresh Requests; and 10, Performing Refreshes]

5.3.4.8.1.10 When an AS-SIP signaling appliance receives a call request from another AS-SIP signaling appliance, and the destination is a served H.323 or proprietary IP EI, then the AS-SIP signaling appliance MUST operate in accordance with the UAS behavior (when responsible for performing the refresh). [RFC 4028 Sections 9, UAS Behavior, and 10, Performing Refreshes]

5.3.4.8.1.11 [Until CY 2012] When an AS-SIP signaling appliance receives a call request from another AS-SIP signaling appliance, and the destination is a served SIP EI, then:

5.3.4.8.1.11.1 If the SIP EI supports the keep-alive mechanism [RFC 4028], the AS-SIP signaling appliance MUST operate in accordance with the proxy behavior set forth in RFC 4028. [RFC 4028, Section 8, Proxy Behavior] The AS-SIP signaling appliance MUST meet the requirements set forth in RFC 4028. [RFC 4028, Sections 8.1, Processing of Requests; 8.2, Processing of Responses; and 8.3, Session Expiration]

5.3.4.8.1.11.2 If the SIP EI does NOT support the keep-alive mechanism [RFC 4028], the AS-SIP signaling appliance MUST operate in accordance with the UAS behavior (when responsible for performing the refresh). [RFC 4028, Sections 9, UAS Behavior, and 10, Performing Refreshes]

NOTE: The AS-SIP signaling appliance serving a SIP EI is charged with knowing whether the SIP EI supports the RFC 4028 session timer. It is assumed that the AS-SIP signaling appliance acquires this information as part of the SIP EI registration process or by configuration. In the alternative, the AS-SIP signaling appliance may obtain this information by other means such as by sending an INVITE with the Require header and the option tag “timer” to the SIP EI, which will reply with either a Supported header with the option tag “timer,” or a 420 (Bad Extension) response and an Unsupported header with the option tag “timer”.

5.3.4.8.2 AS-SIP EIs

5.3.4.8.2.1 The AS-SIP EIs MUST support the keep-alive mechanism for SIP sessions. [RFC 4028]

5.3.4.8.2.2 Reserved.

5.3.4.8.2.3 The AS-SIP EIs **MUST** support the generating, receiving, and processing of the Session-Expires and Min-SE header fields. The **RECOMMENDED** value for the Session-Expires header field is 1800. The value for the Min-SE header field **MUST** be greater than 90. [RFC 4028]

5.3.4.8.2.4 The AS-SIP EIs **MUST** support the 422 (Session Interval Too Small) response code. [RFC 4028]

5.3.4.8.2.5 The AS-SIP EIs **MUST** support the option tag “timer” for use with the Supported and Require header fields; however, an AS-SIP EI **MUST NOT** place the option tag “timer” in either a Require header or a Proxy-Require header. [RFC 4028]

5.3.4.8.2.6 The AS-SIP EIs **SHOULD** use the UPDATE method (as opposed to the INVITE method) to perform subsequent session refreshes to minimize the processing impact.

5.3.4.8.2.7 The UPDATE method used to perform subsequent session refreshes **SHOULD NOT** include an SDP offer to minimize the processing impact.

5.3.4.8.2.8 The AS-SIP EI **MUST** operate in accordance with the UAC behavior (when responsible for performing the refresh). [RFC 4028, Sections: 7.1, Generating an Initial Session Refresh Request; 7.2, Processing a 2xx Response; 7.3, Processing a 422 Response; 7.4, Generating Subsequent Session Refresh Requests; and 10, Performing Refreshes]

5.3.4.8.2.9 When an AS-SIP EIs receives receives an INVITE, then the AS-SIP EIs **MUST** operate in accordance with the UAS behavior (when responsible for performing the refresh). [RFC 4028 Sections 9, UAS Behavior, and 10, Performing Refreshes]

5.3.4.9 *Session Description Protocol*

5.3.4.9.1 *Session Description*

5.3.4.9.1.1 “A session description consists of a session-level description (details that apply to the whole session and all media streams) and optionally several media-level descriptions (details that apply to a single media stream).” [RFC 2327, Section 6, SDP Specification]

5.3.4.9.1.2 When SDP information is present in a SIP message, the SIP message **MUST** have a content-type header having the MIME Content-Type “application/sdp”.

Content-Type: application/sdp

5.3.4.9.1.3 The SDP parser in the AS-SIP signaling appliance (and all AS-SIP EIs, including AS-SIP video conferencing EIs) **MUST** be able to accept and handle without error any of the

SDP line types enumerated in RFC 2327 even if the application ignores the contents. [RFC 2327, Section 6, SDP Specification]

5.3.4.9.1.4 The sdp parser in the intermediate AS-SIP signaling appliances (i.e., excluding the terminating LSC if it is serving an IP EI, excluding the destination AS-SIP EI or SIP EI, excluding the LSC or SS that is interworking the AS-SIP signaling message to the TDM network) **MUST** ignore a “type” letter that it does not understand and forward the sdp description as usual.

5.3.4.9.1.4.1 The SDP parser in the terminating LSC if it is serving an IP EI or the destination AS-SIP EI or SIP EI or the LSC or SS that is interworking the AS-SIP signaling message to the TDM network that receives a SDP description that contains an unknown “type” letter **SHOULD** return a 488 (Not Acceptable Here) final response code, preferably including a Warning SIP header field with a 307 (Warning) response code.

5.3.4.9.1.5 The session-level description of the SDP session description **MUST** include the following four mandatory line types and **MUST** appear in the following order: [RFC 2327]

v=
o=
s=
t=

NOTE: When any of the optional line types are included in the session level section of the session description, they **MUST** also be placed in accordance with the relative ordering defined in RFC 4566.

5.3.4.9.1.6 Use of the “p=” or “e=” line type in the session-level description is optional. [RFC 4566]

5.3.4.9.1.7 The session-level section of the session description is followed by zero or more media-level sections. Each media-level description starts with an “m=” line, and is terminated by either the next “m=” line or by the end of the session description. An individual media description may have any number of attributes (“a=” fields), which are media specific. [RFC 2327]

5.3.4.9.1.8 The connection (“c=”) line **MAY** be placed at the session-level description, the media-level description, or both description levels. [RFC 2327]

5.3.4.9.1.9 When the connection (“c=”) line is placed in the session-level description, it applies to all media of the session unless overridden by connection information in the media-level description. [RFC 2327]

5.3.4.9.1.10 If the connection (“c=”) line is not present in the session-level description, then it MUST be present in each media-level description in the SDP session description. [RFC 2327]

5.3.4.9.1.11 The attribute mechanism (“a=”) is optional and MAY be placed at the session-level description, the media-level description, or both description levels. [RFC 2327]

5.3.4.9.1.12 When the attribute mechanism (“a=”) is placed in the session-level description, it applies to all media of the session unless overridden by attribute information of the same name in the media-level description. [RFC 2327]

5.3.4.9.2 Session-Level Description

The session-level description consists of a protocol version line, an origin line, a session name line, and a time line.

5.3.4.9.2.1 Protocol Version (“v=”) Line

v=0

5.3.4.9.2.1.1 The “v=” line MUST be set to zero.

5.3.4.9.2.2 Origin (“o=”) Line

o=<username> <session id> <sess-version> <network type> <address type> <address>

5.3.4.9.2.2.1 The <username> MUST NOT contain spaces and can be set to “-”.

5.3.4.9.2.2.2 The numeric value of the <session id> MUST be representable with a 64-bit signed integer. [RFC 3264, Section 5, Generating the Initial Offer]

5.3.4.9.2.2.3 The <session id> SHOULD be set to a Network Time Protocol (NTP) timestamp in integer format, reflecting the time of the original creation of the session description. [RFC 2327, Section 6, SDP Specification]

5.3.4.9.2.2.4 The numeric value of the <sess-version> in the origin (“o=”) line MUST be representable with a 64-bit signed integer. [RFC 3264, Section 5, Generating the Initial Offer]

5.3.4.9.2.2.5 “The initial value of the version MUST be less than $(2^{*}62)-1$, to avoid rollovers.” [RFC 3264, Section 5, Generating the Initial Offer]

5.3.4.9.2.2.6 The <sess-version> SHOULD be set to an NTP timestamp in integer format. [RFC 2327, Section 6, SDP Specification]

5.3.4.9.2.2.7 Every time a modification is made to the session data the <sess-version> MUST be incremented by one. [RFC 2327, Section 6, SDP Specification]

5.3.4.9.2.2.8 The <network type>, <address type>, and <address> SHOULD identify the SIP host that originates the session description, even if the description relates to a different host (example.g., an MG). Following this convention avoids having to change the originator value if the media flows are redirected. A consequence of this recommendation is that there is no necessary relationship between the host identification on the origin (“o=”) line and addresses given on a connection (“c=”) line.

5.3.4.9.2.2.9 The first offer sent in a SIP session is an original session description, originated at the offering end. If the answer is otherwise the same as the offer (including the addressing information), [RFC 3264, Section 6](#), says that the origin (“o=”) line can remain identical also. This is an unlikely circumstance. In the more usual case, the answer has different addresses at least reflecting that end of the media flow. An initial answer that is different from an initial offer MUST be viewed as an original session description, originated by the answering end. This means that the answer MUST contain a new origin (“o=”) line, constructed from the point of view of the answering SIP host. [RFC 3264, Section 6, Generating the Answer]

5.3.4.9.2.2.10 After the initial offer-to-answer exchange, if either end passes a session description to the other (whether in an offer or an answer) that is unchanged from what it last sent, the origin (“o=”) line MUST be the same as previously sent. If there is any change, RFC 3264 requires that the <version> MUST be incremented by one while the rest of the origin (“o=”) line remains unchanged. [RFC 3264, Section 8, Modifying the Session]

5.3.4.9.2.3 Session Name (“s=”) Line

s= -

The session name (“s=”) line SHOULD be set to “-”. [RFC 3264, Section 5, Generating the Initial Offer]

5.3.4.9.2.4 Time (“t=”) Line

t=0 0

The time (“t=”) line SHOULD be set to “0 0”. [RFC 3264, Section 5, Generating the Initial Offer]

5.3.4.9.3 *Media Description*

The media description consists of one or more media (“m=”) fields and subfields.

5.3.4.9.3.1 **Media (“m=”) Field**

m=<media> <port> <protocol> <format>

5.3.4.9.3.1.1 A session description MAY contain a number of media descriptions.

5.3.4.9.3.1.2 Each media description starts with an “m=” field and is terminated by the next “m=” field, or by the end of the session description. A media field has subfields.

5.3.4.9.3.1.2.1 The first subfield <media> is the media type (e.g., audio and video). [RFC 2327, Section 6, SDP Specification]

5.3.4.9.3.1.2.2 The second subfield <port> is the transport port to which the media stream is sent. [RFC 2327, Section 6, SDP Specification]

5.3.4.9.3.1.2.3 The third subfield <protocol> is the transport protocol. For example, RTP-Audio/Video Profile (AVP) denotes RTP used under the RTP Profile for Audio and Video Conferences. [RFC 2327, Section 6, SDP Specification]

5.3.4.9.3.1.2.4 The fourth and subsequent subfields <format> are a media format description. [RFC 2327, Section 6, SDP Specification] For example,

m=audio 49170 RTP/AVP 0

5.3.4.9.3.2 **Connection Data (“c=”) Field**

c=<nettype> <addrtype> <connection-address>

5.3.4.9.3.2.1 The first subfield <nettype> is the network type. Initially, this specification allows only “IN.” [RFC 2327, Section 6, SDP Specification]

5.3.4.9.3.2.2 The second subfield <addrtype> is the address type. This specification supports the use of IPv4 [RFC 2327] or IPv6 [RFC 3266].

5.3.4.9.3.2.3 The third subfield <connection-address> is the connection address. [RFC 2327, Section 6, SDP Specification]

5.3.4.9.3.2.4 When the <addrtype> is IPv4 and the <connection-address> is a unicast address, then the format of the connection address will use the standard IPv4 decimal dotted notation.

5.3.4.9.3.2.5 The slash notation defined for multicast group addresses **MUST NOT** be used with unicast addresses. [RFC 2327, Section 6, SDP Specification]

Example

IN IP4 118.2.36.42

5.3.4.9.3.2.6 **[FY 2012]** When the <addrtype> is IPv4 and the <connection-address> is a multicast group address, then the multicast connection address **MUST** have a time to live (TTL) value appended to the address using a slash as a separator. [RFC 2327, Section 6, SDP Specification]

Example

IN IP4 224.2.3.5/127

5.3.4.9.3.2.7 **[FY 2012]** For multicast group addresses, the following notation is permitted and AS-SIP signaling appliances (and all AS-SIP EIs – including AS-SIP video conferencing EIs) **MUST** support processing and **MAY** support generating the following notation: [RFC 2327, Section 6, SDP Specification]

<base multicast address> <ttl> <number of addresses>

where the *number of addresses* refers to the contiguous assignment of multicast addresses above the *base multicast address*

Example

c=IN IP4 224.2.13.5/127/3

This is the same as including multiple “c=” lines.

Example

c=IN IP4 224.2.13.5/127

c=IN IP4 224.2.13.6/127

c=IN IP4 224.2.13.7/127

5.3.4.9.3.2.8 Reserved.

5.3.4.9.3.2.9 Reserved.

5.3.4.9.3.2.10 Reserved.

5.3.4.9.3.2.11 Reserved.

5.3.4.9.3.2.12 Reserved.

5.3.4.9.3.2.13 Multiple addresses or connection (“c=”) lines MAY be specified in a media description. [RFC 2327, Section 6, SDP Specification]

5.3.4.9.3.2.14 Only one connection (“c=”) line is permitted at the session description level. [RFC 2327, Section 6, SDP Specification]

5.3.4.9.3.3 Attributes (“a=”) Fields

a=

5.3.4.9.3.3.1 “Attributes are the primary means for extending SDP. Attributes may be defined to be used as ‘session-level’ attributes, ‘media-level’ attributes, or both. A media description may have any number of attributes (‘a=’ fields) which are media specific. These are referred to as ‘media-level’ attributes and add information about the media stream. Attribute fields can also be added before the first media field; these ‘session-level’ attributes convey additional information that applies to the conference as a whole rather than to individual media; ...” [RFC 2327, Section 6, SDP Specification]

5.3.4.9.3.3.2 The SDP parser in the AS-SIP signaling appliance (and all AS-SIP EIs, including AS-SIP video conferencing EIs) MUST be able to accept and handle any attribute (“a=”) field without error, even if it does not recognize the attribute. An unrecognized attribute MUST be ignored rather than treated as an error case. If the unrecognized attribute was sent in an SDP offer, it MUST NOT appear in the answer.

5.3.4.9.3.3.2.1 In this specification, the following attributes are used:

a=recvonly

a=sendrecv

a=sendonly

```
a=rtcp:<port>
a=rtpmap:<payload type> <encoding name>/<clock rate> [/<encoding
parameters>]
a=curr:<precondition-type> <status-type> <direction-tag>
a=des:<precondition-type> <strength-tag> <status-type> <direction-tag>
a=conf:<precondition-type> <status-type> <direction-tag>
```

5.3.4.9.3.3.2.2 The rtpmap attribute maps from an RTP payload type number (as used in an “m=” line) to an encoding name denoting the payload format to be used.

Example 1: [RFC 4566]

```
m=audio 49232 RTP/AVP 98
a=rtpmap:98 L16/16000/2
```

Example 2: [RFC 4566]

```
m=audio 49230 RTP/AVP 96 97 98
a=rtpmap:96 L8/8000
a=rtpmap:97 L16/8000
a=rtpmap:98 L16/11025/2
```

5.3.4.9.3.3.2.3 The codec formats (as depicted in example 2 above) are listed in the order of preference from the most preferred codec to the least preferred codec.

5.3.4.9.3.3.2.4 The “a=curr”, “a=des”, and “a=conf” media level SDP attributes are used in conjunction with preconditions as defined in RFC 3312.

Example

```
m=audio 20000 RTP/AVP 0
a=curr:qos local none
a=curr:qos remote none
a=des:qos mandatory local sendrecv
a=des:qos mandatory remote sendrecv
a=curr:qos e2e none
a=des:qos optional e2e sendrecv
```

5.3.4.9.3.3.3 In this specification, the following attributes SHOULD be supported:

a=ptime:<packet time>
a=maxptime:<maximum packet time>
a=fmtp:<format> <format specific parameters>

5.3.4.9.3.3.3.1 The a=ptime:<packet time> attribute gives the length of time in milliseconds represented by the media in a packet. [RFC 2327]

5.3.4.9.3.3.3.2 The a=maxptime:<maximum packet time> attribute provides the maximum amount of media that can be encapsulated in each packet, expressed in milliseconds. [RFC 4566]

5.3.4.9.3.3.3.3 The a=fmtp:<format> <format specific parameters> attribute allows parameters, specific to a particular format, to be conveyed in a way that the SDP does not have to understand them.

5.3.4.9.3.4 Bandwidth (“b=”) Field

b=<bwtype>:<bandwidth>

5.3.4.9.3.4.1 The bandwidth (“b=”) field denotes the proposed bandwidth to be used by the session or media.

5.3.4.9.3.4.2 On the session level, the bandwidth (“b=”) field represents a total bandwidth figure for all media at all sites.

5.3.4.9.3.4.3 On the media level, the bandwidth (“b=”) field is required for every video media (“m=”) line.

5.3.4.9.3.4.4 On the media level, the bandwidth (“b=”) field is NOT required for audio media (“m=”) lines.

5.3.4.9.3.4.5 When used in the session level, the <bwtype> subfield shall be Application-Specific Maximum (AS).

5.3.4.9.3.4.6 When used in the media level, the <bwtype> subfield shall be Transport Independent Application-Specific (TIAS). [RFC 3890]

5.3.4.9.3.4.7 The <bandwidth> subfield is interpreted as kilobits per second.

5.3.4.9.3.5 Bandwidth Modifier Application Rules

5.3.4.9.3.5.1 When there are multiple audio codecs in a single “m=” line, the bandwidth modifier (“b=TIAS”) MAY be present and, if present, MUST reflect the largest bit rate that those codecs can support.

5.3.4.9.3.5.2 When there are multiple audio codecs in a single “m=” line and it is necessary to indicate the bitrate for particular audio codecs then an “a=fmtp:” format attribute will be associated with each audio codec to indicate the applicable bitrate.

Example

```
m=audio 15134 RTP/AVP 102 0 97 18
b=TIAS:64000
a=rtpmap:102 G7221/16000
a=fmtp:102 bitrate=32000
a=rtpmap:0 PCMU/8000
a=rtpmap:97 SIREN14/16000
a=fmtp:97 bitrate=24000
a=rtpmap:18 G729A/8000
```

NOTE: The audio codecs in this example are used for illustrative purposes only and the presence or absence of an audio codec has no implications about whether a given audio codec is required to be supported on the UC network.

5.3.4.9.3.5.3 When there are multiple video codecs in a single “m=” line, the bandwidth modifier (“b=TIAS”) must be present. The bandwidth modifier must be set to the value equal to the user-selected bandwidth value or the lowest value of the video codecs’ upper limits, depending on which one is smaller. For example, if the user selects to launch a 2 Mbps video call and the video codec is capable of receiving H.261/H.263 at 2 Mbps, and H.264 at 384 kbps, then the bandwidth modifier (“b=TIAS”) value must be set at b=TIAS:384000.

5.3.4.9.3.5.4 When there are multiple video codecs in a single “m=” line and it is necessary to indicate the bit rate for particular video codecs, then an “a=fmtp:” format attribute will be associated with each video codec to indicate the applicable bit rate.

5.3.4.9.3.5.5 The following example is a video SDP using both kinds of bandwidth modifiers:

```
v=0
o=TestVideo 1627471320 0 IN IP4 192.168.100.146
```

```
s=-
c=IN IP4 192.168.100.146
b=AS:384
t=0 0
m=audio 49178 RTP/AVP 99 98 97 102 101 103 9 15 0 8 18
b=TIAS:64000
a=rtpmap:99 SIREN14/16000
a=fmtp:99 bitrate=48000
a=rtpmap:98 SIREN14/16000
a=fmtp:98 bitrate=32000
a=rtpmap:97 SIREN14/16000
a=fmtp:97 bitrate=24000
a=rtpmap:102 G7221/16000
a=fmtp:102 bitrate=32000
a=rtpmap:101 G7221/16000
a=fmtp:101 bitrate=24000
a=rtpmap:103 G7221/16000
a=fmtp:103 bitrate=16000
a=rtpmap:9 G722/8000
a=rtpmap:15 G728/8000
a=rtpmap:0 PCMU/8000
a=rtpmap:8 PCMA/8000
a=rtpmap:18 G729A/8000
m=video 49180 RTP/AVP 109 34 96 31
b=TIAS:320000
a=rtpmap:109 H264/90000
a=fmtp:109 profile-level-id=42800c;max-mbps=10000
a=rtpmap:34 H263/90000
a=rtpmap:96 H263-1998/90000
a=fmtp:96 SQCIF=1;QCIF=1;CIF=1;CIF4=2;F;J;T
a=rtpmap:31 H261/90000
a=fmtp:31 CIF=1;QCIF=1
```

5.3.4.9.3.6 H.261 Codec Parameters

5.3.4.9.3.6.1 The H.261 video SDP must meet the following formats:

5.3.4.9.3.6.1.1 The media name in the “m=” line of the SDP MUST be video.

5.3.4.9.3.6.1.2 The encoding name in the “a=rtpmap” line of the SDP MUST be H261.

5.3.4.9.3.6.1.3 The clock rate in the “a=rtpmap” line of the SDP MUST be 90000.

5.3.4.9.3.6.1.4 The SDP MUST use the fmpm media format description line to specify optional parameters, such as CIF, QCIF, and D.

5.3.4.9.3.6.1.4.1 The fmpm parameters for H.261 are as follows:

a=fmpm:xx H261_option

where *xx* is the RTP payload type number. It can be a static or dynamic payload number. The recommendation is to use the dynamic payload number.

5.3.4.9.3.6.1.4.2 The syntax of H261_option is:

H261_option = *Size / *Annex SP
Size = “QCIF” “=” “mpi” ;/ “CIF” “=” mpi ;
mpi = 1*2DIGIT
Annex = “D” “=” noyes;
noyes = 0 / 1

5.3.4.9.3.6.1.5 The Size parameter specifies the supported picture size and the frame rate. Recommendation H.261 defines two resolutions: QCIF and CIF. The default value is QCIF.

5.3.4.9.3.6.1.6 The minimum picture interval (MPI) is an integer value (1..4) and it means the maximum picture frame rate is (29.97/MPI) frames/second. The definition of the MPI is according to the video codec specification. The default value is 1.

5.3.4.9.3.6.1.7 The CIF parameter has the format of parameter=value. It describes the maximum supported frame rate for the CIF resolution. Permissible values are integer values 1 to 4, which correspond to a maximum rate of 29.97/the specified value.

5.3.4.9.3.6.1.8 The QCIF parameter has the format of parameter=value. It describes the maximum supported frame rate for the QCIF resolution. Permissible values are integer values 1 to 4, which correspond to a maximum rate of 29.97/the specified value.

5.3.4.9.3.6.1.9 Picture sizes and MPI: A terminal SHOULD announce only those picture sizes (with their MPIs) that it is willing to receive. For example, MPI=2 means that the maximum (decodeable) picture rate per second is about 15. If the receiver does not specify the picture size/MPI optional parameter, then it SHOULD be ready to receive the QCIF resolution with MPI=1.

5.3.4.9.3.6.1.10 Support for still image graphics must be specified according to Recommendation H.261 Annex D. The default value is none. This option MUST NOT appear unless the sender of the SDP message is able to decode this option. This option SHALL be considered as a receiver's capability even when sent in a sendonly offer.

5.3.4.9.3.6.1.11 An example of media representation in SDP (where CIF is 15 frames per second, QCIF is 30 frames per second, and Annex is D) is as follows:

```
m=video 49170/2 RTP/AVP 31
a=rtpmap:31 H261/90000
a=fmtp:31 CIF=2;QCIF=1;D
```

NOTE: 49170/2 indicates that there are two RTP/RTCP transport pairs: 49170 and 49171 comprise one RTP/RTCP pair, and 49172 and 49173 comprise the second RTP/RTCP pair.

5.3.4.9.3.7 H.263 Codec Parameters

5.3.4.9.3.7.1 The video/H263-1998 and video/H263-2000 media types are mapped to fields in the SDP as follows:

5.3.4.9.3.7.1.1 The media name in the “m=” line of the SDP MUST be video.

5.3.4.9.3.7.1.2 The encoding name in the “a=rtpmap” line of the SDP MUST be H263-1998 or H263-2000.

5.3.4.9.3.7.1.3 The clock rate in the “a=rtpmap” line of the SDP MUST be 90000.

5.3.4.9.3.7.1.4 The SDP MUST use the fmtp media format description line to specify optional parameters.

5.3.4.9.3.7.1.4.1 The fmp parameters for H.263 are represented in the SDP attribute, as follows:

a=fmp:xx H263_option

where the *xx* is the RTP payload type number. It can be a static or dynamic payload number. The recommendation is to use the dynamic payload number.

5.3.4.9.3.7.1.4.2 When present, optional parameters take the form of optional parameter “=”value where value may consist of a single field or of multiple comma delimited fields.

Example

SQCIF=16
Custom=2000,1500,4

5.3.4.9.3.7.1.4.3 When multiple optional parameters are present they are listed one after the other using a semicolon as the delimiter between optional parameters.

H.263-1998 Optional Parameters

5.3.4.9.3.7.1.4.4 [Table 5.3.4.9-1](#) provides a list of H263-1998 optional parameters (left column) and the accompanying fields and their permissible values (right column)

TABLE 5.3.4.9-1. H263-1998 OPTIONAL PARAMETERS AND THEIR PERMISSIBLE VALUES

OPTIONAL PARAMETER	PERMISSIBLE VALUES
SQCIF	1 field: MPI: permissible value – integer from 1 to 32
QCIF	1 field: MPI: permissible value – integer from 1 to 32
CIF	1 field: MPI: permissible value – integer from 1 to 32
CIF4	1 field: MPI: permissible value – integer from 1 to 32
CIF16	1 field: MPI: permissible value – integer from 1 to 32
Custom	Three comma-separated integer fields: Xmax, Ymax, MPI, where Xmax and Ymax must be divisible by four, and MPI is an integer value from 1 to 32.
F	If Annex F is supported, then “1,” or if not supported, then “0,” or not listed. It is recommended that an annex not be listed if it is not supported.
I	If Annex I is supported, then “1,” or if not supported, then “0,” or not

OPTIONAL PARAMETER	PERMISSIBLE VALUES
	listed. It is recommended that an annex not be listed if it is not supported.
J	If Annex J is supported, then “1,” or if not supported, then “0,” or not listed. It is recommended that an annex not be listed if it is not supported.
K	Annex K: 1 field: permissible value – integer from 1 to 4 where 1 indicates Slices In Order, Non-Rectangular 2 indicates Slices In Order, Rectangular 3 indicates Slices Not Ordered, Non-Rectangular 4 indicates Slices Not Ordered, Rectangular
N	1 field: permissible value – integer from 1 to 4 where 1. NEITHER: No back-channel data is returned from the decoder to the encoder. 2. ACK: The decoder returns only acknowledgment messages. 3. NACK: The decoder returns only non-acknowledgment messages. 4. ACK+NACK: The decoder returns both acknowledgment and non-acknowledgment messages.
P	Four submodes with integer values from 1 to 4; multiple modes may be selected separated by commas where: 1 dynamicPictureResizingByFour 2 dynamicPictureResizingBySixteenthPel 3 dynamicWarpingHalfPel 4 dynamicWarpingSixteenthPel Example: P=1,3
PAR	Two colon-separated integers each between 0 and 255
CPCF	comma-separated list of eight parameters <ul style="list-style-type: none"> • par1: cd: integer from 1 to 27 • par2: cf: integer with value 1000 or 1001 • par3: SQCIFMPI: integer from 0 to 2048 where 0 means associated picture size in unsupported and 1 to 2048 indicates the MPI • par4: QCIFMPI: integer from 0 to 2048 where 0 means associated picture size in unsupported and 1 to 2048 indicates the MPI • par5: CIFMPI: integer from 0 to 2048 where 0 means associated picture size in unsupported and 1 to 2048 indicates the MPI • par6: CIF4MPI: integer from 0 to 2048 where 0 means associated picture size in unsupported and 1 to 2048 indicates the MPI • par7: CIF16MPI: integer from 0 to 2048 where 0 means associated picture size in unsupported and 1 to 2048 indicates the

OPTIONAL PARAMETER	PERMISSIBLE VALUES
	MPI <ul style="list-style-type: none"> • par8: CUSTOMMPI: integer from 0 to 2048 where 0 means associated picture size in unsupported and 1 to 2048 indicates the MPI; if not 0 then CUSTOM parameter MUST also be present in sdp
BPP	Integer value between 0 and 65536 that is divisible by 1024; if not present, then the default value, based on the maximum supported resolution, is used.
HRD	If supported, then “1”; if not supported, then “0,” or not listed. It is recommended that the HRD not be listed if not supported.

Additional Details on H.263-1998 Optional Parameters

Picture Size Options

- SQCIF. Specifies the MPI for SQCIF resolution corresponding to maximum frame rate of $30/(1.001 * MPI)$ frames per second.
- QCIF. Specifies the MPI for QCIF resolution corresponding to maximum frame rate of $30/(1.001 * MPI)$ frames per second.
- CIF. Specifies the MPI for CIF resolution corresponding to maximum frame rate of $30/(1.001 * MPI)$ frames per second.
- CIF4. Specifies the MPI for 4CIF resolution corresponding to a maximum frame rate of $30/(1.001 * MPI)$ frames per second.
- CIF16. Specifies the MPI for 16CIF resolution corresponding to a maximum frame rate of $30/(1.001 * MPI)$ frames per second.
- CUSTOM. Specifies the MPI for a custom-defined resolution using Xmax to represent the number of pixels in the X axis, Ymax to represent the number of pixels in the Y axis, and MPI.

5.3.4.9.3.7.1.4.5 When a video conferencing EI declares support of a specific MPI for one of the resolutions, the video conferencing EI MUST also implicitly support a lower resolution with the same MPI.

Support for Optional Annexes

5.3.4.9.3.7.1.4.6 A video conferencing EI declares support for each of optional Annexes F, I, J, and T by including the annex letter in H263_option and setting the annex letter equal to 1.

For example, when the offeror includes the sdp line: a=fmtp:98 F=1; J=1, then the offeror is declaring its support for optional Annex F and optional Annex J.

5.3.4.9.3.7.1.4.7 When a video conferencing EI does not support an optional annex it SHOULD NOT include the optional annex in the sdp. A video conference unit MAY also indicate nonsupport for an optional annex by setting the annex letter equal to 0.

For example, when the offeror includes the sdp line: a=fmtp:99 F=1; I=0; J=1, then the offeror is declaring its support for optional Annex F and optional Annex J, and lack of support for optional Annex I.

5.3.4.9.3.7.1.4.8 A video conferencing EI declares support for optional Annex K by including K in H263_option and setting K equal to either 1, 2, 3, or 4 where:

- K=1 signifies Slices In Order, Non-Rectangular
- K=2 signifies Slices In Order, Rectangular
- K=3 signifies Slices Not Ordered, Non-Rectangular
- K=4 signifies Slices Not Ordered, Rectangular

5.3.4.9.3.7.1.4.9 A video conferencing EI declares support for optional Annex N by including N in H263_option and setting N equal to either one, two, three, or four where

- N=1 signifies NEITHER: No back-channel data is returned from the decoder to the encoder.
- N=2 signifies ACK: The decoder returns only acknowledgment messages.
- N=3 NACK: The decoder returns only non-acknowledgment messages.

- N=4 ACK+NACK: The decoder returns both acknowledgment and non-acknowledgment messages.

5.3.4.9.3.7.1.4.10 A video conferencing EI declares support for optional Annex P by including P in H263_option and setting P equal to at least one of 1, 2, 3, or 4 submodes where

- 1 signifies support for dynamicPictureResizingByFour
- 2 signifies support for dynamicPictureResizingBySixteenthPel
- 3 signifies support for dynamicWarpingHalfPel
- 4 signifies support for dynamicWarpingSixteenthPel

NOTE: P may be set to one submode, e.g., P=1 or to multiple submodes using the comma (“;”) as the delimiter: P=1,3.

5.3.4.9.3.7.1.4.11 Codec options (F, I, J, K, N, P, T) MUST NOT appear in the sdp unless the sender of these SDP parameters is able to decode the given options. NOTE: These options designate receiver capabilities even when sent in a sendonly offer.

Other Optional Parameters

5.3.4.9.3.7.1.4.12 PAR (Arbitrary Pixel Aspect Ratio) defines the width:height ratio by two colon-separated integers between 0 and 255, and when not otherwise specified the video conferencing EI MUST use the default ratio of 12:11.

5.3.4.9.3.7.1.4.13 CPCF (Arbitrary (Custom) Picture Clock Frequency) uses eight parameters to specify a custom picture clock frequency and the minimum picture interval for the supported picture sizes (i.e., SQCIF, QCIF, CIF, 4CIF, 16CIF, and CUSTOM). Parameter 1 (cd – an integer from 1 to 127) and parameter 2 (cf – assigned either the value 1000 or 10001) define the picture custom clock frequency in accordance with the formula $1800000/(cd*cf)$. The next six parameters (i.e., parameter 3–parameter 8) are SQCIFMPI, QCIFMPI, CIFMPI, CIF4MPI, CIF16MPI, and CUSTOMMPI, and each specifies an integer MPI for the standard picture sizes SQCIF, QCIF, CIF, 4CIF, 16CIF, and CUSTOM. The MPI parameter having a value in the range 1 to 2048 is used along with cd and cf to calculate a maximum frame rate using the formula $1800000/(cd*cf*MPI)$. If the MPI value equals zero, then the associated picture size is not supported for the custom picture clock frequency. If the CUSTOMMPI parameter is not equal to zero, then the CUSTOM

parameter **MUST** also be present (to define the Xmax and Ymax dimensions of the custom picture size).

5.3.4.9.3.7.1.4.14 BPP (BitsPerPictureMaxKb) has an integer value between 0 and 65536 that specifies the maximum number of bits in units of 1024 bits that the video conferencing EI allows to represent a single picture. If this parameter is not present, then the default value, based on the maximum supported resolution, **MUST** be used.

5.3.4.9.3.7.1.4.15 If the HRD (Hypothetical Reference Decoder) parameter is supported, it **MUST** have the value “1,” and if not supported, it **SHOULD NOT** be listed, but if it is listed, it **MUST** have the value “0.”

5.3.4.9.3.7.1.4.16 Video conferencing EIs **MUST** ignore optional parameters that are received in an sdp that are not recognized.

H.263-2000 Optional Parameters

5.3.4.9.3.8 The H.263-2000 can be specified using one of two different SDP syntaxes.

- The H.263-2000 can apply the optional parameters of the H.263-1998 provided that PROFILE and LEVEL SHALL NOT be used.
- The H.263-2000 can apply the PROFILE and LEVEL as defined in the Annex X of H.263-2000, provided there is no use of H.263-1998 annex option values. Annex X of H.263-2000 defines profiles, which group annexes for specific applications.

5.3.4.9.3.8.1 If the second SDP syntax is chosen (i.e., PROFILE and LEVEL per Annex X), the ftmp must follow:

a=fmtp:xx H263-2000_option

(The *xx* is the RTP payload type number. It can be a static or dynamic payload number. The recommendation is to use the dynamic payload number.)

H263-2000_option = “PROFILE” “=” profile_value ; / “LEVEL” “=”
level_value ; / “INTERLACE” “=” interface_value

5.3.4.9.3.8.2 Specific optional parameters that may be used with the H.263-2000 type are:

5.3.4.9.3.8.2.1 The profile_value parameter is an H.263 profile number, in the range 0 through 10. The profile_value refers to the profile listed in table X.1 of H.263, Annex X, which specifies the supported H.263 annexes and subparts applicable to each profile.

NOTE: If no profile or H.263 annex is specified, then the stream is Baseline H.263 (profile 0 of H.263, Annex X).

5.3.4.9.3.8.2.2 The level_value parameter is the level of bit stream operation, in the range 0 through 100, specifying the level of computational complexity of the decoding process. A system that specifies support of a PROFILE MUST specify the supported LEVEL. See table X.1 of H.263, Annex X of ITU-T H.263 for details on the values of various parameters associated with each level.

5.3.4.9.3.8.2.2.1 Support of any level other than level 45 implies support of all lower levels.

5.3.4.9.3.8.2.2.2 Support of level 45 implies support of level 10.

5.3.4.9.3.8.2.3 The interface_value parameter is interlaced or 60 fields that indicate the support for interlace display mode (see H.263, Annex W, W.6.3.11). The interface_value parameter MUST have a value of one if it is supported and SHOULD NOT be listed if it is not supported or if listed MUST be assigned the value of zero.

5.3.4.9.3.8.2.4 When the PROFILE and LEVEL parameters are not specified, Baseline Profile (Profile 0) Level 10 are the default values. An example of H.263-2000 in PROFILE and LEVEL is as follows:

```
m = video 8398 RTP/AVP 107 104 116 117 118 119
a=rtpmap:107 h263-2000/90000
a=rtpmap:104 h263-2000/90000
a=rtpmap:116 h263-2000/90000
a=rtpmap:117 h263-2000/90000
a=rtpmap:118 h263-2000/90000
a=rtpmap:119 h263-2000/90000
a=fmtp:107 profile=3;level=10
a=fmtp:104 profile=3;level=40
a=fmtp:116 profile=0;level=40
a=fmtp:117 profile=0;level=30
a=fmtp:118 profile=0;level=20
a=fmtp:119 profile=0;level=10
```

H.263 SDP Offer Answer Rules

5.3.4.9.3.8.3 An sdp offer **MUST NOT** include a PROFILE parameter unless the offeror is capable of decoding a stream that uses the specified profile parameter.

5.3.4.9.3.8.4 A decoder that supports a profile **MUST** also support H.263 baseline profile (profile 0).

5.3.4.9.3.8.5 It is **RECOMMENDED** that an offeror offer all the different profiles it is interested in using as individual payload types.

5.3.4.9.3.8.6 It is **RECOMMENDED** that when an offeror creates an sdp offer having the PROFILE parameter that the sdp offer also include profile 0.

5.3.4.9.3.8.7 An answerer **MUST NOT** change the PROFILE parameter and **MUST** reject the payload type containing an unsupported profile.

5.3.4.9.3.8.8 The LEVEL parameter in an sdp offer **MUST** indicate the maximum computational complexity supported by the offeror in performing decoding for the given PROFILE.

5.3.4.9.3.8.9 The sdp answer **MAY** change the LEVEL parameter up or down to reflect the maximum computational complexity supported by the answerer.

5.3.4.9.3.8.10 The Interlace parameter **MAY** be included in either an offer or an answer to indicate that the offeror or answerer respectively supports reception of interlaced content.

5.3.4.9.3.8.11 Video EIs **MUST** only offer picture sizes and their corresponding MPI information that the video EI is willing to receive.

NOTE: Parameters offered first are the most preferred picture mode to be received.

5.3.4.9.3.8.12 A video EI that does not specify the picture size/MPI optional parameter **SHOULD** be ready to receive QCIF resolution with MPI=1.

5.3.4.9.3.9 ITU-T H.264 Codec Parameters

5.3.4.9.3.9.1 The MIME media type video/H264 string is mapped to fields in the SDP as follows:

5.3.4.9.3.9.1.1 The media name in the “m=” line of the SDP **MUST** be video.

5.3.4.9.3.9.1.2 The encoding name in the “a=rtpmap” line of the SDP MUST be H264 (the MIME subtype).

5.3.4.9.3.9.1.3 The clock rate in the “a=rtpmap” line of the SDP MUST be 90000.

5.3.4.9.3.9.2 The AS-SIP signaling appliance must follow the requirements of RFC 3984 where it specifies the rules for applying H.264 parameters to the SDP offer/answer model. [RFC 3984, Section 8.2.2, Usage with the SDP Offer/Answer Mode]

5.3.4.9.3.9.3 The fmtp parameters for H.264 are represented in the SDP attribute, as follows:

a=fmtp:xx H264_option

where *xx* is the RTP dynamic payload number.

5.3.4.9.3.9.4 The syntax of the OPTIONAL parameters of H264_option is

H264_option = *Params

Params=
/ “profile-level-id” “=” value ; / “max-mbps” “=” value; / “max-fs” “=” value;
/ “max-cpb” “=” value ; / “max-dpb” “=” value ; / “max-br” “=” value ;
/ “redundant-pic-cap” “=” value ; / “sprop-parameter-sets” “=” value ; / “parameter-add” “=” value
value ; value ; ;
/ “packetization-mode” “=” value ; / “sprop-nterleaving-depth” “=” value
value ; “=” value ; ;
/ “sprop-deint-buf-req” “=” value ; / “sprop-init-buf-time” “=” value ; / “sprop-max-don-diff” “=”
value ; value ; value ;
/ “max-rcmd-nalu-size” “=”
value

5.3.4.9.3.9.5 The OPTIONAL parameters include “profile-level-id”, “max-mbps”, “max-fs”, “max-cpb”, “max-dpb”, “max-br”, “redundant-pic-cap”, “sprop-parameter-sets”, “parameter-add”, “packetization-mode”, “sprop-nterleaving-depth”, “deint-buf-cap”, “sprop-deint-buf-req”, “sprop-init-buf-time”, “sprop-max-don-diff”, and “max-rcmd-nalu-size”.

5.3.4.9.3.9.5.1 The OPTIONAL parameter “profile-level-id” indicates the profile that the codec supports and the highest level supported for the signaled profile.

The “profile-level-id” parameter is a 6-hexadecimal value representing three bytes of information in the sequence parameter set NAL unit:

- byte 1: the profile-idc (indicates the supported profile)
- byte 2: profile-iop, composed of the values of constraint_set0_flag, constraint_set1_flag, constraint_set2_flag, and reserved_zero_5bits in bit-significance order, starting from the most significant bit

NOTE: When the constraint_set0_flag = 1 value is used, then the bitstream obeys all constraints specified in H.264, Annex A, subclause A.2.1, Baseline Profile; when the constraint_set0_flag = 0 value is used, then the bitstream MAY or MAY NOT obey all constraints specified in H.264, Annex A, subclause A.2.1, Baseline Profile.

NOTE: When the constraint_set1_flag = 1 value is used, then the bitstream obeys all constraints specified in H.264, Annex A, subclause A.2.2, Main Profile; when the constraint_set1_flag = 0 value is used; then the bitstream MAY or MAY NOT obey all constraints specified in H.264, Annex A, subclause A.2.2, Main Profile.

NOTE: When the constraint_set2_flag = 1 value is used, then the bitstream obeys all constraints specified in H.264, Annex A, subclause A.2.3, Extended Profile; when the constraint_set2_flag = 0 is used, then the bitstream MAY or MAY NOT obey all constraints specified in H.264, Annex A, subclause A.2.3, Extended Profile.

NOTE: When profile_idc is equal to 100, 110, 122, or 144, the values of constraint_set0_flag, constraint_set1_flag, and constraint_set2_flag must all be equal to zero.

- byte 3: level-idc (indicates the highest level supported for the given profile)

NOTE: If profile-level-id is not present in the sdp offer, then this implies support for the Baseline Profile without additional constraints at Level 1.

5.3.4.9.3.9.5.2 The OPTIONAL parameters “max-mbps”, “max-fs”, “max-cpb”, “max-dpb”, and “max-br” are used to signal the capabilities of a receiver implementation, and the “profile-level-id” parameter MUST be present in the same receiver capability description that contains any of these parameters. The receiver MUST be fully capable of supporting the level conveyed in the “profile-level-id” parameter and “max-mbps”, “max-fs”, “max-cpb”, “max-dpb”, and “max-br” parameters MAY be used to indicate capabilities of the receiver that

extend the required capabilities of the signaled level in the “profile-level-id” parameter.

5.3.4.9.3.9.5.2.1 If more than one of these OPTIONAL parameters is present in the sdp line, then the receiver MUST simultaneously support each of the OPTIONAL parameters present in the sdp line.

5.3.4.9.3.9.5.2.2 The value of the “max-mbps” parameter is an integer indicating the maximum macroblock processing rate in units of macroblocks per second. The “max-mbps” parameter is used to indicate that the receiver is capable of decoding video at a higher rate than is required by the signaled level conveyed in the value of the “profile-level-id” parameter.

5.3.4.9.3.9.5.2.3 The value of the “max-fs” parameter is an integer indicating the maximum frame size in units of macroblocks. The “max-fs” parameter is used to indicate that the receiver is capable of decoding larger picture sizes than are required by the signaled level conveyed in the value of the “profile-level-id” parameter.

5.3.4.9.3.9.5.2.4 The value of the “max-cpb” parameter is an integer indicating the maximum coded picture buffer size in units of 1000 bits for the VCL HRD parameters (see ITU-T H.264, subclause A.3.1, item i) and in units of 1200 bits for the NAL HRD parameters. The “max-cpb” parameter is used to indicate that the receiver has more memory than the minimum amount of coded picture buffer memory required by the signaled level conveyed in the value of the “profile-level-id” parameter.

5.3.4.9.3.9.5.2.5 The value of the “max-dpb” parameter is an integer indicating the maximum decoded picture buffer size in units of 1024 bytes. The “max-dpb” parameter is used to indicate that the receiver has more memory than the minimum amount of decoded picture buffer memory required by the signaled level conveyed in the value of the “profile-level-id” parameter.

5.3.4.9.3.9.5.2.6 The value of the “max-br” parameter is an integer indicating the maximum video bit rate in units of 1000 bits per second for the VCL HRD parameters (see ITU-T H.264, subclause A.3.1, item i) and in units of 1200 bits per second for the NAL HRD parameters (see ITU-T H.264, subclause A.3.1, item j). The max-br parameter is used to indicate that the receiver is capable of decoding video at a higher bit rate than is

required by the signaled level conveyed in the value of the profile-level-id parameter.

5.3.4.9.3.9.5.3 The OPTIONAL parameter “redundant-pic-cap” signals the capabilities of a receiver implementation. Setting the “redundant-pic-cap” parameter equal to zero indicates that the receiver makes no attempt to use redundant coded pictures to correct incorrectly decoded primary coded pictures, and the receiver is not capable of using redundant slices. Setting the “redundant-pic-cap” parameter equal to one indicates the receiver is capable of decoding any such redundant slice that at least partly covers a corrupted area in a primary decoded picture. If the “redundant-pic-cap” parameter is not present, then it has the value of zero.

5.3.4.9.3.9.5.4 The OPTIONAL parameter “sprop-parameter-sets” MAY be used to convey any sequence and picture parameter set NAL units that MUST precede any other NAL units in decoding order. The value of the parameter is the base64 representation of the initial parameter set NAL units as specified in ITU-T H.264, Sections 7.3.2.1 and 7.3.2.2. The parameter sets are conveyed in decoding order, and no framing of the parameter set NAL units takes place. A comma is used to separate any pair of parameter sets in the list.

NOTE: The “sprop-parameter-sets” parameter MUST NOT be used to indicate codec capability in any capability exchange procedure.

5.3.4.9.3.9.5.5 The OPTIONAL parameter “parameter-add” MAY be used to signal whether the receiver of this parameter is allowed to add parameter sets in its signaling response using the “sprop-parameter-sets” MIME parameter. The value of this parameter is either zero, which means the receiver of the “parameter-add” parameter is not allowed to add parameter sets, or one, which means the receiver can add parameter sets. If this parameter is not present, then its value MUST be one.

5.3.4.9.3.9.5.6 The OPTIONAL parameter “packetization-mode” either signals the properties of an RTP payload type or the capabilities of a receiver implementation. Only a single RTP payload type can be indicated; thus, when capabilities to support more than one packetization-mode are declared, multiple RTP payload types must be used. The value of packetization mode MUST be an integer in the range of zero to two, where zero signifies the use of single NAL mode (defined in RFC 3984, Section 6.2), one signifies the use of non-interleaved mode (defined in RFC 3984, Section 6.3), and two signifies the use of interleaved mode (defined in RFC 3984, Section 6.4). When this parameter is NOT present,

then this signifies the use of single NAL mode (defined in RFC 3984, Section 6.2).

5.3.4.9.3.9.5.7 The value of OPTIONAL parameter “sprop-interleaving-depth” MUST be an integer in the range of 0 to 32767, inclusive, and specifies the maximum number of video coding layer (VCL) NAL units that precede any VCL NAL unit in the NAL unit stream in transmission order and follow the VCL NAL unit in decoding order. This parameter MUST NOT be present when packetization-mode is not present or when packetization-mode has the value of zero or one. This parameter MUST be present when the packetization-mode parameter has the value of two.

5.3.4.9.3.9.5.8 The OPTIONAL parameter “sprop-deint-buf-req” signals the required size of the deinterleaving buffer for the NAL unit stream. The value of the parameter MUST be greater than or equal to the maximum buffer occupancy, in bytes, required in such a deinterleaving buffer, specified in RFC 3984, Section 7.2. It is guaranteed that receivers can perform the deinterleaving of interleaved NAL units into NAL unit decoding order, when the deinterleaving buffer size is at least the value, in bytes, of “sprop-deint-buf-req” parameter. The value of “sprop-deint-buf-req” parameter MUST be an integer in the range of 0 to 4294967295, inclusive. This parameter MUST NOT be present when packetization-mode is not present or the value of packetization-mode is equal to zero or one. It MUST be present when the value of packetization-mode is equal to two.

5.3.4.9.3.9.5.9 The OPTIONAL parameter “deint-buf-cap” signals the capabilities of a receiver implementation and indicates the amount of deinterleaving buffer space, in bytes, that the receiver has available for reconstructing the NAL unit decoding order. The value of the “deint-buf-cap” parameter MUST be an integer in the range of 0 to 4294967295, inclusive. If it is not present, then a value of zero MUST be used for the “deint-buf-cap” parameter.

5.3.4.9.3.9.5.10 The OPTIONAL parameter “sprop-init-buf-time” signals the initial buffering time that a receiver MUST buffer before starting decoding to recover the NAL unit decoding order from the transmission order. The parameter is coded as a non-negative base10 integer representation in clock ticks of a 90-kHz clock and MUST be an integer in the range of 0 to 4294967295, inclusive. The parameter MUST NOT be present, if the value of packetization-mode is equal to zero or one. If the parameter is not present, then no initial buffering time value is defined.

5.3.4.9.3.9.5.11 The OPTIONAL parameter “sprop-max-don-diff” MAY be used to signal the properties of a NAL stream but not for a transmitter or receiver capability exchange. The “sprop-max-don-diff” parameter is an integer in the range of 0 to 32767, inclusive, and it is calculated in accordance with a formula provided in RFC 3984, Section 8.1. (Please refer to RFC 3984, Section 8.1 for details). This parameter MUST NOT be present if the value of packetization-mode is equal to zero or one. If not present, then the value of the parameter is unspecified.

5.3.4.9.3.9.5.12 The value of OPTIONAL parameter “max-rcmd-nalu-size” MUST be an integer in the range of 0 to 4294967295, inclusive, and indicates the largest Network Abstraction Layer Unit (NALU) size in bytes that the receiver can handle efficiently. If this parameter is not specified, then no known limitation to the NALU size exists.

SDP Offer/Answer Rules for H.264 Optional Parameters

Unicast Rules

5.3.4.9.3.9.6 The OPTIONAL parameters “profile-level-id”, “packetization-mode”, and “sprop-deint-buf-req”, if required by packetization-mode, identify a media format configuration for H.264. If present in the sdp offer, then the sdp answer MUST either maintain all these configuration parameters (as specified in the sdp offer) or remove the media format (payload type) completely, if one or more of the parameter values are not supported by the answerer.

5.3.4.9.3.9.6.1 The same RTP payload type number used in the offer SHOULD also be used in the answer, and an answer MUST NOT contain a payload type number used in the offer unless the configuration (“profile-level-id”, “packetization-mode”, and, if present, “sprop-deint-buf-req”) is the same as in the offer.

5.3.4.9.3.9.7 The parameters “sprop-parameter-sets”, “sprop-deint-buf-req”, “sprop-interleaving-depth”, “sprop-max-don-diff”, and “sprop-init-buf-time” describe the properties of the NAL unit stream that the offerer or answerer is sending for this media format configuration. NOTE: This is somewhat unusual as generally parameters describe the stream properties that the offerer or the answerer is able to receive.

5.3.4.9.3.9.8 With respect to the capability parameters (i.e., “max-mbps”, “max-fs”, “max-cpb”, “max-dpb”, “max-br”, “redundant-pic-cap”, “max-rcmd-nalu-size”) when the direction attribute is sendonly, then the parameters describe the limits of the RTP packets and the NAL unit stream that the sender is capable of producing, and when the direction

attribute is `sendrecv` or `recvonly`, then the parameters describe the limitations of what the receiver accepts.

5.3.4.9.3.9.9 In the case of interleaved streams, it is RECOMMENDED that both parties include the OPTIONAL parameter “`deint-buf-cap`.” In addition, in the case of interleaved streams if the receiver capabilities are unknown, it is RECOMMENDED to consider offering multiple payload types with different buffering requirements.

5.3.4.9.3.9.10 When the answerer receives an `sdp` offer with the OPTIONAL parameter “`sprop-parameter-sets`,” then the answerer MUST maintain all parameter sets received in the offer in its answer. The answerer MUST also accept to receive a video stream using the “`sprop-parameter-sets`” it declared in the answer.

Additional Rules for Multicast

5.3.4.9.3.9.11 The stream properties parameters (“`sprop-parameter-sets`,” “`sprop-deint-buf-req`,” “`sprop-interleaving-depth`,” “`sprop-max-don-diff`,” and “`sprop-init-buf-time`”) MUST NOT be changed by the answerer. A payload type can either be accepted unaltered or removed.

5.3.4.9.3.9.12 The receiver capability parameters “`max-mbps`,” “`max-fs`,” “`max-cpb`,” “`max-dpb`,” “`max-br`,” and “`max-rcmd-nalu-size`” MUST be supported by the answerer for all streams declared as `sendrecv` or `recvonly`; otherwise either the media format MUST be removed or the session rejected.

Interaction of H.264 OPTIONAL Parameter Capability Exchange and Direction Attribute

5.3.4.9.3.9.13 In offers and answers for which “`a=sendrecv`” or no direction attribute is used, or for which “`a=recvonly`” is used, then we interpret:

- “`profile-level-id`” and “`packetization-mode`” as declaring the actual configuration or properties for receiving
- (only when “`a=sendrecv`” or no direction attribute is used)
“`sprop-deint-buf-req`,” “`sprop-interleaving-depth`,” “`sprop-parameter-sets`,” “`sprop-max-don-diff`,” and “`sprop-init-buf-time`” as declaring actual properties of the stream to be sent
- “`max-mbps`,” “`max-fs`,” “`max-cpb`,” “`max-dpb`,” “`max-br`,” “`redundant-pic-cap`,” “`deint-buf-cap`,” and “`max-rcmd-nalu-size`” as declaring receiver implementation capabilities

- “parameter-add” as declaring how offer/answer negotiation shall be performed

5.3.4.9.3.9.14 In offers and answers for which “a=sendonly” is used, then we interpret:

- “profile-level-id,” “packetization-mode,” “sprop-deint-buf-req,” “sprop-max-don-diff,” “sprop-init-buf-time,” “sprop-parameter-sets,” and “sprop-interleaving-depth” as declaring actual configuration and properties of the stream proposed to be sent
- “max-mbps,” “max-fs,” “max-cpb,” “max-dpb,” “max-br,” “redundant-pic-cap,” “deint-buf-cap,” and “max-rcmd-nalu-size” as declaring the capabilities of the sender when it receives a stream
- “parameter-add” as declaring how offer/answer negotiation shall be performed

Miscellaneous H.264 Capability Exchange Rules

5.3.4.9.3.9.15 Parameters used for declaring receiver capabilities are in general downgradable; therefore, a sender MAY select to set its encoder using only lower/lesser or equal values of these parameters.

5.3.4.9.3.9.16 Parameters declaring a configuration point (i.e., RTP payload type) are not downgradable, except for the level part of the “profile-level-id” parameter.

5.3.4.9.3.9.17 A receiver SHOULD understand all MIME parameters, even if it only supports a subset of the payload format’s functionality. This ensures that a receiver is capable of understanding when an offer to receive media can be downgraded to what is supported by the receiver of the offer.

5.3.4.9.3.9.18 An answerer MAY extend the offer with additional media format configurations. In most cases, a second offer is required from the offerer to provide the stream properties parameters that the media sender will use. This also has the effect that the offerer has to be able to receive this media format configuration, not only to send it.

5.3.4.9.3.9.19 If an offerer wishes to have non-symmetric capabilities between sending and receiving, the offerer has to offer different RTP sessions; i.e., different media lines declared as “recvonly” and “sendonly,” respectively.

5.3.4.9.3.9.20 The AS-SIP signaling appliance must follow the requirements of RFC 3984, where it specifies the rules for applying H.264 parameters to the SDP offer/answer model. [RFC 3984, Section 8.2.2, Usage with the SDP Offer/Answer Mode]

5.3.4.9.3.9.21 The definitions of OPTIONAL parameters can be found in RFC 3984.

5.3.4.9.3.9.22 An example of media representation in the SDP (where Baseline Profile, Level 3.0, some constraints of the Main profile may not be obeyed) is as follows:

```
m=video 49170 RTP/AVP 98
a=rtpmap:98 H264/90000
a=fmtp:98 profile-level-id=42A01E;
      sprop-parameter-sets=Z0IACpZTBYmI,aMljiA==
```

5.3.4.9.4 *SDP Offer/Answer Exchange*

5.3.4.9.4.1 RFC 3264 sets forth an offer/answer model for SDP.

5.3.4.9.4.1.1 The AS-SIP signaling appliance (and all AS-SIP EIs, including AS-SIP video conferencing EIs) MUST perform SDP offer/answer exchanges in compliance with RFC 3264 with additional rules for SIP video listed in [Section 5.3.4.9.5](#), Additional Offer/Answer Rules for AS-SIP Video.

5.3.4.9.4.1.2 The AS-SIP signaling appliance (and all AS-SIP EIs, including AS-SIP video conferencing EIs) MUST comply with:

- RFC 3264, Section 5, Generating the Initial Offer
- RFC 3264, Section 6, Generating the Answer
- RFC 3264, Section 7, Offerer Processing of the Answer
- RFC 3264, Section 8, Modifying the Session
- RFC 3264, Section 9, Indicating Capabilities

5.3.4.9.5 *Additional Offer/Answer Rules for AS-SIP Video*

5.3.4.9.5.1 **Generating the Initial Offer**

5.3.4.9.5.1.1 The LSCs serving IP EIs other than AS-SIP EIs and all AS-SIP EIs including AS-SIP video conferencing EIs shall include bandwidth modifiers for the video “m=” lines in the SDP.

5.3.4.9.5.2 **Generating the Answer**

5.3.4.9.5.2.1 The answer must include bandwidth modifiers in all video media streams.

5.3.4.9.5.2.2 The value of the bandwidth modifier in each video “m=” line must not be greater than the value in the corresponding video “m=” line listed in the initial offers.

5.3.4.9.5.2.3 The answerer MUST send a media stream using a media format in the offer that is also listed in the answer, and SHOULD send using the most preferred media format in the offer that is also listed in the answer.

5.3.4.9.5.2.4 The answerer MUST send media using a bandwidth that is not greater than the value of the bandwidth attribute in the answer.

5.3.4.9.5.3 Offerer Processing of the Answer

5.3.4.9.5.3.1 The offerer MUST send a media stream using a media format listed in the answer, and it SHOULD use the first media format listed in the answer. [RFC 3264]

5.3.4.9.5.3.2 The offerer MUST send the video media stream using a bandwidth that is no higher than the value of the bandwidth modifier returned in the answer.

5.3.4.9.6 Examples

5.3.4.9.6.1 Basic SDP Exchange

The caller, Alice, wants to call the callee, Bob. Alice’s codec generates the offer as:

```
[Offer]
v=0
o=Alice 1627471320 1627471320 IN IP4 192.168.100.146
s=-
c=IN IP4 192.168.100.146
b=AS:384
t=0 0

           m=audio 49178 RTP/AVP 0 8 18
b=TIAS:64000
a=rtpmap:0 PCMU/8000
a=rtpmap:8 PCMA/8000
a=rtpmap:18 G729A/8000
           m=video 49180 RTP/AVP 109 34 96 31
b=TIAS:320000
a=rtpmap:109 H264/90000
a=fmtp:109 profile-level-id=42800c;max-mps=10000
a=rtpmap:34 H263/90000
a=rtpmap:96 H263-1998/90000
```

```
a=fmtp:96 SQCIF=1;QCIF=1;CIF=1;CIF4=2;F;J;T
a=rtpmap:31 H261/90000
a=fmtp:31 CIF=1;QCIF=1
```

Bob's codec has a lower bandwidth profile so it generates the answer as:

```
[Answer]
v=0
o=Bob 2890844730 2890844730 IN IP4 host.example.com
s=-
c=IN IP4 host.example.com
b=AS:300
t=0 0
m=audio 49920 RTP/AVP 18
b=TIAS:8000
a=rtpmap:18 G729A/8000
m=video 53000 RTP/AVP 109
b=TIAS:248000
a=rtpmap:109 H264/90000
a=fmtp:109 profile-level-id=42800c;max-mbps=10000
```

5.3.4.9.6.2 re-INVITE

During the call, Bob's codec was informed by other means that the network is congested so it creates a new offer with a further reduced bandwidth as:

```
[Offer]
v=0
o=Bob 2890844730 2890844731 IN IP4 host.example.com
s=-
c=IN IP4 host.example.com
b=AS:150
t=0 0
m=audio 49920 RTP/AVP 18
b=TIAS:8000
a=rtpmap:18 G729A/8000
m=video 53000 RTP/AVP 109
b=TIAS:120000
a=rtpmap:109 H264/90000
a=fmtp:109 profile-level-id=42800c;max-mbps=10000
```

Alice's codec accepts the changes:

```
[Answer]
v=0
o=Alice 1627471320 1627471321 IN IP4 192.168.100.146
s=-
c=IN IP4 192.168.100.146
b=AS:150
t=0 0
m=audio 49920 RTP/AVP 18
b=TIAS:8000
a=rtpmap:18 G729A/8000
m=video 53000 RTP/AVP 109
b=TIAS:120000
a=rtpmap:109 H264/90000
a=fmtp:109 profile-level-id=42800c;max-mbps=10000
```

5.3.4.9.7 *Video Conferencing Requirements*

5.3.4.9.7.1 **Audio and Video Codecs**

5.3.4.9.7.1.1 The AS-SIP video conferencing EIs **MUST** support, at minimum, G.711 Pulse Code Modulation mu-law (PCMU) where PCMU has a static payload type value of 0 and a clock rate of 8000.

5.3.4.9.7.1.2 It is **RECOMMENDED** that AS-SIP video conferencing EIs support other audio codecs in addition to G.711 PCMU. Recommended audio codecs include the following:

- G.722 where G.722 has a static payload type value of 9 and a clock rate of 8000
- G.722.1 where G.722.1 has the encoding name “G7221,” a clock rate of 16000, and a standard bit rate of 24 kbps or 32 kbps

An example of the sdp for G.722.1 with a bit rate of 24 kbps is:

```
m=audio 32450 RTP/AVP 122
a=rtpmap:122 G7221/16000
a=fmtp:122 bitrate=24000
```

5.3.4.9.7.1.3 **[Conditional]** If an AS-SIP video conferencing EI is intended for directly establishing video sessions with other video conferencing EIs (in addition to or in place

of connectivity to a multipoint video conferencing unit), then the AS-SIP video conferencing EI MUST support, at minimum, the H.263-2000 codec.

5.3.4.9.7.1.4 An AS-SIP video conferencing EI intended for connectivity with a Multipoint Conferencing Unit (MCU) MUST support at least one of the following video codecs:

- H.263-2000
- H.264
- H.261

NOTE: At this time, we have only specified the sdp parameter details for H.263-1998, H.263-2000, H.264, and H.261.

5.3.4.9.7.2 People and Content

People and Content refers to the implementation of role management of multiple media streams in a multipoint conference. There are three basic components to people and content as follows:

1. Establishment and association of multiple media streams to a multipoint conference session and the assignment of roles to the various media streams
2. A mechanism for dynamically managing the control of designated media streams, in particular “presentation” media streams, among the participants
3. Establishment of a control channel (including the assignment of some parameters) that is used by the mechanism for dynamically managing the control of the designated media streams

The functionality for Component 1, establishing the media streams and assignment of roles, and Component 3, establishing the control channel for dynamically managing control of particular media streams, is conducted in the AS-SIP signaling plane by the sdp offer/answer exchange; and therefore, the requirements are defined in this section of the UCR.

Component 2, dynamic management of the control of the media streams (the equivalent to the H.239 token passing), occurs in a control channel established either between two video conferencing EIs or between a video conferencing EI and a floor control server NOT in the AS-SIP signaling plane. The associated requirements are NOT covered in the AS-SIP signaling section of the UCR.

5.3.4.9.7.2.1 *Assignment of Roles to Media Streams*

5.3.4.9.7.2.1.1 AS-SIP video conferencing EIs and SIP MCUs/floor control servers MUST support the generation of the sdp “content” media-level attribute and the receipt/processing of the sdp “content” media-level attribute in accordance with RFC 4796.

The Augmented Backus-Naur Form for the “content” attribute is as follows:

content-attribute	= “a=content:” mediacnt-tag
mediacnt-tag	= mediacnt *(“,” mediacnt)
mediacnt	= “slides” / “speaker” / “sl” / “main” / “alt” / mediacnt-ext
mediacnt-ext	= token

5.3.4.9.7.2.1.2 The predefined “content” attribute values are as follows:

5.3.4.9.7.2.1.2.1 slides: A media stream that includes presentation slides. This is similar to the “presentation” role in H.239.

5.3.4.9.7.2.1.2.2 speaker: A media stream having an image of the speaker. The media can be, for example, a video stream or a still image. Typical use for this value is online seminars and courses.

5.3.4.9.7.2.1.2.3 sl: A media stream that contains sign language. The media type is a video stream. Typical use for this value is when the audio stream is translated into sign language.

5.3.4.9.7.2.1.2.4 main: The media stream is taken from the main source. Typical use for this value is a concert where the camera is shooting the performer.

5.3.4.9.7.2.1.2.5 alt: The media stream is taken from the alternative source. An example is the video of the conference room while the main is the video of the speaker. This is similar to the “live” role in H.239.

5.3.4.9.7.2.1.3 Each of the “content” attribute values MAY be used with any media type.

5.3.4.9.7.2.1.4 Any given “content” attribute value can occur more than once in a single session description.

5.3.4.9.7.2.1.5 Multiple “content” attribute values MAY be associated with a media stream.

5.3.4.9.7.2.1.6 The AS-SIP video conferencing EIs and MCUs can make decisions on how to handle a single media stream based on both the media type and the value of the “content” attribute.

5.3.4.9.7.2.1.7 If an AS-SIP video conferencing EI or MCU does not implement any special logic for the handling of a given media type and “content” value combination, then it MUST apply its default handling for the media type.

5.3.4.9.7.2.1.8 The “content” attribute describes the data that the end point generating the SDP session description intends to send over a particular media stream. It is NOT required that the “content” values for both directions of a media stream be the same. Therefore, the values of “content” attributes are NOT required to match in an offer and an answer.

5.3.4.9.7.2.1.9 An SDP answer MAY contain “content” attributes even if none were present in the offer.

5.3.4.9.7.2.1.10 An SDP answer MAY contain no “content” attributes even if it was present in the offer.

The following is an example of the SDP session description that uses the “content” attribute:

```
v=0
o=Alice 292742730 29277831 IN IP4 131.163.72.4
s=-
c=IN IP4 131.164.74.2
t=0 0
m=audio 25000 RTP/AVP 0
m=video 35000 RTP/AVP 31
a=content:alt
m=video 35100 RTP/AVP 31
a=content:slides
```

Supplemental MCU Guidelines

When AS-SIP video conferencing EIs engage in a multipoint conference having multiple video streams in which various AS-SIP video conferencing EIs assign different roles to video streams (i.e., assign different values to the “content” attributes associated with video streams), then it is

important to define a deterministic procedure whereby the MCU identifies, at minimum, the “presentation” channel and the “live” channel for each participant in the conference.

5.3.4.9.7.2.1.11 It is RECOMMENDED that the MCU match “presentation” video streams, “live” video streams, and additional video streams by using the following procedure:

- An MCU assigns the following priority value to each “content” attribute value where the priority ordering goes from one (i.e., the highest priority) to five (i.e., the lowest priority):

slides	= 1
sl	= 2
alt	= 3
speaker	= 4
main	= 5

- For any given participant, the video stream with highest priority (i.e., the smallest numeric value) is identified as the “presentation” video stream (also known as the content channel).
- For any given participant the video stream with the second highest priority (i.e., the next lowest numeric value) is identified as the “live” video stream.

5.3.4.9.7.2.1.11.1 If greater than two video streams are established with the MCU by at least two AS-SIP video conferencing EIs having differing “content” attribute values, then each video stream established by an AS-SIP video conferencing EI with the MCU is ordered based on its priority and matched with the similarly ordered media streams of the other AS-SIP video conferencing parties.

NOTE: The parties to a multipoint conference MAY have different numbers of video streams established with the MCU. Parties having the same number of video streams will receive a complete match using this procedure. If an AS-SIP video conferencing EI has more video streams established with the MCU than any other party to the multipoint conference, then the excess video streams having the lowest content priority will not be matched to any other party’s video stream.

5.3.4.9.7.2.1.11.2 In the event a media stream is assigned more than one value for the “content” attribute, then the MCU assigns a content priority equal to the arithmetic mean of the values for the “content” attribute.

5.3.4.9.7.2.1.11.3 As an AS-SIP video conferencing EI MAY assign the same “content” attribute value to more than one media stream, it is possible for multiple media streams to have the same content priority. In which case, the first such media stream in the sdp body SHOULD be treated as having higher priority than a later media stream in the sdp body.

5.3.4.9.7.2.1.11.4 When generating an sdp offer, AS-SIP video conferencing EIs SHOULD place their “live” video stream above the other video streams in the sdp body.

5.3.4.9.7.3 General H.224 Control Channel for Far-End Camera Control Messages

5.3.4.9.7.3.1 Whenever a session is established by AS-SIP video conferencing EIs in which the parties wish to support the transmission of H.281 far-end camera control (FECC) messages, then the AS-SIP video conferencing EIs MUST establish a udp-based 6.4 kbps H.224 over RTP control channel, over which the H.281 FECC messages are transmitted. The sdp details for negotiating the general H.224 over RTP control channel is based on RFC 4573.

5.3.4.9.7.3.2 To establish the general control channel, the sdp offer MUST include a media stream having the following fields:

“m=” line where the media type name is application.

a=rtpmap where the encoding name MUST be h224 and the encoding clock rate MUST be 4800.

“a=sendrecv” attribute or “a=sendonly” attribute where the offeror includes the “a=sendonly” attribute if its camera cannot be remotely controlled and if the offeror does not intend to use H.224 to learn the capabilities of the remote video end points. Otherwise, the offeror includes the “a=sendrecv” attribute.

Example

```
m=application 49170 RTP/AVP 120
a=rtpmap:120 h224/4800
a=sendrecv
```

5.3.4.9.7.3.3 To establish the general control channel, the sdp answer MUST include the following:

“m=” line where the media type name is application

a=rtpmap where the encoding name MUST be h224 and the encoding clock rate MUST be 4800

- If the offer included the “a=sendonly” attribute and the answerer supports FECC, then the answer includes the “a=recvonly” attribute.
- If the offer included the “a=sendonly” attribute and the answerer does not support FECC, then the answer rejects the H.224 general control channel offer.
- If the offer included the “a=sendrecv” attribute and the answerer camera can be remotely controlled or the answerer intends to use H.224 capabilities negotiation, then the answer includes the “a=sendrecv” attribute.
- If the offer included the “a=sendrecv” attribute and the answerer camera cannot be remotely controlled, then the answerer can respond with the “a=sendonly” attribute in the answer.
- If the offer included the “a=sendrecv” attribute and the answerer does not support FECC or does not intend to use FECC at the moment, then the answer rejects the H.224 general control channel offer.

Example

```
m=application 31234 RTP/AVP 120
a=rtpmap:120 h224/4800
a=sendrecv
```

5.3.4.9.7.3.4 The general control channel MUST be encrypted using SRTP, which requires the inclusion of the “a=crypto” attribute in the sdp offer and answer, as defined in RFC 4568.

5.3.4.9.7.3.4.1 NOTE: As we will be using SRTP to provide encryption and authentication of the RTP packets carrying the H.224 frames with H.281 FECC messages, the protocol field of the media line MUST be RTP/SAVP.

5.3.4.9.7.3.5 When the payload of the RTP/UDP packet is an H.224 frame with an H.281 FECC message, the standard client ID field of the H.224 frame MUST have the value 0x01 per ITU-T Recommendation H.224.

5.3.4.9.7.4 FECC

5.3.4.9.7.4.1 Whenever an AS-SIP video conferencing EI is involved in the establishment of a new session, then, as a default configuration, FECC MUST be disabled and the end user MUST be required to affirmatively signal an intention to enable FECC. The interactive mechanism by which the user signals this intention to enable FECC is left to the vendors.¹⁶

5.3.4.9.7.4.2 The AS-SIP video conferencing EI MUST provide the end user with a mechanism for disabling the FECC at any point during an active session.

5.3.4.9.7.4.3 An AS-SIP video conferencing EI that supports FECC MUST support the receipt and processing of the following six message types in accordance with ITU-T H.281, Section 5, Elements of Procedure, and Section 6, FECC Message Structure:

- START ACTION
- CONTINUE ACTION
- STOP ACTION
- SELECT VIDEO SOURCE
- STORE PRESET
- ACTIVATE PRESET

5.3.4.9.7.4.3.1 An AS-SIP video conferencing EI that sends FECC commands MUST support transmission of the START ACTION, CONTINUE ACTION, STOP ACTION, SELECT VIDEO SOURCE, STORE PRESET, ACTIVATE PRESET message types in accordance with ITU-T H.281, Section 6, FECC Message Structure.

5.3.4.9.7.5 SDP Attributes for Binary Floor Control Protocol Streams

RFC 4582 specifies the Binary Floor Control Protocol (BFCP) (for use in multipoint conferences) that uses a floor control server to manage the control of media streams that are shared resources (i.e., “floors”). In particular, RFC 4582 offers a mechanism for participants to obtain and release control of presentation streams providing functionality equivalent to the token passing in H.239.

¹⁶ Examples include pressing a physical button or switch, clicking on a virtual soft button, or selecting the video telephony option from a display menu when either entering the dial string or selecting the intended recipient from an electronic address book.

To implement RFC 4582, it is necessary to establish various attributes relating to the users and the shared resources (i.e., “floors”) during session establishment. RFC 4583 sets forth the sdp parameters that enable the users and floor control server to exchange BFCP messages.

RFC 4583 also defines a media line with a transport field for which two new values are specified: TCP/BFCP, TCP/TLS/BFCP. In this UCR, we have chosen NOT to adopt the transport method defined in RFC 4583. Instead we have chosen to adopt the UDP transport for BFCP, as defined in draft-sandbakken-xcon-bfcp-udp-00.

5.3.4.9.7.5.1 The “m” line for a BFCP stream MUST have a media field with the value “application,” a transport field with the value “UDP/BFCP,” and a format list having the value “*.”

NOTE: The format list for BFCP is ignored.

Example of “m” line

m = application 50000 UDP/BFCP *

5.3.4.9.7.5.2 The AS-SIP video conferencing EIs MUST NOT attempt to create a TCP (or TCP/TLS) connection for transporting BFCP with another AS-SIP video conferencing EI or with a floor control server. This means AS-SIP video conferencing EIs MUST NOT use the “setup” or “connection” attributes with the establishment of a transport for BFCP messages.

5.3.4.9.7.5.3 Floor control servers MUST NOT attempt to create a TCP (or TCP/TLS) connection for transporting BFCP with an AS-SIP video conferencing EI. This means floor control servers MUST NOT use the “setup” or “connection” attributes with the establishment of a transport for BFCP messages.

5.3.4.9.7.5.4 If an AS-SIP video conferencing EI or floor control server receives an sdp offer in which the offeror attempts to establish a TCP/BFCP or TCP/TLS/BFCP connection, then the AS-SIP video conferencing EI or floor control server MUST reject the media stream for the BFCP channel by setting the port value to zero in the sdp answer.

5.3.4.9.7.5.5 The AS-SIP video conferencing EIs and floor control servers MUST support the generation and the receipt/processing of the “floorctrl” sdp media-level attribute.

The Augmented Backus-Naur Form for the “floorctrl” attribute is as follows:

floor-control-attribute = "a=floorctrl:" role *(SP role)
role = "c-only" / "s-only" / "c-s"

5.3.4.9.7.5.6 When a user wishes to participate in the exchange of BFCP messages and the user’s AS-SIP video conferencing EI generates the sdp offer, then the offer **SHOULD** include the “floorctrl” attribute and the role the user is willing to assume:

- “c-only” (Floor control client only),
- “s-only” (Floor control server only), or
- “c-s” (Floor control client and floor control server).

5.3.4.9.7.5.7 When an AS-SIP video conferencing EI or floor control server receives an sdp offer that includes the “floorctrl” attribute, then:

- If the role in the offer is “c-only,” the recipient **MUST** respond with the role “s-only.”
- If the role in the offer is “s-only,” the recipient **MUST** respond with the role “c-only.”
- If the role in the offer is “c-s,” the recipient **MUST** respond with the role “c-s.”
- If the role in the offer is “c-only” “s-only,” the recipient **MUST** respond with either the role “s-only” or “c-only.”
- If the role in the offer is “c-only” “c-s,” the recipient **MUST** respond with either the role “s-only” or “c-s.”
- If the role in the offer is “s-only” “c-s,” the recipient **MUST** respond with either the role “c-only” or “c-s.”
- If the role in the offer is “c-only” “s-only” “c-s,” the recipient **MUST** respond with either the role “s-only” or “c-only” or “c-s.”

Example of the “floorctrl” attribute in an offer

a= floorctrl:c-only s-only c-s

5.3.4.9.7.5.8 When the floor control server generates the sdp offer, then the offer SHOULD include the “floorctrl” attribute and the role that the floor control server will be assuming is:

“s-only” (Floor control server only)

5.3.4.9.7.5.9 If the “floorctrl” attribute is not used in an offer/answer exchange, by default, the offerer and the answerer will act as a floor control client and as a floor control server, respectively.

5.3.4.9.7.4.10 The AS-SIP video conferencing EIs MUST support the receipt of the “confid” sdp media-level attribute in an sdp offer or answer from the floor control server.

The Augmented Backus-Naur Form for the “confid” attribute is as follows:

```
confid-attribute    = "a=confid:" conference-id
conference-id      = token
```

NOTE: The value of the “confid” attribute is used in the common header of the BFCP messages.

5.3.4.9.7.5.11 The AS-SIP video conferencing EIs MUST support the generation of the “confid” sdp media-level attribute in an sdp offer or answer (for use in a two-party conference between two videoconferencing EIs).

5.3.4.9.7.5.12 Floor control servers MUST support the generation and receipt of the “confid” sdp media-level attribute.

NOTE: In an sdp exchange between an AS-SIP video conferencing EI and a floor control server in which the AS-SIP video conferencing EI generates the sdp offer, the AS-SIP video conferencing EI SHOULD NOT include the “confid” attribute.

5.3.4.9.7.5.13 A floor control server SHOULD include the “confid” attribute in its sdp.

5.3.4.9.7.5.14 The AS-SIP video conferencing EIs MUST support the receipt of the “userid” sdp media-level attribute from the floor control server.

The Augmented Backus-Naur Form for the “userid” attribute is as follows:

```
userid-attribute    = "a=userid:" user-id
user-id            = token
```

NOTE: The value of the “userid” attribute is used in the common header of the BFCP messages.

5.3.4.9.7.5.15 The AS-SIP video conferencing EIs MUST support the generation of the “userid” sdp media-level attribute in an sdp offer or answer (for use in a two-party conference between two video conferencing EIs).

5.3.4.9.7.5.16 Floor control servers MUST support the generation and receipt of the “userid” sdp media-level attribute.

NOTE: In an sdp exchange between an AS-SIP video conferencing EI and a floor control server in which the AS-SIP video conferencing EI generates the sdp offer, the AS-SIP video conferencing EI SHOULD NOT include the “userid” attribute.

5.3.4.9.7.5.17 A floor control server SHOULD include the “userid” attribute in its sdp.

5.3.4.9.7.5.18 The AS-SIP video conferencing EIs and floor control servers MUST support the generation and receipt of the “floorid” sdp media-level attribute and the “label” attribute (RFC 4574).

The Augmented Backus-Naur Form for the “floorid” attribute is as follows:

floor-id-attribute = "a=floorid:" token [" mstrm:" token *(SP token)]

The Augmented Backus-Naur Form for the “label” attribute is as follows:

label-attribute = "a=label:" pointer
pointer = token
token = 1*(token-char)
token-char = %x21 / %x23-27 / %x2A-2B / %x2D-2E / %x30-39
/ %x41-5A / %x5E-7E

NOTE: The “floorid” attribute is used in BFCP “m” lines and associates a floor identifier with one or more media streams. The token representing the floor ID is the integer representation of the floor ID to be used in BFCP and the token representing the media stream is a pointer to the media stream, which is identified by an SDP label attribute.

5.3.4.9.7.5.19 A floor control server acting as an offerer or as an answerer SHOULD include the “floorid” and “label” in its session descriptions.

Example of sdp offer by floor control server

```
m = application 50000 UDP/BFCP *
a=floorctrl:s-only
a=confid:4321
a=userid:1234
a=floorid:1 m-stream:10
a=floorid:2 m-stream:11
m=audio 50002 RTP/AVP 0
a=label:10
m=video 50004 RTP/AVP 31
a=label:11
```

Example of an sdp answer by floor control client

```
m = application 38400 UDP/BFCP *
a=floorctrl:c-only
m=audio 55000 RTP/AVP 0
m=video 55002 RTP/AVP 31
```

NOTE: For UCR 2008, Change 1, the udp/bfcp stream is NOT encrypted or authenticated. It is anticipated that in the next version of the UCR, IPSec will be used to provide confidentiality and authentication to the udp/bfcp stream.

5.3.4.9.7.6 Video Channel Flow Control

5.3.4.9.7.6.1 RFC 4585 is the method adopted by this UCR for implementing video channel flow control. RFC 4585 is an extension of the audio-visual profile detailed in RFC 3550 that specifies mechanisms for receivers to provide new low-delay RTCP feedback messages to senders to more rapidly and more effectively provide feedback information on the quality of the received media streams. This timely feedback enables senders to conduct short-term adaptation and repair of the media streams associated with video conferencing.

5.3.4.9.7.6.2 The AS-SIP video conferencing EIs SHOULD use video channel flow control, and therefore, SHOULD comply with RFC 4585.

5.3.4.9.7.6.2.1 **[Conditional]** If an AS-SIP video conferencing EI provides video channel flow control, then the AS-SIP video conferencing EI MUST implement the requirements set forth in RFC 4585. In particular, the AS-SIP video conferencing EI MUST implement the following sections of RFC 4585:

- Section 3.1, Compound RTCP Feedback Packets
- Section 3.2, Algorithm Outline
- Section 3.3, Modes of Operation

- Section 3.4, Definitions and Algorithm Overview
- Section 3.5, AVPF RTCP Scheduling Algorithm and
 - All subsections 3.5.1 – 3.5.4, inclusive
- Section 3.6.1, ACK Mode
- Section 3.6.2, NACK Mode
- Section 4.1, Profile Identification
- Section 4.2, RTCP Feedback Capability Attribute
- Section 4.3, RTCP Bandwidth Modifiers
- Section 5, Interworking and Coexistence of AVP and AVPF Entities
- Section 6, Format of RTCP Feedback Messages
 - Section 6.1, Common Packet Format for Feedback Messages
 - Section 6.2, Transport Layer Feedback Messages, including
 - 6.2.1 Generic NACK)
 - Section 6.3, Payload-Specific Feedback Messages, including
 - 6.3.1, Picture Loss Indication (PLI) and its subsections
 - 6.3.2, Slice Loss Indication (SLI) and its subsections
 - 6.3.3, Reference Picture Selection Indication (RPSI) and its subsections
 - 6.4, Application Layer Feedback Messages

NOTE: This section of the UCR (i.e., Section 5.3.4.9.10) only furnishes detailed requirements relating to the signaling plane; in particular the sdp requirements and the sdp offer/answer exchange rules used to negotiate the RTCP feedback messages to be used with specified media streams in a given video conferencing session.

5.3.4.9.7.6.3 An AS-SIP video conferencing EI that implements the RFC 4585 extension to the audio-visual profile MUST support the generation and receipt/processing of the sdp attribute “a=rtcp-fb” where the “rtcp-fb” attribute MUST only be used as an sdp media-level attribute and never as a session-level attribute.

The Augmented Backus-Naur Form for the “rtcp-fb” attribute is as follows:

```
rtcp-fb-syntax      = "a=rtcp-fb:" rtcp-fb-pt SP rtcp-fb-val CRLF

rtcp-fb-pt          = "*" ; wildcard: applies to all formats
                    / fmt ; as defined in SDP spec

rtcp-fb-val         = "ack" rtcp-fb-ack-param
                    / "nack" rtcp-fb-nack-param
                    / "trr-int" SP 1*DIGIT
                    / rtcp-fb-id rtcp-fb-param
```

rtcp-fb-id = 1*(alpha-numeric / "-" / "_")

rtcp-fb-param = SP "app" [SP byte-string]
/ SP token [SP byte-string]
/ ; empty

rtcp-fb-ack-param = SP "rpsi"
/ SP "app" [SP byte-string]
/ SP token [SP byte-string]
/ ; empty

rtcp-fb-nack-param = SP "pli"
/ SP "sli"
/ SP "rpsi"
/ SP "app" [SP byte-string]
/ SP token [SP byte-string]
/ ; empty

NOTE: The feedback type “ack” MAY only be used in two-party sessions and MAY be used either with or without the “rpsi” parameter.

NOTE: The feedback type “nack” without parameters indicates the use of the generic “nack” feedback format. The feedback type “nack” with parameters “pli,” “sli,” or “rpsi” indicates support for the specified payload-specific feedback messages where:

“pli” indicates the Picture Loss Indication feedback message
“sli” indicates the Slice Loss Indication feedback message
“rpsi” indicates the Reference Picture Selection Indication feedback message

NOTE: The feedback type “tr-int” specifies the minimum interval, T_rr_interval, between two regular (full compound) RTCP packets in milliseconds for this media session. If “tr-int” is not specified, a default value of zero is assumed.

5.3.4.9.7.6.4 The wildcard payload type (“*”) MAY be used to indicate that the RTCP feedback attribute applies to all payload types.

Example

```
m=video 51372 RTP/AVPF 98 99
c=IN IP4 224.2.1.184
a=rtpmap:98 H263-1998/90000
a=rtpmap:99 H261/90000
a=rtcp-fb:* nack
```

In this case the wildcard “*” indicates that the sender supports a generic “nack” for both H.263-1998 and for H.261.

5.3.4.9.7.6.5 In the event that several types of feedback are supported and/or the sender wishes to offer the same feedback for a subset of the payload types, then several “a=rtcp-fb” lines **MUST** be used.

Example of 2 types of feedback:

```
m=video 51372 RTP/AVPF 98 99
c=IN IP4 224.2.1.184
a=rtpmap:98 H263-1998/90000
a=rtpmap:99 H261/90000
a=rtcp-fb:* nack
a=rtcp-fb:98 nack rpsi
```

The sender supports generic “nack” feedback for H.263-1998 and for H.261; the sender supports Reference Picture Selection Indication feedback for H.263-1998.

5.3.4.9.7.6.6 When an AS-SIP video conferencing EI receives an sdp offer having “rtcp-fb” attributes, then the AS-SIP video conferencing EI **MUST** ignore any “rtcp-fb” attribute if any of the values for a given “rtcp-fb” attribute line is not understood. In other words, the entire attribute line “a=rtcp-fb” **MUST** be ignored if any value in the line is not understood.

5.3.4.9.7.6.7 When an AS-SIP video conferencing EI receives an sdp offer having “rtcp-fb” attributes, then the AS-SIP video conferencing EI **MUST** remove all attribute lines it does not understand as well as those it does not support in general or does not wish to use in this particular media session when generating the sdp answer.

5.3.4.9.7.6.8 When an AS-SIP video conferencing EI receives an sdp offer, then the AS-SIP video conferencing EI **MUST NOT** add feedback parameters to the media description and **MUST NOT** alter the values of the feedback parameters.

5.3.4.9.7.6.9 The offerer and answerer **MUST** only use the feedback mechanisms negotiated in the sdp offer/answer exchange.

5.3.4.9.7.6.10 The IP video conferencing EIs **MUST** silently discard all RTCP feedback messages that they do not understand.

5.3.4.9.7.7 Video Channel Fast Update Requests

5.3.4.9.7.7.1 The Full Intra Request (FIR) payload-specific feedback message specified in RFC 5104 Codec Control Messages in the RTP Audio-Visual Profile with Feedback (AVPF) is the method adopted by this UCR for implementing video channel fast update requests.

NOTE: A video channel fast update request is sent by a receiver of a video stream (that needs to resynchronize the stream) to the sender of the given video stream and constitutes a request to the sender to send a full frame or intra frame at the earliest opportunity. (Intra pictures in the case of H.261, H.263; Instantaneous Decoder Refresh (IDR) pictures in the case of H.264)

5.3.4.9.7.7.2 The AS-SIP video conferencing EIs SHOULD support the generation and receipt/processing of FIR feedback messages per RFC 5104.

5.3.4.9.7.7.3 **[Conditional]** If an AS-SIP video conferencing EI implements fast update requests then the AS-SIP video conferencing EI MUST comply with the requirements set forth in RFC 5104, Section 3.5.1, Full Intra Request Command, Section 3.5.1.1, Reliability, Section 4.3, Payload-Specific Feedback Messages, Section 4.3.1, Full Intra Request (FIR) and its subsections 4.3.1.1 to 4.3.1.5 inclusive, Section 7.1, Extension of the rtcp-fb Attribute, and Section 7.2, Offer-Answer.

5.3.4.9.7.7.3.1 An AS-SIP video conferencing EI that implements fast update requests MUST support the new feedback type “ccm” (i.e., codec control message) and the associated parameter “rtcp-fb-ccm-param” having the value “fir”.

The Augmented Backus-Naur Form for rtcp-fb-val (which is defined in RFC 4585 and copied in UCR Section 5.3.4.9.10) is extended to support FIR commands as follows:

rtcp-fb-val =/"ccm" rtcp-fb-ccm-param

rtcp-fb-ccm-param = SP "fir" ; Full Intra Request

5.3.4.9.7.7.4 When an AS-SIP video conferencing EI wishes to employ fast update requests for one or more video streams, the AS-SIP video conferencing EI MUST generate an sdp offer with the ‘rtcp-fb’ attribute with feedback type “ccm” and rtcp-fb-ccm-param with value “fir” associated with said video stream(s).

5.3.4.9.7.7.5 When an AS-SIPvideo conferencing EI receives an sdp offer with the ‘rtcp-fb’ attribute with feedback type “ccm” and rtcp-fb-ccm-param with value “fir” associated with one or more video streams and the AS-SIPvideo conferencing EI understands the “rtcp-fb” attribute, is capable of generating and receiving/processing the FIR payload-specific feedback message, and wishes to do so then the AS-SIPvideo conferencing EI MUST respond by including the identical “rtcp-fb” attribute in the sdp answer.

Example offer:

```
v=0
o=alice 3203093520 3203093520 IN IP4 host.example.com
s=Offer/Answer
c=IN IP4 192.0.2.124
m=audio 49170 RTP/AVP 0
a=rtpmap:0 PCMU/8000
m=video 51372 RTP/AVPF 98
a=rtpmap:98 H263-1998/90000
a=rtcp-fb:98 ccm fir
```

Example answer:

```
v=0
o=alice 3203093520 3203093524 IN IP4 otherhost.example.com
s=Offer/Answer
c=IN IP4 192.0.2.37
m=audio 47190 RTP/AVP 0
a=rtpmap:0 PCMU/8000
m=video 53273 RTP/AVPF 98
a=rtpmap:98 H263-1998/9000
a=rtcp-fb:98 ccm fir
```

5.3.4.9.7.7.6 When an AS-SIPvideo conferencing EI that does not understand or support the FIR feedback message receives an sdp offer that advertises the capability to support FIR (i.e., rtcp-fb attribute with feedback type “ccm” and rtcp-fb-ccm-param with value “fir”) for one or more video streams then the AS-SIP video conferencing EI MUST remove the FIR “rtcp-fb” attribute lines when generating the sdp answer.

5.3.4.9.7.7.7 When an AS-SIP video conferencing EI that supports FIR feedback messages receives an sdp offer that advertises the capability to support FIR (i.e., rtcp-fb attribute with feedback type “ccm” and rtcp-fb-ccm-param with value “fir”) for one or more video streams and the AS-SIPvideo conferencing EI does not wish to support Full Intra Request feedback messages for some or all of the video streams then, in the sdp

answer, the AS-SIP video conferencing EI MUST remove the FIR “a= rtcp-fb” attribute lines associated with those video streams for which it does not want to support FIR feedback messages for this particular session.

5.3.4.9.7.7.8 When an AS-SIP video conferencing EI receives an sdp offer the AS-SIP video conferencing EI MUST NOT add new FIR “rtcp-fb” attribute lines to any media descriptions in the sdp answer.

5.3.4.10 *Precedence and Preemption*

5.3.4.10.1 *Introduction*

This section provides the detailed requirements for the execution of preemption and the handling of precedence information.

5.3.4.10.2 *Precedence Level Communicated over SIP Signaling*

This section of the UCR 2008 incorporates a number of modifications to RFC 4412. In particular, a modification has been made to the fields of the Resource-Priority header whereby the namespace has been subdivided into two subfields: network-domain and precedence-domain; separated by a dash delimiter (-) (ASCII 45d). This modification affects the processing rules for the Resource-Priority header. In addition, single character text string representations of decimal digits have been defined for the r-priority values associated with the “uc” network domain.

5.3.4.10.2.1 **Resource-Priority Header Field**

The precedence level of the call request MUST be set forth in a SIP Resource-Priority header field whose syntax is in accordance with RFC 4412, as modified in UCR 2008, Section 5.3.4.10.2, Precedence Level Communicated over SIP Signaling. [RFC 4412, Section 3.1, The ‘Resource-Priority’ Header Field]

Resource-Priority	= "Resource-Priority" HCOLON r-value *(COMMA r-value)
r-value	= namespace "." r-priority
namespace	= 1*10token-nodot “-“ 6*precedence-domain
r-priority	= 1*token-nodot
precedence-domain	= "0" / "1" / "2" / "3" / "4" / "5" / "6" / "7" / "8" / "9" / "A" / "B" / "C" / "D" / "E" / "F"
token-nodot	= 1*(alphanum / "!" / "%" / "*" / "_" / "+" / "\" / "" / "~")
alphanum	= ALPHA / DIGIT
HCOLON	= “:” SWS

COMMA	= SWS “,” SWS
SWS	= [LWS]
LWS	= [*WSP CRLF] 1*WSP
WSP	= SP / HTAB
CRLF	= CR LF
ALPHA	= %x41-5A / %x61-7A ; A-Z / a-z
DIGIT	= %x30-39 ; 0-9
SP	= %x20
HTAB	= %x09
CR	= %x0D
LF	= %x0A

Example of Resource-Priority header field whose network-domain subfield is “uc,” whose r-priority field has the value “priority,” and whose precedence-domain subfield is “000000”:

Resource-Priority: uc-000000.2

NOTE: The r-priority values assigned to the various precedence levels of the “uc” network domain consist of a single-character text string for an authorized decimal digit.

5.3.4.10.2.1.1 Namespace

5.3.4.10.2.1.1.1 The namespace consists of two subfields: network-domain and precedence-domain, which are separated by the dash delimiter (-) (which is ASCII 45d).

5.3.4.10.2.1.1.2 The network-domain subfield identifies the applicable priority scheme and dictates the set of legitimate values that may be assigned to the accompanying r-priority field.

5.3.4.10.2.1.1.3 **[Until 2012]** The AS-SIP signaling appliances and AS-SIP EIs are only required to recognize the “uc” network domain.

5.3.4.10.2.1.1.3.1 (Classified only) [Until 2012] The AS-SIP signaling appliances and AS-SIP EIs in the Classified network are only required to recognize the “cuc” network domain.

5.3.4.10.2.1.1.3a **[2012+]** The AS-SIP signaling appliances are required to be capable of recognizing a maximum of 50 valid network domains and each network domain identifier can be a maximum of 10 characters in length.

5.3.4.10.2.1.1.3b [2012+] The AS-SIP EIs are required to be capable of recognizing a maximum of 50 valid network domains and each network domain identifier can be a maximum of 10 characters in length.

5.3.4.10.2.1.1.4 The set of valid network domains for a given AS-SIP signaling appliance SHALL be configurable by the network administrator of an AS-SIP signaling appliance at installation and at any time thereafter. Network domains are configurable on a per appliance basis whereby one set of valid network domains applies to all IP EIs served by a given AS-SIP signaling appliance.

5.3.4.10.2.1.1.4a The set of valid network domains for a given AS-SIP EI SHALL be configurable by the network administrator at installation and at any time thereafter.

5.3.4.10.2.1.1.5 The precedence-domain subfield is a string of six alphanumeric characters from the set: “0,” “1,” “2,” “3,” “4,” “5,” “6,” “7,” “8,” “9,” “A,” “B,” “C,” “D,” “E,” and “F.”

5.3.4.10.2.1.1.6 Call requests are permitted to preempt other call requests and other calls that have the same value for the precedence-domain subfield.

NOTE: After 2012 when there can be a variety of valid values for the network-domain subfield, then a call request having one value for the network-domain subfield can preempt a lesser precedence existing call or call request having a different value for the network-domain subfield as long as the value for the precedence-domain subfield of the new call request is the same as for the existing call.

5.3.4.10.2.1.1.7 Call requests are NOT permitted to preempt other call requests and other calls that have a different value for the precedence-domain subfield.

5.3.4.10.2.1.1.8 When a circumstance arises whereby an AS-SIP signaling appliance receives a precedence call request and is called on to make a preemption decision, the AS-SIP signaling appliance can only preempt calls of lesser precedence that have the same value for the precedence-domain as the pending precedence call request.

5.3.4.10.2.1.1.9 The default value for the precedence-domain field is “000000.”

5.3.4.10.2.1.2 r-priority

5.3.4.10.2.1.2.1 To protect against external inferences regarding the precedence level of SIP signaling messages that, in part, exploit analysis of the length of encrypted messages, a one-to-one correspondence has been defined between each precedence level in the “uc” network domain and a single character text string representation of a decimal value (see [Table 5.3.4.10-1](#)).

5.3.4.10.2.1.2.2 Whenever a Resource-Priority header field has a network domain subfield with the value “uc” then the r-priority value must be the single character text string representation of the decimal digit corresponding to the intended precedence level as depicted in [Table 5.3.4.10-1](#), r-priority Values.

5.3.4.10.2.1.2.3 [Until 2012] The AS-SIP signaling appliances and AS-SIP EIs MUST support the r-priority values ‘0’, ‘2’, ‘4’, ‘6’, and ‘8’ for the network domain “uc.”

Table 5.3.4.10-1. r-priority Values

r-priority	CORRESPONDING DECIMAL VALUE
routine	‘0’
priority	‘2’
immediate	‘4’
flash	‘6’
flash-override	‘8’

5.3.4.10.2.1.2.4 [2012+] The single-character text string representations of ‘1’, ‘3’, ‘5’, ‘7’, and ‘9’ will be valid r-priority values for the network domain “uc.”

Classified Only: Requirements 5.3.4.10.2.1.2.5–5.3.4.10.2.1.2.8

5.3.4.10.2.1.2.5 To protect against external inferences regarding the precedence level of SIP signaling messages that, in part, exploit analysis of the length of encrypted messages, a one-to-one correspondence has been defined between each precedence level in the “cuc” network domain and a single-character text string representation of a decimal value (see [Table 5.3.4.10-2](#)).

Table 5.3.4.10-2. r-priority Values

r-priority	CORRESPONDING DECIMAL VALUE
routine	‘0’
priority	‘2’
immediate	‘4’
flash	‘6’
flash-override	‘8’
flash-override-override	‘9’

5.3.4.10.2.1.2.6 Whenever a Resource-Priority header field has a network domain subfield with the value “cuc” then the r-priority value must be the single-character text

string representation of the decimal digit corresponding to the intended precedence level as depicted in [Table 5.3.4.10-2](#), r-priority Values.

5.3.4.10.2.1.2.7 [Until 2012] The AS-SIP signaling appliances MUST support the r-priority values ‘0’, ‘2’, ‘4’, ‘6’, ‘8’, and ‘9’ for the network domain “cuc”.

5.3.4.10.2.1.2.8 [2012+] The single-character text string representations of ‘1’, ‘3’, ‘5’, and ‘7’ will be valid r-priority values for the network domain “cuc”.

5.3.4.10.2.2 Requirements for LSCs Serving IP EIs

The LSCs serving IP EIs refer to LSCs and to the LSC component of SSs that directly serve IP EIs.

NOTE: For the classified network, the default network-domain subfield for Until 2012 is “cuc.” The requirements set forth in Section 5.3.4.10.2.2 are made applicable to the classified network by substituting “cuc” for “uc” in each requirement.

SIP Message without Resource-Priority Header Received from Served SIP EI

5.3.4.10.2.2.1 [Until 2012] Whenever an LSC receives an INVITE, UPDATE, or REFER request from a served SIP EI that does not contain a Resource-Priority header field, the LSC MUST add a Resource-Priority header having one resource value (i.e., namespace.r-priority). The LSC assigns the appropriate values for the namespace (i.e., network-domain subfield: “uc” and precedence-domain subfield: “000000”) and for the r-priority field in accordance with the dialed string and local policy). In the case of precedence call requests, the LSC MUST authenticate the requester and determine that the requester is authorized to use the precedence level before forwarding the SIP message. If these conditions are not met, then UCR 2008, [Section 5.3.4.10.2.4](#), Error Conditions, subsections [Authentication Failure](#) and [Authorization Failure](#) detail the error handling.

5.3.4.10.2.2.2 [After 2012] Whenever the LSC on the UC network receives an INVITE, UPDATE, or REFER request from a served SIP EI that does not contain a Resource-Priority header field, the LSC MUST add a Resource-Priority header and MAY add multiple Resource-Priority headers as dictated by local policy. A given Resource-Priority header MUST contain a resource value (i.e., namespace.r-priority) and MAY contain multiple resource values as dictated by local policy.

SIP Message with Resource-Priority Header Received from Served AS-SIP EI or SIP End Instrument

5.3.4.10.2.2.3 [2010-2012] Whenever an LSC receives a call signaling message from a served AS-SIP EI (which always includes a Resource-Priority header when it creates an INVITE, UPDATE, or REFER request) or a served SIP EI having a Resource-Priority header, the LSC MUST ensure that the Resource-Priority header field contains a namespace having a valid network domain subfield, a valid precedence-domain subfield, and an authorized r-priority value for the given network domain subfield.

The value of the network-domain subfield received from a directly served AS-SIP EI or SIP EI is considered valid when it is known to the LSC and when its use by the AS-SIP EI or SIP EI is consistent with local policy. For LSCs in the 2010-2012 time frame, the valid value for the network-domain subfield for an AS-SIP message originating at a directly served AS-SIP EI or SIP EI is “uc”.

The precedence-domain subfield MAY be absent from the Resource-Priority header field in which case it is assumed to be the default text string “000000.”

A precedence-domain subfield received from a directly served AS-SIP EI or SIP EI is considered valid when it has a conformant syntax and when the value is consistent with local policy. For 2010–2012, the valid value for a precedence-domain subfield in the namespace of a Resource-Priority header in an AS-SIP message originating from a served AS-SIP EI or SIP EI is the text string “000000.”

5.3.4.10.2.2.3.1 [2010– 2012] In the event the LSC does not recognize the network domain, then the LSC will substitute the valid network-domain subfield (i.e., “dsn”) and set the value in the r-priority field to “0” (routine).

5.3.4.10.2.2.3.2 [2010– 2012] In the event the precedence-domain subfield in the Resource-Priority header received from the served AS-SIP EI or SIP EI has a value other than the text string “000000,” the LSC MUST change the value to the text string “000000.”

5.3.4.10.2.2.3.3 [2010– 2012] In the event the LSC recognizes the network domain and the network domain is considered valid in accordance with local policy, then the r-priority field MUST have a value that is from the authorized set of r-priority values for the given network domain. In 2010–2012, the only valid network domain for an AS-SIP message originating at a served AS-SIP EI or SIP EI is “uc” and the set of authorized r-priority values are ‘0’, ‘2’, ‘4’, ‘6’, and ‘8’. When the value in the r-priority field is not valid, then the AS-SIP signaling appliance sets the r-priority field to “0.” In the case of precedence call requests,

the LSC MUST authenticate the requester and determine that the requester is authorized to use the precedence level before forwarding the SIP message. If these conditions are not met, then UCR 2008, [Section 5.3.4.10.2.4](#), Error Conditions, subsections [Authentication Failure](#) and [Authorization Failure](#), detail the error handling.

5.3.4.10.2.2.3.4 [2010–2012] In the event the LSC receives a SIP message from a served AS-SIP EI or SIP EI with a Resource-Priority header having multiple resource values (i.e., resource value = namespace.r-priority) or a SIP message having multiple Resource-Priority headers then:

- If none of the resource values has a network domain of “uc” the LSC MUST remove all the existing Resource-Priority headers and replace them with one Resource-Priority header having a network domain of “uc” and an r-priority value of “0”. The precedence-domain subfield MUST be assigned the text string “000000.”
- If one of the resource values has a network domain of “uc” the LSC MUST remove all but that one Resource-Priority header and place the resource value with “uc” into the Resource-Priority header. If the precedence-domain subfield is any value other than the text string “000000,” then it must be replaced with the text string “000000.” The r-priority field MUST have an authorized value (i.e., for “uc” the authorized values are ‘0’, ‘2’, ‘4’, ‘6’, and ‘8’), and if it does not, then the r-priority field will be assigned the value ‘0’. In the case of precedence call requests, the LSC MUST authenticate the requester and determine that the requester is authorized to use the precedence level before forwarding the SIP message. If these conditions are not met, then UCR 2008, [Section 5.3.4.10.2.4](#), Error Conditions, subsections [Authentication Failure](#) and [Authorization Failure](#), detail the error handling.
- If “uc” appears in more than one resource value, then the AS-SIP signaling appliance MUST remove all the Resource-Priority headers and replace them with one Resource-Priority header populated with a resource value having “uc” in the network domain and ‘0’ in the r-priority field. For 2010–2012, the precedence domain field MUST be the text string “000000.”

5.3.4.10.2.2.4 [After 2012] Whenever an LSC receives a call signaling message from a served AS-SIP EI or SIP EI having one or more Resource-Priority headers (whereby each Resource-Priority header has one or more resource values), the LSC MUST:

5.3.4.10.2.2.4.1 [After 2012] Remove the resource values where the network-domain subfield is not valid (i.e., resource values whose network domain is either

not known to the LSC or is known but its use by the AS-SIP EI or SIP EI is not consistent with local policy).

5.3.4.10.2.2.4.2 [After 2012] Whenever all resource values in a Resource-Priority header are deemed invalid, then the Resource-Priority header is removed.

5.3.4.10.2.2.4.3 [After 2012] If there are no valid resource values thereby resulting in the removal of all Resource-Priority headers, then the LSC MUST add a Resource-Priority header and MAY add multiple Resource-Priority headers as dictated by local policy. A given Resource-Priority header MUST contain a resource value (i.e., namespace.r-priority) and MAY contain multiple resource values as dictated by local policy.

5.3.4.10.2.2.4.4 [After 2012] When the removal of resource values due to invalid network domain IDs results in the retention of one or more resource values, the corresponding r-priority value in each case MUST be authorized for the given network domain. In the event an r-priority value is not authorized, then it is replaced with the lowest precedence value for that network domain. In the case of precedence call requests, the LSC MUST authenticate the requester and determine that the requester is authorized to use the precedence level before forwarding the SIP message. If these conditions are not met, then UCR 2008, [Section 5.3.4.10.2.4](#), Error Conditions, subsections [Authentication Failure](#) and [Authorization Failure](#), detail the error handling. The precedence-domain subfield received from a served AS-SIP EI or SIP EI is considered valid when it has conformant syntax and when the value is consistent with local policy. If the LSC determines that a given precedence-domain field is invalid, then the LSC MUST replace it with a value that is consistent with local policy.

Call Request Received from Served H.323 or Proprietary IP EI

5.3.4.10.2.2.5 [2010–CY 2012] Whenever an LSC receives a call signaling message from a served H.323 or proprietary IP EI that is translated into a SIP INVITE, UPDATE, or REFER request, the AS-SIP signaling appliance MUST add a Resource-Priority header having one resource value (i.e., namespace.r-priority). The LSC assigns the appropriate values for the namespace (i.e., network-domain subfield: “uc” and precedence-domain subfield: “000000”) and for the r-priority field in accordance with the dialed string and local policy. In the case of precedence call requests, the LSC MUST authenticate the requester and determine that the requester is authorized to use the precedence level before forwarding the SIP message. If the request is not authenticated, the LSC MUST give the requester the opportunity to conduct the necessary authentication, and if the requester is not authorized to use the requested precedence level, then the Unauthorized Precedence Announcement (UPA) shall be announced

and/or displayed to the user (see [Table 5.3.4.10-3](#), Announcements) through the EI. For switch name and location, the announcement shall indicate the local LSC serving the IP EI. Then the call request MUST be terminated.

5.3.4.10.2.2.6 [After 2012] Whenever the LSC receives a call signaling message from a served H.323 or proprietary IP EI that is translated into a SIP INVITE, UPDATE, or REFER request, the LSC MUST add a Resource-Priority header and MAY add multiple Resource-Priority headers as dictated by local policy. A given Resource-Priority header MUST contain a resource value (i.e., namespace.r-priority) and MAY contain multiple resource values as dictated by local policy.

Table 5.3.4.10-3. Announcements

ANNOUNCEMENT CONDITION	ANNOUNCEMENT
An equal or higher precedence call is in progress	Blocked Precedence Announcement (BPA). “(Local LSC name and Location) or “(Remote AS-SIP signaling appliance name and location) Equal or higher precedence calls have prevented completion of your call. Please hang up and try again. This is a recording. (Local LSC name and Location) or (Remote AS-SIP signaling appliance name and location)”
Unauthorized Precedence level is attempted	Unauthorized Precedence Level Announcement (UPA). “(Local LSC name and Location). The precedence used is not authorized for your line. Please use an authorized precedence or ask your attendant for assistance. This is a recording. (Local LSC name and Location).”
Busy station not equipped for preemption	Busy Not Equipped Announcement (BNEA). “(Remote AS-SIP signaling appliance name and location). The number you have dialed is busy and not equipped for call waiting or preemption. Please hang up and try again. This is a recording. (Remote AS-SIP signaling appliance name and location).”
Attendant Queue Announcement (ATQA)	“This is the <site name> Local Session Controller. All attendants are busy now. Please remain on the line until an attendant becomes available or try your call later. This is a recording. <site name> Local Session Controller.”

AS-SIP Message with Resource-Priority Header for Served IP EI

5.3.4.10.2.2.7 [2010 - 2012] The LSCs serving IP EIs MUST support receiving and processing the Resource-Priority header field to determine whether to deny a call request or to preempt an existing call or call request and, if so, to create and send the appropriate signaling messages to implement the denial or preemption. See UCR 2008, [Section 5.3.4.10.3](#), ASAC Preemption Requirements, for the details of the preemption requirements on AS-SIP signaling appliances.

5.3.4.10.2.2.8 [After 2012] When an LSC receives an AS-SIP message intended for a served IP EI and there are either multiple Resource-Priority headers or multiple resource values within a Resource-Priority header and the LSC recognizes more than one resource value having a valid network domain (where the value in the network-domain subfield is considered valid if it is one of the known network domains with which the LSC has been configured) then:

5.3.4.10.2.2.8.1 The LSC selects the valid namespace having the highest associated priority level. [RFC 4412, Section 8.1]

5.3.4.10.2.2.8.2 In the event the valid namespaces with the highest priority levels are equivalent, then the LSC selects one of these network domains based on local policy.

5.3.4.10.2.3 Option Tag “resource-priority”

5.3.4.10.2.3.1 The LSCs serving H.323 or proprietary IP EIs **MUST** support the option tag “resource-priority” for use with the Require header field.

5.3.4.10.2.3.1.1 The LSCs **MUST** receive and accept a Require header field with the option tag “resource-priority” in the INVITE, UPDATE, and REFER messages on behalf of the H.323 and proprietary EIs. The LSCs **MUST NOT** reject the message with a 420 (Bad Extension) response, but rather they **MUST** accept the request and convert it as required for the non-SIP IP EI.

5.3.4.10.2.3.2 The LSCs serving SIP EIs that do not implement the Resource-Priority header **MUST** handle the option tag “resource-priority” in the Require header field on behalf of their served SIP EIs. The mechanism used by the LSC is left to vendor-specific implementation.

5.3.4.10.2.3.3 The AS-SIP EIs **MUST** support generating and receiving/processing the option tag “resource-priority” in the Require header field. The AS-SIP EIs **MUST** also support receiving the option tag “resource-priority” in the Unsupported header.

5.3.4.10.2.4 Error Conditions

LSC Serving IP EI: Improper Network-Domain, Priority Value, or Precedence-Domain

NOTE: For the classified network, the default network-domain subfield for 2010–2012 is “cuc.” The requirements set forth in Section 5.3.4.10.2.4 are made applicable to the classified network by substituting “cuc” for “uc” in each requirement.

2010–2012

5.3.4.10.2.4.1 [2010–2012] When the LSC receives a SIP request with a Resource-Priority header intended for a served IP EI and the SIP request either does not have a Require header or has a Require header but not the option tag “resource-priority,” then:

5.3.4.10.2.4.1.1 If the LSC does not recognize the value of the network-domain subfield of the namespace, then the network-domain subfield is treated as if it were set to “uc” and the r-priority value is treated as if it were set to “0”. If the precedence-domain subfield is either syntactically flawed or does not consist of the text string “000000,” then it is still treated as if it had the value of “000000.”

Implementation Note: One valid implementation for treating the precedence-domain subfield as if it had the value “000000” is for the LSC to change the precedence-domain subfield to “000000.” It is by no means required that the LSC convert the precedence-domain subfield to “000000,” but it is an allowed method for complying with the requirement. This implementation note applies to every requirement in the UCR that specifies the LSC is to treat the precedence-domain subfield as if it had the value “000000.”

5.3.4.10.2.4.1.2 If the LSC recognizes the value of the network-domain subfield of the namespace but the r-priority field does not have an authorized value for the given network domain, then the r-priority value is treated as if it were set to the lowest priority level. If the precedence-domain subfield is either syntactically flawed or does not consist of the text string “000000,” then it is still treated as if it had the value “000000.”

5.3.4.10.2.4.1.3 If the LSC receives a namespace with a recognized network domain and an authorized r-priority value where the precedence-domain subfield has a text string that is either syntactically invalid or does not consist of the text string “000000,” then the precedence-domain subfield is treated as if it were set to the text string “000000.”

5.3.4.10.2.4.2 [2010–2012] When the LSC receives a SIP request with a Resource-Priority header intended for a served IP EI and the SIP request has a Require header field with an option tag “resource-priority,” then:

5.3.4.10.2.4.2.1 If the LSC does not recognize the value of the network-domain subfield of the namespace, then the LSC MUST reject the request with a 417 (Unknown Resource-Priority) response code.

5.3.4.10.2.4.2.2 If the LSC recognizes the value of the network-domain subfield but the value of the r-priority field is not valid for the given network domain, then the r-priority value is treated as if it were set to the lowest priority level. If the precedence-domain subfield has a text string that is syntactically invalid or does not consist of the text string “000000,” then the precedence-domain subfield is treated as if it were set to “000000.”

5.3.4.10.2.4.2.3 If the LSC recognizes the value of the network domain and the r-priority field has an authorized value for the network domain but the precedence-domain subfield has a text string that is syntactically invalid or does not consist of the text string “000000,” then the precedence-domain subfield is treated as if it were set to “000000.”

5.3.4.10.2.4.3 **[After 2012]** When the LSC receives a SIP request with one or more Resource-Priority headers intended for a served IP EI and the SIP request either does not have a Require header or has a Require header but not the option tag “resource-priority,” then:

5.3.4.10.2.4.3.1 If there is either one Resource-Priority header with at least two namespaces, or if there are two or more Resource-Priority headers (i.e., two or more different namespaces) and the LSC does not recognize the value of the network-domain subfield in any of the namespaces, then the LSC **MUST** treat the network domain as if it were “uc” and treat the r-priority value as if it were set to “0.” If the precedence-domain subfield has a text string that is syntactically invalid, then the precedence-domain subfield is treated as if it were set to “000000.”

5.3.4.10.2.4.3.2 If there are multiple resource values having valid network domains, then in any case where the r-priority value associated with the valid network domain is not an authorized value, it is treated as if it were set to the lowest priority level. When the priority levels of the resource values are compared to determine which resource value will be used for precedence and preemption purposes, then the resource values with valid network domains but having unauthorized r-priority values will be treated as having the lowest precedence level when the comparison is made, and the resource value with the highest priority will be selected. In each case, the precedence-domain is interpreted as is unless it is syntactically flawed; in which case, it is treated as the text string “000000.”

5.3.4.10.2.4.4 **[After 2012]** When the LSC receives a SIP request with at least one Resource-Priority header intended for a served IP EI and the SIP request has a Require header field with an option tag “resource-priority,” then:

5.3.4.10.2.4.4.1 If there is either one Resource-Priority header with at least two namespaces, or if there are two or more Resource-Priority headers (i.e., two or more different namespaces), and the LSC does not recognize the value of the network-domain subfield in any of the namespaces, then the LSC MUST reject the request with a 417 (Unknown Resource-Priority) response code.

5.3.4.10.2.4.4.2 If there are multiple resource values having valid network domains, then in any case where the r-priority value associated with the valid network domain is not an authorized value, it is treated as if it were set to the lowest priority level. When the priority levels of the resource values are compared to determine which resource value will be used for precedence and preemption purposes, then the resource values with valid network domains but having unauthorized r-priority values will be treated as having the lowest precedence level when the comparison is made, and the resource value with the highest priority will be selected. In each case, the precedence-domain is interpreted as is unless it is syntactically flawed; in which case, it is treated as the text string “000000.”

AS-SIP IP EI: Improper Network-Domain, Priority Value, or Precedence-Domain

NOTE: The requirements set forth in [Section 5.3.4.10.2.4](#) are made applicable to the classified network by substituting “cuc” for “uc” in each requirement.

2010–2012

5.3.4.10.2.4.4a **[2010 - 2012]** When the AS-SIP EI receives a SIP request with a Resource-Priority header that either does not have a Require header or has a Require header but not the option tag “resource-priority,” then:

5.3.4.10.2.4.4a.1 If the AS-SIP EI does not recognize the value of the network-domain subfield of the namespace, then the network-domain subfield is treated as if it were set to “uc” and the r-priority value is treated as if it were set to “0.” If the precedence-domain subfield is either syntactically flawed or does not consist of the text string “000000,” then it is still treated as if it had the value “000000.”

5.3.4.10.2.4.4a.2 If the AS-SIP EI recognizes the value of the network-domain subfield of the namespace but the r-priority field does not have an authorized value for the given network domain, then the r-priority value is treated as if it were set to the lowest priority level. If the precedence-domain subfield is either syntactically flawed or does not consist of the text string “000000,” then it is still treated as if it had the value “000000.”

5.3.4.10.2.4.4a.3 If the AS-SIP EI receives a namespace with a recognized network domain and an authorized r-priority value where the precedence-domain subfield has a text string that is either syntactically invalid or does not consist of the text string “000000,” then the precedence-domain subfield is treated as if it were set to the text string “000000.”

5.3.4.10.2.4.4aa **[2010–2012]** When the AS-SIP EI receives a SIP request with a Resource-Priority header and the SIP request has a Require header field with an option tag “resource-priority,” then:

5.3.4.10.2.4.4aa.1 If the AS-SIP EI does not recognize the value of the network-domain subfield of the namespace, then the AS-SIP EI **MUST** reject the request with a 417 (Unknown Resource-Priority) response code.

5.3.4.10.2.4.4aa.2 If the AS-SIP EI recognizes the value of the network-domain subfield but the value of the r-priority field is not valid for the given network domain, then the r-priority value is treated as if it were set to the lowest priority level. If the precedence-domain subfield has a text string that is syntactically invalid or does not consist of the text string “000000,” then the precedence-domain subfield is treated as if it were set to “000000.”

5.3.4.10.2.4.4aa.3 If the AS-SIP EI recognizes the value of the network domain and the r-priority field has an authorized value for the network domain but the precedence-domain subfield has a text string that is syntactically invalid or does not consist of the text string “000000,” then the precedence-domain subfield is treated as if it were set to “000000.”

5.3.4.10.2.4.4aaa **[After 2012]** When the AS-SIP EI receives a SIP request with one or more Resource-Priority headers and the SIP request either does not have a Require header or has a Require header but not the option tag “resource-priority,” then:

5.3.4.10.2.4.4aaa.1 If there is either one Resource-Priority header with at least two namespaces, or if there are two or more Resource-Priority headers (i.e., two or more different namespaces) and the AS-SIP EI does not recognize the value of the network-domain subfield in any of the namespaces, then the AS-SIP EI **MUST** treat the network domain as if it were “uc” and treat the r-priority value as if it were set to “0.” If the precedence-domain subfield has a text string that is syntactically invalid, then the precedence-domain subfield is treated as if it were set to “000000.”

5.3.4.10.2.4.4aaa.2 If there are multiple resource values having valid network domains, then in any case where the r-priority value associated with the valid

network domain is not an authorized value it is treated as if it were set to the lowest priority level. When the priority levels of the resource values are compared to determine which resource value will be used for precedence and preemption purposes, then the resource values with valid network domains but having unauthorized r-priority values will be treated as having the lowest precedence level when the comparison is made, and the resource value with the highest priority will be selected. In each case, the precedence-domain is interpreted as is unless it is syntactically flawed in which case it is treated as the text string “000000.”

5.3.4.10.2.4.4aaaa [After 2012] When the AS-SIP EI receives a SIP request with at least one Resource-Priority header intended for a served IP EI and the SIP request has a Require header field with an option tag “resource-priority,” then:

5.3.4.10.2.4.4aaaa.1 If there is either one Resource-Priority header with at least two namespaces, or if there are two or more Resource-Priority headers (i.e., two or more different namespaces), and the AS-SIP EI does not recognize the value of the network-domain subfield in any of the namespaces, then the AS-SIP EI MUST reject the request with a 417 (Unknown Resource-Priority) response code.

5.3.4.10.2.4.4aaaa.2 If there are multiple resource values having valid network domains, then in any case where the r-priority value associated with the valid network domain is not an authorized value it is treated as if it were set to the lowest priority level. When the priority levels of the resource values are compared to determine which resource value will be used for precedence and preemption purposes, then the resource values with valid network domains but having unauthorized r-priority values will be treated as having the lowest precedence level when the comparison is made, and the resource value with the highest priority will be selected. In each case, the precedence-domain is interpreted as is unless it is syntactically flawed in which case it is treated as the text string “000000.”

Intermediary SS: Improper Network-Domain, Priority Value, or Precedence-Domain

Originating SS [2010–2012]

5.3.4.10.2.4.4b [Objective] When an SS receives a SIP request with a Resource-Priority header from a served LSC and the SIP request either does not have a Require header or has a Require header but not the option tag “resource-priority,” then:

5.3.4.10.2.4.4b.1 [Objective] If the SS does not recognize the value of the network-domain subfield of the namespace, then for ASAC classification

purposes, which become significant when the SS is called on to conduct policing (see [Section 5.3.4.11](#), Policing of Call Count Thresholds), the SIP message is classified as follows:

- Network-domain subfield equals “uc.”
- R-priority value equals “0” (routine).
- Precedence-domain subfield equals “000000.”

5.3.4.10.2.4.4b.2 **[Objective]** If the SS recognizes the value of the network-domain subfield of the namespace but the r-priority field does not have an authorized value for the given network domain, then for ASAC classification purposes the SIP message is classified as follows:

- Network-domain subfield is not changed (2010–2012 it is “dsn”).
- R-priority value is treated as if it were set to the lowest priority level (2010–2012 it is “0”).
- Precedence-domain subfield equals “000000.”

5.3.4.10.2.4.4b.3 **[Objective]** If the SS receives a namespace with a recognized network domain and an authorized r-priority value where the precedence-domain subfield has a text string that is either syntactically invalid or does not consist of the text string “000000,” then the precedence-domain subfield is classified as if it were set to the text string “000000.”

5.3.4.10.2.4.4c **[Objective]** When an SS receives a SIP request with a Resource-Priority header from a served LSC and the SIP request has a Require header field with an option tag “resource-priority,” then:

5.3.4.10.2.4.4c.1 **[Objective]** If the SS does not recognize the value of the network-domain subfield of the namespace, then the SS **MUST** reject the request with a 417 (Unknown Resource-Priority) response code.

5.3.4.10.2.4.4c.2 **[Objective]** If the SS recognizes the value of the network-domain subfield but the value of the r-priority field is not valid for the given network domain, then for ASAC classification purposes the SIP message is classified as follows:

- Network-domain subfield is not changed (2010–2012) it is “uc.”

- The r-priority value is treated as if it were set to the lowest priority level (2010–2012 it is “0”).
- Precedence-domain subfield equals “000000.”

5.3.4.10.2.4.4c.3 **[Objective]** If the SS recognizes the value of the network domain and the r-priority field has an authorized value for the network domain but the precedence-domain subfield has a text string that is syntactically invalid or does not consist of the text string “000000,” then the precedence-domain subfield is classified as if it were set to “000000.”

Terminating SS [2010–2012]

5.3.4.10.2.4.4d **[Objective]** When an SS receives a SIP request with a Resource-Priority header intended for a served LSC and the SIP request either does not have a Require header or has a Require header but not the option tag “resource-priority,” then the SS takes no action about the Resource-Priority header until it receives a response from the served LSC and proceeds as follows:

5.3.4.10.2.4.4d.1 **[Objective]** If the served LSC responds with a 1xx response code greater than a 100 or a 200 and if the SS does not recognize the value of the network-domain subfield of the namespace, then for ASAC classification purposes the SIP message is classified as follows:

- Network-domain subfield equals “uc.”
- r-priority value equals “0” (routine).
- Precedence-domain subfield equals “000000.”

5.3.4.10.2.4.4d.2 **[Objective]** If the served LSC responds with a 1xx response code greater than a 100 or a 200 and if the SS recognizes the value of the network-domain subfield of the namespace but the r-priority field does not have an authorized value for the given network domain, then for ASAC classification purposes the SIP message is classified as follows:

- Network-domain subfield is not changed (2010–2012 it is “uc”).
- R-priority value is treated as if it were set to the lowest priority level (2010–2012 it is “0”).
- Precedence-domain subfield equals “000000.”

5.3.4.10.2.4.4d.3 **[Objective]** If the served LSC responds with a 1xx response code greater than a 100 or a 200 and if the SS receives a namespace with a recognized network domain and an authorized r-priority value whose precedence-domain subfield has a text string that is either syntactically invalid or does not consist of the text string “000000,” then the precedence-domain subfield is classified as if it were set to the text string “000000.”

5.3.4.10.2.4.4e **[Objective]** When an SS receives a SIP request with a Resource-Priority header from a served LSC and the SIP request has a Require header field with an option tag “resource-priority,” then the SS takes no action about the Resource-Priority header until it receives a response from the served LSC and proceeds as follows:

5.3.4.10.2.4.4e.1 **[Objective]** If the served LSC responds with a 1xx response code greater than a 100 or a 200 and if the SS does not recognize the value of the network domain subfield of the namespace, then the SS MUST:

- Send a 417 (Unknown Resource-Priority) response code to the remote initiating party.
- Send a CANCEL request (in the case of a 1xx response code) or a BYE request (in the case of a 2xx response code) to the local LSC.

5.3.4.10.2.4.4e.2 **[Objective]** If the served LSC responds with a 1xx response code greater than a 100 or a 200 and if the SS recognizes the value of the network-domain subfield but the value of the r-priority field is not valid for the given network domain, then for ASAC classification purposes the SIP message is classified as follows:

- Network-domain subfield is not changed (2010–2012 it is “uc”).
- R-priority value is treated as if it were set to the lowest priority level (2010–2012 it is “0”).
- Precedence-domain subfield equals “000000.”

5.3.4.10.2.4.4e.3 **[Objective]** If the served LSC responds with a 1xx response code greater than a (>)100 or a 200 and if the SS recognizes the value of the network domain and the r-priority field has an authorized value for the network domain but the precedence-domain subfield has a text string that is syntactically invalid or does not consist of the text string “000000,” then the precedence-domain subfield is classified as if it were set to “000000.”

Authentication Failure

5.3.4.10.2.4.5 The LSC serving IP EIs are responsible for the authentication of the IP EIs they represent, and in the case of outbound precedence call requests, the LSC must be software configurable for enabling or disabling authentication of the requestor. In the event that the requesting IP EI uses AS-SIP signaling (i.e., an AS-SIP EI) and the LSC is configured with requestor authentication enabled, and the requestor of an outbound precedence call request is not authenticated¹⁷, then the responsible LSC MUST return the request to allow the requestor to insert the appropriate credentials. A 407 (Proxy Authentication Required) response code that includes the Proxy-Authenticate header shall be sent to the AS-SIP EI.

5.3.4.10.2.4.5.1 If the AS-SIP EI resends the INVITE with a Proxy-Authorization header where the response has a valid value for the challenge specified in the Proxy-Authenticate header of the 407 (Proxy Authentication Required) response, then the authentication succeeds.

NOTE: The successful authentication does not have any bearing on whether the user is authorized for the priority level asserted in the Resource-Priority header.

5.3.4.10.2.4.5.2 If the AS-SIP EI resends the INVITE with a Proxy-Authorization header where the response does NOT have a valid value for the challenge specified in the Proxy-Authenticate header of the 407 (Proxy Authentication Required) response, then the authentication fails. The LSC again sends a 407 response that includes a Proxy-Authenticate header with a new challenge. If the AS-SIP EI again resends the INVITE with a Proxy-Authorization header where the response does NOT have a valid value for the challenge specified in the Proxy-Authenticate header of the 407 response, then the authentication fails. The LSC responds with a third 407 response, and this time, the AS-SIP EI plays the reorder tone to the caller. The reorder tone is a dual-frequency tone of 480 Hz and 620 Hz at a cadence of .25 seconds on and .25 seconds off.

NOTE: The mechanisms used to authenticate the initiator of above ROUTINE precedence sessions is described in UCR 2008, Section 5.4, Information Assurance Requirements, and includes methods, such as a prompt to enter a user PIN and then a prompt to enter an authorization PIN.

¹⁷ The LSC receives a precedence INVITE without a Proxy-Authorization header or with a Proxy-Authorization header that is not in response to an authentication challenge from the LSC. The LSC responds with a 407 (Proxy Authentication Required) response code that includes a Proxy-Authenticate header and the AS-SIP EI either resends the INVITE but fails to include a Proxy-Authorization header or resends the INVITE with an invalid response field in the Proxy-Authorization header.

Authorization Failure

5.3.4.10.2.4.6 The LSCs serving IP EIs are responsible for the authorization of the IP EIs they represent and this includes the authority to request a particular precedence level. If the requesting IP EI requests a precedence level for which the user has insufficient authorization, then the responsible LSC MUST announce and/or display the UPA to the user (see Table 5.3.4.10-4, Announcements) through the EI (for switch name and location, the announcement shall indicate the LSC serving the IP EI), and reject the call.

5.3.4.10.2.4.6.1 In the case of a served AS-SIP EI, when the INVITE asserts a precedence level for which the user has insufficient authorization¹⁸, then the LSC establishes a bearer connection between the AS-SIP EI and a media server associated with the LSC. Please see [Figure 5.3.4.10-1](#), LSC/Media Server Plays UPA to AS-SIP EI. The LSC and media server exchange the necessary signaling to provide the media server with the IP address and UDP port for the bearer stream with the AS-SIP EI and the LSC instructs the media server to play the UPA over the bearer stream to the AS-SIP EI after the bearer is established. The 183 (Session Progress) response sent by the LSC to the AS-SIP EI includes the sdp answer with the IP address and UDP port for a bearer stream with the media server. The AS-SIP EI responds with a PRACK to which the LSC responds with a 200 OK. The media server plays the announcement to the AS-SIP EI and terminates the session with the LSC, and the LSC sends a 403 (Forbidden) response to the AS-SIP EI. The AS-SIP EI responds with an ACK and terminates the call request. NOTE: The media server is considered a part of the LSC SUT; therefore, the signaling between the LSC and media server is left to the vendor as long as the announcement is played and the signaling between the LSC and AS-SIP EI complies with the specific call flow depicted in this section.

5.3.4.10.2.4.6b The LSC MUST support the playing of the following announcements listed in [Table 5.3.4.10-3](#): BPA, UPA, and BNEA.

5.3.4.10.2.4.6b1 **[After 2010]** The LSC MUST support the playing of the ATQA announcement listed in [Table 5.3.4.10-3](#).

5.3.4.10.2.4.6c **[2010–2012]** When the announcement is BPA or BNEA and the location of the event is a remote AS-SIP signaling appliance, then for the 2010–2012 timeframe, the announcement in [Table 5.3.4.10-3](#), Announcements, identifies the switch name and location as “remote AS-SIP signaling appliance.” This requirement MAY be modified for the post-2012 timeframe.

¹⁸The LSC receives an INVITE with a Proxy-Authorization header; however, the user is not authorized to request the precedence level asserted in the Resource-Priority header.

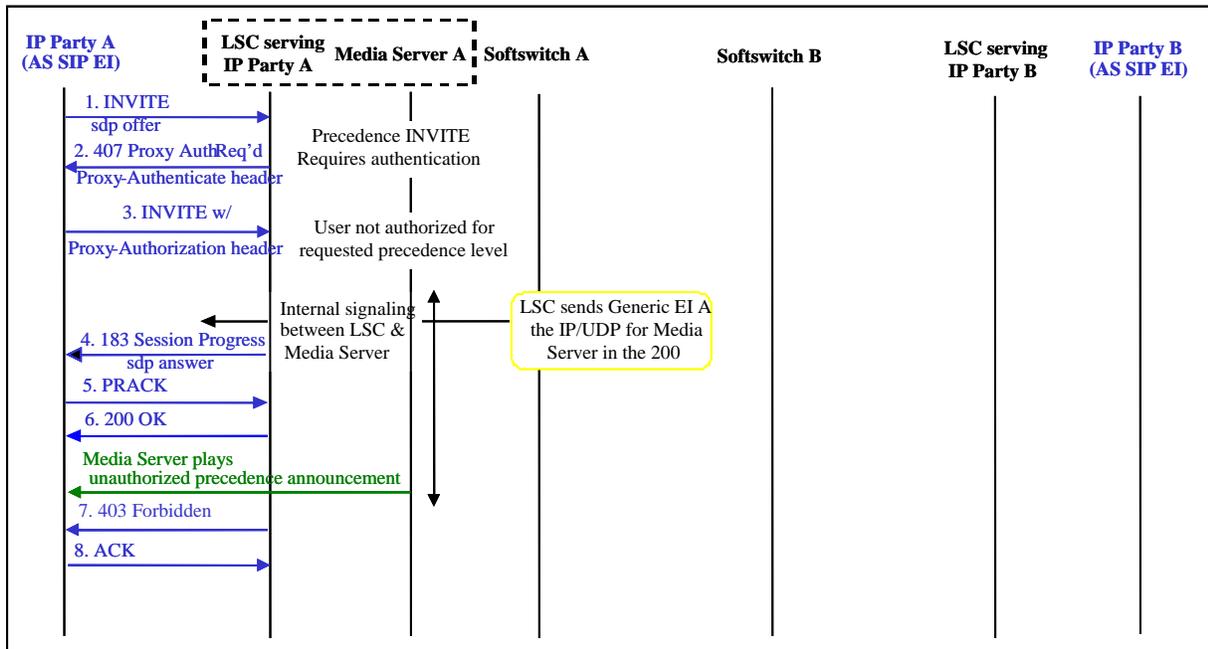


Figure 5.3.4.10-1. LSC/Media Server Plays UPA to AS-SIP EI

Insufficient Resources

5.3.4.10.2.4.7.1 If an LSC receives an inbound ROUTINE call request over the IP network for a served EI and the LSC has insufficient bandwidth-related resources (e.g., due to call count threshold) to handle the call request, the LSC MUST reply with a 488 (Not Acceptable Here) response code and SHOULD include a Warning header with the warning code 370 (Insufficient Bandwidth).

5.3.4.10.2.4.7.2 If an LSC receives an inbound precedence call request (i.e., with precedence level PRIORITY or above) over the IP network for a served EI and the LSC has insufficient bandwidth-related resources (e.g., due to call count threshold) to handle the call request, and if there are insufficient existing calls (and/or call requests) of lower precedence where their removal would provide the necessary resources to support the pending call request, then:

5.3.4.10.2.4.7.2.1 The LSC MUST reply with a 488 (Not Acceptable Here) response code and SHOULD include a Warning header with warning code 370 (Insufficient Bandwidth), and

5.3.4.10.2.4.7.2.2 The LSC serving the calling IP EI MUST arrange for a BPA (see [Table 5.3.4.10-3](#), Announcements) to be played and/or displayed to the user through the calling IP EI.

5.3.4.10.2.4.7.2.2a In the case of a served AS-SIP EI, the LSC serving the calling AS-SIP EI receives the 488 (Not Acceptable Here) response from the remote side and establishes a bearer connection between the AS-SIP EI and a media server associated with the LSC. Please see [Figure 5.3.4.10-2](#), LSC Implementation of BPA for AS-SIP EI on Receipt of 488 Response. The LSC and media server exchange the necessary signaling to provide the media server with the IP address and UDP port for the bearer stream with the AS-SIP EI and the LSC instructs the media server to play the the BPA over the bearer stream to the AS-SIP EI after the bearer is established. The 183 (Session Progress) response sent by the LSC to the AS-SIP EI includes the sdp answer with the IP address and UDP port for a bearer stream with the media server. The AS-SIP EI responds with a PRACK to which the LSC responds with a 200 OK. The media server plays the announcement to the AS-SIP EI and terminates the session with the LSC, and the LSC sends a 488 (Not Acceptable Here) response to the AS-SIP EI. The AS-SIP EI responds with an ACK and terminates the call request. The ACK is forwarded back to the LSC that originally generated the 488 response. NOTE: The media server is considered a part of the LSC SUT; therefore, the signaling between the LSC and media server is left to the vendor as long as the announcement is played and the signaling between the LSC and AS-SIP EI complies with the specific call flow depicted in this section.

NOTE: In the case of a routine INVITE (as opposed to a precedence INVITE) the 488 response is forwarded all the way to the AS-SIP EI since there will be no BPA announcement. The AS-SIP EI would respond with an ACK and terminate the call request.

5.3.4.10.2.4.7.3 If an AS-SIP signaling appliance receives a call request and has insufficient server resources to handle the signaling load, then the AS-SIP signaling appliance MUST reply with a 503 (Service Unavailable) response code. If the call request is a precedence call request (PRIORITY or higher), then the LSC serving the calling IP EI MUST arrange for a BPA (see [Table 5.3.4.10-3](#), Announcements) to be played and/or displayed to the user through the calling IP EI.

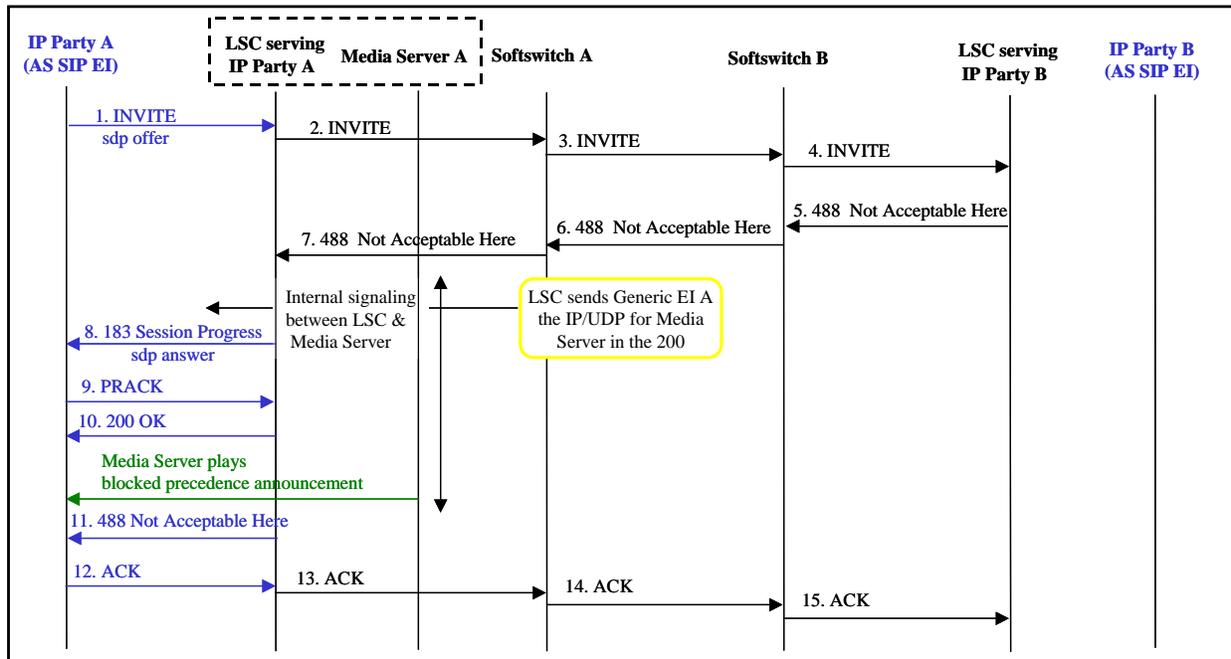


Figure 5.3.4.10-2. LSC Implementation of BPA for AS-SIP EI on Receipt of 488 Response

5.3.4.10.2.4.7.3a In the case of a served AS-SIP EI, the LSC serving the calling AS-SIP EI receives the 503 (Service Unavailable) response from the remote side and performs the same procedure as described in [Requirement 5.3.4.10.2.4.7.2.2a](#). The LSC establishes a bearer connection between the AS-SIP EI and a media server associated with the LSC. Please see [Figure 5.3.4.10-3](#), LSC Implementation of BPA for AS-SIP EI on Receipt of 503 Response. The LSC and media server exchange the necessary signaling to provide the media server with the IP address and UDP port for the bearer stream with the AS-SIP EI, and the LSC instructs the media server to play the the BPA over the bearer stream to the AS-SIP EI after the bearer is established. The 183 (Session Progress) response sent by the LSC to the AS-SIP EI includes the sdp answer with the IP address and UDP port for a bearer stream with the media server. The AS-SIP EI responds with a PRACK to which the LSC responds with a 200 OK. The media server plays the announcement to the AS-SIP EI and terminates the session with the LSC, and the LSC sends a 503 (Service Unavailable) response to the AS-SIP EI. The AS-SIP EI responds with an ACK and terminates the call request. The ACK is forwarded back to the LSC that originally generated the 503 response. NOTE: The media server is considered a part of the LSC SUT; therefore, the signaling between the LSC and media server is left to the vendor as long as the announcement is played and the signaling between the LSC and AS-SIP EI complies with the specific call flow depicted in this section.

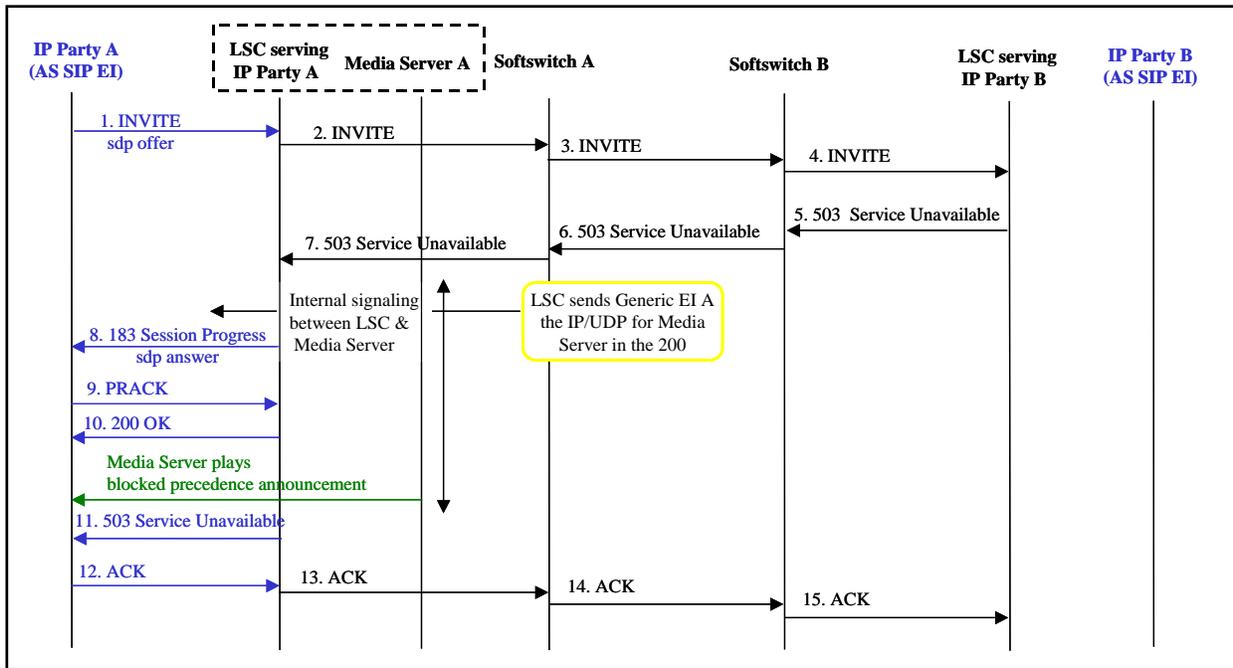


Figure 5.3.4.10-3. LSC Implementation of BPA for AS-SIP EI on Receipt of 503 Response

NOTE: In the case of a routine INVITE (as opposed to a precedence INVITE), the 503 response is forwarded all the way to the AS-SIP EI since there will be no BPA announcement. The AS-SIP EI would respond with an ACK and terminate the call request.

Re-INVITE with Different Resource-Priority Header

5.3.4.10.2.4.8 In the case of a two-party call the Resource-Priority header of a re-INVITE or UPDATE MUST be identical to that of the original INVITE. The network-domain field MUST be identical, the r-priority value MUST be identical, and the precedence-domain field MUST be identical. NOTE: In the case of the unattended call transfer supplementary service and the attended call transfer supplementary service re-INVITES or UPDATES that are used to replace the transferor in the transferor–transfer target call leg with the transferee MAY require that the Resource-Priority header in the re-INVITE or UPDATE be different from that of the original INVITE from the Transferee–Transferor call leg. In addition, a three-way call that reverts to a two-way call in which each leg has a different precedence level will require that the Resource-Priority header in the re-INVITE or UPDATE for the party on the lesser precedence call leg be different from that found in the original INVITE.

5.3.4.10.2.4.8.1 In the event the Resource-Priority header of the re-INVITE is not identical to that of the original INVITE (and neither of the exception cases in the note of [Requirement 5.3.4.10.2.4.8](#) apply), then any AS-SIP signaling appliance

that receives the re-INVITE with the differing Resource-Priority header MUST send a 400 (Bad Request) response and SHOULD include the Reason-phrase “Resource-Priority Header value invalidly modified.”

5.3.4.10.2.5 Precedence Call Rules

5.3.4.10.2.5.1 When a precedence call (i.e., Priority or higher) is offered successfully to a called party, the calling party MUST receive an audible ringback precedence call tone. See [Table 5.3.4.10-4](#), UC Information Signals, for details on audible ringback precedence call tone.

Table 5.3.4.10-4. UC Information Signals

SIGNAL	FREQUENCIES (Hz)	SINGLE TONE LEVEL	COMPOSITE LEVEL	INTERRUPT RATE	TONE ON	TONE OFF
Audible Ringback Precedence Call	440 + 480 (Mixed)	-19 dBm0	-16 dBm0	30 IPM	1640 ms	360 ms
Alerting (Ring) Routine	-	-	-	10 IPM	2000 ms	4000 ms
Alerting (Ring) Precedence	-	-	-	30 IPM	1640 ms	360 ms
Preemption Tone	440 + 620 (Mixed)	-19 dBm0	-16 dBm0		Steady on	
Call Waiting (Precedence Call)	440	-13 dBm0		Continuous at 6 IPM	100 ± 20 ms Three Bursts	9700 ms
Conference Disconnect Tone	852 and 1336 (alternated at 100 ms Intervals)	-24 dBm0		Steady on	2000 ms (per occurrence)	
Override Tone	440			Continuous at 6 IPM	2000 ms (followed by) 500 ms on and 7500 ms off	
Camp On	440	-13 dBm0			Single burst 0.75 to 1 second	

AS Precedence Capability and Call Forwarding

5.3.4.10.2.5.2 In the case of an IP EI for which the user has NOT activated call forwarding, when a precedence call is not answered within a predetermined period of between 15–45 seconds and precedence call waiting has not been accepted, then the LSC serving the IP EI MUST provide a global default diversion to the Attendant.

5.3.4.10.2.5.3 In the case of an IP EI for which the user has NOT activated call forwarding, when a precedence call request is sent to an IP EI that is busy with a higher precedence call, the precedence call waiting MUST be invoked whereby the precedence call waiting tone is applied to the called party. See [Table 5.3.4.10-4](#), UC Information Signals.

5.3.4.10.2.5.3.1 If, after receiving the precedence call waiting signal, the busy called IP EI does not answer the incoming call within the maximum programmed time interval, the LSC serving the IP EI MUST provide a global default diversion to the Attendant.

Note: If for some reason the IP EI does NOT support call waiting then the precedence call request MUST be diverted to the Attendant.

5.3.4.10.2.5.4 In the case of an IP EI for which the user has NOT activated call forwarding, when a precedence call request is sent to an IP EI that is busy with a call of equal precedence to the new call request, then the precedence call waiting tone MUST be applied to the called party. See [Table 5.3.4.10-4](#), UC Information Signals.

5.3.4.10.2.5.4.1 If, after receiving the precedence call waiting signal, the busy called IP EI does not answer the incoming call within the maximum programmed time interval, the LSC serving the IP EI MUST provide a global default diversion to the Attendant.

Note: If for some reason the IP EI does NOT support call waiting then the precedence call request MUST be diverted to the Attendant.

5.3.4.10.2.5.4a In the case of an IP EI for which the user has NOT activated call forwarding, if a precedence call is sent to an IP EI that is busy with a lower precedence call, then the existing lower precedence call MUST be preempted and the incoming call shall be established.

5.3.4.10.2.5.4aa When call forwarding is activated LSCs MUST perform call forwarding for routine calls.

5.3.4.10.2.5.4ab LSC support for AS precedence capability interaction with call forwarding is a conditional requirement.

5.3.4.10.2.5.4ab.1 LSCs that do not support AS precedence capability interaction with Call Forwarding for precedence calls MUST NOT perform call forwarding of precedence calls. (See requirements 5.3.4.10.2.5.6 and 5.3.4.10.2.5.9)

5.3.4.10.2.5.4ab.2 LSCs that support AS precedence capability interaction for precedence calls **MUST** comply with 5.3.4.10.2.5.5, 5.3.4.20.2.5.5.1, 5.3.4.10.2.5.5.2, 5.3.4.10.2.5.7, 5.3.4.10.2.5.8

5.3.4.10.2.5.4b In the case of an IP EI for which the user has activated call forwarding busy, if a precedence call is sent to an IP EI that is busy with a lower precedence call (or calls, if three-way calling is established), then the existing call (or three-way call) at the busy station **MUST** be preempted and the incoming call established, i.e., the Call Forwarding service shall not be invoked.

5.3.4.10.2.5.5 In the case of an IP EI for which the user has activated call forwarding busy and the serving LSC is compliant to the AS precedence capability interaction requirements for call forwarding defined in UCR 2008, Section 5.2.2.8.2, Call Forwarding, when a precedence call is sent to an IP EI that is busy with a higher or equal precedence call or calls, then the Call Forwarding service **MUST** be invoked.

5.3.4.10.2.5.5.1 The precedence level of calls is preserved during the forwarding process, and the forwarded-to user may be preempted.

5.3.4.10.2.5.5.2 If Call Forwarding Busy has been activated by the called party and the called party has specified a forwarded-to party and the serving LSC is compliant to the MLPP interaction requirements for call forwarding as defined in UCR 2008, Section 5.2.2.8.2, Call Forwarding, then the forwarding procedure **MUST** be performed. If a precedence call is forwarded (including possible multiple forwarding) and is not responded to by any forwarded-to party (e.g., called party busy with a call of equal or higher precedence level; or called party busy and nonpreemptable) within a specified period (typically 30 seconds), the LSC serving the called party shall divert the precedence call to the attendant.

5.3.4.10.2.5.6 In the case of an IP EI for which the user has activated call forwarding busy and the serving LSC is **NOT** compliant to the MLPP interaction requirements for call forwarding, as defined in UCR 2008, Section 5.2.2.8.2, Call Forwarding, when a precedence call is sent to an IP EI that is busy with a higher or equal precedence call or calls, the Call Forwarding service **SHALL** not be invoked. In this case, rather than invoking the Call Forwarding service, the precedence call waiting tone shall be applied to the called party. If, after receiving the precedence call waiting signal, the busy called IP EI does not answer the incoming call within the maximum programmed time interval, the LSC serving the IP EI **MUST** provide a global default diversion to the Attendant.

5.3.4.10.2.5.7 In the case of an IP EI for which the user has activated call forwarding on no answer and the serving LSC is compliant to the MLPP interaction requirements for call forwarding, as defined in UCR 2008, Section 5.2.2.8.2, Call Forwarding, then the

precedence level of calls **MUST** be preserved during the forwarding process and the forwarded to user may be preempted.

5.3.4.10.2.5.8 In the case of an IP EI for which the user has activated call forwarding on no answer and has specified a forwarded-to party and the LSC is compliant to the MLPP interaction requirements for call forwarding as defined in UCR 2008, Section 5.2.2.8.2, Call Forwarding, then the forwarding procedure **MUST** be performed. If a precedence call is forwarded (including possibly multiple forwarding) and is not responded to by any forwarded-to party (e.g., called party busy with a call of equal or higher precedence level; or called party busy and nonpreemptable) within a specified period (typically 30 seconds), the LSC serving the called party shall divert the precedence call to the attendant.

5.3.4.10.2.5.9 In the case of an IP EI for which the user has activated call forwarding on no answer, but the serving LSC is **NOT** compliant to the MLPP interaction requirements for call forwarding, as defined in UCR 2008, Section 5.2.2.8.2, Call Forwarding, when a precedence call is sent to the EI, the Call Forwarding service **SHALL** not be invoked. When the precedence call is not answered within a predetermined period of between 15–45 seconds, the LSC serving the IP EI **MUST** provide a global default diversion to the Attendant.

5.3.4.10.2.6 Call Origination Busy

5.3.4.10.2.6.1 Whenever there is only one appearance remaining on an EI and a user initiates the sequence of events to make an outbound call (e.g., goes off-hook, or inputs the first dialing digit, or invokes the telephony communication program and selects the number to dial on a telephony computer screen), then:

5.3.4.10.2.6.1.1 In the event the inbound call request is routine, the inbound call request will be rejected. A 486 (Busy Here) response will be sent back to the originating AS-SIP signaling appliance. In the case of an AS-SIP EI, the AS-SIP EI **MUST** generate and send the 486 (Busy Here) response.

5.3.4.10.2.6.1.2 In the event of an inbound precedence call request where the precedence level is higher than that of an existing call on at least one occupied appearance, then the EI shall conduct the standard end point preemption process on the lowest such occupied appearance.

5.3.4.10.2.6.1.3 In the event of an inbound precedence call request where the precedence level is lower than or equal to all existing occupied appearances on the EI, or in the event the EI only has one appearance, then the EI **SHALL** implement one of the following two alternatives:

5.3.4.10.2.6.1.3.1 Alternative 1: Refrain from acting on the inbound request until the user either has completed the dialing sequence for the outbound call or at least completed input of the precedence level information for the outbound call request so that the priority level of the outbound call request can be compared to the priority level of the inbound call request.

5.3.4.10.2.6.1.3.1.1 If the inbound precedence call request has a higher priority than the outbound call request, then the inbound call request will preempt the outbound call request as follows:

- If the outbound request is routine, then a preemption tone is played to the caller for 3 seconds and the outbound call request terminates. When the caller goes on-hook, the precedence ringing tone is played to alert the called party to the inbound precedence call request.
- If the outbound request is a precedence call, then a BPA is played to the caller; and when the caller goes on-hook, the precedence ringing tone is played to alert the called party to the inbound precedence call request.

5.3.4.10.2.6.1.3.1.2 If the inbound precedence call request has a priority less than or equal to the outbound request, then the outbound call request will proceed, and upon successful establishment of the outbound call, the subsequent called party actions shall be as specified in UCR 2008, [Section 5.3.4.10.2.5](#), Precedence Call Rules.

5.3.4.10.2.6.1.3.1.2.1 If the outbound precedence call cannot be successfully established, then upon termination of the attempted outbound call establishment (e.g., caller goes on-hook), a precedence ringing tone will be played to alert the called party of the inbound precedence call request.

5.3.4.10.2.6.1.3.2 Alternative 2: The inbound precedence call request is sent to the global default diversion number.

5.3.4.10.3 ASAC Preemption Requirements

If a session has to be preempted to support a higher precedence session, the use of the Reason header shall be IAW RFC 4411 and RFC 3326, as modified in this section to include a fifth Reason-param “Network Preemption.”

Preemption can only take place for sessions of the same precedence domain. In other words, a precedence call request can only preempt an existing call or call request that has the same value for its precedence-domain subfield.

5.3.4.10.3.1 Reason Header for Preemption

5.3.4.10.3.1.1 The AS-SIP signaling appliances and AS-SIP EIs MUST support the Reason header field [RFC 3326] for use, at a minimum, with the BYE request, the CANCEL request, the 480 (Temporarily Unavailable) response, 486 (Busy Here) response, and the 488 (Not Acceptable Here) response.

5.3.4.10.3.1.2 The syntax for the Reason header field is found in RFC 3326, Section 2, The Reason Request Header Field, and is repeated here for the reader’s convenience:

Reason	=	“Reason” HCOLON reason-value *(COMMA reason-value)
reason-value	=	protocol *(SEMI reason-params)
protocol	=	“SIP” / “Q.850” / token
reason-params	=	protocol-cause / reason-text / reason-extension
protocol-cause	=	“cause” EQUAL cause
cause	=	1*DIGIT
reason-text	=	“text” EQUAL quoted-string
reason-extension	=	generic-param

5.3.4.10.3.1.3 For purposes of preemption, AS-SIP signaling appliances MUST support the reason-value “Preemption” and the four Reason-params defined in RFC 4411, Section 5, Preemption Reason Header Cause Codes and Semantics.

Reason: preemption ;cause=1 ;text=“UA Preemption”
Reason: preemption ;cause=2 ;text=“Reserved Resources Preempted”
Reason: preemption ;cause=3 ;text=“Generic Preemption”
Reason: preemption ;cause=4 ;text=“Non-IP Preemption”

5.3.4.10.3.1.4 In addition, as the current set of Reason-params in RFC 4411 fails to fully meet our needs, the AS-SIP signaling appliances MUST support a fifth Reason-param intended to characterize a network preemption event that occurs in the IP portion of the network but is not due to a RSVP preemption occurrence:

Reason: preemption; cause=5; text="Network Preemption"

5.3.4.10.3.1.4a For purposes of preemption, AS-SIP EIs MUST be capable of generating the reason-value "Preemption" and the Reason-param:

Reason: preemption ;cause=1 ;text="UA Preemption"

5.3.4.10.3.1.4b For purposes of preemption, AS-SIP EIs MUST be capable of receiving/processing the reason-value "Preemption" and the Reason-params:

Reason: preemption ;cause=1 ;text="UA Preemption"

Reason: preemption ;cause=2 ;text="Reserved Resources Preempted"

Reason: preemption ;cause=3 ;text="Generic Preemption"

Reason: preemption ;cause=4 ;text="Non-IP Preemption"

Reason: preemption; cause=5; text="Network Preemption"

The appropriate usage of the preemption Reason-params is described in the following paragraphs.

5.3.4.10.3.2 Preemption at the IP EI

5.3.4.10.3.2.1 General Preemption Rules for Behavior at IP EIs

5.3.4.10.3.2.1.1 When the precedence-domain subfield of the Resource-Priority header field of the incoming precedence call request is different from the precedence-domain of the existing call (or calls) at the IP EI, then the incoming precedence call request MUST not preempt an existing call. Instead, the LSC serving the called IP EI MUST provide a global default diversion to the Attendant.

5.3.4.10.3.2.1.1.1 If the incoming precedence call request is intended for an AS-SIP EI, then the LSC MUST forward the INVITE to the AS-SIP EI, and if the AS-SIP EI has no idle appearances and the Resource-Priority header has a precedence-domain subfield that is different from the precedence-domain subfield of the call (or calls), then the AS-SIP EI MUST forward the INVITE to the Attendant.

5.3.4.10.3.2.1.1a When a precedence call request is sent to an LSC and intended for a served non-preemptable IP EI¹⁹ that is busy with another call, then the LSC serving the called IP EI MUST provide a global default diversion to the Attendant. In the event the call request cannot be directed to the attendant and is rejected, then the response will be a 480 (Temporarily Unavailable) with either no Reason header or a Reason header that does NOT have a preemption cause.

NOTE: When the LSC serving the calling IP EI receives the 480 (Temporarily Unavailable) response, it MUST send a BNEA, and then a termination message to the served calling IP EI.

NOTE: In the case of a served AS-SIP EI, the LSC serving the calling AS-SIP EI receives the 480 (Temporarily Unavailable) response from the remote side and establishes a bearer connection between the AS-SIP EI and a media server associated with the LSC. Please see [Figure 5.3.4.10-4](#), LSC Implementation of BNEA for AS-SIP EI on Receipt of 480 Response. The LSC and media server exchange the necessary signaling to provide the media server with the IP address and UDP port for the bearer stream with the AS-SIP EI and the LSC instructs the media server to play the the BNEA over the bearer stream to the AS-SIP EI after the bearer is established. The 183 (Session Progress) response sent by the LSC to the AS-SIP EI includes the sdp answer with the IP address and UDP port for a bearer stream with the media server. The AS-SIP EI responds with a PRACK to which the LSC responds with a 200 OK. The media server plays the announcement to the AS-SIP EI and terminates the session with the LSC, and the LSC sends a 480 BNEA to the AS-SIP EI. The AS-SIP EI responds with an ACK and terminates the call request. The ACK is forwarded back to the LSC that originally generated the 480 response.

Note: It is acceptable to send the Ack message response to the 480 on a hop-by-hop basis.

NOTE: The media server is considered a part of the LSC SUT; therefore, the signaling between the LSC and media server is left to the vendor as long as the announcement is played and the signaling between the LSC and AS-SIP EI complies with the specific call flow depicted in this section.

¹⁹ A non-preemptable IP EI is an IP EI that does not support preemption. There is no requirement to be capable of provisioning an IP EI as non-preemptable and non-preemptable IP EIs are, in fact, discouraged

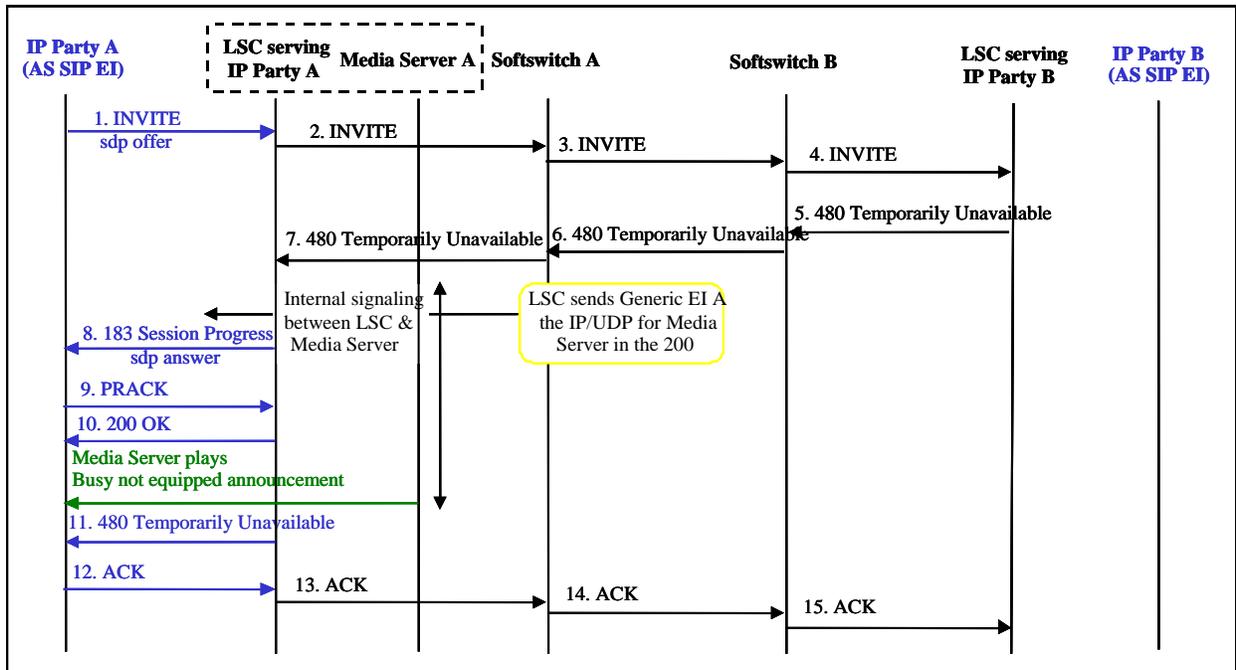


Figure 5.3.4.10-4. LSC Implementation of BNEA for AS-SIP EI on Receipt of 480 Response

For completeness, when an LSC serving a calling IP EI receives an inbound 486 (Busy Here), 503 (Service Unavailable), or a 488 (Not Acceptable Here) response code to an initial INVITE (see UCR 2008, [Section 5.3.4.10.3.3](#), Network Preemption) with either no Reason header or a Reason header that does NOT have a preemption cause for an outbound precedence call request, then the LSC MUST send a BPA to the served calling IP EI, and then send a termination message to end the call request.

NOTE: In the case of a served AS-SIP EI, when the LSC serving the calling AS-SIP EI receives 486 (Busy Here), 503 (Service Unavailable), or a 488 (Not Acceptable Here) response code to an initial INVITE (see UCR 2008, [Section 5.3.4.10.3.3](#), Network Preemption) with either no Reason header or a Reason header that does NOT have a preemption cause for an outbound precedence call request from the remote side, then the LSC establishes a bearer connection between the AS-SIP EI and a media server associated with the LSC. Please see [Figure 5.3.4.10-5](#), LSC Implementation of BPA for AS-SIP EI on Receipt of 486, 503, or 488 Response. The LSC and media server exchange the necessary signaling to provide the media server with the IP address and UDP port for the bearer stream with the AS-SIP EI and the LSC instructs the media server to play the BPA over the bearer stream to the AS-SIP EI after the bearer is established. The 183 (Session Progress) response sent by the LSC to the AS-SIP EI includes

the sdp answer with the IP address and UDP port for a bearer stream with the media server. The AS-SIP EI responds with a PRACK to which the LSC responds with a 200 OK. The media server plays the announcement to the AS-SIP EI and terminates the session with the LSC, and the LSC sends a 486, 503, or 488 response to the AS-SIP EI. The AS-SIP EI responds with an ACK and terminates the call request. The ACK is forwarded back to the LSC that originally generated the 486, 503, or 488 response. NOTE: The media server is considered a part of the LSC SUT; therefore, the signaling between the LSC and media server is left to the vendor as long as the announcement is played and the signaling between the LSC and AS-SIP EI complies with the specific call flow depicted in this section.

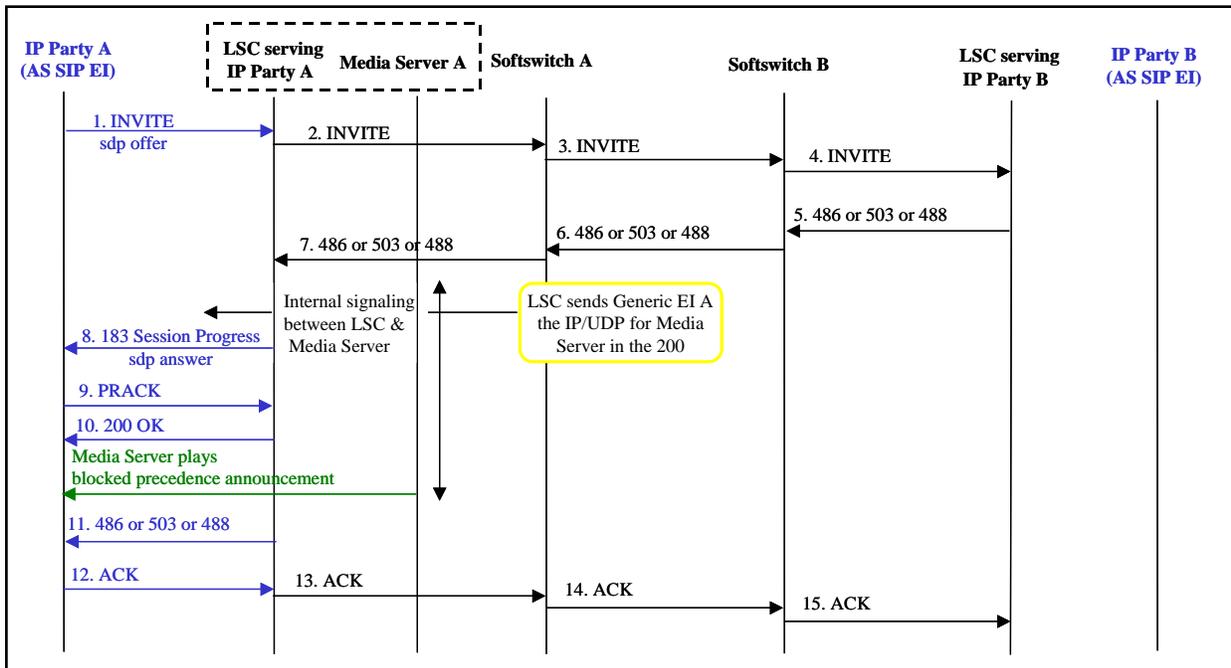


Figure 5.3.4.10-5. LSC Implementation of BPA for AS-SIP EI on Receipt of 486, 503, or 488 Response

5.3.4.10.3.2.1.2 When a precedence call request is sent to a preemptable IP EI that is busy with a call of lower precedence (having no idle appearances and having the same value for the precedence-domain subfield), then the lower precedence call MUST be preempted (regardless of whether the lower precedence call and the new higher precedence call request are of the same media type. In other words, in end point preemption a lower precedence audio call will be preempted by a higher precedence audio call request or a higher precedence video call request, and a lower precedence video session will be preempted by a higher precedence video session request or a higher precedence audio call request.) The active busy IP EI MUST receive a continuous preemption tone until an on-hook

signal is received and the other party shall receive a preemption tone for a minimum of 3 seconds. After going on-hook, the station to which the precedence call is directed MUST be provided with precedence ringing and the calling party MUST receive an audible ringback precedence tone. When the called IP EI goes back off-hook, it MUST be connected to the preempting call. (Details of this scenario are described in UCR 2008, [Section 5.3.4.10.3.2.2](#), Required Behavior at Called IP EI When Active Call is Preempted by Higher Precedence Call Request). See Table 5.3.4.10-12, UC Information Signals, for details on the preemption tone, ringing signal, and the audible ringback precedence call tone.

5.3.4.10.3.2.1.3 Reserved

5.3.4.10.3.2.1.4 Whenever an LSC performs an end point preemption of an existing call for a served IP EI, the LSC MUST NOT consider the existing call to be terminated until the LSC has received an acknowledgement from its local IP EI that the call has been terminated and until the LSC has received a 200 OK response to the BYE request sent to the remote LSC serving the remote IP EI where the call is being preempted.

NOTE: In the case of an AS-SIP EI, the LSC MUST NOT perform end point preemption, rather it MUST forward the precedence INVITE to the AS-SIP EI and the AS-SIP EI conducts the end point preemption.

5.3.4.10.3.2.1.5 Whenever an LSC performs an end point preemption of an inbound call request for a served IP EI, the LSC MUST NOT consider the existing call request to be terminated until the LSC has received an acknowledgement from its local IP EI that the call has been terminated and until the LSC has received an ACK to the 486 (Busy Here) response code (which has a Reason header where the Reason-params has the value `preemption;cause=1;text="UA Preemption"`) sent to the remote LSC serving the remote IP EI that has the call being preempted.

NOTE: If the local SIP EI is RFC 2543 compliant but not RFC 3261 compliant, it will not send a 487 (Request Terminated) response and the local LSC will consider the call leg with the local SIP EI terminated upon receipt of the 200 (OK) response to the CANCEL.

5.3.4.10.3.2.1.6 Whenever an LSC performs an end point preemption of an outbound call request for a served IP EI, the LSC MUST NOT consider the existing call request to be terminated until the LSC has received an acknowledgement from its local IP EI that the call has been terminated and has received a 200 OK response to the BYE request, or a 200 OK response to a

CANCEL request from the remote LSC serving the remote IP EI that has the call being preempted.

NOTE: Since RFC 2543-compliant SIP appliances do not send a 487 (Request Terminated) response if the local LSC were to wait for a 487 response from the far end that is not being sent, the delay until the call could be considered technically to have been cancelled is $64 * T1$ seconds (where T1 is defined in RFC 3261, Section 17.1.1.1, Overview of INVITE Transaction). Hence, we will consider the call terminated upon the receipt of the 200 OK response.

5.3.4.10.3.2.2 Required Behavior at Called IP EI When Active Call is Preempted by Higher Precedence Call Request

LSC Preempts Active Call on Behalf of Served IP EI (Other Than an AS-SIP EI)

NOTE: If the precedence INVITE is intended for a served AS-SIP EI, then the LSC MUST forward the incoming precedence INVITE to the AS-SIP EI and the AS-SIP EI will conduct the end point preemption.

5.3.4.10.3.2.2.1 Whenever an LSC receives a call request intended for a served IP EI (other than an AS-SIP EI) that has one line active with a call that is of lower precedence than the incoming call request (and has no idle appearances), then the active call MUST be preempted in accordance with [section 5.3.4.10.3.2.3](#) entitled “Implementing Preemption on Behalf of Called IP End Instruments”.

AS-SIP EI Preempts Active Call

5.3.4.10.3.2.2.1a Whenever an AS-SIP EI has a line active with a call that is of lower precedence than an incoming precedence call request (and has no idle appearances), then the active call MUST be preempted in accordance with [section 5.3.4.10.3.2.3](#) entitled “Implementing Preemption on Behalf of Called IP End Instruments”.

Multiple Appearances

5.3.4.10.3.2.2.1b The IP EIs MUST be limited to two appearances per directory number and limited to, at most, two directory numbers.

5.3.4.10.3.2.2.2 Whenever an LSC receives a precedence INVITE intended for a served IP EI that has multiple appearances and one directory number, or

whenever a precedence INVITE is received by an AS-SIP EI that has multiple appearances and one directory number, then:

5.3.4.10.3.2.2.2.1 If the incoming call request has a higher precedence than an existing call and there is at least one call appearance not in use, then a precedence ringing tone **MUST** be provided on an unused call appearance. The called party has the option of placing the current call on hold and picking up the incoming precedence call or ignoring the call. The calling party **MUST** be provided with an audible ringback precedence call tone (see Table 5.3.4-12, DSN Information Signals).

5.3.4.10.3.2.2.2.2 If the incoming call request has a higher precedence (and the same value for the precedence-domain subfield)²⁰ than one or more existing calls (even existing calls that are on hold) but all call appearances are in use, then the existing lowest precedence call is preempted. The lowest precedence call **MUST** be preempted regardless of whether the lowest precedence call and the new higher precedence call request are of the same media type; in other words, a lowest precedence audio call is preempted by a higher precedence audio call request or a higher precedence video call request and the lowest precedence video session is preempted by a higher precedence video session request or a higher precedence audio call request.

5.3.4.10.3.2.2.2.3 When the existing lowest precedence call is a held call, then a preemption tone is sent to both the held caller and to the corresponding appearance on the IP EI of the destination directory number that has placed the call on hold. After a preset time, the held call is cleared and a precedence ring is provided to the corresponding appearance on the destination directory number of the IP EI. Then the user hears the precedence ringing, indicating that the call on hold has been dropped. The user also sees the precedence level of this new call on the station set display. The user has the option of answering the call, letting it forward to an alternate party, and/or letting it divert to an attendant. The calling party **MUST** be provided with an audible ringback precedence call tone (see [Table 5.3.4.10-4](#), UC Information Signals).

5.3.4.10.3.2.2.2.4 When an existing active call has the lowest precedence, a preemption tone is sent to the active call and the destination directory number. When the user goes on-hook, a precedence ring is received

²⁰ In the CY 2008–CY 2012 timeframe, there is only one valid value for the precedence-domain subfield (i.e., “000000”); therefore, in the Y 2008–CY 2012 period, the value of the precedence-domain subfield will never prevent the higher precedence inbound call request from preempting an existing lower precedence call at the EI.

indicating the incoming precedence call. The calling party **MUST** be provided with an audible ringback precedence call tone (see [Table 5.3.4.10-4](#), UC Information Signals).

5.3.4.10.3.2.2.3 Whenever an LSC receives a precedence INVITE intended for a served IP EI that has multiple appearances and one directory number (or whenever a precedence INVITE is received by an AS-SIP EI that has multiple appearances and one directory number) AND all appearances are in use (active or on hold) with calls having higher or equal priority to the incoming precedence request, then the precedence request is diverted to an attendant.

5.3.4.10.3.2.2.4 Whenever an LSC receives a precedence INVITE intended for a served IP EI that has multiple appearances and two directory numbers or whenever a precedence INVITE is received by an AS-SIP EI that has multiple appearances and two directory numbers, then the preemption behavior for each directory number and its multiple appearances is the same as described previously in [Requirement 5.3.4.10.3.2.2.2](#) for an IP EI that has multiple appearances and one directory number.

5.3.4.10.3.2.3 Implementing Preemption on Behalf of Called IP EIs

End Point Preemption of an Active Call

End Point Preemption of an Active Call Conducted by an LSC on Behalf of a Served IP EI (Other Than an AS-SIP EI)

NOTE: If the precedence INVITE is intended for a served AS-SIP EI, then the LSC **MUST** forward the incoming precedence INVITE to the AS-SIP EI, and the AS-SIP EI will conduct the end point preemption.

5.3.4.10.3.2.3.1 Whenever an LSC initiates an end point preemption of an active call on behalf of a called IP EI, then the LSC **MUST**:

5.3.4.10.3.2.3.1.1 Activate user signaling (i.e., preemption tone) at the local IP EI where the existing call is being preempted.

5.3.4.10.3.2.3.1.2 Send a 180 (Ringing) response to the remote LSC or remote AS-SIP EI responsible for the precedence call request that initiated the end point preemption. The remote LSC or remote AS-SIP EI will activate user signaling (i.e., precedence ringback tone) for the caller and the call setup for the precedence call request continues as usual

5.3.4.10.3.2.3.1.3 Send a BYE request with a Reason header where the Reason-params has the value `preemption ;cause=1 ;text="UA Preemption"` over the IP network to the LSC serving the remote IP EI that has the call being preempted or to the remote AS-SIP EI. A remote LSC MUST activate the preemption tone at the IP EI for at least 3 seconds, signal the IP EI that the session is being terminated, and send a 200 (OK) response to the LSC that initiated the end point preemption. A remote AS-SIP EI MUST activate the preemption tone for at least 3 seconds, send a 200 (OK) response over the network to the LSC that initiated the end point preemption, and terminate the session.

5.3.4.10.3.2.3.1.4 The LSC serving the local IP EI that is experiencing the IP end point preemption will receive a 200 (OK) response code.

5.3.4.10.3.2.3.1.5 When the local IP EI goes on-hook the LSC activates user signaling (i.e., precedence ringing tone) at the local IP EI.

End Point Preemption of an Active Call Conducted by AS-SIP EI

5.3.4.10.3.2.3.1a Whenever an AS-SIP EI initiates an end point preemption of an active call, then the AS-SIP EI MUST:

5.3.4.10.3.2.3.1a.1 Activate user signaling (i.e., play preemption tone to the user of the AS-SIP EI).

5.3.4.10.3.2.3.1a.2 Send a 180 (Ringing) response to the remote LSC or remote AS-SIP EI where its precedence call request has initiated the end point preemption. The remote LSC or remote AS-SIP EI will activate user signaling (i.e., precedence ringback tone) for the caller.

5.3.4.10.3.2.3.1a.3 Send a BYE request with a Reason header where the Reason-params has the value `preemption ;cause=1 ;text="UA Preemption"` over the IP network to the remote LSC serving the IP EI that has the call being preempted, or to the remote AS-SIP EI that has the call being preempted. A remote LSC MUST activate the preemption tone at the IP EI for at least 3 seconds, signal the IP EI that the session is being terminated, and respond with a 200 (OK) response. A remote AS-SIP EI MUST activate the preemption tone for at least 3 seconds, respond with a 200 (OK) response to the AS-SIP EI that initiated the end point preemption, and terminate the session.

5.3.4.10.3.2.3.1a.4 The AS-SIP EI that initiated the IP end point preemption will receive a 200 (OK) response code.

5.3.4.10.3.2.3.1a.5 When the local AS-SIP EI goes on-hook, the local AS-SIP EI activates user signaling (i.e., plays the precedence ringing tone).

End Point Preemption of an Inbound Call Request

End Point Preemption of an Inbound Call Request Conducted by an LSC on Behalf of a Served IP EI (Other Than an AS-SIP EI)

5.3.4.10.3.2.3.2 The LSC has forwarded the INVITE to the EI and may have received a 180 (Ringing) response but has not received a 200 OK response. Whenever an LSC performs an end point preemption of an inbound call request on behalf of a called IPEI, then the LSC MUST:

5.3.4.10.3.2.3.2.1 Send a termination message to the local IP EI to end the current inbound call request that is being preempted in favor of the new higher precedence call request. The termination message will terminate the ringing tone.

NOTE: There is no particular purpose to playing the preemption tone because the telephone is on-hook.

5.3.4.10.3.2.3.2.2 Send a 180 (Ringing) response to the remote LSC or remote AS-SIP EI that initiated the higher precedence call request. The remote LSC or remote AS-SIP EI will activate user signaling (i.e., precedence ringback tone) for the caller.

5.3.4.10.3.2.3.2.3 Send a 486 (Busy Here) response code and a Reason header where the Reason-params has the value preemption ;cause=1 ;text="UA Preemption" over the IP network toward the remote LSC serving the IP EI that initiated the call request being preempted or toward the remote AS-SIP EI that initiated the call request being preempted. A remote LSC will interrupt the user signaling (i.e., ringback) to the IP EI that has the call request being preempted, will activate a preemption tone for 3 seconds, terminate the session at the served IP EI, and send an ACK to the LSC that initiated the end point preemption. A remote AS-SIP EI activates a preemption tone for 3 seconds, sends back an ACK, and terminates the session.

5.3.4.10.3.2.3.2.4 The local LSC will receive an ACK response to the 486 (Busy Here) response code.

5.3.4.10.3.2.3.2.5 The local LSC will continue the call setup for the higher precedence call by activating user signaling (i.e., playing the precedence ringing tone).

End Point Preemption of an Inbound Call Request Conducted by AS-SIP EI

5.3.4.10.3.2.3.2a Whenever an AS-SIP EI performs an end point preemption of an inbound call request (e.g., the AS-SIP EI may have sent a 180 (Ringing) response but has not sent a 200 (OK) response), then the AS-SIP EI MUST:

5.3.4.10.3.2.3.2a.1 Terminate the ringing tone of the current inbound call request that is being preempted in favor of the new higher precedence call request.

NOTE: There is no particular purpose to playing the preemption tone because the telephone is on-hook.

5.3.4.10.3.2.3.2a.2 Send a 180 (Ringing) response to the remote LSC or remote AS-SIP EI that initiated the higher precedence call request. The remote LSC or remote AS-SIP EI will activate user signaling (i.e., precedence ringback tone) for the caller.

5.3.4.10.3.2.3.2a.3 Send a 486 (Busy Here) response code and a Reason header where the Reason-params has the value preemption ;cause=1 ;text="UA Preemption" over the IP network toward the remote LSC serving the IP EI that initiated the call request being preempted or the remote AS-SIP EI that initiated the call request being preempted. A remote LSC will interrupt the user signaling (i.e., ringback) at the remote IP EI that has the call request being preempted, activate a preemption tone for 3 seconds, terminate the session at the remote IP EI, and respond with an ACK to the local AS-SIP EI that initiated the preemption. A remote AS-SIP EI will interrupt the user signaling (i.e., ringback), activate a preemption tone for 3 seconds, terminate the session, and respond with an ACK to the local AS-SIP EI that initiated the preemption.

5.3.4.10.3.2.3.2a.4 The local AS-SIP EI will receive an ACK response to the 486 (Busy Here) response code.

5.3.4.10.3.2.3.2a.5 The local AS-SIP EI will continue the call setup for the higher precedence call by activating user signaling (i.e., playing precedence ringing tone).

End Point Preemption of an Outbound Call Request

End Point Preemption of an Outbound Call Request Conducted by an LSC on Behalf of a Served IP EI (Other Than an AS-SIP EI)

5.3.4.10.3.2.3.3 Whenever an LSC performs an end point preemption of an outbound call request on behalf of an IP EI that has not received a 2xx response, then the LSC MUST:

5.3.4.10.3.2.3.3.1 Interrupt current user signaling associated with the outbound call request (e.g., ringback) and activate user signaling (i.e., preemption tone) at the local IP EI where the existing call is being preempted.

5.3.4.10.3.2.3.3.2 Send a 180 (Ringing) response to the remote LSC or remote AS-SIP EI that initiated the higher precedence call request. The remote LSC or remote AS-SIP EI will activate user signaling (i.e., precedence ringback tone) for the caller.

5.3.4.10.3.2.3.3.3 If an early dialog has been established, send a BYE or CANCEL request with a Reason header where the Reason-params has the value preemption ;cause=1 ;text="UA Preemption" to the LSC serving the remote called IP EI or to the remote AS-SIP EI at the other end of the preempted call request. A remote LSC will deactivate the ringing tone, terminate the call request, and respond with a 200 (OK) response to a BYE request or a 200 (OK) response to a CANCEL request followed by a 487 (Request Terminated) response to the INVITE sent by the originating LSC. A remote AS-SIP EI deactivates the ringing tone, terminates the call request and responds with a 200 (OK) response to a BYE request or a 200 (OK) response to a CANCEL request followed by a 487 (Request Terminated) response to the INVITE sent by the originating LSC.

NOTE: There is no particular purpose to playing the preemption tone for 3 seconds at the remote EI before terminating the call unless the remote LSC has received a 200 (OK) (or equivalent) response from its local IP EI indicating the telephone is off-hook or in the case of a remote AS-SIP EI, unless the remote AS-SIP EI has detected an off-hook or equivalent.

5.3.4.10.3.2.3.3.4 If an early dialog has NOT been established, the local LSC sends a CANCEL request with a Reason header where the Reason-params has the value preemption ;cause=1 ;text="UA Preemption" to the remote LSC of the called IP EI in the preempted call request or to the remote AS-SIP EI in the preempted call request. (NOTE: If the local LSC has not received any provisional response, it MUST wait to receive a provisional response before sending the CANCEL. In the event the first response is a final response, then the LSC sends a BYE request instead of a CANCEL.) A remote LSC will deactivate the ringing tone, respond with a 200 (OK) response to a BYE request or a 200 (OK) response to a CANCEL followed by a 487 (Request Terminated) response to the INVITE and terminate the call. A remote AS-SIP EI will deactivate the ringing tone, respond with a 200 (OK) response to a BYE request or a 200 (OK) response to a CANCEL followed by a 487 (Request Terminated) response to the INVITE and terminate the call.

NOTE: There is no particular purpose to playing the preemption tone for 3 seconds at the remote EI before terminating the call unless the remote LSC has received a 200 (OK) (or equivalent) response from its local IP EI indicating the telephone is off-hook or in the case of a remote AS-SIP EI, unless the remote AS-SIP EI has detected an off-hook or equivalent.

5.3.4.10.3.2.3.3.5 The local LSC will receive a 200 (OK) response code to the BYE or CANCEL request. In the case of a CANCEL request, the LSC will generally receive a 487 (Request Terminated) response to the INVITE request. However, since RFC 2543-compliant SIP appliances do not send a 487 (Request Terminated) response if the local LSC were to wait for a 487 (Request Terminated) response from the far end that is not being sent, the delay until the call could technically be considered to have been cancelled is $64 * T1$ seconds (where $T1$ is defined in RFC 3261, Section 17.1.1.1, Overview of INVITE Transaction). Hence, we will consider the call terminated upon the receipt of the 200 OK response.

5.3.4.10.3.2.3.3.6 Upon the receiver going on-hook, the local LSC will continue the call setup for the higher precedence call by activating user signaling at the local IP EI (i.e., precedence ringing tone).

End Point Preemption of an Outbound Call Request Conducted by an AS-SIP EI

5.3.4.10.3.2.3.3a Whenever an AS-SIP EI performs an end point preemption of an outbound call request for which it has not received a 2xx response, then the AS-SIP EI MUST:

5.3.4.10.3.2.3.3a.1 Interrupt current user signaling associated with the outbound call request (e.g., stop playing ringback) and activate user signaling (i.e., play preemption tone) to the user.

5.3.4.10.3.2.3.3a.2 Send a 180 (Ringing) response to the remote LSC or remote AS-SIP EI that initiated the higher precedence call request. The remote LSC or remote AS-SIP EI will activate user signaling (i.e., precedence ringback tone) for the caller.

5.3.4.10.3.2.3.3a.3 If an early dialog has been established, send a BYE or CANCEL request with a Reason header where the Reason-params has the value preemption ;cause=1 ;text="UA Preemption" to the LSC serving the remote called IP EI or the remote AS-SIP EI at the other end of the preempted call request. A remote LSC will deactivate the ringing tone, terminate the call request, and respond with a 200 (OK) response to a BYE request or a 200 (OK) response to a CANCEL request followed by a 487 (Request Terminated) response to the INVITE sent by the originating LSC. A remote AS-SIP EI deactivates the ringing tone, terminates the call request and responds with a 200 (OK) response to a BYE request or a 200 (OK) response to a CANCEL request followed by a 487 (Request Terminated) response to the INVITE sent by the originating LSC.

NOTE: There is no particular purpose to playing the preemption tone for 3 seconds at the remote EI before terminating the call unless the remote LSC has received a 200 (OK) (or equivalent) response from its local IP EI indicating the telephone is off-hook, or in the case of a remote AS-SIP EI, unless the remote AS-SIP EI has detected an off-hook or equivalent.

5.3.4.10.3.2.3.3a.4 If an early dialog has NOT been established, the AS-SIP EI sends a CANCEL request with a Reason header where the Reason-params has the value preemption ;cause=1 ;text="UA Preemption" to the remote LSC of the called IP EI in the preempted call request or to the remote AS-SIP EI in the preempted call request. (NOTE: If the AS-SIP EI has not received any provisional response, it MUST wait to receive a provisional response before sending the CANCEL request. In the event the first response is a final response, then the AS-SIP EI sends a BYE request instead of a CANCELrequest.) A remote LSC will deactivate the ringing tone, respond with a 200 (OK) response to a BYE request or a 200 (OK) response to a CANCEL request followed by a 487 (Request Terminated) response to the INVITE and terminate the call. A remote AS-SIP EI will deactivate the ringing tone, respond with a 200 (OK) response to a BYE request or a 200 (OK) response to a CANCEL

request followed by a 487 (Request Terminated) response to the INVITE and terminate the call.

NOTE: There is no particular purpose to playing the preemption tone for 3 seconds at the remote EI before terminating the call unless the remote LSC has received a 200 (OK) (or equivalent) response from its local IP EI indicating the telephone is off-hook, or in the case of a remote AS-SIP EI, unless the remote AS-SIP EI has detected an off-hook or equivalent.

5.3.4.10.3.2.3.3a.5 The local AS-SIP EI will receive a 200 (OK) response code to the BYE or CANCEL request. In the case of a CANCEL request, the AS-SIP EI will generally receive a 487 (Request Terminated) response to the INVITE request. However, since RFC 2543-compliant SIP appliances do not send a 487 (Request Terminated) response if the local AS-SIP EI was to wait for a 487 (Request Terminated) response from the far end that is not being sent, the delay until the call could technically be considered to have been cancelled is $64 * T1$ seconds (where $T1$ is defined in RFC 3261, Section 17.1.1.1, Overview of INVITE Transaction). Hence, we will consider the call terminated upon receipt of the 200 (OK) response.

5.3.4.10.3.2.3.3a.6 Upon the receiver going on-hook, the local AS-SIP EI will continue the call setup for the higher precedence call by activating user signaling at the local IP EI (i.e., precedence ringing tone).

Remote LSC Response to Notification of IP End Point Preemption

NOTE: When an LSC serves an AS-SIP EI, then AS-SIP messages conveying the Reason header for Preemption, intended for the served AS-SIP EI, are forwarded by the LSC to the AS-SIP EI.

5.3.4.10.3.2.3.4 Whenever an LSC receives an inbound BYE request with a Reason header where the Reason-params has the value `preemption ;cause=1 ;text="UA Preemption"` intended to preempt an existing call, then:

5.3.4.10.3.2.3.4.1 If the served IP EI is an AS-SIP EI, the LSC forwards the BYE request to the AS-SIP EI. The AS-SIP EI activates the user signaling requirements (e.g., plays a preemption tone for a minimum of 3 seconds), responds back with a 200 (OK) response, and terminates the session. The serving LSC forwards the 200 (OK) response over the IP network.

5.3.4.10.3.2.3.4.2 If the served IP EI is NOT an AS-SIP EI, the LSC activates the user signaling requirements (e.g., sends a preemption tone for a minimum of 3 seconds), sends a termination signaling message to the IP EI to terminate the session, and responds over the network with a 200 (OK) response.

5.3.4.10.3.2.3.5 Whenever an LSC receives an inbound 486 (Busy Here) response code with a Reason header where the Reason-params has the value preemption ;cause=1 ;text=“UA Preemption” for an outbound call request (i.e., the LSC is serving an IP EI that initiated a call request (which has not completed) and the far end is conducting end point preemption), then:

5.3.4.10.3.2.3.5.1 If the served IP EI is an AS-SIP EI, the LSC forwards the 486 (Busy Here) response to the AS-SIP EI. The AS-SIP EI deactivates the user signaling (i.e., ringback), activates a preemption tone for 3 seconds, sends an ACK response, and terminates the session. The serving LSC forwards the ACK over the network.

5.3.4.10.3.2.3.5.2 If the served IP EI is NOT an AS-SIP EI, the LSC deactivates the user signaling (i.e., ringback), activates a preemption tone for 3 seconds, and then conducts call termination with the IP EI. The LSC sends an ACK response back over the network.

5.3.4.10.3.2.3.6 Whenever an LSC receives an inbound BYE request (or an inbound CANCEL request) with a Reason Header where the Reason-params has the value preemption ;cause=1 ;text=“UA Preemption” for an inbound call request in the process of session establishment (receiver is still on-hook), then:

5.3.4.10.3.2.3.6.1 If the served IP EI is an AS-SIP EI, the LSC sends the BYE request (or CANCEL request) to the AS-SIP EI. The AS-SIP EI deactivates the ringing tone, returns a 200 (OK) response, which the LSC forwards over the network, and terminates the call. In the case of a CANCEL request, the AS-SIP EI will return a 487 (Request Terminated) request to the INVITE request, which the LSC will forward over the network.

5.3.4.10.3.2.3.6.2 If the served IP EI is NOT an AS-SIP EI, the LSC sends a termination signaling message to the IP EI to terminate the session and deactivate the ringing tone. The LSC responds back over the network with a 200 (OK) response. In the case of a CANCEL request, the LSC sends a 487 (Request Terminated) response over the network for the INVITE request.

5.3.4.10.3.3 Network Preemption

5.3.4.10.3.3.1 *Circumstances under which an LSC Performs Network Preemption*

5.3.4.10.3.3.1.1 When an LSC receives an outbound precedence call request from a served IP EI and there are insufficient resources to support the outbound precedence call request (e.g., call count threshold has been reached for the link), the LSC MUST compare the precedence level of the new precedence call request with the precedence levels of the existing calls and/or call requests to determine whether there are sufficient resources of lesser precedence that can be preempted to accommodate the new precedence call request. This comparison can only occur for calls and call requests having the same value for the precedence-domain subfield in the namespace of the Resource-Priority header field.

5.3.4.10.3.3.1.1.1 If there are no preemptable resources to accommodate the new precedence call request, the LSC MUST reject the precedence call request. The LSC is responsible for overseeing the playing of the BPA at the local IP EI followed by the termination of the call request. The BPA will indicate that the local LSC blocked the precedence call.

NOTE: In the case of a served AS-SIP EI, when the LSC serving the calling AS-SIP EI determines there are insufficient network resources to support the outbound precedence call request and there are no lesser priority calls available for preemption, then the LSC establishes a bearer connection between the AS-SIP EI and a media server associated with the LSC. Please see [Figure 5.3.4.10-6](#), LSC Implementation of BPA for AS-SIP EI Due to Lack of Network Resources at LSC Access Link. The LSC and media server exchange the necessary signaling to provide the media server with the IP address and UDP port for the bearer stream with the AS-SIP EI, and the LSC instructs the media server to play the BPA over the bearer stream to the AS-SIP EI after the bearer is established. The 183 (Session Progress) response sent by the LSC to the AS-SIP EI includes the sdp answer with the IP address and UDP port for a bearer stream with the media server. The AS-SIP EI responds with a PRACK to which the LSC responds with a 200 (OK) response. The media server plays the announcement to the AS-SIP EI and terminates the session with the LSC, and the LSC sends a 488 (Not Acceptable Here) response to the AS-SIP EI. The AS-SIP EI responds with an ACK and terminates the call request. NOTE: The media server is considered a part of the LSC SUT; therefore, the signaling between the LSC and media server is left to the vendor as long as the announcement is played and the signaling between

the LSC and AS-SIP EI complies with the specific call flow depicted in this section.

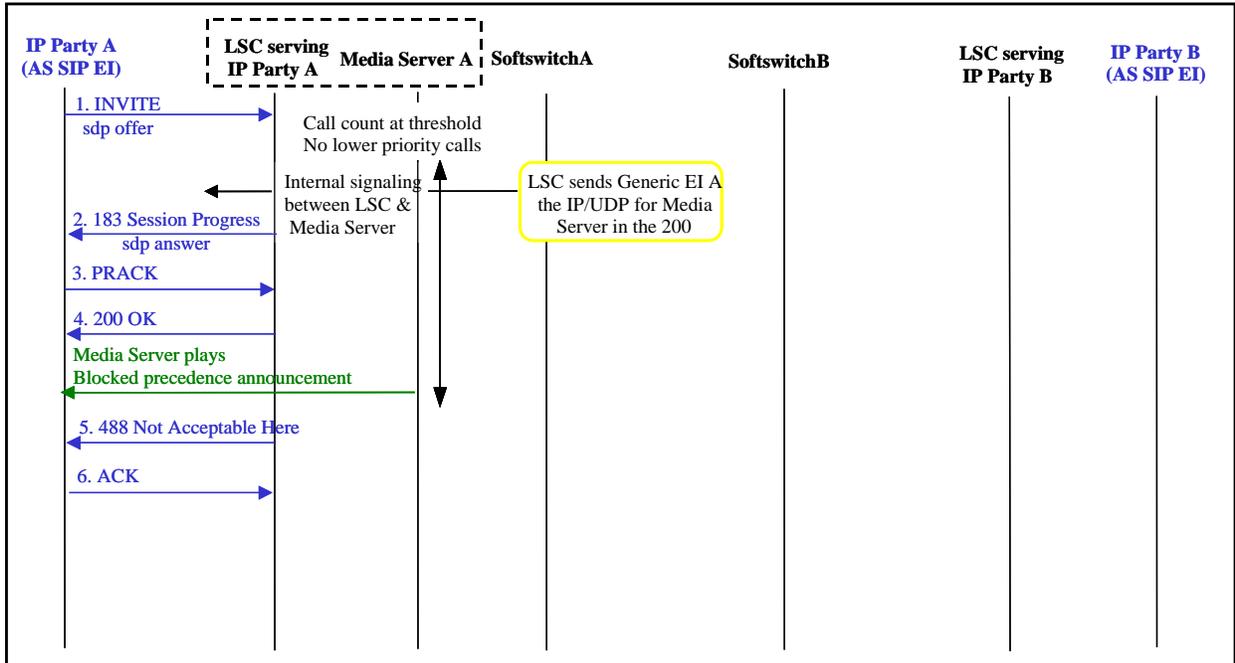


Figure 5.3.4.10-6. LSC Implementation of BPA for AS-SIP EI Due to Lack of Network Resources at LSC Access Link

5.3.4.10.3.3.1.1.2 If there are sufficient resources that are preemptable, the LSC MUST preempt existing call requests and calls using the following scheme:

- Identify the lowest priority call requests and calls having the same value for the precedence-domain subfield. If there is directionalization, then the set of preemptable call requests and calls consists only of those calls and call requests initiated in the same direction as the new precedence call request (i.e., a new outbound precedence call request is compared against other outbound call requests and calls; a new inbound precedence call request is compared against other inbound call requests and calls).
- Preempt call requests before preempting existing calls.
- If there are insufficient call requests and calls at the lowest priority to meet the preemption needs, then go to the next lowest priority level and preempt call requests before preempting existing calls (all of

which **MUST** have the same value for the precedence-domain subfield).

- Repeat this process until the necessary resources have been freed up for the precedence call request. (NOTE: The LSC has determined at the start that there were sufficient lesser precedence call requests and calls to satisfy the resource requirements of the precedence call request.)

The mechanism for selecting between call requests of a given priority level or between existing calls of a given priority level is left to the vendor with the caveat that the ordering scheme must be deterministic and must always be applied consistently. For example, if there are multiple call requests at the ROUTINE priority level, the LSC may preempt call requests based on the most recent call request being preempted first or the oldest call request being preempted first. Whatever methodology is selected it is required that it be deterministic and that it be applied the same way every time. The LSC **MUST** perform the network preemption per UCR 2008, [Section 5.3.4.10.3.3.2](#), Implementing the Network Preemption, and then send the AS-SIP INVITE for the new precedence call request into the WAN. [Network Preemption]

5.3.4.10.3.3.1.2 When an LSC receives an inbound precedence call request from a remote AS-SIP signaling appliance that is intended for a served IP EI and there are insufficient resources to support the inbound precedence call request (e.g., call count threshold has been reached for the link), the LSC **MUST** compare the precedence level of the new precedence call request with the precedence levels of the existing calls and/or call requests to determine whether there are sufficient resources of lesser precedence that can be preempted to accommodate the new precedence call request. This comparison can only occur for calls and call requests having the same value for the precedence-domain subfield in the namespace of the Resource-Priority header field.

5.3.4.10.3.3.1.2.1 If there are no preemptable resources to accommodate the new precedence call request, the LSC **MUST** reject the precedence call request by sending a 488 (Not Acceptable Here) response code that **SHOULD** include a Warning header field with warning code 370 (Insufficient Bandwidth) to the remote LSC. NOTE: The remote LSC serving the calling IP EI will ensure that a BPA is played to its local IP EI, and then terminate the call request. The BPA will notify the user that the call was blocked by a remote AS-SIP signaling appliance. The individual

switch name and location of the preempting switch will not be conveyed to the user.

5.3.4.10.3.3.1.2.2 If there are sufficient resources that are preemptable, the LSC MUST preempt existing call requests and calls as described in [Requirement 5.3.4.10.3.3.1.1.2](#) (preempt lowest priority call requests before lowest priority existing calls, etc.). The LSC MUST perform the network preemption per UCR 2008, [Section 5.3.4.10.3.3.2](#), Implementing the Network Preemption. Then the LSC will forward the new precedence call request to its local IP EI. [Network Preemption]

5.3.4.10.3.3.2 Implementing the Network Preemption

5.3.4.10.3.3.2.1 Whenever an LSC preempts an existing call of a served IP EI, the LSC MUST NOT consider the existing call to be terminated until the LSC has received an acknowledgement from its local IP EI that the call has been terminated (e.g., in the case of an AS-SIP EI or SIP EI this means receiving a 200 (OK) response to a BYE request) and until the LSC has received a 200 (OK) response to the BYE request sent to the remote LSC serving the remote IP EI whose call is being preempted or to the remote AS-SIP EI whose call is being preempted.

5.3.4.10.3.3.2.2 Whenever an LSC preempts an inbound call request of a served IP EI, the LSC MUST NOT consider the existing call request to be terminated until the LSC has received an acknowledgement from its local IP EI that the call has been terminated (e.g., in the case of an AS-SIP EI or SIP EI receiving a 200 (OK) response to a BYE request or a 200 (OK) response to a CANCEL request possibly followed by a 487 (Request Terminated) response to the INVITE) and until the LSC has received an ACK to the 488 response code sent to the remote LSC serving the remote IP EI whose call is being preempted or the remote AS-SIP EI whose call is being preempted.

NOTE: In the case in which the LSC sends a CANCEL request to its local SIP EI, if the local SIP EI is RFC 2543 compliant but not RFC 3261 compliant, it will not send a 487 (Request Terminated) response and the local LSC will consider the call leg with the local SIP EI terminated upon receipt of the 200 (OK) response to the CANCEL request.

5.3.4.10.3.3.2.3 Whenever an LSC preempts an outbound call request of a served IP EI, the LSC MUST NOT consider the existing call request to be terminated until the LSC has received an acknowledgement from its local IP EI that the call has been terminated (e.g., in the case of an AS-SIP EI or a SIP EI receiving an

ACK to the 488 (Not Acceptable Here) response code) and has received a 200 (OK) response to the BYE request, or a 200 (OK) response to a CANCEL request from the remote LSC serving the remote IP EI that has the call being preempted or the remote AS-SIP EI that has the call being preempted.

NOTE: Since RFC 2543-compliant SIP appliances do not send a 487 (Request Terminated) response, if the LSC was to wait for a 487 (Request Terminated) response from the far end that is not being sent, the delay until the call could technically be considered to have been cancelled is $64 * T1$ seconds (where T1 is defined in RFC 3261, Section 17.1.1.1, Overview of INVITE Transaction). Hence, we will consider the call terminated upon receipt of the 200 (OK) response.

Network Preemption of Active Call

5.3.4.10.3.3.2.4 Whenever an LSC performs a network preemption of an active call, then the LSC MUST:

5.3.4.10.3.3.2.4.1 In the case of an IP EI other than an AS-SIP EI, activate the preemption tone at the local IP EI for a minimum of 3 seconds, and then send a termination message to the local IP EI.

5.3.4.10.3.3.2.4.1a In the case of an AS-SIP EI, send a SIP BYE request with a Reason header where the Reason-params has the value preemption ;cause=5 ;text="Network Preemption" to the AS-SIP EI. The AS-SIP EI activates the preemption tone for a minimum of 3 seconds, sends a 200 (OK) response, and terminates the session.

5.3.4.10.3.3.2.4.2 Send a BYE request with a Reason header where the Reason-params has the value preemption;cause=5 ;text="Network Preemption" over the IP network to the remote LSC serving the remote IP EI or the remote AS-SIP EI in the preempted call. A remote LSC will activate the preemption tone at its remote IP EI for a minimum of 3 seconds, and then send a termination message to its IP EI. A remote AS-SIP EI will receive the BYE request, play the preemption tone for 3 seconds, respond with a 200 (OK) response, and terminate the session.

5.3.4.10.3.3.2.4.3 The LSC that initiated the network preemption will receive a 200 (OK) response code from the remote LSC or remote AS-SIP EI.

Network Preemption of an Inbound Call Request

5.3.4.10.3.3.2.5 Whenever an LSC performs a network preemption of an inbound call request (receiver is on-hook), then the LSC MUST:

5.3.4.10.3.3.2.5.1 In the case of an IP EI other than an AS-SIP EI, conduct call termination with the local IP EI that deactivates the ringing tone.

5.3.4.10.3.3.2.5.1a In the case of an AS-SIP EI, the LSC sends a SIP BYE request or CANCEL request, as appropriate, with a Reason header where the Reason-params has the value preemption ;cause=5 ;text=“Network Preemption”) to the AS-SIP EI. The AS-SIP EI deactivates the ringing tone, sends a 200 (OK) response, and terminates the inbound call request.

5.3.4.10.3.3.2.5.2 Send a 488 (Not Acceptable Here) response code that SHOULD include a Warning header field with warning code 370 (Insufficient Bandwidth) with a Reason header where the Reason-params has the value preemption;cause=5 ;text=“Network Preemption” over the IP network to the originating LSC serving the originating IP EI in the preempted call or to the originating AS-SIP EI in the preempted call. An originating LSC deactivates the ringback tone on the originating IP EI, sends a preemption tone for a minimum of 3 seconds, conducts call termination with the originating IP EI, and responds with an ACK. An originating AS-SIP EI receives the 488 (Not Acceptable Here) response code, plays a preemption tone for a minimum of 3 seconds, responds with an ACK, and terminates the call request.

5.3.4.10.3.3.2.5.3 The LSC that initiated the network preemption will receive an ACK response to the 488 (Not Acceptable Here) response code from the originating LSC or the originating AS-SIP EI.

Network Preemption of an Outbound Call Request

5.3.4.10.3.3.2.6 Whenever an LSC performs a network preemption of an outbound call request from a locally served IP EI that has not received a 2xx response, then the LSC MUST:

5.3.4.10.3.3.2.6.1 In the case of an IP EI other than an AS-SIP EI, deactivate any user signaling (e.g., ringback), activate the preemption tone for a minimum of 3 seconds, and then conduct call termination.

5.3.4.10.3.3.2.6.1a In the case of an AS-SIP EI, the LSC sends a 488 (Not Acceptable Here) response code that SHOULD include a Warning header field with warning code 370 (Insufficient Bandwidth) with a Reason header where the Reason-params has the value `preemption;cause=5;text="Network Preemption"` to the AS-SIP EI. The AS-SIP EI deactivates any user signaling (e.g., ringback), activates the preemption tone for a minimum of 3 seconds, responds to the LSC with an ACK, and terminates the call request.

5.3.4.10.3.3.2.6.2 If an early dialog has been established, send a BYE or CANCEL request with a Reason header where the Reason-params has the value `preemption;cause=5;text="Network Preemption"` to the remote LSC serving the called IP EI in the preempted call request or the remote AS-SIP EI in the preempted call request. The remote LSC will conduct call termination with its IP EI that deactivates the user signaling (i.e., ringing tone), and will respond with a 200 (OK) response, or in the case of a remote AS-SIP EI, the remote AS-SIP EI will deactivate the ringing tone and respond with a 200 (OK) response.

NOTE: There is no particular purpose to playing the preemption tone for 3 seconds at the remote LSC or remote AS-SIP EI before terminating the call unless the remote LSC has received an indication that the EI is off-hook or in the case of a AS-SIP EI, unless the user has gone off-hook.

5.3.4.10.3.3.2.6.3 If an early dialog has not been established, send a CANCEL request with a Reason header where the Reason-params has the value `preemption;cause=5;text="Network Preemption"` to the remote LSC serving the called IP EI in the preempted call request or the remote AS-SIP EI in the preempted call request. (NOTE: If the LSC has not received any provisional response it MUST wait to receive a provisional response before sending the CANCEL. In the event the first response is a final response, then the LSC sends a BYE instead of a CANCEL) The remote LSC will conduct call termination with its IP EI, which deactivates the user signaling (i.e., ringing tone), and will respond with a 200 (OK) response, or in the case of a remote AS-SIP EI, the remote AS-SIP EI will deactivate the ringing tone and respond with a 200 (OK) response.

NOTE: There is no particular purpose to playing the preemption tone for 3 seconds before terminating the call unless the LSC has received an indication that the EI is off-hook or in the case of an AS-SIP EI, unless the user has gone off-hook.

5.3.4.10.3.3.2.6.4 The LSC that initiated the network preemption will receive a 200 (OK) response code to the BYE or CANCEL request from the remote LSC or remote AS-SIP EI.

5.3.4.10.4 ASAC Rule Set

NOTE: This section comprises the rules governing when to increment and decrement voice and video call counts and assumes the necessary network resources are available.

UCR 2008, [Section 5.3.4.10.3.3](#), Network Preemption, provides the rules for what an LSC must do when incrementing a call count that would exceed a configured threshold.

UCR 2008, [Section 5.3.4.11](#), Policing of Call Count Thresholds, provides the rules by which an SS polices ASAC call counts.

5.3.4.10.4.1 LSC Component of SS

The LSC component of an SS MUST perform ASAC call counting in accordance with the ASAC rules defined in UCR 2008, [Section 5.3.4.10.4](#), ASAC Rule Set.

5.3.4.10.4.1.1 Empty INVITE

5.3.4.10.4.1.1.1 Unless covered by the exception set forth in [Requirement 5.3.4.10.4.1.1.1.1](#), when an LSC either receives a SIP INVITE from a served SIP EI that does not include an sdp body (Empty INVITE) or generates a SIP INVITE on behalf of a non-SIP IP EI that does not have an sdp body, then the LSC MUST classify the call request for ASAC purposes as a telephony call and increment the telephony ASAC call count (or outbound telephony call count in the case of directionalization) by one.

5.3.4.10.4.1.1.1.1 An LSC that receives a SIP INVITE from a served SIP EI that does not include an sdp body but does include a Contact header with the media feature tag “video,” or generates a SIP INVITE on behalf of a non-SIP IP EI that does not have an sdp body but does include a Contact header with the media feature tag “video,” MAY increment the video ASAC call count (or outbound video call count) by at least one VSU instead of incrementing the telephony call count (or outbound telephony call count). NOTE: Depending on the outcome of the offer-answer exchange the LSC may need to modify the number of VSUs (or outbound VSUs) allocated for the call.

5.3.4.10.4.1.1.2 Upon receipt of an inbound Empty INVITE intended for a directly served IP EI, an LSC MUST classify the call request for ASAC purposes as a telephony call and increment the telephony ASAC call count (or inbound telephony call count in the case of directionalization) by one UNLESS:

5.3.4.10.4.1.1.2.1 The Contact header includes the media feature tag “video” and the LSC is capable of processing the media feature tag, in which case the LSC MAY increment the video ASAC call count (or inbound video call count in the case of directionalization) by one or more VSUs. If the EI responds by offering video capabilities greater than the number of VSUs just allocated for the call request, then the LSC MUST increment the video call count (or inbound video call count in the case of directionalization) by the additional VSUs necessary to cover the video offer.

NOTE: If the LSC initially increments the video ASAC call count by “n” VSUs, but the called EI responds with an offer for a telephony call, then the LSC MUST immediately decrement the video ASAC call count (or inbound video call count in the case of directionalization) by “n” VSUs, and then increment the telephony call count (or inbound telephony call count in the case of directionalization) by one.

NOTE: If the LSC initially classifies the call request as a telephony call (or an inbound telephony call in the case of directionalization), but the EI offers audio and video capabilities, then the LSC will decrement the telephony call count (or inbound telephony call count) and increment the video call count (or inbound video call count in the case of directionalization) by the appropriate number of VSUs and send a response that includes an sdp offer of audio and video capabilities.

5.3.4.10.4.2 LSC

5.3.4.10.4.2.1 Outbound INVITE and re-INVITE

Outbound INVITE

5.3.4.10.4.2.1.1 When the LSC receives a SIP INVITE from a served AS-SIP EI or SIP EI, or receives an outbound call request from a served non-SIP IP EI that is intended for a destination outside the enclave and the INVITE that is forwarded or generated includes an sdp offer specifying audio capabilities, then the LSC increments the telephony call count (or outbound telephony call count in the case of directionalization) by one and sends the INVITE to its SS.

5.3.4.10.4.2.1.1a When the LSC receives a SIP INVITE from a served AS-SIP EI or SIP EI, or receives an outbound call request from a served non-SIP IP EI that is intended for a destination outside the enclave and the INVITE that is forwarded or generated includes an sdp offer specifying audio and video capabilities, then the LSC increments the video call count (or outbound video call count in the case of directionalization) by the appropriate number of VSUs and sends the INVITE to its SS.

Outbound re-INVITE on Existing Telephony Call

5.3.4.10.4.2.1.2 When the LSC receives a SIP re-INVITE from a served AS-SIP EI or SIP EI, or receives an outbound call request from a served non-SIP IP EI (that will cause the LSC to generate a re-INVITE) AND the re-INVITE is intended for a destination outside the enclave AND the re-INVITE offers audio and video capabilities AND the existing call is budgeted against the telephony call count, THEN the LSC MUST increment the video call count (or outbound video call count) by the appropriate number of VSUs.

5.3.4.10.4.2.1.2.1 If the response to the re-INVITE is either a failure response (e.g., 4xx) or a 2xx response that rejects the video offer, then upon receipt of the response the LSC decrements the video call count (or outbound video call count) by the number of VSUs that had been previously incremented.

5.3.4.10.4.2.1.2.2 If the response is a 2xx that accepts the video capabilities, then the LSC decrements the telephony call count by one upon receipt of the 200 (OK) response.

NOTE: If the LSC uses directionalization, then the LSC MUST decrement the outbound telephony call count or inbound telephony call count depending on the classification of the original call.

5.3.4.10.4.2.1.2.3 When the LSC receives a SIP re-INVITE from a served AS-SIP EI or SIP EI, or receives an outbound call request from a served non-SIP IP EI (that will cause the LSC to generate a re-INVITE) that is intended for a destination outside the enclave AND the re-INVITE offers audio capabilities only AND the existing call is budgeted against the telephony call count (or the outbound telephony call count or inbound telephony call count in the case of directionalization), THEN the LSC makes no change to the telephony call count (or outbound telephony call count or inbound telephony call count).

Outbound re-INVITE on Existing Video Call

5.3.4.10.4.2.1.4 When the LSC receives a SIP re-INVITE from a served AS-SIP EI or SIP EI, or receives an outbound call request from a served non-SIP IP EI (that will cause the LSC to generate a re-INVITE) and the re-INVITE is intended for a destination outside the enclave AND the re-INVITE offers audio capabilities only AND the existing call is budgeted against the video call count, THEN the LSC MUST increment the telephony call count (or outbound telephony call count) by one.

5.3.4.10.4.2.1.4.1 If the response to the re-INVITE is either a failure response (e.g., 4xx) or a 2xx response that rejects the telephony offer, then upon receipt of the response, the LSC decrements the telephony call count (or outbound telephony call count) by one.

5.3.4.10.4.2.1.4.2 If the response is a 2xx that accepts the audio capabilities, then the LSC decrements the video call count by the appropriate number of VSUs upon receipt of the 200 response. NOTE: If the LSC uses directionalization, then the LSC MUST decrement the outbound video call count or inbound video call count depending on the classification of the original call.

5.3.4.10.4.2.1.5 When the LSC receives a SIP re-INVITE from a served AS-SIP EI or SIP EI, or receives an outbound call request from a served non-SIP IP EI (that will cause the LSC to generate a re-INVITE) that is intended for a destination outside the enclave AND the re-INVITE offers audio and video capabilities AND the existing call is budgeted against the video call count (or the outbound video call count or inbound video call count in the case of directionalization) then:

5.3.4.10.4.2.1.5.1 If the proposed video call count is greater than the current video call count, the LSC MUST increment the video call count (or if the LSC uses directionalization, then the LSC increments the outbound video call count or inbound video call count depending on the classification of the original call) by the difference in VSUs between the proposed video call count and the current video call count.

5.3.4.10.4.2.1.5.1.1 If the response to the re-INVITE is either a failure response (e.g., 4xx) or a 2xx response that rejects the video offer, then upon receipt of the response, the LSC decrements the video call count by the amount of VSUs it had previously added to support the re-INVITE.

NOTE: If an existing video session requests the budget allocation to be increased (i.e., requesting a higher bandwidth video session) and the request is rejected either by the originating or terminating LSC, or the terminating video EI, then the session should not be terminated, but remain at its original session budgets.

5.3.4.10.4.2.1.5.2 If the proposed video call count is less than the current video call count, the LSC does not alter the video call count until receipt of the response to the offer.

5.3.4.10.4.2.1.5.2.1 If the response to the re-INVITE is either a failure response (e.g., 4xx) or a 2xx response that rejects the video offer, then upon receipt of the response the LSC makes no change to the video call count.

5.3.4.10.4.2.1.5.2.2 If the response to the re-INVITE is a 200 response accepting the offer, then the LSC reduces the video call count (or if the LSC uses directionalization, then the LSC decrements the outbound video call count or inbound video call count depending on the classification of the original call) by the difference in VSUs between the proposed video call count and the current video call count.

Outbound BYE

5.3.4.10.4.2.1.6 When an LSC sends a BYE to its SS intended for an IP EI outside the enclave, the LSC refrains from decrementing the call count associated with the given call until the LSC receives the 200 OK response to the BYE from the far end.

5.3.4.10.4.2.2 Inbound INVITE and re-INVITE

Inbound INVITE

5.3.4.10.4.2.2.1 When an LSC receives an inbound INVITE intended for a served IP EI that includes an sdp body offering only audio capabilities, the LSC MUST classify the call request for ASAC purposes as a telephony call and increment the telephony call count (or inbound telephony call count in the case of directionalization) by one.

5.3.4.10.4.2.2.2 When an LSC receives an inbound INVITE intended for a served IP EI that includes an sdp body offering audio and video capabilities, the LSC

MUST classify the call request for ASAC purposes as a video call and increment the video call count (or inbound video count in the case of directionalization) by the appropriate number of VSUs.

NOTE: If the EI accepts only the audio offer, and therefore, the initial response to the INVITE (be it a reliable provisional 18x response or a final 200 response) includes an sdp answer accepting only the audio offer, then the LSC MUST decrement the video call count (or inbound video call count in the case of directionalization) by the number of VSUs previously incremented and increment the telephony call count (or inbound telephony call count in the case of directionalization) by one.

Inbound re-INVITE on Existing Telephony Call

5.3.4.10.4.2.2.3 When the LSC receives a re-INVITE from outside the enclave, offering audio and video capabilities and intended for a served IP EI, AND the existing call is budgeted against the telephony call count, then the LSC MUST increment the video call count (or inbound video call count) by the appropriate number of VSUs and forward the video call request to the EI. If the user responds by accepting the video request, then the LSC decrements the telephony call count (or in the case of directionalization decrements the outbound telephony call count or inbound telephony call count depending on the classification of the original call) by one. The LSC sends (or forwards) a 200 response with an sdp answer accepting the audio and video capabilities.

5.3.4.10.4.2.2.3.1 If the user does not accept the sdp offer or, in particular, the video capabilities in the sdp offer (e.g., end user did not accept video), then the LSC decrements the video call count (or inbound video call count) by the number of VSUs that had just previously been incremented. The LSC sends (or forwards) a 200 response with an sdp answer rejecting the audio and video capabilities.

5.3.4.10.4.2.2.4 When the LSC receives a re-INVITE from outside the enclave, offering audio capabilities only and intended for a served IP EI AND the existing call is budgeted against the telephony call count, then the LSC makes no change to the ASAC call counts.

Inbound re-INVITE on Existing Video Call

5.3.4.10.4.2.2.5 When the LSC receives a re-INVITE from outside the enclave, offering audio capabilities only and intended for a served IP EI, AND the existing call is budgeted against the video call count, then the LSC MUST increment the

telephony call count (or inbound telephony call count in the case of directionalization) by one, and forward the telephony call request to the EI. If the user responds by accepting the telephony call request, then the LSC decrements the video call count (or in the case of directionalization decrements the outbound video call count or inbound video call count depending on the classification of the original call) by the appropriate number of VSUs. The LSC sends (or forwards) a 200 response with an sdp answer accepting the audio capabilities.

5.3.4.10.4.2.2.5.1 If the user does not accept the sdp offer of audio capabilities only, then the LSC decrements the telephony call count (or inbound telephony call count) by one. The LSC sends (or forwards) a 200 response with an sdp answer rejecting the new audio capabilities.

5.3.4.10.4.2.2.6 When the LSC receives a re-INVITE from outside the enclave, offering audio and video capabilities and intended for a served IP EI, AND the existing call is budgeted against the video call count (or the outbound video call count or inbound video call count in the case of directionalization), then:

5.3.4.10.4.2.2.6.1 If the proposed video call count is greater than the current video call count, the LSC MUST increment the video call count (or if the LSC uses directionalization, then the LSC increments the outbound video call count or inbound video call count, depending on the classification of the original call), by the difference in VSUs between the proposed video call count and the current video call count, and forwards the video call request to the EI. If the EI accepts the video change, then the LSC sends (or forwards) a 200 response with an sdp answer accepting the change in video capabilities.

5.3.4.10.4.2.2.6.1.1 If the EI does not accept the change in video capabilities, then the LSC decrements the video call count (or in the case of directionalization, decrements the inbound video call count or outbound video call count depending on the classification of the original call) by the number of VSUs it had just added previously. The LSC sends (or forwards) a 200 response with an sdp answer rejecting the new video capabilities.

5.3.4.10.4.2.2.6.2 If the proposed video call count is less than the current video call count, the LSC forwards the video call request to the EI and if the EI accepts the video change, then the LSC reduces the video call count (or if the LSC uses directionalization, then the LSC decrements the outbound video call count or inbound video call count depending on the classification of the original call) by the difference in VSUs between the

proposed video call count and the current video call count and sends (or forwards) a 200 response with an sdp answer accepting the change in video capabilities.

5.3.4.10.4.2.2.6.2.1 If the EI does not accept the change in video capabilities, then the LSC makes no change to the ASAC video call count and sends (or forwards) a 200 response with an sdp answer rejecting the new video capabilities.

Inbound BYE

5.3.4.10.4.2.2.7 When an LSC receives a BYE from outside the enclave intended for a served IP EI, the LSC forwards the BYE or an equivalent termination message to its IP EI, decrements the call count associated with the given call, and sends the 200 (OK) response to the BYE back to its SS.

5.3.4.10.4.3 Softswitch

NOTE: [Section 5.3.4.10.4.3.1](#), Outbound INVITE and re-INVITE, and [Section 5.3.4.10.4.3.2](#), Outbound INVITE and re-INVITE, detail the Softswitch ASAC requirements when the INVITE will NOT cause a call count threshold to be exceeded. [Section 5.3.4.11](#), Policing of Call Count Thresholds, details the Softswitch policing requirements when, according to the Softswitch, the INVITE will cause a call count threshold to be exceeded.

5.3.4.10.4.3.1 Outbound INVITE and re-INVITE

Outbound INVITE

5.3.4.10.4.3.1.1 When the SS receives an INVITE from a served LSC that includes an sdp offer specifying audio capabilities, then the SS increments the telephony call count (or outbound telephony call count in the case of directionalization) by one.

5.3.4.10.4.3.1.1a When the SS receives a SIP INVITE from a served LSC that includes an sdp offer specifying audio and video capabilities, then the SS increments the video call count (or outbound video call count in the case of directionalization) by the appropriate number of VSUs.

5.3.4.10.4.3.1.1b When the SS receives a SIP INVITE from a served LSC that does not include an sdp offer (Empty INVITE), then the SS increments the telephony call count (or outbound telephony call count in the case of directionalization) by one.

Outbound re-INVITE on Existing Telephony Call

5.3.4.10.4.3.1.2 When the SS receives a re-INVITE from a served LSC AND the re-INVITE offers audio and video capabilities AND the existing call is budgeted against the telephony call count, THEN the SS MUST increment the video call count (or outbound video call count) by the appropriate number of VSUs.

5.3.4.10.4.3.1.2.1 If the response to the re-INVITE is either a failure response (e.g., 4xx) or a 200 response that rejects the video offer, then upon receiving the failure response or 200 response that does not accept the video portion of the offer, the SS decrements the video call count (or outbound video call count) by the number of VSUs that had been incremented previously.

5.3.4.10.4.3.1.2.2 If the response is a 200 response that accepts the video capabilities, then upon receiving the 200 response the SS decrements the telephony call count by one. NOTE: If directionalization is being used, then the SS MUST decrement the outbound telephony call count or inbound telephony call count depending on the classification of the original call.

5.3.4.10.4.3.1.3 When the SS receives a re-INVITE from a served LSC AND the re-INVITE offers audio capabilities only AND the existing call is budgeted against the telephony call count (or the outbound telephony call count or inbound telephony call count in the case of directionalization), THEN the SS makes no change to the telephony call count (or outbound telephony call count or inbound telephony call count).

Outbound re-INVITE on Existing Video Call

5.3.4.10.4.3.1.4 When the SS receives a re-INVITE from a served LSC AND the re-INVITE offers audio capabilities only AND the existing call is budgeted against the video call count, THEN the SS MUST increment the telephony call count (or outbound telephony call count) by one.

5.3.4.10.4.3.1.4.1 If the response to the re-INVITE is either a failure response (e.g., 4xx) or a 200 response that rejects the telephony offer, then upon receiving the failure response or 200 response that rejects the telephony offer the SS decrements the telephony call count (or outbound telephony call count) by one.

5.3.4.10.4.3.1.4.2 If the response is a 200 response that accepts the audio capabilities, then upon receiving the 200 answer the SS decrements the video call count by the appropriate number of VSUs. NOTE: If the LSC uses directionalization, then the SS MUST decrement the outbound video call count or inbound video call count depending on the classification of the original call.

5.3.4.10.4.3.1.5 When the SS receives a re-INVITE from a served LSC AND the re-INVITE offers audio and video capabilities AND the existing call is budgeted against the video call count (or the outbound video call count or inbound video call count in the case of directionalization), then:

5.3.4.10.4.3.1.5.1 If the proposed video call count is greater than the current video call count, the SS MUST increment the video call count (or if the LSC uses directionalization, then the SS increments the outbound video call count or inbound video call count depending on the classification of the original call) by the difference in VSUs between the proposed video call count and the current video call count.

5.3.4.10.4.3.1.5.1.1 If the response to the re-INVITE is either a failure response (e.g., 4xx) or a 200 response that rejects the video offer, then upon receiving the failure response or 200 response that rejects the video offer, the SS decrements the video call count by the number of VSUs it had previously added to support the re-INVITE.

5.3.4.10.4.3.1.5.2 If the proposed video call count is less than the current video call count, the SS does not alter the video call count until receipt of the response to the offer.

5.3.4.10.4.3.1.5.2.1 If the response to the re-INVITE is either a failure response (e.g., 4xx) or a 200 response that rejects the video offer, then upon receiving the failure response or 200 response that rejects the video offer, the SS makes no change to the video call count.

5.3.4.10.4.3.1.5.2.2 If the reesponse to the re-INVITE is a 200 response accepting the offer, then upon receiving the 200 offer acceptance the SS reduces the video call count (or if the LSC uses directionalization, then the SS decrements the outbound video call count or inbound video call count depending on the classification

of the original call) by the difference in VSUs between the proposed video call count and the current video call count.

Outbound BYE

5.3.4.10.4.3.1.6 When a SS receives a BYE request from its served LSC, the SS takes no action about the ASAC count until it receives the 200 (OK) response to the BYE whereupon the SS decrements the call count associated with the call being terminated.

5.3.4.10.4.3.2 Inbound INVITE and re-INVITE

Inbound INVITE

5.3.4.10.4.3.2.1 When the SS receives an INVITE intended for a served LSC, the SS takes no action about ASAC until it receives a response from the served LSC and proceeds as follows:

5.3.4.10.4.3.2.1.1 If the INVITE had been an Empty INVITE (i.e., no sdp offer), then the SS increments the telephony count (or inbound telephony count) by one if one of the following occurs:

- The first provisional non-failure response (e.g., 1xx response code greater than a 100) has no sdp offer.
- The first provisional response has an sdp offering audio capabilities.
- The first response is a final response (200) with an sdp that offers audio capabilities.

NOTE: See UCR 2008, [Section 5.3.4.12](#), Video Telephony – General Rules, for examples of how the SS modifies ASAC call count when the first provisional non-failure response has no sdp offer but the subsequent 200 final response offers audio and video capabilities.

5.3.4.10.4.3.2.1.2 If the INVITE had been an Empty INVITE (i.e., no sdp offer), then the SS increments the video call count (ore inbound video call count) by the appropriate number of VSUs if one of the following occur:

- The first provisional response has an sdp offering audio and video capabilities.

- The first response is a final response (200) with an sdp that offers audio and video capabilities.

5.3.4.10.4.3.2.1.3 If the INVITE had an sdp offer advertising audio capabilities only, then the SS increments the telephony count (or inbound telephony count) by one if one of the following occurs:

- The first provisional non-failure response (e.g., 1xx response code greater than a 100) has no sdp answer.
- The first provisional response has an sdp answer accepting audio capabilities.
- The first response is a final response (200) with an sdp that accepts audio capabilities.

5.3.4.10.4.3.2.1.4 If the INVITE had an sdp offer advertising audio and video capabilities, then the SS increments the telephony count (or inbound telephony count) by one if the first provisional non-failure response (e.g., 1xx response code greater than a 100 response code) has an sdp answer accepting audio only.

5.3.4.10.4.3.2.1.5 If the INVITE had an sdp offer advertising audio and video capabilities, then the SS increments the video count (or inbound video count) by the appropriate number of VSUs if one of the following occurs:

- The first provisional non-failure response (e.g., 1xx response code greater than a 100) has no sdp answer.
- The first provisional response has an sdp answer accepting audio and video capabilities.
- The first response is a final response (200) with an sdp that accepts audio and video capabilities.

NOTE: See UCR 2008, [Section 5.3.4.12](#), Video Telephony – General Rules, for examples of how the SS modifies ASAC call count when the first provisional non-failure response has no sdp answer but the subsequent 200 final response accepts audio capabilities only.

5.3.4.10.4.3.2.1.6 If the response from the LSC is a failure response (e.g., 4xx, 5xx, 6xx), then the SS takes no action with respect to ASAC.

Inbound re-INVITE on Existing Telephony Call

5.3.4.10.4.3.2.2 When the SS receives a re-INVITE intended for a served LSC AND the sdp offers audio and video capabilities AND the existing call is budgeted against the telephony call count, THEN the SS takes no action about ASAC until it receives a response from the served LSC and proceeds as follows:

5.3.4.10.4.3.2.2.1 If the SS receives from its served LSC a 200 response with an sdp answer that accepts the audio and video capabilities, then the SS increments the video call count (or inbound video call count) by the appropriate number of VSUs and decrements the telephony call count (or if the LSC uses directionalization, then the SS decrements the outbound telephony call count or inbound telephony call count depending on the classification of the original call) by one.

5.3.4.10.4.3.2.2.2 If the SS receives from its served LSC a failure response or a 200 response with an sdp answer that does not accept the video capabilities, then the SS makes no change to the ASAC call counts.

5.3.4.10.4.3.2.3 When the SS receives a re-INVITE offering audio capabilities only and it is intended for a served LSC AND the existing call is budgeted against the telephony call count, then the SS takes no action about ASAC and will make no change to the ASAC call counts upon receiving from the served LSC either a 200 answer that accepts the audio offer or a 200 answer that rejects the audio offer or a final failure response.

Inbound re-INVITE on Existing Video Call

5.3.4.10.4.3.2.4 When the SS receives a re-INVITE intended for a served LSC that has an sdp offering audio capabilities only AND the existing call is budgeted against the video call count, then the SS takes no action about ASAC until it receives a response from the served LSC and proceeds as follows:

5.3.4.10.4.3.2.4.1 If the SS receives from its served LSC a 200 with an sdp answer that accepts the audio offer then the SS increments the telephony call count (or inbound telephony call count) by one and decrements the video call count (or if the LSC uses directionalization then the SS decrements the outbound video call count or inbound video call

count depending upon the classification of the original call) by the appropriate number of VSUs.

5.3.4.10.4.3.2.4.2 If the SS receives from its served LSC a failure response or a 200 with an sdp answer that does not accept the video capabilities then the SS makes no change to the ASAC call counts.

5.3.4.10.4.3.2.5 When the SS receives a re-INVITE intended for a served LSC that offers audio and video capabilities AND the existing call is budgeted against the video call count (or the outbound video call count or inbound video call count in the case of directionalization), then the SS takes no action about ASAC until it receives a response from the served LSC and proceeds as follows:

5.3.4.10.4.3.2.5.1 If the SS receives from its served LSC a 200 response with an sdp answer that accepts the video offer then:

5.3.4.10.4.3.2.5.1.1 If the proposed video call count is greater than the current video call count the SS MUST increment the video call count (or if the LSC uses directionalization then the SS increments the outbound video call count or inbound video call count depending on the classification of the original call) by the difference in VSUs between the proposed video call count and the current video call count.

5.3.4.10.4.3.2.5.1.2 If the proposed video call count is less than the current video call count, then upon receipt of the 200 response the SS reduces the video call count (or if the LSC uses directionalization, then the SS decrements the outbound video call count or inbound video call count depending on the classification of the original call) by the difference in VSUs between the proposed video call count and the current video call count.

5.3.4.10.4.3.2.5.1.3 If the SS receives from its served LSC a failure response or a 200 response with an sdp answer that does not accept the video capabilities, then the SS makes no change to the ASAC call counts.

Inbound BYE

5.3.4.10.4.3.2.6 When an SS receives a BYE request intended for a served LSC, the SS takes no action about the ASAC count until it receives the 200 (OK)

response to the BYE from its served LSC whereupon the SS decrements the call count associated with the call being terminated.

5.3.4.11 Policing of Call Count Thresholds

5.3.4.11a.1 [2010–2012] When a SS includes an LSC component (i.e., the SS serves IP EIs), the SS is NOT required to conduct policing of its LSC component.

5.3.4.11a.2 [2012+] In the post 2012 timeframe, the SS will be required to conduct policing of aggregate traffic thresholds exchanged over the UC WAN, and at that time, the LSC component of an SS MUST be included in the aggregate policing of traffic exchanged over the UC WAN.

5.3.4.11a.3 An SS MUST be provisioned with full knowledge of each network domain and the set of valid r-priority values for each given network domain supported by every one of its subtended LSCs.

NOTE: For the 2010–2012 timeframe, the only valid network domain for the SBU network is “uc” and the valid r-priority values are “0” routine, “2” priority, “4” immediate, “6” flash, and “8” flash-override.

NOTE: For the 2010–2012 timeframe, the only valid network domain for the Classified network is “cuc” and the valid r-priority values are “0” routine, “2” priority, “4” immediate, “6” flash, “8” flash-override, “9” flash-override-override.

5.3.4.11.1 Policing of Telephony Calls and Call Requests

5.3.4.11.1.1 For each LSC served by a given SS, the SS MUST be provisioned with the following:

- IPB (the total budget of concurrent VoIP telephony calls and call requests that the LSC is permitted over the access link at any given time).
- IPBo (the total budget of outbound VoIP telephony calls and call requests that the LSC is permitted over the access link at any given time). Outbound VoIP telephony calls refer to calls initiated by EIs served by LSC and destined for EIs located outside the originating B/P/C/S and reached over the WAN.
- IPBi (the total budget of inbound VoIP telephony calls and call requests that the LSC is permitted over the access link at any given time). Inbound VoIP telephony calls refer to calls initiated by external EIs, received over the WAN, and destined for a local EI served by the LSC.

5.3.4.11.1.2 When there is no directionalization, then IPBo equals IPBi equals null, and when there is directionalization, then IPBo plus IPBi MUST equal IPB.

5.3.4.11.1.3 Every SS MUST be provisioned with the value of the VoIP telephony call budgets IPB, IPBo, and IPBi for each LSC served by the given SS. The VoIP telephony call budgets for a given SS MUST be updated whenever the VoIP telephony call budget for the corresponding LSC is updated.

5.3.4.11.1.4 [2012+] Every SS MUST also calculate and store the value for the aggregate telephony budgets (IPBs) for the sum of the LSCs served by the given SS.

5.3.4.11.1.5 [2010–2012] For each LSC served by an SS, the SS MUST continuously maintain a current accounting of the following:

- IPC – the total number of current telephony calls and outstanding telephony call requests for each LSC served by the SS.
- IPCo – the total number of current outbound telephony calls and outstanding outbound telephony call requests for each LSC served by the SS.
- IPCi – the total number of current inbound telephony calls and outstanding inbound telephony call requests for each LSC served by the SS.

5.3.4.11.1.6 [2012+] Every SS MUST continuously maintain a current accounting of the aggregate active telephony call count and outstanding number of telephony call requests for the set of LSCs served by the given SS.

5.3.4.11.1.7 Every SS MUST be provisioned with knowledge of any network domain ID and the associated legitimate r-priority values supported by one or more of its assigned LSCs. This information MUST be updated as new network domain IDs are added by one or more of its assigned LSCs.

Policing of Outbound Telephony Call Requests

Outbound INVITE and re-INVITE

5.3.4.11.1.8 When the SS receives an outbound routine (r-priority equals “0”)-INVITE for a telephony call (that is either an initial INVITE or a re-INVITE where the existing call is a video session) from a served LSC that exceeds the telephony budget (the new call request means IPC is greater than IPB if no directionalization, or IPCo is greater than IPBo if directionalization) the SS MUST respond to the LSC with a 488 (Not Acceptable Here) response code that SHOULD include a Warning header field with warning code 370 (Insufficient Bandwidth). An Empty

INVITE is also classified as a telephony call. The SS takes no action with respect to ASAC in the case of a re-INVITE for a telephony call when the existing session is also a telephony call. The SS MUST notify the NMS whenever the SS rejects a routine telephony call request to compel adherence to a telephony call count threshold (see Requirements [5.3.4.11.1.16](#) and [5.3.4.11.1.17](#)).

5.3.4.11.1.9 The SS takes no action with respect to ASAC in the case of a re-INVITE for a telephony call when the existing session is also a telephony call. An Empty INVITE is also classified as a telephony call. When the SS receives an outbound precedence INVITE (i.e., priority level greater than routine) for a telephony call (that is either an initial INVITE or a re-INVITE where the existing call is a video session) from a served LSC that exceeds the telephony budget (the new call request means IPC is greater than IPB if no directionalization, or IPCo is greater than IPBo if directionalization) the LSC MUST:

- In the case of no directionalization, preempt lower precedence telephony call requests and/or telephony calls to free up the necessary resources to support the precedence call request. (See Requirement [5.3.4.10.3.3.1.1.2](#) for details on the selection process for preempting lower precedence call requests and calls.) The SS MUST notify the NMS whenever an SS performs a network preemption in the context of its Policing function to compel adherence to a telephony call count threshold (see Requirements [5.3.4.11.1.16](#) and [5.3.4.11.1.17](#)). If the SS is unable to preempt a call request or call on behalf of a precedence call request, then the SS MUST notify the NMS (see Requirements [5.3.4.11.1.16](#) and [5.3.4.11.1.17](#)).
- In the case of directionalization, preempt lower precedence outbound telephony call requests and/or telephony calls to free up the necessary resources to support the precedence call request. (See Requirement [5.3.4.10.3.3.1.1.2](#) for details on the selection process for preempting lower precedence call requests and calls.) The SS MUST notify the NMS whenever the SS performs a network preemption in the context of its Policing function to compel adherence to a telephony call count threshold (see Requirements [5.3.4.11.1.16](#) and [5.3.4.11.1.17](#)). If the SS is unable to preempt a call request or call on behalf of a precedence call request, then SS MUST notify the NMS (see Requirements [5.3.4.11.1.16](#) and [5.3.4.11.1.17](#)).

NOTE: An Empty INVITE is also classified as a telephony call.

5.3.4.11.1.10 When the SS receives an outbound INVITE for a telephony call (that is either an initial INVITE²¹ or a re-INVITE where the existing call is a video session²²) from a served LSC that exceeds the telephony budget (the new call request means IPC is greater than IPB if no directionalization, or IPCo is greater than IPBo if directionalization) and the outbound INVITE either does not have a Require header or has a Require header but not the option tag “resource-priority” and has either an unrecognized network domain OR a known network domain but an invalid value for the r-priority field, then the SS MUST respond with a 488 (Not Acceptable Here) response code that SHOULD include a Warning header field with warning code 370 (Insufficient Bandwidth). An Empty INVITE is also classified as a telephony call. The SS MUST notify the NMS that a telephony call request has been rejected to compel adherence to a telephony call count threshold (see Requirements [5.3.4.11.1.16](#) and [5.3.4.11.1.17](#)), and either an unknown network domain ID or invalid value for the r-priority field was encountered, as the case may be.

5.3.4.11.1.11 When the SS receives an outbound INVITE for a telephony call (that is either an initial INVITE²³ or a re-INVITE where the existing call is a video session²⁴) from a served LSC and the outbound INVITE has a Require header with the option tag “resource-priority” and has a Resource-Priority header with an unrecognized network domain, then the SS MUST respond with a 417 (Unknown Resource-Priority) response code. The SS MUST notify the NMS that a telephony call request with an invalid network domain has been rejected to compel adherence to a telephony call count threshold (see Requirements [5.3.4.11.1.16](#) and [5.3.4.11.1.17](#)) and that an unknown network domain ID was encountered.

5.3.4.11.1.12 When the SS receives an outbound INVITE for a telephony call (that is either an initial INVITE²⁵ or a re-INVITE where the existing call is a video session²⁶) from a served LSC that exceeds the telephony budget (the new call request means IPC is greater than IPB if no directionalization, or IPCo is greater than IPBo if directionalization) and the outbound INVITE has a Require header with the option tag “resource-priority” and has a Resource-Priority header with a known network domain but an invalid value for the r-priority field, then the SS MUST respond with a 488 (Not Acceptable Here) response that SHOULD include a Warning header field with warning code 370 (Insufficient Bandwidth). The SS MUST notify the NMS that a telephony call request was rejected to compel adherence to a telephony call count threshold (see Requirements [5.3.4.11.1.16](#) and [5.3.4.11.1.17](#)) and that an invalid value for the r-priority field was encountered.

²¹ An Empty INVITE is also classified as a telephony call.

²² The SS takes no action with respect to ASAC in the case of a re-INVITE for a telephony call when the existing session is also a telephony call.

²³ An Empty INVITE is also classified as a telephony call.

²⁴ The SS takes no action with respect to ASAC in the case of a re-INVITE for a telephony call when the existing session is also a telephony call.

²⁵ An Empty INVITE is also classified as a telephony call.

²⁶ The SS takes no action with respect to ASAC in the case of a re-INVITE for a telephony call when the existing session is also a telephony call.

Policing of Inbound Telephony Call Requests

Inbound INVITE with sdp

5.3.4.11.1.13 When an SS receives an inbound initial INVITE that advertises audio capabilities for a served LSC that is already at or in excess of its configured telephony budget (the new call request means IPC is greater than IPB if no directionalization, or IPCi is greater than IPBi if directionalization), then the SS MUST forward the INVITE to the LSC.²⁷ The inbound call request is NOT classified as a call request that goes against the telephony call count threshold unless and until a 1xx response code greater than a 100 or 2xx response is received by the SS from the served LSC and then proceeds as follows:

5.3.4.11.1.13.1 If the INVITE (in Requirement [5.3.4.11.1.13](#)) had a ROUTINE (r-priority equals “0”) priority AND the SS receives a 1xx response code greater than a 100 or 2xx response from its served LSC, then the SS MUST:

- Send a 488 (Not Acceptable Here) response code to the remote initiating party of the AS-SIP INVITE that SHOULD include a Warning header field with warning code 370 (Insufficient Bandwidth).
- Send a CANCEL request (in the case of a 1xx response code) or a BYE request (in the case of a 2xx response code) to the local LSC.
- Notify the NMS whenever a ROUTINE telephony call request is rejected to compel adherence to a telephony call count threshold (see Requirements [5.3.4.11.1.16](#) and [5.3.4.11.1.17](#)).

5.3.4.11.1.13.2 If the INVITE (in Requirement [5.3.4.11.1.13](#)) had a priority greater than ROUTINE (i.e., a precedence call) AND the SS receives a 1xx response code greater than a 100 or 2xx response from its served LSC, then the SS MUST:

- In the case of no directionalization, preempt lower precedence telephony call requests and/or telephony calls to free up the necessary resources to support the precedence call request. (See Requirement [5.3.4.10.3.3.1.1.2](#) for details on the selection process for preempting lower precedence call requests and calls.) The SS MUST notify the NMS whenever the SS performs a network preemption in the context of its Policing function to compel adherence to a telephony call count threshold (see Requirement [5.3.4.11.1.18](#)).²⁸

²⁷ The LSC has primary responsibility for ensuring that the access link is in compliance with the call count threshold.

²⁸ If the SS is unable to preempt a call request or call on behalf of a precedence call request, then the SS MUST notify the NMS (see Requirements [5.3.4.11.1.16](#) and [5.3.4.11.1.17](#)).

- In the case of directionalization, preempt lower precedence inbound telephony call requests and/or telephony calls to free up the necessary resources to support the precedence call request. (See Requirement [5.3.4.10.3.3.1.1.2](#) for details on the selection process for preempting lower precedence call requests and calls.) The SS MUST notify the NMS whenever the SS performs a network preemption in the context of its Policing function to compel adherence to a telephony call count threshold (see Requirement [5.3.4.11.1.18](#)).²⁹

5.3.4.11.1.13.3 If the INVITE (in Requirement [5.3.4.11.1.13](#)) did not have a Require header or had a Require header but not the option tag “resource-priority” AND the SS does not recognize the network domain or recognizes the network domain but the r-priority field does not have a valid value for the given network domain and the served LSC responds with a 1xx response code greater than a 100 or 2xx, then the SS MUST:

- Send a 488 (Not Acceptable Here) response code to the remote initiating party of the AS-SIP INVITE that SHOULD include a Warning header field with warning code 370 (Insufficient Bandwidth).
- Send a CANCEL request (in the case of a 1xx response code) or a BYE request (in the case of a 2xx response code) to the local LSC.
- Notify the NMS that a telephony call request was rejected to compel adherence to a telephony call count threshold (see Requirements [5.3.4.11.1.16](#) and [5.3.4.11.1.17](#)) and that an unknown network domain ID or an invalid value for the r-priority field was encountered, as the case may be.

5.3.4.11.1.13.4 If the INVITE (in Requirement [5.3.4.11.1.13](#)) had a Require header with the option tag “resource-priority” AND does not recognize the value of the network domain and the served LSC responds with a 1xx response code greater than a 100 or 2xx, then the SS MUST:

- Send a 417 (Unknown Resource-Priority) response code to the remote initiating party.
- Send a CANCEL request (in the case of a 1xx response code) or a BYE request (in the case of a 2xx response code) to the local LSC.

²⁹ If the SS is unable to preempt a call request or call on behalf of a precedence call request, then the SS MUST notify the NMS (see Requirements [5.3.4.11.1.16](#) and [5.3.4.11.1.17](#)).

- Notify the NMS that a telephony call request was rejected to compel adherence to a telephony call count threshold (see Requirements [5.3.4.11.1.16](#) and [5.3.4.11.1.17](#)) and that an unknown network domain ID was encountered.

5.3.4.11.1.13.5 If the INVITE (in Requirement [5.3.4.11.1.13](#)) had a Require header with the option tag “resource-priority” AND recognizes the network domain but the r-priority field does not have a valid value for the given network domain AND the served LSC responds with a 1xx response code greater than a 100 or 2xx, then the SS MUST:

- Send a 488 (Not Acceptable Here) response code to the remote initiating party of the AS-SIP INVITE that SHOULD include a Warning header field with warning code 370 (Insufficient Bandwidth).
- Send a CANCEL request (in the case of a 1xx response code) or a BYE request (in the case of a 2xx response code) to the local LSC.
- Notify the NMS that a telephony call request was rejected to compel adherence to a telephony call count threshold (see Requirements [5.3.4.11.1.16](#) and [5.3.4.11.1.17](#)) and notify the NMS that an invalid value for the r-priority field was encountered.

Inbound Empty INVITE

5.3.4.11.1.14 When an SS receives an inbound Empty INVITE for a served LSC that is already at or in excess of its configured telephony budget (the new call request means IPC is greater than IPB if no directionalization, or IPCi is greater than IPBi if directionalization), then the SS MUST forward the INVITE to the LSC.³⁰

5.3.4.11.1.14.1 If the Empty INVITE (in Requirement 5.3.4.11.1.14) had a priority level of ROUTINE (r-priority equals “0”) AND the SS receives either a 1xx response code greater than a 100 response having no sdp offer, a 1xx response code greater than a 100 response with an sdp offering audio capabilities only, or a 200 response with an sdp offering audio capabilities only, then the SS MUST:

- Send a 488 (Not Acceptable Here) response code to the remote initiating party of the AS-SIP INVITE that SHOULD include a Warning header field with warning code 370 (Insufficient Bandwidth).

³⁰ The LSC has primary responsibility for ensuring that the access link is in compliance with the call count threshold.

- Send a CANCEL request (in the case of a 1xx response code) or a BYE request (in the case of a 2xx response code) to the local LSC.
- Notify the NMS whenever a ROUTINE level telephony call request is rejected to compel adherence to a telephony call count threshold (see Requirements [5.3.4.11.1.16](#) and [5.3.4.11.1.17](#)).

5.3.4.11.1.14.2 If the Empty INVITE (in Requirement [5.3.4.11.1.14](#)) had a priority level of ROUTINE (r-priority equals “0”) AND the SS receives either a 1xx response code greater than a 100 response with an sdp offering audio and video or a 200 response with an sdp offering audio and video capabilities AND the VSUs required for the new video session exceeds the video budget (the new session request means VDC greater than VDB if no directionalization, or VDCi greater than VDBi if directionalization), then the SS MUST:

- Send a 488 (Not Acceptable Here) response code to the remote initiating party of the AS-SIP INVITE that SHOULD include a Warning header field with warning code 370 (Insufficient Bandwidth).
- Send a CANCEL request (in the case of a 1xx response code) or a BYE request (in the case of a 2xx response code) to the local LSC.
- Notify the NMS whenever a ROUTINE video session request is rejected to compel adherence to a telephony call count threshold (see Requirements [5.3.4.11.1.16](#) and [5.3.4.11.1.17](#)).

5.3.4.11.1.14.3 If the Empty INVITE (in Requirement [5.3.4.11.1.14](#)) was a precedence call request (i.e., had a priority level greater than ROUTINE) AND the SS receives either a 1xx response code greater than a 100 response having no sdp offer, a 1xx response code greater than a 100 response with an sdp offering audio capabilities only, or a 200 response with an sdp offering audio capabilities only, then the SS MUST:

- In the case of no directionalization, preempt lower precedence telephony call requests and/or telephony calls to free up the necessary resources to support the precedence call request. (See Requirement [5.3.4.10.3.3.1.1.2](#) for details on the selection process for preempting lower precedence call requests and calls.) The SS MUST notify the NMS whenever the SS performs a network preemption in the context of its Policing function to compel adherence to a telephony call count threshold (see Requirement [5.3.4.11.1.18](#)).³¹

³¹ If the SS is unable to preempt a call request or call on behalf of a precedence call request, then the SS MUST notify the NMS (see Requirements [5.3.4.11.1.16](#) and [5.3.4.11.1.17](#)).

- In the case of directionalization, preempt lower precedence inbound telephony call requests and/or telephony calls to free up the necessary resources to support the precedence call request. (See Requirement [5.3.4.10.3.3.1.1.2](#) for details on the selection process for preempting lower precedence call requests and calls.) The SS MUST notify the NMS whenever the SS performs a network preemption in the context of its Policing function to compel adherence to a telephony call count threshold (see Requirement [5.3.4.11.1.18](#)).³²

5.3.4.11.1.14.4 If the Empty INVITE (in Requirement [5.3.4.12.1.14](#)) had a priority level greater than ROUTINE (i.e., a precedence call) AND the SS receives either a 1xx response code greater than a 100 response with an sdp offering audio and video capabilities or a 200 response with an sdp offering both audio and video capabilities AND the VSUs required for the new video session exceeds the video budget (the new session request means VDC is greater than VDB if no directionalization, or VDCi greater than VDBi if directionalization), then the SS MUST:

- In the case of no directionalization, preempt lower precedence video session requests and/or video sessions to free up the necessary resources to support the precedence session request. (See Requirement [5.3.4.10.3.3.1.1.2](#) for details on the selection process for preempting lower precedence call requests and calls.) The SS MUST notify the NMS whenever the SS performs a network preemption in the context of its Policing function to compel adherence to a video session count threshold (see Requirement [5.3.4.11.2.18](#)).³³
- In the case of directionalization, preempt lower precedence outbound video session requests and/or video session to free up the necessary resources to support the precedence session request. (See Requirement [5.3.4.10.3.3.1.1.2](#) for details on the selection process for preempting lower precedence call requests and calls.) The SS MUST notify the NMS whenever the SS performs a network preemption in the context of its Policing function to compel adherence to a video session count threshold (see Requirement [5.3.4.11.2.18](#)).³⁴

³² If the SS is unable to preempt a call request or call on behalf of a precedence call request, then the SS MUST notify the NMS (see Requirements [5.3.4.11.1.16](#) and [5.3.4.11.1.17](#)).

³³ If the SS is unable to preempt a call request or call on behalf of a precedence call request, then the SS MUST notify the NMS (see Requirements [5.3.4.11.1.16](#) and [5.3.4.11.1.17](#)).

³⁴ If the SS is unable to preempt a call request or call on behalf of a precedence call request, then the SS MUST notify the NMS (see Requirements [5.3.4.11.1.16](#) and [5.3.4.11.1.17](#)).

5.3.4.11.1.14.5 If the Empty INVITE (in Requirement [5.3.4.11.1.14](#)) did not have a Require header or had a Require header but not the option tag “resource-priority” AND the SS does not recognize the network domain or recognizes the network domain but the r-priority field does not have a valid value for the given network domain and the served LSC responds with either a 1xx response code greater than a 100 having no sdp offer, a 1xx response code greater than a 100 with an sdp offering audio capabilities only, or a 200 response with an sdp offering audio capabilities only, then the SS MUST:

- Send a 488 (Not Acceptable Here) response code to the remote initiating party of the AS-SIP INVITE that SHOULD include a Warning header field with warning code 370 (Insufficient Bandwidth).
- Send a CANCEL request (in the case of a 1xx response code) or a BYE request (in the case of a 2xx response code) to the local LSC.
- Notify the NMS that a telephony call request was rejected to compel adherence to a telephony call count threshold (see Requirements [5.3.4.11.1.16](#) and [5.3.4.11.1.17](#)) and that an unknown network domain ID or an invalid value for the r-priority field was encountered, as the case may be.

5.3.4.11.1.14.6 If the Empty INVITE (in Requirement [5.3.4.11.1.14](#)) did not have a Require header or had a Require header but not the option tag “resource-priority” AND the SS does not recognize the network domain or recognizes the network domain but the r-priority field does not have a valid value for the given network domain and the served LSC responds with either a 1xx response code greater than a 100 with an sdp offering audio and video capabilities or a 200 response with an sdp offering audio and video capabilities AND the VSUs required for the new video session exceeds the video budget (the new session request means VDC is greater than VDB if no directionalization, or VDCi is greater than VDBi if directionalization), then the SS MUST:

- Send a 488 (Not Acceptable Here) response code to the remote initiating party of the AS-SIP INVITE that SHOULD include a Warning header field with warning code 370 (Insufficient Bandwidth).
- Send a CANCEL request (in the case of a 1xx response code) or a BYE request (in the case of a 2xx response code) to the local LSC.
- Notify the NMS that a video call request was rejected to compel adherence to a video session count threshold (see Requirements [5.3.4.11.2.16](#) and [5.3.4.11.2.17](#)) and that an unknown network domain ID or an invalid value for the r-priority field was encountered, as the case may be.

5.3.4.11.1.14.7 If the Empty INVITE (in Requirement [5.3.4.11.1.14](#)) had a Require header with the option tag “resource-priority” AND does not recognize the value of the network domain AND the served LSC responds with either a 1xx response code greater than a 100 having no sdp offer, a 1xx response code greater than a 100 with an sdp offering audio capabilities only, or a 200 response with an sdp offering audio capabilities only, then the SS MUST:

- Send a 417 (Unknown Resource-Priority) response code to the remote initiating party.
- Send a CANCEL request (in the case of a 1xx response code) or a BYE request (in the case of a 2xx response code) to the local LSC.
- Notify the NMS that a telephony call request was rejected to compel adherence to a telephony call count threshold (see Requirements [5.3.4.11.1.16](#) and [5.3.4.11.1.17](#)) and that an unknown network domain ID was encountered.

5.3.4.11.1.14.8 If the Empty INVITE (in Requirement [5.3.4.11.1.14](#)) had a Require header with the option tag “resource-priority” AND does not recognize the value of the network domain AND the served LSC responds with either a 1xx response code greater than a 100 with an sdp offering audio and video capabilities or a 200 response with an sdp offering audio and video capabilities AND the VSUs required for the new video session exceeds the video budget (the new session request means VDC is greater than VDB if no directionalization, or VDCi is greater than VDBi if directionalization), then the SS MUST:

- Send a 417 (Unknown Resource-Priority) response code to the remote initiating party.
- Send a CANCEL request (in the case of a 1xx response code) or a BYE request (in the case of a 2xx response code) to the local LSC.
- Notify the NMS that a telephony call request was rejected to compel adherence to a video session count threshold (see Requirements [5.3.4.11.2.16](#) and [5.3.4.11.2.17](#)) and that an unknown network domain ID was encountered.

5.3.4.11.1.14.9 If the Empty INVITE (in Requirement [5.3.4.11.1.14](#)) had a Require header with the option tag “resource-priority” AND recognizes the network domain but the r-priority field does not have a valid value for the given network domain AND the served LSC responds with either a 1xx response code greater than a 100 having no sdp offer, a 1xx response code greater than a 100 with an sdp offering audio capabilities only, or a 200 response with an sdp offering audio capabilities only, then the SS MUST:

- Send a 488 (Not Acceptable Here) response code to the remote initiating party of the AS-SIP INVITE that SHOULD include a Warning header field with warning code 370 (Insufficient Bandwidth).
- Send a CANCEL request (in the case of a 1xx response code) or a BYE request (in the case of a 2xx response code) to the local LSC.
- Notify the NMS that a telephony call request was rejected to compel adherence to a telephony call count threshold (see Requirements [5.3.4.11.1.16](#) and [5.3.4.11.1.17](#)) and notify the NMS that an invalid value for the r-priority field was encountered.

5.3.4.11.1.14.10 If the Empty INVITE (in Requirement [5.3.4.11.1.14](#)) had a Require header with the option tag “resource-priority” AND recognizes the network domain but the r-priority field does not have a valid value for the given network domain AND the served LSC responds with either a 1xx response code greater than a 100 with an sdp offering audio and video capabilities or a 200 response with an sdp offering audio and video capabilities AND the VSUs required for the new video session exceeds the video budget (the new session request means VDC is greater than VDB if no directionalization, or VDCi is greater than VDBi if directionalization), then the SS MUST:

- Send a 488 (Not Acceptable Here) response code to the remote initiating party of the AS-SIP INVITE that SHOULD include a Warning header field with warning code 370 (Insufficient Bandwidth).
- Send a CANCEL request (in the case of a 1xx response code) or a BYE request (in the case of a 2xx response code) to the local LSC.
- Notify the NMS that a telephony call request was rejected to compel adherence to a video session count threshold (see Requirements [5.3.4.11.2.16](#) and [5.3.4.11.2.17](#)) and notify the NMS that an invalid value for the r-priority field was encountered.

Inbound re-INVITE

5.3.4.11.1.15 When an SS receives an inbound re-INVITE where the existing call is a video session that advertises audio capabilities only for a served LSC that is already at or in excess of its configured telephony budget (the new call request means IPC is greater than IPB if no directionalization, or IPCi is greater than IPBi if directionalization), then the SS MUST forward

the INVITE to the LSC.³⁵ The inbound call request is NOT classified as a call request that goes against the telephony call count threshold unless and until a 18x or 200 response with an sdp answer accepting the audio offer is received by the SS from the served LSC, and then proceeds as follows:

5.3.4.11.1.15.1 If the re-INVITE (in Requirement [5.3.4.11.1.15](#)) had a priority level of ROUTINE (r-priority equals “0”) AND the SS receives the 18x or 200 response in Requirement 5.3.4.12.1.15 from its served LSC, then the SS MUST:

- Send a 488 (Not Acceptable Here) response code to the remote initiating party of the INVITE that SHOULD include a Warning header field with warning code 370 (Insufficient Bandwidth).
- Send a CANCEL request (in the case of an 18x response code) or a BYE request (in the case of a 200 response code) to the local LSC.
- Notify the NMS whenever a ROUTINE telephony call request is rejected to compel adherence to a telephony call count threshold (see Requirements [5.3.4.11.1.16](#) and [5.3.4.11.1.17](#)).

5.3.4.11.1.15.2 If the re-INVITE (in Requirement [5.3.4.11.1.15](#)) had a priority level greater than ROUTINE (i.e., a precedence call) AND the SS receives the 18x or 200 response in Requirement [5.3.4.11.1.15](#) from its served LSC, then the SS MUST:

- In the case of no directionalization, preempt lower precedence telephony call requests and/or telephony calls to free up the necessary resources to support the precedence call request. (See Requirement [5.3.4.10.3.3.1.1.2](#) for details on the selection process for preempting lower precedence call requests and calls.) The SS MUST notify the NMS whenever the SS performs a network preemption in the context of its Policing function to compel adherence to a telephony call count threshold (see Requirement [5.3.4.11.1.18](#)).³⁶
- In the case of directionalization, preempt lower precedence inbound telephony call requests and/or telephony calls to free up the necessary resources to support the precedence call request. (See Requirement [5.3.4.10.3.3.1.1.2](#) for details on the selection process for preempting lower precedence call requests and calls.) The SS MUST notify the NMS whenever the SS performs a network preemption in the context of its Policing function to compel

³⁵ The LSC has primary responsibility for ensuring that the access link is in compliance with the call count threshold.

³⁶ If the SS is unable to preempt a call request or call on behalf of a precedence call request, then the SS MUST notify the NMS (see Requirements [5.3.4.11.1.16](#) and [5.3.4.11.1.17](#)).

adherence to a telephony call count threshold (see Requirement [5.3.4.11.1.18](#)).³⁷

5.3.4.11.1.15.3 If the re-INVITE (in Requirement [5.3.4.11.1.15](#)) did not have a Require header or had a Require header but not the option tag “resource-priority” AND the SS does not recognize the network domain or recognizes the network domain but the r-priority field does not have a valid value for the given network domain and the served LSC responds with the 18x or the 200 response in Requirement [5.3.4.11.1.15](#), then the SS MUST:

- Send a 488 (Not Acceptable Here) response code to the remote initiating party of the AS-SIP INVITE that SHOULD include a Warning header field with warning code 370 (Insufficient Bandwidth).
- Send a CANCEL request (in the case of an 18x response code) or a BYE request (in the case of a 200 response code) to the local AS-SIP signaling appliance.
- Notify the NMS that a telephony call request was rejected to compel adherence to a telephony call count threshold (see Requirements [5.3.4.11.1.16](#) and [5.3.4.11.1.17](#)) and that an unknown network domain ID or an invalid value for the r-priority field was encountered, as the case may be.

5.3.4.11.1.15.4 If the re-INVITE (in Requirement [5.3.4.11.1.15](#)) had a Require header with the option tag “resource-priority” AND does not recognize the value of the network domain and the served LSC responds with the 18x or the 200 response in Requirement [5.3.4.11.1.15](#), then the SS MUST:

- Send a 417 (Unknown Resource-Priority) response code to the remote initiating party.
- Send a CANCEL request (in the case of an 18x response code) or a BYE request (in the case of a 200 response code) to the local LSC.
- Notify the NMS that a telephony call request was rejected to compel adherence to a telephony call count threshold (see Requirements [5.3.4.11.1.16](#) and [5.3.4.11.1.17](#)) and that an unknown network domain ID was encountered.

³⁷ If the SS is unable to preempt a call request or call on behalf of a precedence call request, then the SS MUST notify the NMS (see Requirements [5.3.4.11.1.16](#) and [5.3.4.11.1.17](#)).

5.3.4.11.1.15.5 If the re-INVITE (in Requirement [5.3.4.11.1.15](#)) had a Require header with the option tag “resource-priority” AND recognizes the network domain but the r-priority field does not have a valid value for the given network domain AND the served LSC responds with the 18x or the 200 response in Requirement 5.3.4.11.1.15, then the SS MUST:

- Send a 488 (Not Acceptable Here) response code to the remote initiating party of the AS-SIP INVITE that SHOULD include a Warning header field with warning code 370 (Insufficient Bandwidth).
- Send a CANCEL request (in the case of an 18x response code) or a BYE request (in the case of a 200 response code) to the local LSC.
- Notify the NMS that a telephony call request was rejected to compel adherence to a telephony call count threshold (see Requirements [5.3.4.11.1.16](#) and [5.3.4.11.1.17](#)) and notify the NMS that an invalid value for the r-priority field was encountered.

Notification to the NMS

5.3.4.11.1.16 The SS MUST notify the NMS whenever a routine or precedence telephony call request is rejected to compel adherence to a telephony call count threshold pursuant to the Policing function or whenever a telephony call request or telephony call is preempted pursuant to the Policing function.³⁸

5.3.4.11.1.17 The notification to the NMS regarding the rejection of a telephony call request pursuant to the SS Policing function MUST include:

- The date and time of the rejection of the telephony call request
- The calling party number and called party number of the rejected call request
- The precedence level of the rejected call request
- The identity of the LSC that had the telephony call count threshold is being protected
- The direction of the call request (inbound or outbound)

³⁸ The network management requirements will dictate the nature of the notification (e.g., SNMP trap or alarm) and the specific format of the notification.

5.3.4.11.1.18 The notification to the NMS regarding the preemption of a telephony call request or telephony call pursuant to the SS Policing function MUST include:

- The date and time of receipt of the precedence call request
- The calling party number and called party number of the precedence call request
- The precedence level of the precedence call request
- The date and time of the preemption of the telephony call request or call
- The calling party number and called party number of the preempted call request or call
- The precedence level of the preempted call request or call
- The identity of the LSC that had the telephony call count threshold is being protected
- The direction of the call request (inbound or outbound)

Operational Adjustments

Although outside the scope of this specification, the telephony call count threshold at the SS MAY be set to a value slightly above the mandated telephony call count threshold for the corresponding LSC. This procedure creates a safety margin for avoidance of call rejection or preemption due to the possibility of transient race conditions.

5.3.4.11.2 Policing of Video Sessions and Session Requests

5.3.4.11.2.1 [2010–2012] Video sessions are budgeted in terms of VSUs where one VSU equals 500 kbps and bandwidth for video sessions is allocated in multiples of VSUs.

5.3.4.11.2.2 For each LSC served by a given SS, the SS MUST be provisioned with:

- VDB (the total budget of VSUs that the LSC is permitted to concurrently allocate for video sessions and video session requests over the access link at any given time)

- VDBo (the total budget of outbound VSUs that the LSC is permitted to concurrently allocate for video sessions and video session requests over the access link at any given time)
- VDBi (the total budget of inbound VSUs that the LSC is permitted to concurrently allocate for video sessions and video session requests over the access link at any given time)

5.3.4.11.2.3 When there is no directionalization, then VDPBo equals VDBi equals null, and when there is directionalization, then VDBo plus VDBi MUST equal VPB.

5.3.4.11.2.4 Every SS MUST be provisioned with the value of the VoIP video session budgets VDB, VDBo, and VDBi for each LSC served by the given SS. The VoIP video session budgets for a given SS MUST be updated whenever the VoIP video session budget for the corresponding LSC is updated.

5.3.4.11.2.5 [After 2012] Every SS MUST calculate and store the value for the aggregate video budgets (VDBs) for the sum of the LSCs served by the given SS.

5.3.4.11.2.6 [2010–2012] For each LSC served by a SS, the SS MUST continuously maintain a current accounting of:

- VDC – the total number of VSUs being consumed by the current video sessions and reserved for outstanding video session requests for each LSC served by the SS
- VDCo – the total number of VSUs being consumed by the current outbound video sessions and reserved for outstanding outbound video session requests for each signaling appliance served by the SS
- VDCi – the total number of VSUs being consumed by the current inbound video sessions and reserved for outstanding inbound video session requests for each LSC served by the SS

5.3.4.11.2.7 [2012+] Every SS MUST continuously maintain a current accounting of the aggregate number of VSUs being consumed by current video sessions and reserved for outstanding video session requests for the set of LSCs served by the given SS.

5.3.4.11.2.8 Every SS MUST be provisioned with knowledge of the network domain IDs and the associated legitimate r-priority values supported by one or more of its assigned LSCs. This information MUST be updated as new network domain IDs are added by one or more of its assigned LSCs.

Policing of Outbound Video Session Requests

5.3.4.11.2.9 When the SS receives an outbound routine (r-priority equals “0”) INVITE from a served LSC offering audio and video capabilities that exceeds the video budget (or outbound video budget in the case of directionalization) or receives a re-INVITE offering a video session from a served LSC that exceeds the video budget³⁹, then the SS MUST respond to the LSC with a 488 (Not Acceptable Here) response code that SHOULD include a Warning header field with warning code 370 (Insufficient Bandwidth). The SS MUST notify the NMS whenever the SS rejects a ROUTINE video session request to compel adherence to a video count threshold (see Requirements [5.3.4.11.2.16](#) and [5.3.4.11.2.17](#)).

5.3.4.11.2.10 When the SS receives an outbound INVITE with a priority greater than ROUTINE (i.e., a precedence call) from a served LSC offering audio and video capabilities that exceeds the video budget (or outbound video budget in the case of directionalization) or receives a re-INVITE offering a video session from a served LSC that exceeds the video budget⁴⁰, the SS MUST:

- In the case of no directionalization, preempt lower precedence video session requests and/or video sessions to free up the necessary resources to support the precedence session request. (See Requirement [5.3.4.10.3.3.1.1.2](#) for details on the selection process for preempting lower precedence session requests and sessions). The SS MUST notify the NMS whenever the SS performs a network preemption in the context of its Policing function to compel adherence to a video count threshold (see Requirement [5.3.4.11.2.18](#)).⁴¹
- In the case of directionalization where the INVITE is either an initial INVITE or a re-INVITE where the existing call is a telephony call, then preempt lower precedence outbound video session requests and/or video sessions to free up the necessary resources to support the precedence session request. (See

³⁹ For a re-INVITE, in the case of directionalization, if the existing call is a telephony call, then the new video session is applied to the outbound video session count; if the existing call is an outbound video session, then policing occurs when the new video session request uses more VSUs than the current video session and the additional VSUs would exceed VDB_o ; if the existing session is an inbound video session, then policing occurs when the new video session request uses more VSUs than the current video session and the additional VSUs would exceed VDB_i .

⁴⁰ For a re-INVITE, in the case of directionalization, if the existing call is a telephony call, then the new video session is applied to the outbound video session count; if the existing call is an outbound video session, then policing occurs when the new video session request uses more VSUs than the current video session and the additional VSUs would exceed VDB_o ; if the existing session is an inbound video session, then policing occurs when the new video session request uses more VSUs than the current video session and the additional VSUs would exceed VDB_i .

⁴¹ If the SS is unable to preempt a call request or call on behalf of a precedence call request, then the SS MUST notify the NMS (see Requirements [5.3.4.11.2.16](#) and [5.3.4.11.2.17](#)).

Requirement [5.3.4.10.3.3.1.1.2](#) for details on the selection process for preempting lower precedence session requests and sessions). The SS MUST notify the NMS whenever the SS performs a network preemption in the context of its Policing function to compel adherence to a video count threshold (see Requirement [5.3.4.11.2.18](#)).⁴²

- In the case of directionalization where the INVITE is a re-INVITE and the existing call is an outbound video session, then preempt lower precedence outbound video session requests and/or video sessions to free up the necessary resources to support the precedence session request. (See Requirement [5.3.4.10.3.3.1.1.2](#) for details on the selection process for preempting lower precedence session requests and sessions). The SS MUST notify the NMS whenever the SS performs a network preemption in the context of its Policing function to compel adherence to a video count threshold (see Requirement [5.3.4.11.2.18](#)).⁴³
- In the case of directionalization where the INVITE is a re-INVITE and the existing call is an inbound video session, then preempt lower precedence inbound video session requests and/or video sessions to free up the necessary resources to support the precedence session request. (See Requirement [5.3.4.10.3.3.1.1.2](#) for details on the selection process for preempting lower precedence session requests and sessions). The SS MUST notify the NMS whenever the SS performs a network preemption in the context of its Policing function to compel adherence to a video count threshold (see Requirement [5.3.4.11.2.18](#)).⁴⁴

5.3.4.11.2.11 When the SS receives an outbound INVITE from a served LSC offering audio and video capabilities that exceeds the video budget (or outbound video budget in the case of directionalization) or receives a re-INVITE offering a video session from a served LSC that exceeds the video budget⁴⁵ and the outbound INVITE (or re-INVITE) either does not have a Require header or has a Require header but not the option tag “resource-priority” and has either an unrecognized network domain OR a known network domain but an invalid value for the

⁴² If the SS is unable to preempt a call request or call on behalf of a precedence call request, then the SS MUST notify the NMS (see Requirements [5.3.4.11.2.16](#) and [5.3.4.11.2.17](#)).

⁴³ If the SS is unable to preempt a call request or call on behalf of a precedence call request then the SS MUST notify the NMS (see Requirements [5.3.4.11.2.16](#) and [5.3.4.11.2.17](#)).

⁴⁴ If the SS is unable to preempt a call request or call on behalf of a precedence call request then the SS MUST notify the NMS (see Requirements [5.3.4.11.2.16](#) and [5.3.4.11.2.17](#)).

⁴⁵ For a re-INVITE, in the case of directionalization, if the existing call is a telephony call, then the new video session is applied to the outbound video session count; if the existing call is an outbound video session, then policing occurs when the new video session request uses more VSUs than the current video session and the additional VSUs would exceed VDB_o ; if the existing session is an inbound video session, then policing occurs when the new video session request uses more VSUs than the current video session and the additional VSUs would exceed VDB_i .

r-priority field, then the SS MUST respond to the LSC with a 488 (Not Acceptable Here) response code that SHOULD include a Warning header field with warning code 370 (Insufficient Bandwidth). The SS MUST notify the NMS that a video session request was rejected to compel adherence to a video count threshold (see Requirements [5.3.4.11.2.16](#) and [5.3.4.11.2.17](#)) and that either an unknown network domain ID or an invalid value for the r-priority field was encountered, as the case may be.

5.3.4.11.2.12 When the SS receives an outbound INVITE from a served LSC offering audio and video capabilities or receives a re-INVITE offering a video session from a served LSC and the outbound INVITE (or re-INVITE) has a Require header with the option tag “resource-priority” and has a Resource-Priority header with an unrecognized network domain, then the SS MUST respond with a 417 (Unknown Resource-Priority) response. The SS MUST notify the NMS that a video session request was rejected to compel adherence to a video count threshold (see Requirements [5.3.4.11.2.16](#) and [5.3.4.11.2.17](#)) and that an unknown network domain ID was encountered.

5.3.4.11.2.13 When the SS receives an outbound INVITE from a served LSC offering audio and video capabilities that exceeds the video budget (or outbound video budget in the case of directionalization) or receives a re-INVITE offering a video session from a served LSC that exceeds the video budget⁴⁶ and the outbound INVITE (or re-INVITE) has a Require header with the option tag “resource-priority” and has a Resource-Priority header with a known network domain but an invalid value for the r-priority field, then the SS MUST respond with a 488 (Not Acceptable Here) response that SHOULD include a Warning header field with warning code 370 (Insufficient Bandwidth). The SS MUST notify the NMS that a video session request was rejected to compel adherence to a video count threshold (see Requirements [5.3.4.11.2.16](#) and [5.3.4.11.2.17](#)) and that an invalid value for the r-priority field was encountered.

NOTE: Outbound Empty INVITEs are classified as telephony calls and their policing is covered in Requirements [5.3.4.11.1.8](#) through [5.3.4.11.1.12](#).

Policing of Inbound Video Session Requests

Inbound INVITE

5.3.4.11.2.14 When a SS receives an inbound INVITE that advertises audio and video capabilities for a served LSC that is already at or in excess of its configured budget (the new

⁴⁶ For a re-INVITE, in the case of directionalization, if the existing call is a telephony call, then the new video session is applied to the outbound video session count; if the existing call is an outbound video session, then policing occurs when the new video session request uses more VSUs than the current video session and the additional VSUs would exceed VDB_o; if the existing session is an inbound video session, then policing occurs when the new video session request uses more VSUs than the current video session and the additional VSUs would exceed VDB_i.

session request means VDC is greater than VDB if no directionalization, or VDCi is greater than VDBi if directionalization), then the SS MUST forward the INVITE to the LSC⁴⁷. The inbound call request is NOT classified as a call request that goes against the video call count threshold (or telephony call count threshold] unless and until a 1xx response code greater than a 100 or 2xx response is received by the SS from the served LSC, and then proceeds as follows:

5.3.4.11.2.14.1 In the event the INVITE (in Requirement [5.3.4.11.2.14](#)) had a ROUTINE priority level AND the SS receives a 1xx response code greater than a 100 response or 2xx response with an sdp answer that accepts audio and video capabilities or a 1xx response code greater than a 100 response with no sdp answer from its served LSC, then the SS MUST:

- Send a 488 (Not Acceptable Here) response code to the remote initiating party of the INVITE that SHOULD include a Warning header field with warning code 370 (Insufficient Bandwidth).
- Send a CANCEL request (in the case of a 1xx response code) or a BYE request (in the case of a 2xx response code) to the local LSC.
- Notify the NMS whenever a ROUTINE level video session request is rejected to compel adherence to a video count threshold (see Requirements [5.3.4.11.2.16](#) and [5.3.4.11.2.17](#)).

5.3.4.11.2.14.2 In the event the INVITE (in Requirement [5.3.4.11.2.14](#)) had a priority level greater than ROUTINE (i.e., a precedence call) AND the SS receives a 1xx response code greater than a 100 response or 2xx response with an sdp answer that accepts audio and video capabilities from its served LSC or a 1xx response code greater than a 100 response with no sdp answer, then the SS MUST:

- In the case of no directionalization, preempt lower precedence video session requests and/or video sessions to free up the necessary resources to support the precedence session request. (See Requirement [5.3.4.10.3.3.1.1.2](#) for details on the selection process for preempting lower precedence session requests and sessions). The SS MUST notify the NMS whenever the SS performs a network preemption in the context of its Policing function to compel adherence to a video count threshold (see Requirement [5.3.4.11.2.18](#)).⁴⁸

⁴⁷ The LSC has primary responsibility for ensuring that the access link is in compliance with the call count threshold.

⁴⁸ If the SS is unable to preempt a call request or call on behalf of a precedence call request, then SS MUST notify the NMS (see Requirements [5.3.4.11.2.16](#) and [5.3.4.11.2.17](#))

- In the case of directionalization, preempt lower precedence inbound video session requests and/or video sessions to free up the necessary resources to support the precedence session request. (See Requirement [5.3.4.10.3.3.1.1.2](#) for details on the selection process for preempting lower precedence session requests and sessions). The SS MUST notify the NMS whenever the SS performs a network preemption in the context of its Policing function to compel adherence to a video count threshold (see Requirement [5.3.4.11.2.18](#)).

5.3.4.11.2.14.3 In the event the INVITE (in Requirement [5.3.4.11.2.14](#)) did not have a Require header or had a Require header but not the option tag “resource-priority” AND the SS does not recognize the network domain or recognizes the network domain but the r-priority field does not have a valid value for the given network domain and the served LSC responds with a 1xx response code greater than a 100 response or a 2xx response code with an sdp answer that accepts either audio and video capabilities or a 1xx response code greater than a 100 response with no sdp answer, then the SS MUST:

- Send a 488 (Not Acceptable Here) response code to the remote initiating party of the re-INVITE that SHOULD include a Warning header field with warning code 370 (Insufficient Bandwidth).
- Send a CANCEL request (in the case of a 1xx response code) or a BYE request (in the case of a 2xx response code) to the local AS-SIP signaling appliance.
- Notify the NMS that a video session request was rejected to compel adherence to a video count threshold (see Requirements [5.3.4.11.2.16](#) and [5.3.4.11.2.17](#)) and that either an unknown network domain ID or an invalid value for the r-priority field was encountered, as the case may be.

5.3.4.11.2.14.4 In the event the INVITE (in Requirement [5.3.4.11.2.14](#)) had a Require header with the option tag “resource-priority” AND does not recognize the value of the network domain and the served LSC responds with a 1xx response code greater than a 100 or 2xx response with an sdp answer that accepts audio and video capabilities or a 1xx response code greater than a 100 response with no sdp answer then the SS MUST:

- Send a 417 (Unknown Resource-Priority) response code to the remote initiating party.
- Send a CANCEL request (in the case of a 1xx response code) or a BYE request (in the case of a 2xx response code) to the local AS-SIP signaling appliance.

- Notify the NMS that a video session request was rejected to compel adherence to a video count threshold (see Requirements [5.3.4.11.2.16](#) and [5.3.4.11.2.17](#)) and that an unknown network domain ID was encountered.

5.3.4.11.2.14.5 In the event the INVITE (in Requirement [5.3.4.11.2.14](#)) had a Require header with the option tag “resource-priority” AND recognizes the network domain but the r-priority field does not have a valid value for the given network domain AND the served LSC responds with a 1xx response code greater than a 100 or 2xx response code with an sdp answer that accepts audio and video capabilities, or a 1xx response code greater than a 100 response with no sdp answer, then the SS MUST:

- Send a 488 (Not Acceptable Here) response code to the remote initiating party of the re-INVITE that SHOULD include a Warning header field with warning code 370 (Insufficient Bandwidth).
- Send a CANCEL request (in the case of a 1xx response code) or a BYE request (in the case of a 2xx response code) to the local LSC.
- Notify the NMS that a video session request was rejected to compel adherence to a video count threshold (see Requirements [5.3.4.11.2.16](#) and [5.3.4.11.2.17](#)) and that an invalid value for the r-priority field was encountered.

5.3.4.11.2.14.6 In the event the INVITE (in Requirement [5.3.4.11.2.14](#)) had a ROUTINE priority level AND the SS receives a 1xx response code greater than a 100 response or a 2xx response with an sdp answer that accepts audio capabilities only from its served LSC, then the SS MUST verify whether the call request would cause IPC to exceed IPB (or in the case of directionalization if IPCi would exceed IPBi). If the call request would cause the call count to exceed the telephony call count threshold, then the SS MUST:

- Send a 488 (Not Acceptable Here) response code to the remote initiating party of the INVITE that SHOULD include a Warning header field with warning code 370 (Insufficient Bandwidth).
- Send a CANCEL request (in the case of a 1xx response code) or a BYE request (in the case of a 2xx response code) to the local LSC.
- Notify the NMS whenever a ROUTINE level telephony request is rejected to compel adherence to a telephony count threshold (see Requirements [5.3.4.11.2.16](#) and [5.3.4.11.2.17](#))

5.3.4.11.2.14.7 If the INVITE (in Requirement [5.3.4.11.2.14](#)) had a priority level greater than ROUTINE (i.e., a precedence call) AND the SS receives a 1xx response code

greater than a 100 response or a 2xx response with an sdp answer that accepts audio capabilities only from its served LSC, then the SS MUST verify whether the call request would cause IPC to exceed IPB (or in the case of directionalization if IPC_i would exceed IPB_i). If the call request would cause the call count to exceed the telephony call count threshold, then the SS MUST:

- In the case of no directionalization, preempt lower precedence telephony call requests and/or telephony calls to free up the necessary resources to support the precedence call request. (See Requirement [5.3.4.10.3.3.1.1.2](#) for details on the selection process for preempting lower precedence call requests and calls). The SS MUST notify the NMS whenever the SS performs a network preemption in the context of its Policing function to compel adherence to a telephony call count threshold (see Requirement [5.3.4.11.1.18](#)).⁴⁹
- In the case of directionalization, preempt lower precedence inbound telephony call requests and/or telephony calls to free up the necessary resources to support the precedence call request. (See Requirement [5.3.4.10.3.3.1.1.2](#) for details on the selection process for preempting lower precedence call requests and calls). The SS MUST notify the NMS whenever the SS performs a network preemption in the context of its Policing function to compel adherence to a telephony call count threshold (see Requirement [5.3.4.11.1.18](#)).⁵⁰

NOTE: The policing of inbound Empty INVITEs is covered in Requirement [5.3.4.11.1.14](#).

Inbound re-INVITE

5.3.4.11.2.15 When an SS receives an inbound re-INVITE for a video session for a served LSC that is already at or in excess of its configured budget⁵¹, then the SS MUST forward the re-INVITE to the LSC⁵². The inbound re-INVITE is NOT classified as a call request that goes against the video call count threshold (or telephony call count threshold) unless and until a 1xx

⁴⁹ If the SS is unable to preempt a call request or call on behalf of a precedence call request, then the SS MUST notify the NMS (see Requirements [5.3.4.11.1.16](#) and [5.3.4.11.1.17](#)).

⁵⁰ If the SS is unable to preempt a call request or call on behalf of a precedence call request, then the SS MUST notify the NMS (see Requirements [5.3.4.11.1.16](#) and [5.3.4.11.1.17](#)).

⁵¹ For a re-INVITE, in the case of directionalization: if the existing call is a telephony call, then the new video session is applied to the inbound video session count; if the existing call is an outbound video session, then policing occurs when the new video session request uses more VSUs than the current video session and the additional VSUs would exceed VDB_o; if the existing session is an inbound video session then policing occurs when the new video session request uses more VSUs than the current video session and the additional VSUs would exceed VDB_i.

⁵² The LSC has primary responsibility for ensuring that the access link is in compliance with the call count threshold.

response code greater than a 100 response or 200 response is received by the SS from the served LSC, and then proceeds as follows:

5.3.4.11.2.15.1 In the event the re-INVITE (in Requirement [5.3.4.11.2.15](#)) had a ROUTINE priority level AND the SS receives a 1xx or 200 response with an sdp answer accepting the video offer or a 1xx response code greater than a 100 response with no sdp answer from its served LSC, then the SS MUST:

- Send a 488 (Not Acceptable Here) response code to the remote initiating party of the AS-SIP INVITE that SHOULD include a Warning header field with warning code 370 (Insufficient Bandwidth).
- Send a CANCEL request (in the case of a 1xx response code) or a BYE request (in the case of a 2xx response code) to the local LSC.
- Notify the NMS whenever a ROUTINE level video session request is rejected to compel adherence to a video count threshold (see Requirements [5.3.4.11.2.16](#) and [5.3.4.11.2.17](#)).

5.3.4.11.2.15.2 In the event the re-INVITE (in Requirement [5.3.4.11.2.15](#)) had a priority level greater than ROUTINE (i.e., a precedence call) AND the SS receives a 1xx or 200 response with an sdp answer accepting the video offer or a 1xx response code greater than a 100 response with no sdp answer from its served LSC, then the SS MUST:

- In the case of no directionalization, preempt lower precedence video session requests and/or video sessions to free up the necessary resources to support the precedence session request. (See Requirement [5.3.4.10.3.3.1.1.2](#) for details on the selection process for preempting lower precedence session requests and sessions). The SS MUST notify the NMS whenever the SS performs a network preemption in the context of its Policing function to compel adherence to a video count threshold (see Requirement [5.3.4.11.2.18](#)).⁵³
- In the case of directionalization, when the existing call is a telephony call, then preempt lower precedence inbound video session requests and/or video sessions to free up the necessary resources to support the precedence session request. (See Requirement [5.3.4.10.3.3.1.1.2](#) for details on the selection process for preempting lower precedence session requests and sessions). The SS MUST notify the NMS whenever the SS performs a network preemption in the context of its Policing

⁵³ If the SS is unable to preempt a call request or call on behalf of a precedence call request, then SS MUST notify the NMS (see Requirements [5.3.4.11.2.16](#) and [5.3.4.11.2.17](#)).

function to compel adherence to a video count threshold (see Requirement [5.3.4.11.2.18](#)).⁵⁴

- In the case of directionalization, when the existing call is an outbound video session, then preempt lower precedence outbound video session requests and/or video sessions to free up the necessary resources to support the precedence session request. (See Requirement [5.3.4.10.3.3.1.1.2](#) for details on the selection process for preempting lower precedence session requests and sessions). The SS MUST notify the NMS whenever the SS performs a network preemption in the context of its Policing function to compel adherence to a video count threshold (see Requirement [5.3.4.11.2.18](#)).⁵⁵
- In the case of directionalization, when the existing call is an inbound video session, then preempt lower precedence inbound video session requests and/or video sessions to free up the necessary resources to support the precedence session request. (See Requirement [5.3.4.10.3.3.1.1.2](#) for details on the selection process for preempting lower precedence session requests and sessions). The SS MUST notify the NMS whenever the SS performs a network preemption in the context of its Policing function to compel adherence to a video count threshold (see Requirement [5.3.4.11.2.18](#)).⁵⁶

5.3.4.11.2.15.3 In the event the re-INVITE (in Requirement [5.3.4.11.2.15](#)) did not have a Require header or had a Require header but not the option tag “resource-priority” AND the SS does not recognize the network domain or recognizes the network domain but the r-priority field does not have a valid value for the given network domain and the SS receives a 1xx or 200 response having an sdp answer accepting the video offer, or a 1xx response code greater than a 100 response with no sdp answer from the served LSC, then the SS MUST:

- Send a 488 (Not Acceptable Here) response code to the remote initiating party of the re-INVITE that SHOULD include a Warning header field with warning code 370 (Insufficient Bandwidth).
- Send a CANCEL request (in the case of a 1xx response code) or a BYE request (in the case of a 2xx response code) to the local AS-SIP signaling appliance.

⁵⁴ If the SS is unable to preempt a call request or call on behalf of a precedence call request, then SS MUST notify the NMS (see Requirements [5.3.4.11.2.16](#) and [5.3.4.11.2.17](#)).

⁵⁵ If the SS is unable to preempt a call request or call on behalf of a precedence call request, then SS MUST notify the NMS (see Requirements [5.3.4.11.2.16](#) and [5.3.4.11.2.17](#)).

⁵⁶ If the SS is unable to preempt a call request or call on behalf of a precedence call request, then SS MUST notify the NMS (see Requirements [5.3.4.11.2.16](#) and [5.3.4.11.2.17](#)).

- Notify the NMS that a video session request was rejected to compel adherence to a video count threshold (see Requirements [5.3.4.11.2.16](#) and [5.3.4.11.2.17](#)) and that either an unknown network domain ID or an invalid value for the r-priority field was encountered, as the case may be.

5.3.4.11.2.15.4 In the event the re-INVITE (in Requirement [5.3.4.11.2.15](#)) had a Require header with the option tag “resource-priority” AND does not recognize the value of the network domain and the served LSC responds with a 1xx or 200 response code having an sdp answer accepting the video offer or a 1xx response code greater than a 100 response with no sdp answer, then the SS MUST:

- Send a 417 (Unknown Resource-Priority) response code to the remote initiating party.
- Send a CANCEL request (in the case of a 1xx response code) or a BYE request (in the case of a 2xx response code) to the local AS-SIP signaling appliance.
- Notify the NMS that a video session request was rejected in order to compel adherence to a video count threshold (see Requirements [5.3.4.11.2.16](#) and [5.3.4.11.2.17](#)) and that an unknown network domain ID was encountered.

5.3.4.11.2.15.5 In the event the re-INVITE (in Requirement [5.3.4.11.2.15](#)) had a Require header with the option tag “resource-priority” AND recognizes the network domain but the r-priority field does not have a valid value for the given network domain AND the served LSC responds with a 1xx or 200 response code having an sdp answer accepting the video offer or a 1xx response code greater than a 100 response with no sdp answer, then the SS MUST:

- Send a 488 (Not Acceptable Here) response code to the remote initiating party of the re-INVITE that SHOULD include a Warning header field with warning code 370 (Insufficient Bandwidth).
- Send a CANCEL request (in the case of a 1xx response code) or a BYE request (in the case of a 2xx response code) to the local LSC.
- Notify the NMS that a video session request was rejected to compel adherence to a video count threshold (see Requirements [5.3.4.11.2.16](#) and [5.3.4.11.2.17](#)) and that an invalid value for the r-priority field was encountered.

5.3.4.11.2.15.6 In the event the re-INVITE (in Requirement [5.3.4.11.2.15](#)) had a ROUTINE priority level AND the SS receives a 1xx response code greater than a 100

response or 2xx response with an sdp answer that accepts audio capabilities only from its served LSC, then the SS MUST verify whether the re-INVITE would cause IPC to exceed IPB (or if IPC_i would exceed IPB_i in the case of directionalization). If the re-INVITE would cause the call count to exceed the telephony call count threshold, then the SS MUST:

- Send a 488 (Not Acceptable Here) response code to the remote initiating party of the INVITE that SHOULD include a Warning header field with warning code 370 (Insufficient Bandwidth).
- Send a CANCEL request (in the case of a 1xx response code) or a BYE request (in the case of a 2xx response code) to the local LSC.
- Notify the NMS whenever a ROUTINE level telephony request is rejected to compel adherence to a telephony count threshold (see Requirements [5.3.4.11.1.16](#) and [5.3.4.11.1.17](#)).

5.3.4.11.2.15.7 In the event the re-INVITE (in Requirement [5.3.4.11.2.15](#)) had a priority level greater than ROUTINE (i.e., a precedence call) AND the SS receives a 1xx response code greater than a 100 response or 2xx response with an sdp answer that accepts audio capabilities only from its served LSC, then the SS MUST verify whether the re-INVITE would cause IPC to exceed IPB (or if IPC_i would exceed IPB_i in the case of directionalization). If the re-INVITE would cause the call count to exceed the telephony call count threshold, then the SS MUST:

- In the case of no directionalization, preempt lower precedence telephony call requests and/or telephony calls to free up the necessary resources to support the precedence call request. (See Requirement [5.3.4.10.3.3.1.1.2](#) for details on the selection process for preempting lower precedence call requests and calls). The SS MUST notify the NMS whenever the SS performs a network preemption in the context of its Policing function to compel adherence to a telephony call count threshold (see Requirement [5.3.4.11.1.18](#)).⁵⁷
- In the case of directionalization, preempt lower precedence inbound telephony call requests and/or telephony calls to free up the necessary resources to support the precedence call request. (See Requirement [5.3.4.10.3.3.1.1.2](#) for details on the selection process for preempting lower precedence call requests and calls). The SS MUST notify the NMS whenever the SS performs a network preemption in the context of its Policing function to compel

⁵⁷ If the SS is unable to preempt a call request or call on behalf of a precedence call request, then the SS MUST notify the NMS (see Requirements [5.3.4.11.1.16](#) and [5.3.4.11.1.17](#)).

adherence to a telephony call count threshold (see Requirement [5.3.4.11.1.18](#)).⁵⁸

Notification to the NMS

5.3.4.11.2.16 The SS MUST notify the NMS whenever a ROUTINE or precedence video session request is rejected to compel adherence to a video count threshold pursuant to the Policing function, or whenever a video session request or video session is preempted pursuant to the Policing function.⁵⁹

5.3.4.11.2.17 The notification to the NMS regarding the rejection of a video session request pursuant to SS Policing function MUST include:

- The date and time of the rejection of the video session request
- The calling party number and called party number of the rejected session request
- The precedence level of the rejected session request
- The identity of the AS-SIP signaling appliance where the video count threshold is being protected
- The direction of the session request (inbound or outbound)

5.3.4.11.2.18 The notification to the NMS regarding the preemption of a video session request or video session pursuant to the SS Policing function MUST include:

- The date and time of receipt of the precedence session request
- The calling party number and called party number of the precedence session request
- The precedence level of the precedence session request
- The date and time of the preemption of the video session request or session

⁵⁸ If the SS is unable to preempt a call request or call on behalf of a precedence call request, then the SS MUST notify the NMS (see Requirements [5.3.4.11.1.16](#) and [5.3.4.11.1.17](#)).

⁵⁹ The network management requirements will dictate the nature of the notification (e.g., SNMP trap or alarm) and the specific format of the notification.

- The calling party number and called party number of the preempted session request or call
- The precedence level of the preempted session request or session
- The identity of the AS-SIP signaling appliance where the video count threshold is being protected
- The direction of the session request (inbound or outbound)

Operational Adjustments

Although outside the scope of this specification, the video count threshold at the SS MAY be set to a value slightly above the mandated video count threshold for the corresponding AS-SIP signaling appliance. This procedure creates a safety margin for avoidance of call rejection or preemption due to the possibility of transient race conditions.

5.3.4.12 Video Telephony – General Rules

5.3.4.12.1 Caller

5.3.4.12.1.1 Video telephony EIs MUST, as the default configuration, require an end user wishing to place a call that includes video, to affirmatively signal the intention to include video to the EI every time the caller wishes to engage in a video telephony call. The interactive mechanism by which the caller signals this intention to include video is left to the vendors.⁶⁰

5.3.4.12.1.1.1 When the caller affirmatively signals an intention to make a video telephony call and the initial INVITE includes an sdp offering audio and video capabilities and the initial sdp answer accepts both audio and video capabilities, then the caller is not required to signal his or her intention again to the EI to conduct a video telephony call upon receipt of the sdp answer.

5.3.4.12.1.1.2 When the caller affirmatively signals an intention to make a video telephony call and the initial INVITE includes an sdp offering audio and video capabilities and the initial sdp answer accepts audio but not video capabilities, then the call will take the form of an audio call and for the caller to attempt to convert the audio call to a video telephony call, the caller MUST again affirmatively signal to the EI an

⁶⁰ Examples include pressing a physical button or switch, clicking on a virtual soft button, or selecting the video telephony option from a display menu when either entering the dial string or selecting the intended recipient from an electronic address book.

intention to include video. Then a re-INVITE with an sdp offering audio and video capabilities will be sent to the destination EI.

5.3.4.12.1.1.3 When the caller affirmatively signals an intention to make a video telephony call and the initial INVITE does not include an sdp offer (Empty INVITE), then if the initial sdp offer received from the called party includes both audio and video capabilities, the caller's EI can accept the audio and video capabilities without prompting the caller to again affirmatively signal an intention to conduct a video telephony call.⁶¹

5.3.4.12.1.1.4 When the caller affirmatively signals an intention to make a video telephony call and the initial INVITE does not include an sdp offer (Empty INVITE), then if the sdp offer received from the called party includes only audio capabilities but not video capabilities, then the calling EI accepts the audio capabilities, and for the caller to convert the audio call to a video telephony call, the caller **MUST** again affirmatively signal to the EI the intention to include video. Then a re-INVITE with an sdp offering audio and video capabilities will be sent to the destination EI.

5.3.4.12.1.2 Every time a caller requests a video telephony call and the video portion of the telephony call is successfully established, then the video telephony EI **MUST** provide the user with an affirmative confirmation that the video is enabled either before, or upon successful completion of, session establishment. The affirmative confirmation **MUST** take the form of both an audio and a visual signal to the caller. **NOTE:** The intent of this requirement is to ensure that the caller is fully aware that video is being transmitted.

5.3.4.12.2 Callee

5.3.4.12.2.1 When an INVITE with an sdp offer that includes both audio and video capabilities is received by an LSC serving a destination EI that supports video telephony, then when the call request is received by the destination EI the destination EI **MUST** indicate to the callee that a telephony call requesting video connectivity has been received. As the default configuration, the callee **MUST** affirmatively signal to the EI a desire to accept a video telephony call (as opposed to an audio call) in order for the EI to accept a video request and for the destination LSC to respond with an sdp answer that includes video capabilities in addition to audio capabilities.

5.3.4.12.2.1.1 If the callee initially accepts an audio call, then the callee **MUST** affirmatively signal to the EI a desire to add video to the call if the callee wishes to convert the audio call into a video telephony call. Then a re-INVITE **MUST** be sent to the originating LSC/EI offering both audio and video capabilities.

⁶¹ The caller has just affirmatively indicated an intention to engage in a video telephony call before the transmission of the Empty INVITE.

5.3.4.12.2.1.1.1 Upon receipt of the re-INVITE from the callee offering audio and video capabilities, the calling IP EI MUST notify the caller that there has been a request to upgrade the existing call to a video telephony call, and the caller MUST affirmatively signal to the EI a desire to accept the upgrade of the call to video telephony in order for the calling party's EI to accept the audio and video capabilities.

5.3.4.12.2.1.1.2 If the callee affirmatively signals to the EI a desire to accept the video component of the call only after the transmission of an sdp answer accepting only audio capabilities, then a re-INVITE MUST be sent to the originating LSC/EI offering both audio and video capabilities.

5.3.4.12.1.1.1.2.1 Upon receipt of the re-INVITE from the callee offering audio and video capabilities, the calling IP EI MUST notify the caller that there has been a request to upgrade the existing call to a video telephony call, and the caller MUST affirmatively signal to the EI a desire to accept the upgrade of the call to video telephony in order for the calling party's EI to accept the audio and video capabilities.

5.3.4.12.2.2 When an LSC serving a destination IP EI receives an INVITE lacking an sdp offer (Empty INVITE) and the destination EI supports video telephony, then the destination EI MAY offer the callee the option to affirmatively signal to the EI a desire to select a video telephony call.

5.3.4.12.2.2.1 If the callee affirmatively signals to the EI a desire to select a video telephony call after the transmission of a response with an sdp offer having audio capabilities only, then the LSC (or the SIP EI) MUST wait until the initial INVITE has completed, and then send a re-INVITE to the originating LSC/EI offering both audio and video capabilities.

5.3.4.12.2.2.1.1 Upon receipt of the re-INVITE from the callee offering audio and video capabilities, the calling IP EI MUST notify the caller that there has been a request to upgrade the existing call to a video telephony call, and the caller MUST affirmatively signal to the EI a desire to accept the upgrade of the call to video telephony in order for the calling party's EI to accept the audio and video capabilities.

5.3.4.12.2.3 Every time a callee accepts a video telephony call and the video portion of the telephony call is successfully established, then the video telephony EI MUST provide the user with an affirmative confirmation that the video is enabled either before or upon successful session establishment. The affirmative confirmation MUST take the form of both an audio and a

visual signal to the callee. NOTE: The intent of this requirement is to ensure that the callee is fully aware that video is being transmitted.

5.3.4.12.3 IP-to-IP Video Telephony Call Flows

This section furnishes a representative set of two-party video telephony call flows where the originating EI is IP and located at one base, the terminating EI is IP and located at another base, and AS-SIP signaling is used over the UC WAN to conduct call setup, maintenance, and termination.

[Section 5.3.4.12.3.1](#), INVITE with sdp Video Offer Accepted in 200 Response with sdp Answer, and [Section 5.3.4.12.3.2](#), INVITE with sdp Video Offer – Called Party Accepts Video after 200 Response, detail call flows in which the INVITE includes an sdp offer of audio and video capabilities.

[Section 5.3.4.12.3.3](#), Empty INVITE – Caller Requests Video; Called Party Offers Video (Media Feature Tags NOT Used), and [Section 5.3.4.12.3.4](#), Empty INVITE – Caller Requests Video; Called Party Offers Audio; Caller Again Requests Video (Media Feature Tags NOT Used), detail call flows in which the INVITE does not include an sdp offer (Empty INVITE) and where the originating LSC and terminating LSC do not add or process audio and video media feature tags that may be located in the Contact header of the INVITE.

[Section 5.3.4.12.3.5](#), Empty INVITE – Caller Requests Video; Called party Offers Video (Media Feature Tags Used by Originating LSC and Terminating LSC), and [Section 5.3.4.12.3.6](#), Empty INVITE – Caller Requests Video; Called Party Offers Audio – Calling Party Again Requests Video (Media Feature Tags Used by Originating LSC and Terminating LSC), detail call flows in which the INVITE does not include an sdp offer (Empty INVITE) and where the originating LSC adds the audio and video media feature tags and the terminating LSC processes the audio and video media feature tags. NOTE: The call flows in Figure 5.3.4.12-1 and Figure 5.3.4.12-3, and the call flows in Figure 5.3.4.12-2 and Figure 5.3.4.12-4 are identical except for the sequence of the ASAC call count events conducted by the LSCs.

NOTE: The AS-SIP EIs are not required to be capable of generating media feature tags or to be capable of processing received media feature tags; however, an AS-SIP EI that receives but does not understand media feature tags MUST ignore them and MUST NOT reject the call solely because of the presence of a media feature tag in the Contact header.

In the case of IP-to-IP calls when the INVITE includes an sdp offer, then the sdp answer is provided in the 200 response, and when the INVITE does not have an sdp offer (Empty INVITE), the sdp offer is made in the 200 response and the sdp answer is made in the ACK request. [Section 5.3.4.19](#), Supplementary Services, details the IP-TDM call flows where an INVITE with an sdp offer receives an sdp answer in the first non-failure, reliable provisional

response, and an INVITE that does not have an sdp offer (Empty INVITE) receives an sdp offer in the first non-failure, reliable provisional response and the sdp answer is transmitted in the PRACK message. These rules enable the originating LSC to identify whether the recipient EI is served by the UC IP network or the DSN TDM network, and therefore, alerts the originating LSC either to arrange for the EI to play tones and announcements locally or to instruct the EI to listen for early media instead.

NOTE: The video telephony call flows employ the re-INVITE method (NOT the UPDATE method) to escalate the audio telephony call to a video telephony call. The UPDATE method is NOT an appropriate request method for conducting escalation of a call from audio telephony to video telephony and MUST NOT be used for this purpose. A successful escalation of a call from audio telephony to video telephony requires an affirmative user response (i.e., user approval) on the part of the recipient of the escalation request. This is consistent with the use of the re-INVITE but NOT consistent with the use of the UPDATE. Quoting from RFC 3311, The SIP Update Method, Section 5.1, “...Although UPDATE can be used on confirmed dialogs, it is RECOMMENDED that a re-INVITE be used instead. This is because an UPDATE needs to be answered immediately, ruling out the possibility of user approval. Such approval will frequently be needed, and is possible with a re-INVITE.”

In particular, the telephony call flows to which this note apply are:

- [Section 5.3.4.12.3.2](#), INVITE with sdp Video Offer – Called Party Accepts Video after 200 Response (see [Figure 5.3.4.12-3](#) and [Figure 5.3.4.12-4](#))
- [Section 5.3.4.12.3.4](#), Empty INVITE – Caller Requests Video; Called Party Offers Audio; Caller Again Requests Video (Media Feature Tags NOT Used) (see [Figure 5.3.4.12-7](#) and [Figure 5.3.4.12-8](#))
- [Section 5.3.4.12.3.6](#), Empty INVITE – Caller Requests Video; Called Party Offers Audio – Calling Party Again Requests Video (Media Feature Tags Used by Originating LSC and Terminating LSC) (see [Figure 5.3.4.12-11](#)).

5.3.4.12.3.1 INVITE with sdp Video Offer Accepted in 200 Response with sdp Answer

Party A affirmatively signals to its EI an intention to request a video telephony call. This is reflected in the INVITE that includes an sdp offer of audio and video capabilities, shown in [Figure 5.3.4.12-1](#), INVITE with sdp Video Offer Accepted in 200 Response with sdp Answer. Party B is notified by its EI that a video telephony call is being requested. Party B affirmatively signals its EI to accept the video telephony offer prior to sending the 200 response.

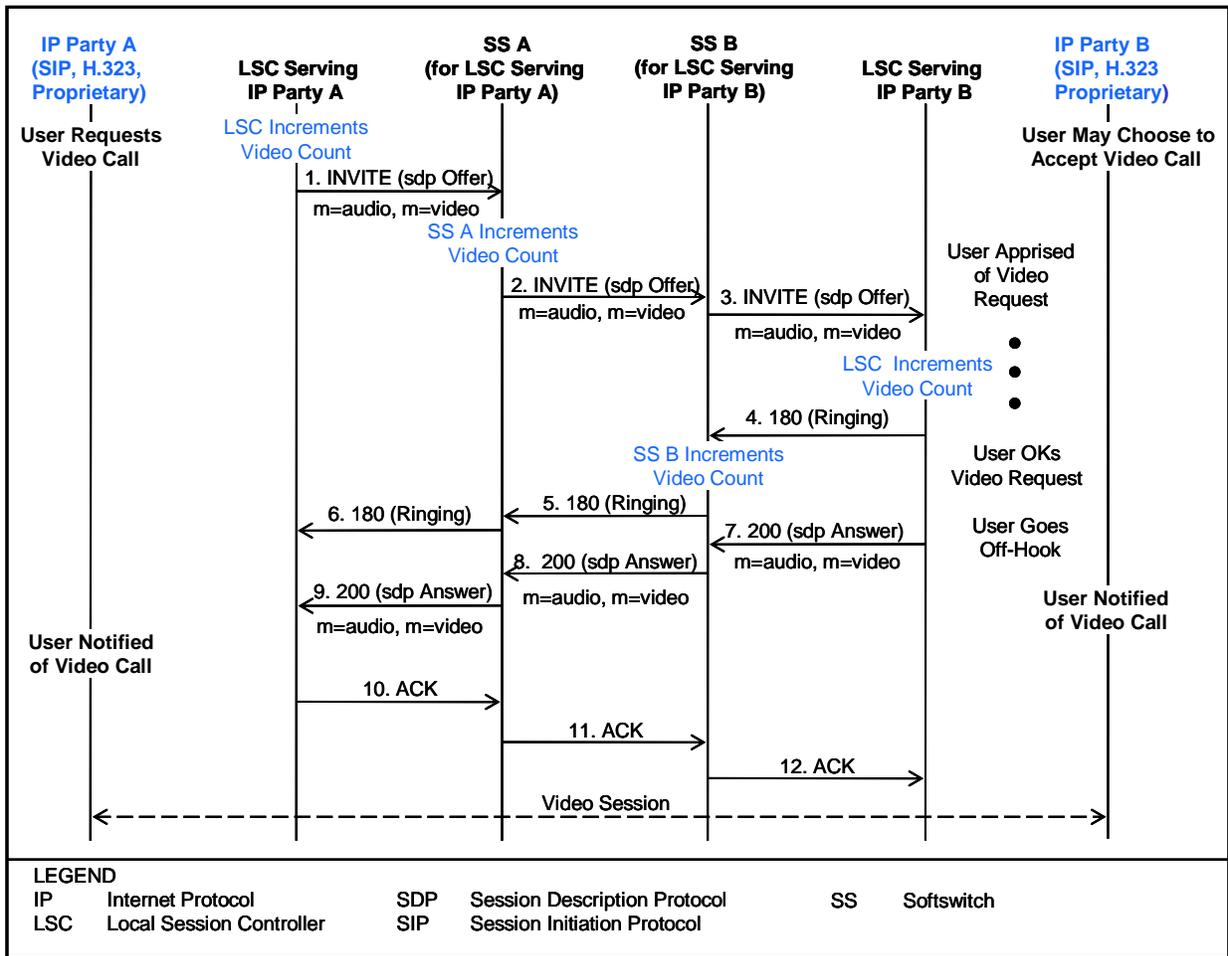


Figure 5.3.4.12-1. INVITE with sdp Video Offer Accepted in 200 Response with sdp Answer

STEP	INSTRUCTION
1, 2, 3	<p>Party A affirmatively signals to its EI a desire to initiate a video telephony call.</p> <p>Upon receiving an initial call request message from IP Party A (not depicted in Figure 5.3.4.12-1), the LSC serving IP Party A either generates and sends an INVITE with an sdp offering audio and video capabilities to SS A or forwards an INVITE with an sdp offering audio and video capabilities (created by SIP EI A) to SS A. SS A forwards the INVITE across the WAN to SS B (which is assigned to the LSC serving IP Party B). SS B forwards the INVITE to the LSC serving IP Party B.</p> <p>When the LSC serving IP Party B sends the INVITE or other call request message to the EI serving IP Party B, then Party B is notified by the called EI that a video telephone call has been requested so that Party B has the opportunity to indicate affirmatively to the EI a desire to accept a video call.</p> <p>NOTE: Signaling between the EI serving IP Party A and the LSC serving IP Party A is not depicted.</p> <p>NOTE: Signaling between the LSC serving IP Party B and the EI serving IP Party B is not depicted.</p> <p>ASAC Before sending 1, LSC A increments video count. Upon receiving 2, SS A increments video count. Upon receiving 3, LSC B increments video count.</p>
4, 5, 6	<p>Assuming the EI is not busy, the LSC serving IP Party B sends a 180 (Ringing) to SS B. It forwards the 180 (Ringing) to SS A, which forwards the 180 (Ringing) to the LSC serving IP Party A.</p> <p>NOTE: Signaling between the EI serving IP Party B and the LSC serving IP Party B is not depicted.</p> <p>NOTE: Signaling between the LSC serving IP Party A and the EI serving IP Party A is not depicted.</p> <p>ASAC Upon receiving 4, SS B increments video count.</p>

STEP	INSTRUCTION
7, 8, 9	<p>Party B affirmatively signals to its EI an intention to accept a video telephone call.</p> <p>When IP Party B goes off hook (i.e., answers the call), the LSC serving IP Party B sends a 200 (OK) response with an sdp answer accepting audio and video capabilities to SS B. SS B forwards the 200 (OK) response to SS A, which forwards the 200 (OK) response to the LSC serving IP Party A.</p> <p>EI B notifies Party B that a video call has been established.</p> <p>NOTE: Signaling between the EI serving IP Party B and the LSC serving IP Party B is not depicted. In addition, signaling between the LSC serving IP Party A and the EI serving IP Party A is not depicted.</p>
10, 11, 12	<p>EI A notifies Party A that a video call has been established.</p> <p>The LSC serving IP Party A sends an ACK to SS A. It forwards the ACK to SS B, which forwards the ACK to the LSC serving IP Party B.</p> <p>NOTE: Signaling between the EI serving IP Party A and the LSC serving IP Party A is not depicted. In addition, signaling between the LSC serving IP Party B and the EI serving IP Party B is not depicted.</p>

INVITE with sdp Video Offer Accepted in 200 Response with sdp Answer (AS-SIP EI)

When the IP EIs are AS-SIP EIs, then the AS-SIP messaging is exchanged end-to-end by the AS-SIP EIs. The AS-SIP EIs generate and process the sdp bodies. [Figure 5.3.4.12-2](#) shows AS-SIP EI A sending an INVITE with an sdp video offer (step 1). AS-SIP EI B receives the INVITE (step 5), responds with a 180 (Ringing) response (step 6), and notifies the user of the request for a video telephone call. AS-SIP EI A receives the 180 (Ringing) response (step 10). The user at AS-SIP EI B accepts the video request to AS-SIP EI and goes off-hook. AS-SIP EI B sends a 200 (OK) response with an sdp answer accepting the video call (step 11), and confirms the video call to the user. AS-SIP EI A receives the 200 (OK) response (step 15), notifies the user that the video call has been accepted, and sends an ACK (step 16). AS-SIP EI B receives the ACK (step 20).

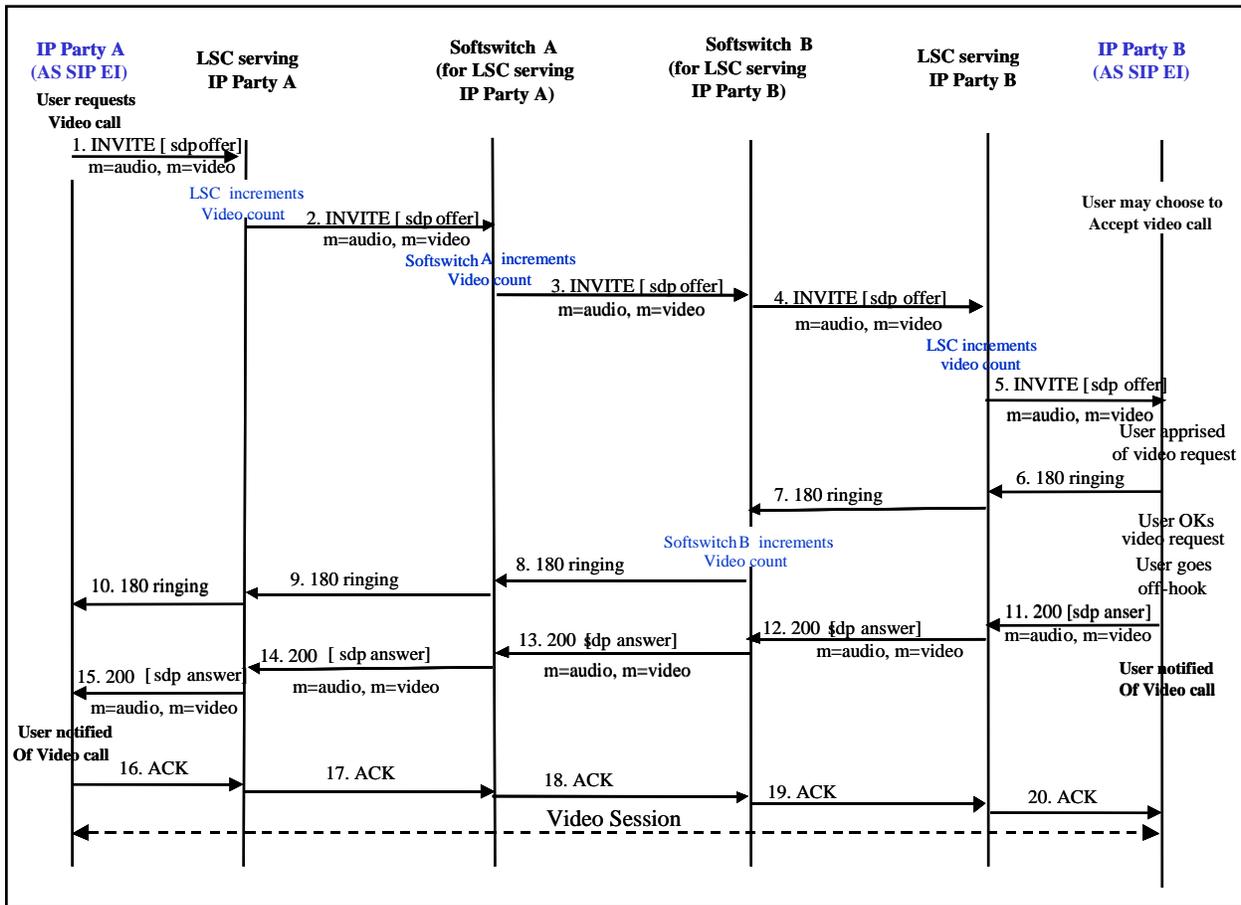


Figure 5.3.4.12-2. INVITE with sdp Video Offer Accepted in 200 Response with sdp Answer (AS-SIP EI)

5.3.4.12.3.2 INVITE with sdp Video Offer – Called Party Accepts Video after 200 Response

Party A affirmatively signals its EI an intention to request a video telephony call. This is reflected in the INVITE that includes an sdp offer of audio and video capabilities, shown in [Figure 5.3.4.12-3](#), INVITE with sdp Video Offer – Called Party Accepts Video after 200 Response. Party B is apprised of the video telephony call request and does not signal its EI to accept the video telephony offer until after the 200 response is sent. Party B’s EI sends a re-INVITE (or equivalent) offering audio and video capabilities pursuant to Party B’s affirmative signal to engage in a video call. Upon receipt of the INVITE with the sdp offer, Party A’s EI notifies Party A of the offer to add video to the call. Party A affirmatively signals a desire to add video. An ACK with an sdp answer accepting audio and video capabilities is sent back to the LSC serving IP Party B.

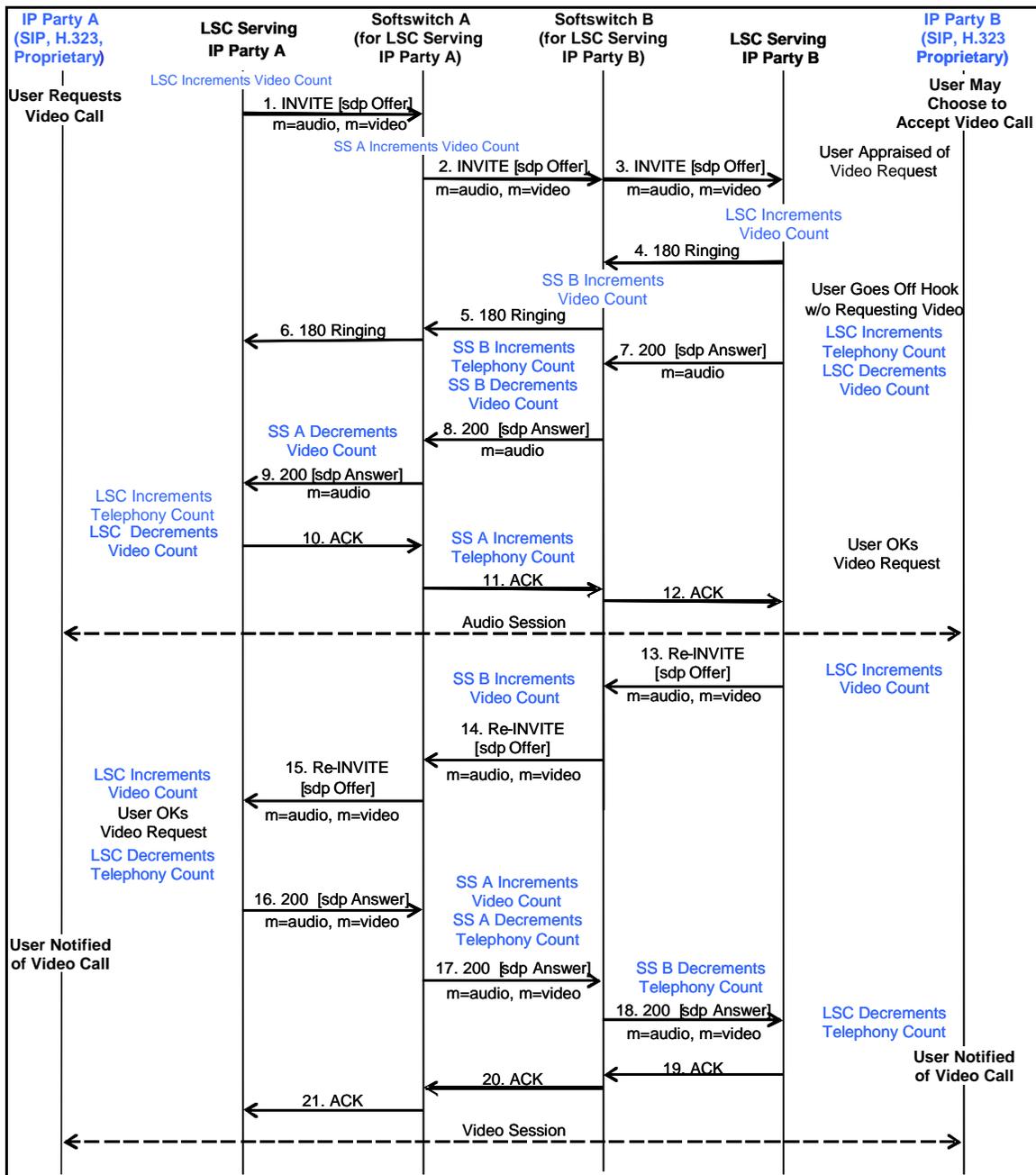


Figure 5.3.4.12-3. INVITE with sdp Video Offer – Called Party Accepts Video after 200 Response

STEP	INSTRUCTION
1, 2, 3	<p>Party A affirmatively signals to EI a desire to initiate a video telephony call.</p> <p>Upon receiving an initial call request message from IP Party A (not depicted in the call flow diagram), the LSC serving IP Party A either generates and sends an INVITE with an sdp offering audio and video capabilities to SS A or forwards an INVITE with an sdp offering audio and video capabilities (created by SIP EI A) to SS A. SS A forwards the INVITE across the WAN to SS B (which is assigned to the LSC serving IP Party B). SS B forwards the INVITE to the LSC serving IP Party B.</p> <p>When LSC serving IP Party B sends an INVITE or other call request message to the EI serving IP Party B, then Party B is notified by the called EI that a video telephone call has been requested so Party B has the opportunity to affirmatively indicate to the EI a desire to accept a video call.</p> <p>NOTE: Signaling between the EI serving IP Party A and the LSC serving IP Party A is not depicted. In addition, signaling between the LSC serving IP Party B and the EI serving IP Party B is not depicted. However, it should be noted that</p> <p>ASAC Before sending 1, LSC A increments video count. Upon receiving 2, SS A increments video count. Upon receiving 3, LSC B increments video count.</p>
4, 5, 6	<p>Assuming the EI is not busy, the LSC serving IP Party B sends a 180 (Ringing) response to SS B. It forwards the 180 (Ringing) response to SS A, which forwards the 180 (Ringing) response to the LSC serving IP Party A.</p> <p>NOTE: Signaling between the EI serving IP Party B and the LSC serving IP Party B is not depicted. In addition, signaling between the LSC serving IP Party A and the EI serving IP Party A is not depicted.</p> <p>ASAC Upon receiving 4, SS B increments video count.</p>
7, 8, 9	<p>Party B does NOT affirmatively signal to its EI a desire to accept a video telephone call.</p> <p>When IP Party B goes off-hook (i.e., answers the call), the LSC serving IP Party B sends a 200 (OK) response with an sdp answer accepting audio capabilities to SS B. SS B forwards the 200 (OK) response to SS A, which forwards the 200 (OK) response to the LSC serving IP Party A.</p> <p>NOTE: Signaling between the EI serving IP Party B and the LSC serving IP Party B is not depicted. In addition, signaling between the LSC serving IP Party A and the EI serving IP Party A is not depicted.</p>

STEP	INSTRUCTION
	<p>ASAC Before sending 7, LSC B increments telephony count and decrements video count. Upon receiving 7, SS B increments telephony count and decrements video count. Upon receiving 8, SS A decrements video count. Upon receiving 9, LSC A increments telephony count.</p>
10, 11, 12	<p>The LSC serving IP Party A sends an ACK to SS A. It forwards the ACK to SS B, which forwards the ACK to the LSC serving IP Party B.</p> <p>NOTE: Signaling between the EI serving IP Party A and the LSC serving IP Party A is not depicted. In addition, signaling between the LSC serving IP Party B and the EI serving IP Party B is not depicted.</p> <p>ASAC Before sending 10, LSC A decrements video count. Upon receiving 10, SS A increments telephony count.</p>
13, 14, 15	<p>Party B affirmatively signals to its EI a desire to add video to the existing call.</p> <p>Upon receiving a call request/change message (re-INVITE in the case of a SIP EI) (not depicted in the call flow diagram), the LSC serving IP Party B either generates and sends a re-INVITE with an sdp offering audio and video capabilities to SS B, or forwards a re-INVITE with an sdp offering audio and video capabilities (created by SIP EI B) to SS B. SS B forwards the re-INVITE across the WAN to SS A (which is assigned to the LSC serving IP Party A). SS A forwards the INVITE to the LSC serving IP Party A.</p> <p>When the LSC serving IP Party A sends the re-INVITE or equivalent call request message to the EI serving IP Party A, then Party A is notified by the EI that Party A is being requested to turn the existing call into a video telephony call.</p> <p>NOTE: Signaling between the EI serving IP Party B and the LSC serving IP Party B is not depicted. In addition, signaling between the LSC serving IP Party A and the EI serving IP Party A is not depicted.</p> <p>ASAC Before sending 13, LSC B increments video count. Upon receiving 13, SS B increments video count. Upon receiving 15, LSC A increments video count.</p>

STEP	INSTRUCTION
16, 17, 18	<p>Party A affirmatively signals its EI of Party A's intention to convert the call to a video telephony call.</p> <p>Upon receiving a positive response from Party A's EI, the LSC serving IP Party A either generates and sends a 200 (OK) response with an sdp answer accepting audio and video capabilities to SS A or forwards a 200 (OK) response with an sdp answer accepting audio and video capabilities (created by SIP EI A) to SS A. SS A forwards the 200 (OK) response across the WAN to SS B. SS B forwards the 200 (OK) response to the LSC serving IP Party B.</p> <p>EI A notifies Party A that a video call has been established.</p> <p>NOTE: Signaling between the EI serving IP Party A and the LSC serving IP Party A is not depicted. In addition, signaling between the LSC serving IP Party B and the EI serving IP Party B is not depicted.</p> <p>ASAC Before sending 16, LSC A decrements telephony count. Upon receiving 16, SS A increments video count and decrements telephony count. Upon receiving 17, SS B decrements telephony count. Upon receiving 18, LSC B decrements telephony count.</p>
19, 20, 21	<p>EI B notifies Party B that a video call has been established.</p> <p>The LSC serving IP Party B sends an ACK to SS B. It forwards the ACK to SS A, which forwards the ACK to the LSC serving IP Party A.</p> <p>NOTE: Signaling between the EI serving IP Party B and the LSC serving IP Party B is not depicted. In addition, signaling between the LSC serving IP Party A and the EI serving IP Party A is not depicted.</p>

INVITE with sdp Video Offer – Called Party Accepts Video after 200 Response (AS-SIP EI)

[Figure 5.3.4.12-4](#), INVITE with sdp Offer – Called Party Accepts Video after 200 Response (AS-SIP EI), shows AS-SIP EI A sending an INVITE with an sdp video offer (step 1). AS-SIP EI B receives the INVITE (step 5), responds with 180 (Ringing) response (step 6), and notifies the user of the request for a video telephone call. AS-SIP EI A receives a 180 (Ringing) response (step 10). The user at AS-SIP EI B does not accept the video request before going off-hook. AS-SIP EI B sends a 200 (OK) response with an sdp answer accepting the telephony call (step 11). AS-SIP EI A receives a 200 (OK) response and sends an ACK (step 16). AS-SIP EI B receives an ACK (step 20). AS-SIP EI B sends a re-INVITE offering video session in sdp (step 21). AS-SIP EI A receives a re-INVITE (step 25), and notifies the user of the video request. The user indicates acceptance of the video request to AS-SIP EI A. AS-SIP EI A sends a 200 (OK) response with an sdp answer accepting the video session (step 26) and notifies the user of

the successful video call. AS-SIP EI B receives a 200 (OK) response (step 30), responds with an ACK (step 31), and notifies the user of the accepted video call. AS-SIP EI A receives the ACK (step 35).

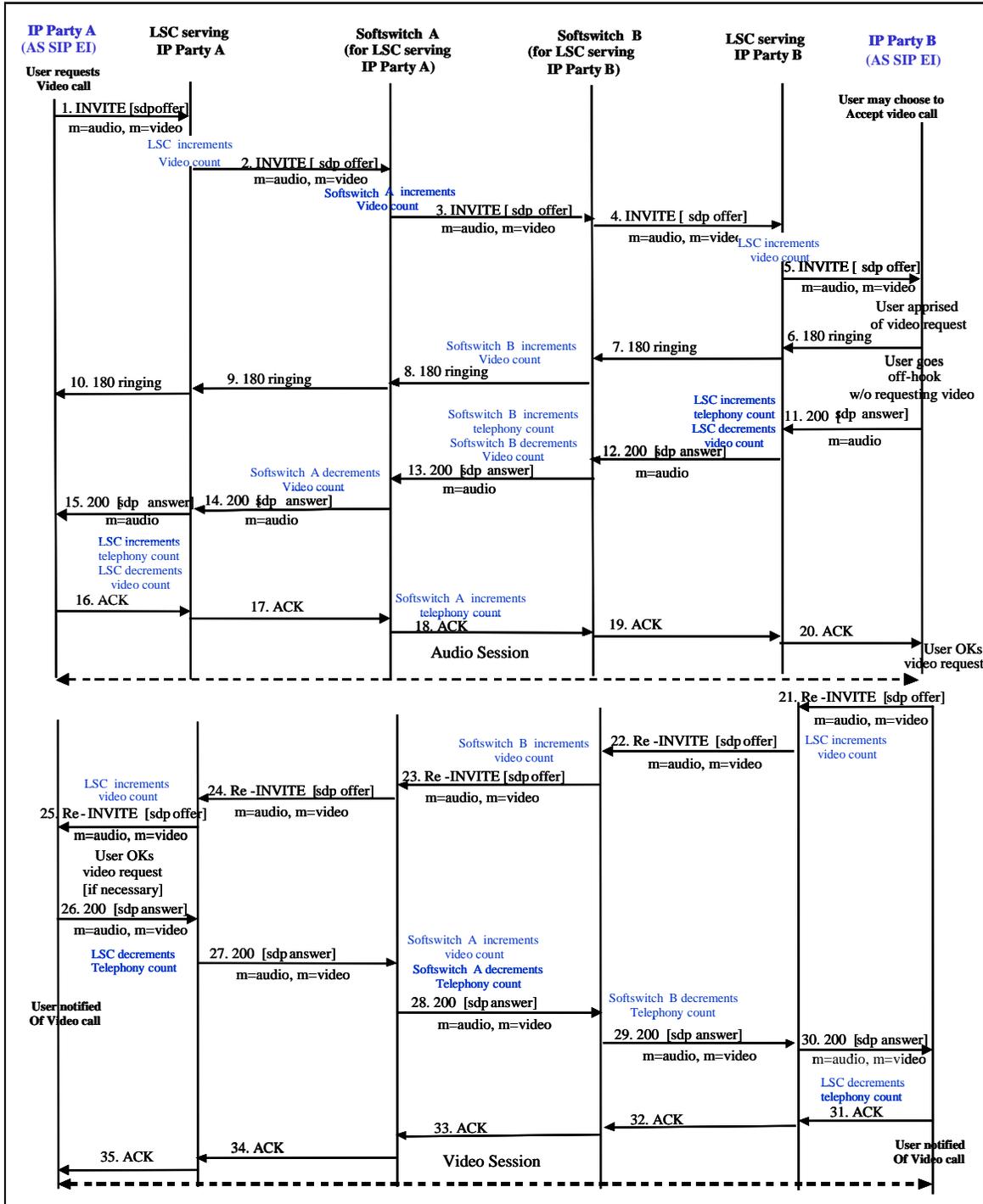


Figure 5.3.4.12-4. INVITE with sdp Offer – Called Party Accepts Video after 200 Response (AS-SIP EI)

STEP	INSTRUCTION
1, 2, 3	<p>Party A affirmatively signals to its EI an intention to initiate a video telephony call.</p> <p>Upon receiving an initial call request message from IP Party A (not depicted in the call flow diagram), the LSC serving IP Party A either generates and sends an Empty INVITE to SS A or forwards an Empty INVITE (created by SIP EI A) to SS A. SS A forwards the Empty INVITE across the WAN to SS B (which is assigned to the LSC serving IP Party B). SS B forwards the Empty INVITE to the LSC serving IP Party B.</p> <p>When the LSC serving IP Party B sends an Empty INVITE or other call request message to the IP EI serving Party B, then the EI MAY apprise Party B of the option of requesting a video call.</p> <p>NOTE: Signaling between the EI serving IP Party A and the LSC serving IP Party A is not depicted. In addition, signaling between the LSC serving IP Party B and the EI serving IP Party B is not depicted.</p> <p>ASAC Before sending 1, LSC A increments telephony count. Upon receiving 2, SS A increments telephony count. Upon receiving 3, LSC B increments telephony count.</p>
4, 5, 6	<p>Assuming the EI is not busy, the LSC serving IP Party B sends a 180 (Ringing) response to SS B. It forwards the 180 (Ringing) to response SS A, which forwards the 180 (Ringing) response to the LSC serving IP Party A.</p> <p>NOTE: Signaling between the EI serving IP Party B and the LSC serving IP Party B is not depicted. In addition, signaling between the LSC serving IP Party A and the EI serving IP Party A is not depicted.</p> <p>ASAC Upon receiving 4, SS B increments telephony count.</p>
7, 8, 9	<p>Party B affirmatively signals to its EI an intention to engage in a video telephone call.</p> <p>When IP Party B goes off-hook (i.e., answers the call), the LSC serving IP Party B sends a 200 (OK) response with an sdp offer of audio and video capabilities to SS B. SS B forwards the 200 (OK) response to SS A, which forwards the 200 (OK) response to the LSC serving IP Party A.</p> <p>NOTE: Signaling between the EI serving IP Party B and the LSC serving IP Party B is not depicted. In addition, signaling between the LSC serving IP Party A and the EI serving IP Party A is not depicted.</p> <p>ASAC Before sending 7, LSC B increments video count. Upon receiving 7, SS B increments video count. Upon receiving 9, LSC A increments video count.</p>

STEP	INSTRUCTION
10, 11, 12	<p>Since the caller had affirmatively signaled to the EI a desire to engage in a video telephone call and the initial offer from the remote side is a video telephone offer, then the EI will accept the video telephone offer.</p> <p>EI A notifies Party A that a video call has been established.</p> <p>The LSC serving IP Party A sends an ACK with an sdp answer accepting audio and video capabilities to SS A. It forwards the ACK to SS B, which forwards the ACK to the LSC serving IP Party B.</p> <p>EI B notifies Party B that a video call has been established.</p> <p>NOTE: Signaling between the EI serving IP Party A and the LSC serving IP Party A is not depicted. In addition, signaling between the LSC serving IP Party B and the EI serving IP Party B is not depicted.</p> <p>ASAC Before sending 10, LSC A decrements telephony count. Upon receiving 10, SS A increments video count and decrements telephony count. Upon receiving 11, SS B decrements telephony count. Upon receiving 12, LSC B decrements telephony count.</p>

Empty INVITE – Caller Requests Video; Called Party Offers Video (Media Feature Tags NOT Used) – AS-SIP EI Receives Empty INVITE

The AS-SIP EIs do NOT generate Empty INVITES but they MUST accept Empty INVITES.

[Figure 5.3.4.12-6](#), Empty INVITE – Caller Requests Video; Called Party Offers Video (Media Feature Tags NOT Used) – AS-SIP EI Receives Empty INVITE, shows that AS-SIP EI B receives the Empty INVITE (step 4) and responds with a 180 (Ringing) response (step 5). User B requests that AS-SIP EI B engage in a video telephone call. User B goes off-hook and AS-SIP EI B sends a 200 (OK) response with an sdp offer for a video session (step 9). AS-SIP EI B receives the ACK with an sdp answer accepting the video session (step 16) and notifies the user that the video call is established.

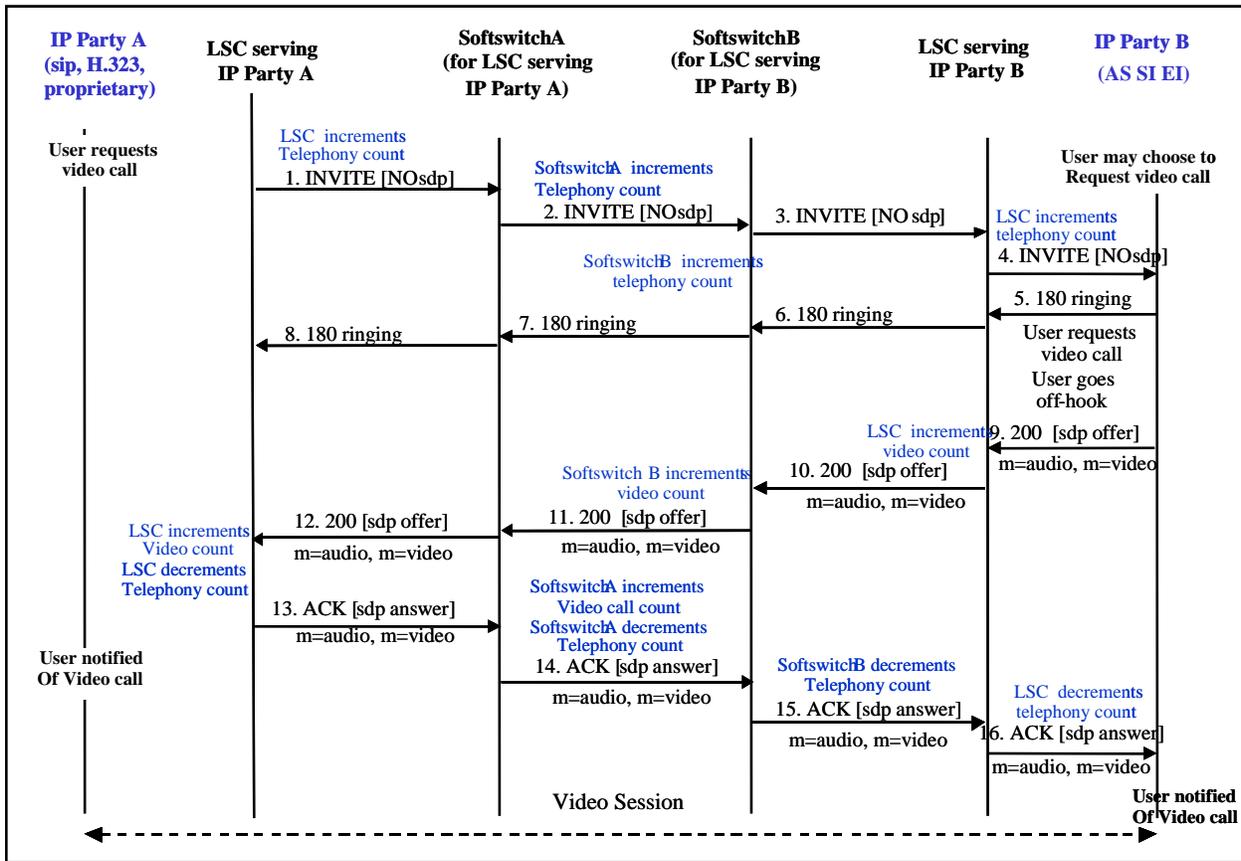


Figure 5.3.4.12-6. Empty INVITE – Caller Requests Video; Called Party Offers Video (Media Feature Tags NOT Used) – AS-SIP EI Receives Empty INVITE

5.3.4.12.3.4 Empty INVITE – Caller Requests Video; Called Party Offers Audio; Caller Again Requests Video (Media Feature Tags NOT Used)

Party A affirmatively signals to its EI an intention to request a video telephony call. An Empty INVITE is sent to the LSC serving IP Party B. When party B’s EI receives the Empty INVITE (or equivalent call request) Party B’s EI MAY offer Party B the option of requesting a video telephony call. This is illustrated in [Figure 5.3.4.12-7](#), Empty INVITE – Caller Requests Video; Called Party Offers Audio; Caller Again Requests Video (Media Feature Tags NOT Used). Party B does NOT signal its EI to offer a video telephony call and the 200 (OK) response includes an sdp offer with audio capabilities only. Party A’s EI accepts the audio offer and an ACK with an sdp answer accepting audio capability is sent back over the UC WAN to IP Party B. Party A again affirmatively signals its EI that it would like to add video to the telephony call and a re-INVITE with an sdp offer of audio and video capabilities is sent to IP Party B. Party B’s EI notifies IP Party B of the request to add video. Party B affirmatively signals its EI to accept the video telephony upgrade and a 200 (OK) response with an sdp answer accepting audio and video capabilities is sent over the UC WAN to IP Party A.

Section 5.3.4 – AS-SIP Requirements

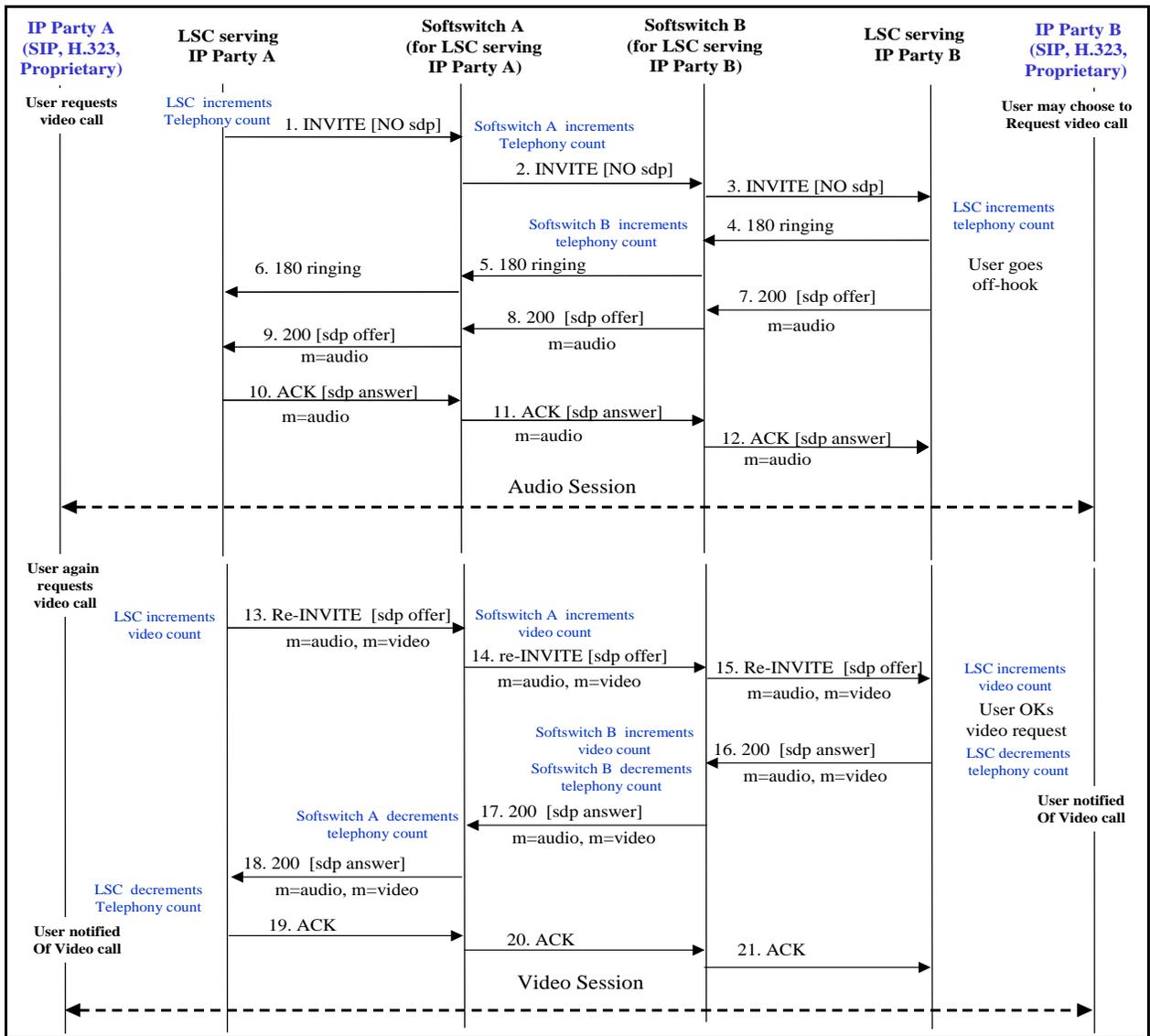


Figure 5.3.4.12-7. Empty INVITE – Caller Requests Video; Called Party Offers Audio; Caller Again Requests Video (Media Feature Tags NOT Used)

STEP	INSTRUCTION
1, 2, 3	<p>Party A affirmatively signals to its EI an intention to initiate a video telephony call.</p> <p>Upon receiving an initial call request message from IP Party A (not depicted in the call flow diagram), the LSC serving IP Party A either generates and sends an Empty INVITE to SS A or forwards an Empty INVITE (created by SIP EI A) to SS A. SS A forwards the Empty INVITE across the WAN to SS B (which is assigned to the LSC serving IP Party B). SS B forwards the Empty INVITE to the LSC serving IP Party B.</p> <p>When the LSC serving IP Party B sends an Empty INVITE or other call request message to the IP EI serving Party B, then the EI MAY apprise Party B of the option of requesting a video call.</p> <p>NOTE: Signaling between the EI serving IP Party A and the LSC serving IP Party A is not depicted. In addition, signaling between the LSC serving IP Party B and the EI serving IP Party B is not depicted.</p> <p>ASAC Before sending 1, LSC A increments telephony count. Upon receiving 1, SS A increments telephony count. Upon receiving 3, LSC B increments telephony count.</p>
4, 5, 6	<p>Assuming the EI is not busy, the LSC serving IP Party B sends a 180 (Ringing) response to SS B. It forwards the 180 (Ringing) response to SS A, which forwards the 180 (Ringing) response to the LSC serving IP Party A.</p> <p>NOTE: Signaling between the EI serving IP Party B and the LSC serving IP Party B is not depicted. In addition, signaling between the LSC serving IP Party A and the EI serving IP Party A is not depicted.</p> <p>ASAC Upon receiving 4, SS B increments telephony count.</p>
7, 8, 9	<p>Party B does NOT signal to its EI an intention to engage in a video telephone call.</p> <p>When IP Party B goes off-hook (i.e., answers the call), the LSC serving IP Party B sends a 200 (OK) response with an sdp offer of audio capabilities to SS B. SS B forwards the 200 (OK) response to SS A, which forwards the 200 (OK) response to the LSC serving IP Party A.</p> <p>NOTE: Signaling between the EI serving IP Party B and the LSC serving IP Party B is not depicted. In addition, signaling between the LSC serving IP Party A and the EI serving IP Party A is not depicted.</p>

STEP	INSTRUCTION
10, 11, 12	<p>Party A's EI accepts the audio telephone offer.</p> <p>The LSC serving IP Party A sends an ACK with an sdp answer accepting audio capability to SS A. It forwards the ACK to SS B, which forwards the ACK to the LSC serving IP Party B.</p> <p>NOTE: Signaling between the EI serving IP Party A and the LSC serving IP Party A is not depicted. In addition, signaling between the LSC serving IP Party B and the EI serving IP Party B is not depicted.</p>
13, 14, 15	<p>Party A affirmatively signals to its EI an intention to add video to the telephony call.</p> <p>Upon receiving call request/change message from IP Party A (not depicted in the call flow diagram), the LSC serving IP Party A either generates and sends a re-INVITE with an sdp offering audio and video capabilities to SS A or forwards a re-INVITE with an sdp offering audio and video capabilities (created by SIP EI A) to SS A. SS A forwards the re-INVITE across the WAN to SS B (which is assigned to the LSC serving IP Party B). SS B forwards the INVITE to the LSC serving IP Party B.</p> <p>When the LSC serving IP Party B sends the re-INVITE or equivalent call request message to the EI serving IP Party B, then Party B is notified by the EI that a video offer is being made, and Party B has the opportunity to affirmatively signal to the EI a desire to turn the existing call into a video telephony call.</p> <p>NOTE: Signaling between the EI serving IP Party A and the LSC serving IP Party A is not depicted. In addition, signaling between the LSC serving IP Party B and the EI serving IP Party B is not depicted.</p> <p>ASAC Before sending 13, LSC A increments video count. Upon receiving 13, SS A increments video count. Upon receiving 15, LSC B increments video count.</p>

STEP	INSTRUCTION
16, 17, 18	<p>Party B affirmatively signals its EI that Party B wishes to convert the call to a video telephony call.</p> <p>The LSC serving IP Party B either generates and sends a 200 (OK) response with an sdp answer accepting audio and video capabilities to SS B or forwards a 200 (OK) response with an sdp answer accepting audio and video capabilities (created by SIP EI B) to SS B. SS B forwards the 200 (OK) response across the WAN to SS A. SS A forwards the INVITE to the LSC serving IP Party B.</p> <p>Party B's EI notifies Party B that video has been added to the call.</p> <p>NOTE: Signaling between the EI serving IP Party B and the LSC serving IP Party B is not depicted. In addition, signaling between the LSC serving IP Party A and the EI serving IP Party A is not depicted.</p> <p>ASAC</p> <p>Before sending 16, LSC B decrements telephony count. Upon receiving 16, SS B increments video count and decrements telephony count. Upon receiving 17, SS A decrements telephony count. Upon receiving 18, LSC A decrements telephony count.</p>
19, 20, 21	<p>Party A's EI notifies Party A that video has been added to the call.</p> <p>The LSC serving IP Party A sends an ACK to SS A. It forwards the ACK to SS B, which forwards the ACK to the LSC serving IP Party B.</p> <p>NOTE: Signaling between the EI serving IP Party A and the LSC serving IP Party AB is not depicted. In addition, signaling between the LSC serving IP Party B and the EI serving IP Party B is not depicted.</p>

Empty INVITE – Caller Requests Video; Called Party Offers Audio; Caller Again Requests Video (Media Feature Tags NOT Used) (AS-SIP EI Receives Empty INVITE)

The AS-SIP EIs do NOT generate Empty INVITEs but they MUST accept Empty INVITEs.

[Figure 5.3.4.12-8](#), Empty INVITE – Caller Requests Video; Called Party Offers Audio; Caller Again Requests Video (Media Feature Tags NOT Used) – AS-SIP EI Receives Empty INVITE, shows that AS-SIP EI B receives the Empty INVITE (step 4) and responds with a 180 (Ringing) response (step 5). The user at AS-SIP EI B does not indicate to AS-SIP EI B an intention to conduct a video request. The user at AS-SIP EI B goes off-hook and AS-SIP EI B sends a 200 (OK) response (step 9) with an sdp offer for a telephony call. AS-SIP EI B receives an ACK with an sdp answer accepting the telephony call (step 16). AS-SIP EI B receives a re-INVITE offering a video session in an sdp (step 20). AS-SIP EI B notifies user B of the video session request. User B signals acceptance of the video session request to AS-SIP EI B and AS-SIP EI B

sends a 200 (OK) response with an sdp answer accepting the video session (step 21). AS-SIP EI B verifies establishment of the video call to user B. AS-SIP EI B receives an ACK (step 28).

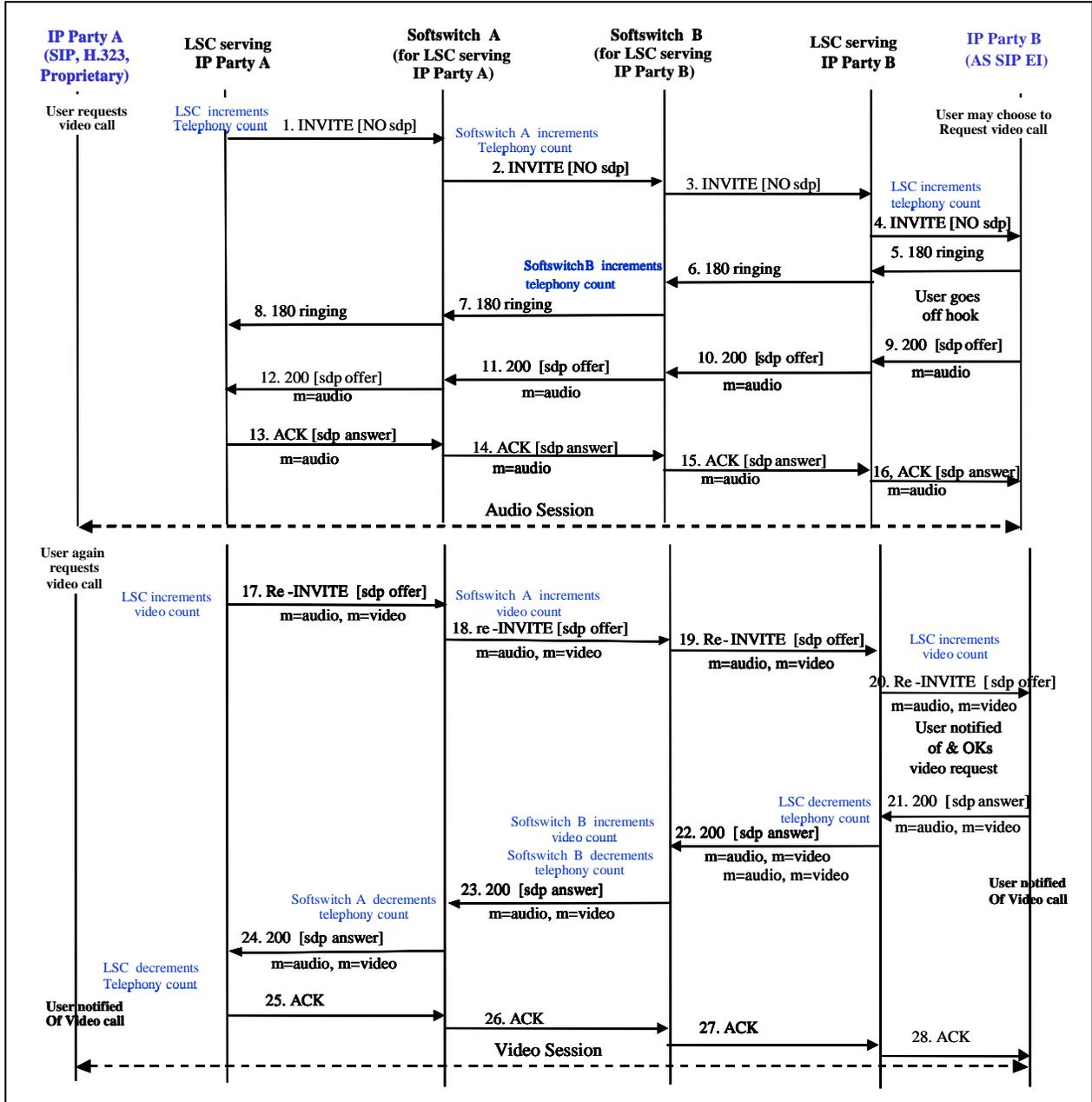


Figure 5.3.4.12-8. Empty INVITE – Caller Requests Video; Called Party Offers Audio; Caller Again Requests Video (Media Feature Tags NOT Used) – AS-SIP EI Receives Empty INVITE

5.3.4.12.3.5 Empty INVITE – Caller Requests Video; Called party Offers Video (Media Feature Tags Used by Originating LSC and Terminating LSC)

The AS-SIP message sequence in this call flow is identical to that of [Section 5.3.4.12.3.3](#), Empty INVITE – Caller Requests Video; Called Party Offers Video (Media Feature Tags NOT Used). The use of media feature tags by the LSCs alters the specific ASAC actions taken during the course of session establishment; however, the ASAC end state is the same as for [Section 5.3.4.12.3.3](#) (see [Figure 5.3.4.12-9](#), Empty INVITE – Caller Requests Video; Called Party Offers Video (Media Feature Tags Used by Originating LSC and Terminating LSC)).

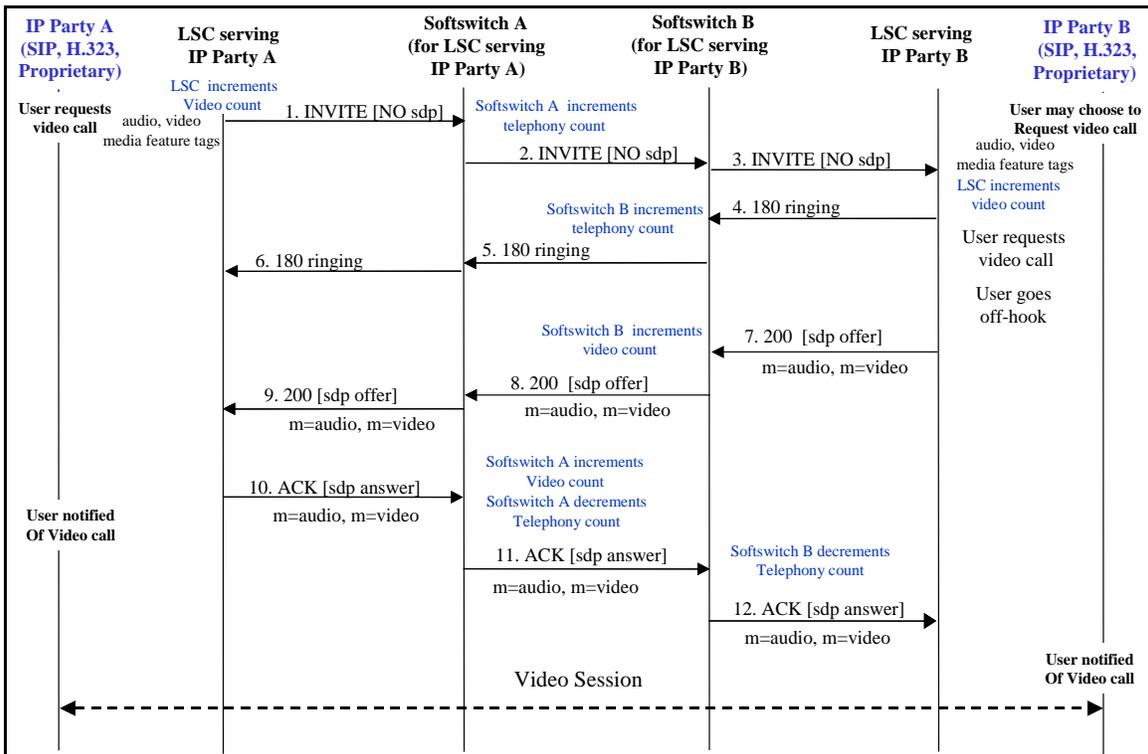


Figure 5.3.4.12-9. Empty INVITE – Caller Requests Video; Called Party Offers Video (Media Feature Tags Used by Originating LSC and Terminating LSC)

STEP	INSTRUCTION
1, 2, 3	<p>Party A affirmatively signals to its EI an intention to initiate a video telephony call.</p> <p>Upon receiving an initial call request message from IP Party A (not depicted in the call flow diagram), the LSC serving IP Party A either generates and sends an Empty INVITE to SS A or forwards an Empty INVITE (created by SIP EI A) to SS A. SS A forwards the Empty INVITE across the WAN to SS B (which is assigned to the LSC serving IP Party B). SS B forwards the Empty INVITE to the LSC serving IP Party B.</p> <p>When the LSC serving IP Party B sends an Empty INVITE or other call request message to the IP EI serving party B, then the EI MAY apprise Party B of the option of requesting a video call.</p> <p>NOTE: Signaling between the EI serving IP Party A and the LSC serving IP Party A is not depicted. In addition, signaling between the LSC serving IP Party B and the EI serving IP Party B is not depicted.</p> <p>ASAC Before sending 1, LSC A increments video count. Upon receiving 2, SS A increments telephony count. Upon receiving 3, LSC B increments video count.</p>
4, 5, 6	<p>Assuming the EI is not busy, the LSC serving IP Party B sends a 180 (Ringing) response to SS B. It forwards the 180 (Ringing) response to SS A, which forwards the 180 (Ringing) response to the LSC serving IP Party A.</p> <p>NOTE: Signaling between the EI serving IP Party B and the LSC serving IP Party B is not depicted. In addition, signaling between the LSC serving IP Party A and the EI serving IP Party A is not depicted.</p> <p>ASAC Upon receiving 4, SS B increments telephony count.</p>
7, 8, 9	<p>Party B affirmatively signals to its EI an intention to engage in a video telephone call.</p> <p>When IP Party B goes off-hook (i.e., answers the call), the LSC serving IP Party B sends a 200 (OK) response with an sdp offer of audio and video capabilities to SS B. SS B forwards the 200 (OK) response to SS A, which forwards the 200 (OK) response to the LSC serving IP Party A.</p> <p>NOTE: Signaling between the EI serving IP Party B and the LSC serving IP Party B is not depicted. In addition, signaling between the LSC serving IP Party A and the EI serving IP Party A is not depicted.</p> <p>ASAC Upon receiving 7, SS B increments video count.</p>

STEP	INSTRUCTION
10, 11, 12	<p>Since the caller had affirmatively signaled to the EI a desire to engage in a video telephone call and the initial offer from the remote side is a video telephone offer, then the EI will accept the video telephone offer.</p> <p>EI A notifies Party A that a video call has been established.</p> <p>The LSC serving IP Party A sends an ACK with an sdp answer accepting audio and video capabilities to SS A. It forwards the ACK to SS B, which forwards the ACK to the LSC serving IP Party B.</p> <p>EI B notifies Party B that a video call has been established.</p> <p>NOTE: Signaling between the EI serving IP Party A and the LSC serving IP Party A is not depicted. In addition, signaling between the LSC serving IP Party B and the EI serving IP Party B is not depicted.</p> <p>ASAC Upon receiving 10, SS A increments video count and decrements telephony count. Upon receiving 11, SS B decrements telephony count.</p>

Empty INVITE – Caller Requests Video; Called Party Offers Video (Media Feature Tags Used by Originating LSC and Terminating LSC) – AS-SIP EI Receives Empty INVITE

The AS-SIP EIs do NOT generate empty INVITES but they MUST accept empty INVITES.

[Figure 5.3.4.12-10](#) shows user A requesting a video call and shows LSC A sending an empty INVITE (including the audio and video media feature tags) to AS-SIP EI B served by LSC B.

LSC A increments the video call count by at least one VSU and sends out an empty INVITE (step 1). Upon receiving the empty INVITE, Softswitch A (which does not understand media feature tags) increments the telephony call count. When LSC B receives the empty INVITE (step 3), then LSC B (which understands media feature tags) increments the video count by at least one VSU.

AS-SIP EI B receives the empty INVITE (step 4) and responds with 180 ringing (step 5). When Softswitch B receives 180 ringing (step 6), then Softswitch B increments the telephony call count (as Softswitch B did not understand the media feature tags received in the original empty INVITE (step 2).

User B requests that AS-SIP EI B engage in a video call and when user B goes off-hook AS-SIP EI B sends a 200 OK (step 9) with an sdp offering audio and video capabilities. Upon receiving

200 OK (step 10) Softswitch B increments the video call count. Upon receiving 200 OK (step 11) Softswitch A increments the video call count.

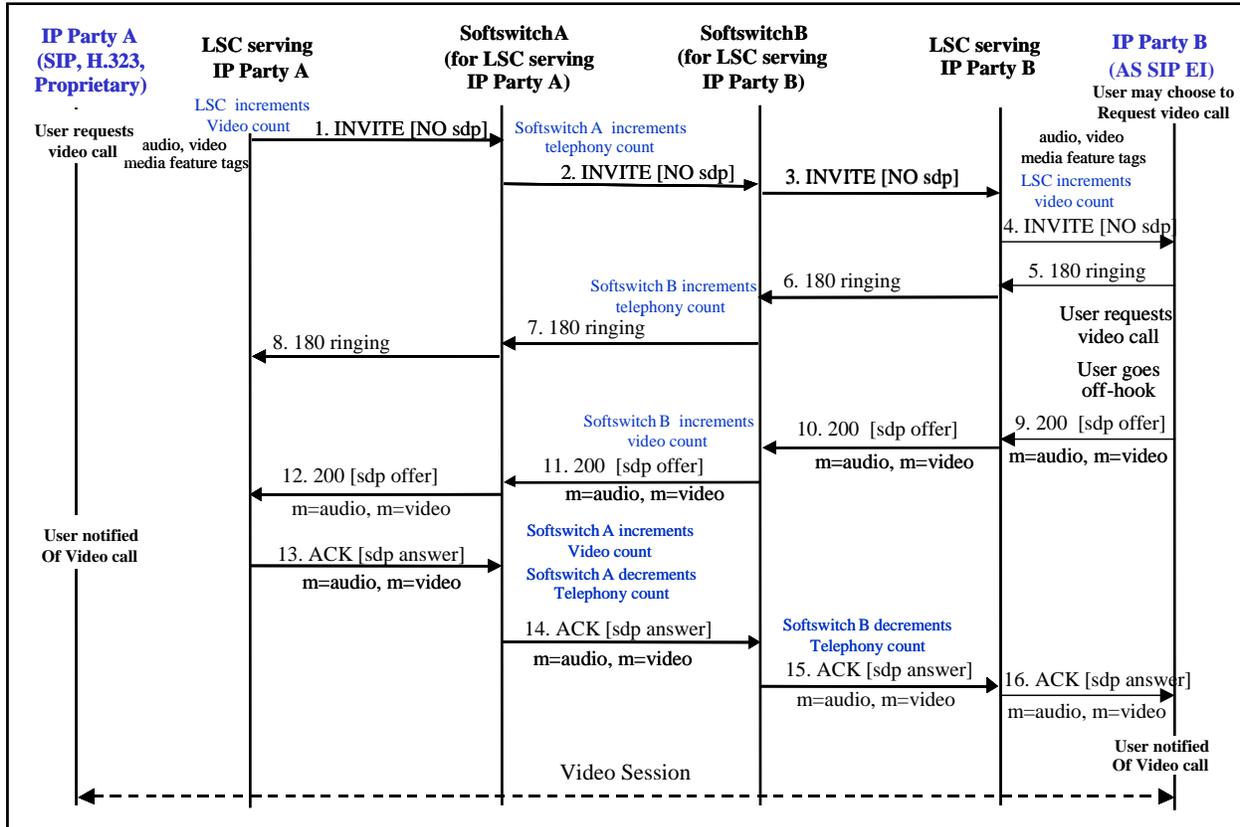


Figure 5.3.4.12-10. Empty INVITE – Caller Requests Video; Called Party Offers Video (Media Feature Tags Used by Originating LSC and Terminating LSC)

User A is notified that a video call is being established and LSC A sends an ACK (step 13) with an sdp answer that accepts the video call. Upon receiving the ACK (step 13) Softswitch A increments the video count and decrements the telephony count. Upon receiving the ACK (step 14) Softswitch B decrements the telephony count. When AS-SIP EI B receives the ACK with an sdp answer accepting video session (step 16) it notifies user B that the video call is established.

5.3.4.12.3.6 Empty INVITE – Caller Requests Video; Called Party Offers Audio – Calling Party Again Requests Video (Media Feature Tags Used by Originating LSC and Terminating LSC)

The AS-SIP message sequence in this call flow is identical to that of [Section 5.3.4.12.3.4](#), Empty INVITE – Caller Requests Video; Called Party Offers Audio; Caller Again Requests Video (Media Feature Tags NOT Used). The use of media feature tags by the LSCs alters the specific ASAC actions taken during the course of session establishment; however, the ASAC end state is the same as for [Section 5.3.4.12.3.4](#) (see [Figure 5.3.4.12-11](#), Empty INVITE – Caller Requests

Video; Called Party Offers Audio – Calling Party Again Requests Video (Media Feature Tags Used by Originating LSC and Terminating LSC)).

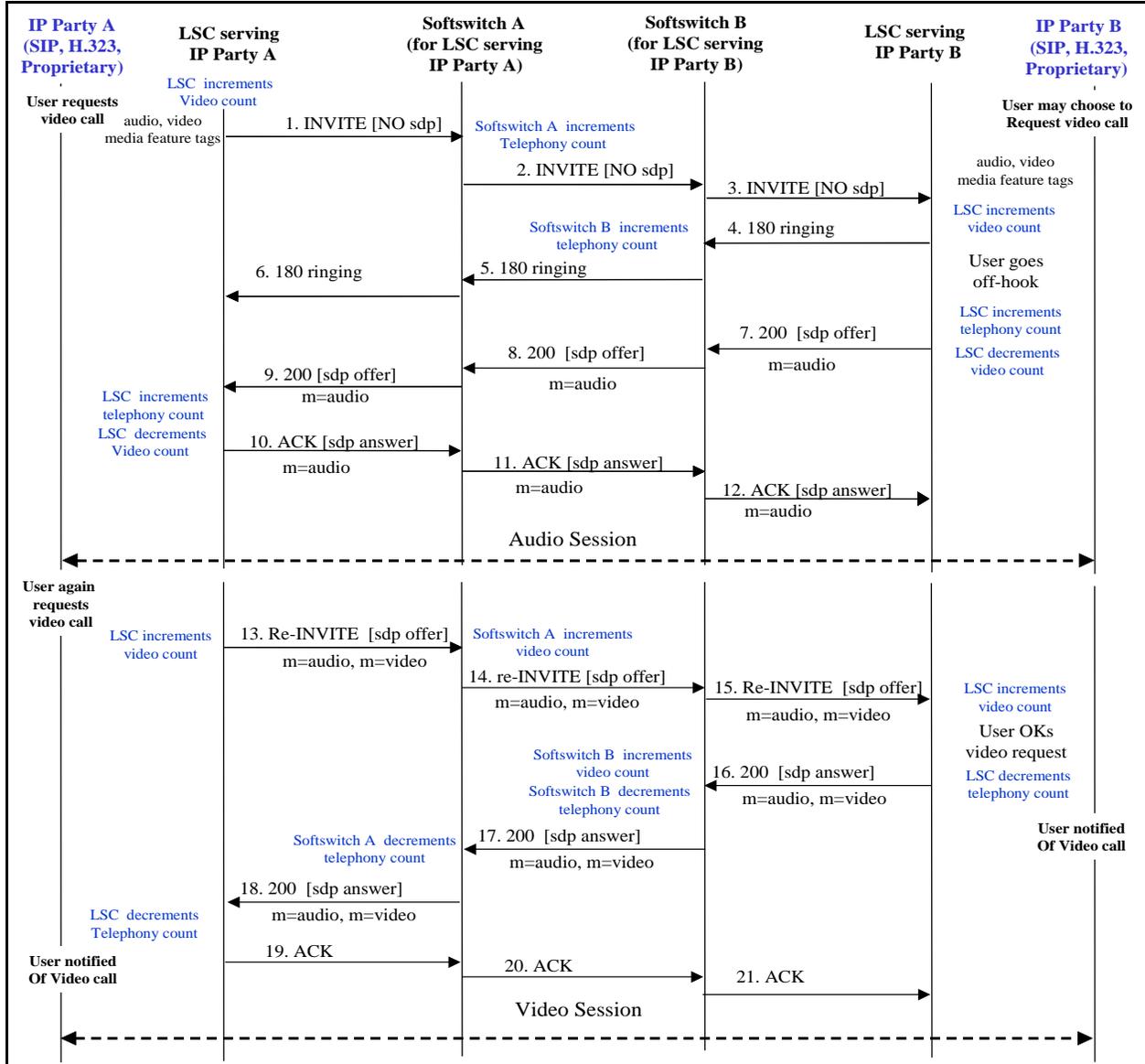


Figure 5.3.4.12-11. Empty INVITE – Caller Requests Video; Called Party Offers Audio – Calling Party Again Requests Video (Media Feature Tags Used by Originating LSC and Terminating LSC)

STEP	INSTRUCTION
1, 2, 3	<p>Party A affirmatively signals to its EI an intention to initiate a video telephony call.</p> <p>Upon receiving an initial call request message from IP Party A (not depicted in the call flow diagram), the LSC serving IP Party A either generates and sends an Empty INVITE to SS A or forwards an Empty INVITE (created by SIP EI A) to SS A. SS A forwards the Empty INVITE across the WAN to SS B (which is assigned to the LSC serving IP Party B). SS B forwards the Empty INVITE to the LSC serving IP Party B.</p> <p>When LSC serving IP Party B sends an Empty INVITE or other call request message to the IP EI serving Party B, then the EI MAY apprise Party B of the option of requesting a video call.</p> <p>NOTE: Signaling between the EI serving IP Party A and the LSC serving IP Party A is not depicted. In addition, signaling between the LSC serving IP Party B and the EI serving IP Party B is not depicted.</p> <p>ASAC</p> <p>Before sending 1, LSC A increments video count. Upon receiving 1, SS A increments telephony count. Upon receiving 3, LSC B increments video count.</p>
4, 5, 6	<p>Assuming the EI is not busy, the LSC serving IP Party B sends a 180 (Ringing) response to SS B. It forwards the 180 (Ringing) response to SS A, which forwards the 180 (Ringing) response to the LSC serving IP Party A.</p> <p>NOTE: Signaling between the EI serving IP Party B and the LSC serving IP Party B is not depicted. In addition, signaling between the LSC serving IP Party A and the EI serving IP Party A is not depicted.</p> <p>ASAC</p> <p>Upon receiving 4, SS B increments telephony count.</p>

STEP	INSTRUCTION
7, 8, 9	<p>Party B does NOT signal to its EI an intention to engage in a video telephone call.</p> <p>When IP Party B goes off-hook (i.e., answers the call), the LSC serving IP Party B sends a 200 (OK) response with an sdp offer of audio capabilities to SS B. SS B forwards the 200 (OK) response to SS A, which forwards the 200 (OK) response to the LSC serving IP Party A.</p> <p>NOTE: Signaling between the EI serving IP Party B and the LSC serving IP Party B is not depicted. In addition, signaling between the LSC serving IP Party A and the EI serving IP Party A is not depicted.</p> <p>ASAC</p> <p>Before sending 7, LSC B increments telephony count and decrements video count. Upon receiving 9, LSC A increments telephony count.</p>
10, 11, 12	<p>Party A's EI accepts the audio telephone offer.</p> <p>The LSC serving IP Party A sends an ACK with an sdp answer accepting audio capability to SS A. It forwards the ACK to SS B, which forwards the ACK to the LSC serving IP Party B.</p> <p>NOTE: Signaling between the EI serving IP Party A and the LSC serving IP Party A is not depicted. In addition, signaling between the LSC serving IP Party B and the EI serving IP Party B is not depicted.</p> <p>ASAC</p> <p>Before sending 10, LSC A decrements video count.</p>

STEP	INSTRUCTION
13, 14, 15	<p>Party A affirmatively signals to its EI an intention to add video to the telephony call.</p> <p>Upon receiving a call request/change message from IP Party A (not depicted in the call flow diagram), the LSC serving IP Party A either generates and sends a re-INVITE with an sdp offering audio and video capabilities to SS A or forwards a re-INVITE with an sdp offering audio and video capabilities (created by SIP EI A) to SS A. SS A forwards the re-INVITE across the WAN to SS B (which is assigned to the LSC serving IP Party B). SS B forwards the INVITE to the LSC serving IP Party B.</p> <p>When the LSC serving IP Party B sends the re-INVITE or equivalent call request message to the EI serving IP Party B, then Party B is notified by the EI that a video offer is being made, and Party B has the opportunity to affirmatively signal to the EI a desire to turn the existing call into a video telephony call.</p> <p>NOTE: Signaling between the EI serving IP Party A and the LSC serving IP Party A is not depicted. In addition, signaling between the LSC serving IP Party B and the EI serving IP Party B is not depicted.</p> <p>ASAC</p> <p>Before sending 13, LSC A increments video count. Upon receiving 13, SSA increments video count. Upon receiving 15, LSC B increments video count.</p>
16, 17, 18	<p>Party B affirmatively signals its EI that Party B wishes to convert the call to a video telephony call.</p> <p>The LSC serving IP Party B either generates and sends a 200 (OK) response with an sdp answer accepting audio and video capabilities to SS B or forwards a 200 (OK) response with an sdp answer accepting audio and video capabilities (created by SIP EI B) to SS B. SS B forwards the 200 (OK) response across the WAN to SS A. SS A forwards the INVITE to the LSC serving IP Party B.</p> <p>Party B's EI notifies Party B that video has been added to the call.</p> <p>NOTE: Signaling between the EI serving IP Party B and the LSC serving IP Party B is not depicted. In addition, signaling between the LSC serving IP Party A and the EI serving IP Party A is not depicted.</p> <p>ASAC</p> <p>Before sending 16, LSC B decrements telephony count. Upon receiving 16, SS B increments video count and decrements telephony count. Upon receiving 17, SS A decrements telephony count. Upon receiving 18, LSC A decrements telephony count.</p>

STEP	INSTRUCTION
19, 20, 21	<p>Party A’s EI notifies Party A that video has been added to the call.</p> <p>The LSC serving IP Party A sends an ACK to SS A. It forwards the ACK to SS B, which forwards the ACK to the LSC serving IP Party B.</p> <p>NOTE: Signaling between the EI serving IP Party A and the LSC serving IP Party AB is not depicted. In addition, signaling between the LSC serving IP Party B and the EI serving IP Party B is not depicted.</p>

5.3.4.12.4 Point-to-Point IP-to-IP Video Conference Unit Call Flows

5.3.4.12.4.1 VCU INVITE with sdp Video Offer

The originating LSC sends an INVITE that includes an sdp offer of audio and video capabilities on behalf of VCU A. The terminating LSC responds with a 200 sdp answer accepting audio and video capabilities on behalf of VCU B (see [Figure 5.3.4.12-12](#)).

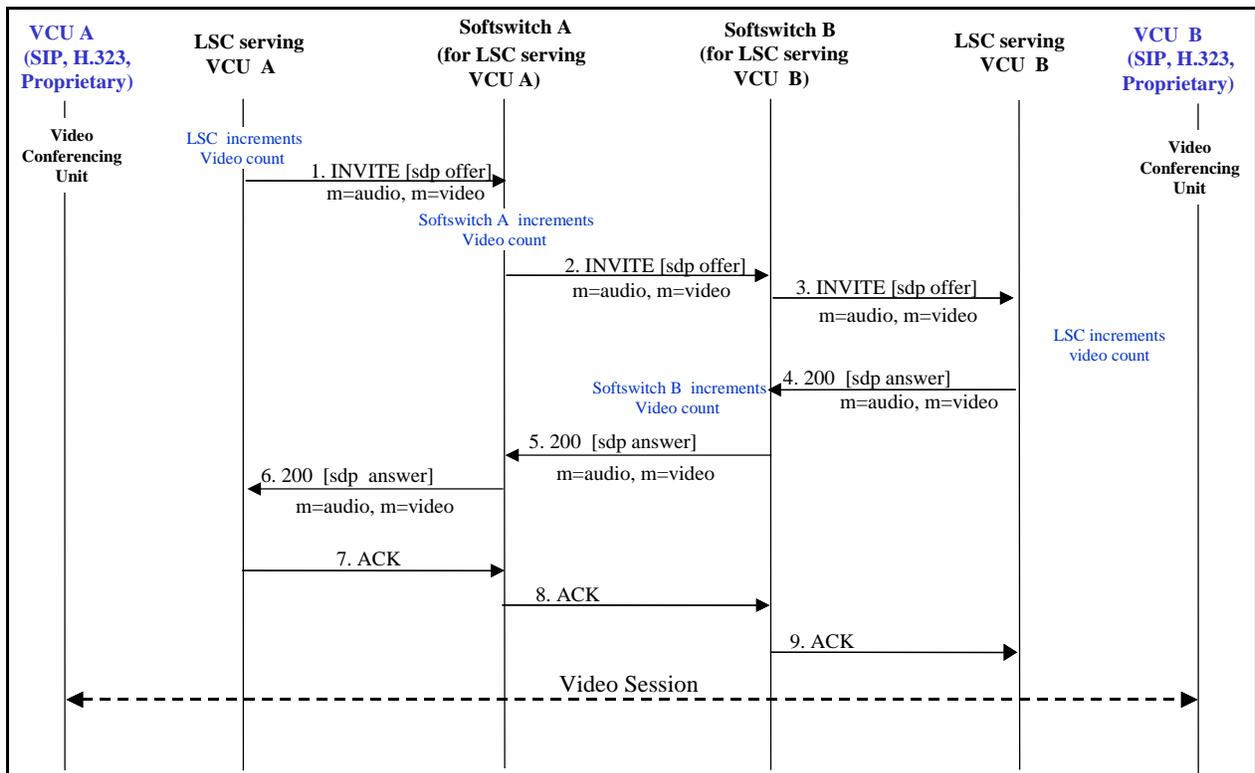


Figure 5.3.4.12-12. VCU INVITE with sdp Video Offer

STEP	INSTRUCTION
1, 2, 3	<p>Upon receiving an initial call request message from VCU A (not depicted in the call flow diagram), the LSC serving VCU A either generates and sends an INVITE with an sdp offer for audio and video capabilities to SS A or forwards an INVITE with an sdp offer for audio and video capabilities (created by VCU A) to SS A. SS A forwards the INVITE across the WAN to SS B (which is assigned to the LSC serving VCU B). SS B forwards the INVITE to the LSC serving VCU B.</p> <p>NOTE: Signaling between VCU A and the LSC serving VCU A is not depicted. In addition, signaling between the LSC serving VCU B and VCU B is not depicted.</p> <p>ASAC Before sending 1, LSC A increments video count. Upon receiving 2, SS A increments video count. Upon receiving 3, LSC B increments video count.</p>
4, 5, 6	<p>When VCU B answers the call, the LSC serving VCU B sends a 200 (OK) response with an sdp answer accepting audio and video capabilities to SS B. SS B forwards the 200 (OK) response to SS A, which forwards the 200 (OK) response to the LSC serving VCU A.</p> <p>NOTE: Signaling between VCU B and the LSC serving VCU B is not depicted. In addition, signaling between the LSC serving VCU A and VCU A is not depicted.</p> <p>ASAC Upon receiving 4, SS B increments video count.</p>
7, 8, 9	<p>The LSC serving VCU A sends an ACK to SS A. It forwards the ACK to SS B, which forwards the ACK to the LSC serving VCU B.</p> <p>NOTE: Signaling between VCU A and the LSC serving VCU A is not depicted. In addition, signaling between the LSC serving VCU B and VCU B is not depicted.</p>

VCU INVITE with sdp Video Offer (AS-SIP VCU EI)

[Figure 5.3.4.12-13](#), VCU INVITE with sdp Video Offer (AS-SIP VCU EI), shows AS-SIP VCU EI A sending an INVITE with an sdp video offer (step 1). AS-SIP VCU EI B receives the INVITE (step 5) and responds with a 200 (OK) response with an sdp answer accepting the video call offer (step 6). AS-SIP VCU EI A receives the 200 (OK) response (step 10) and sends an ACK (step 11). AS-SIP VCU EI B receives the ACK (step 15).

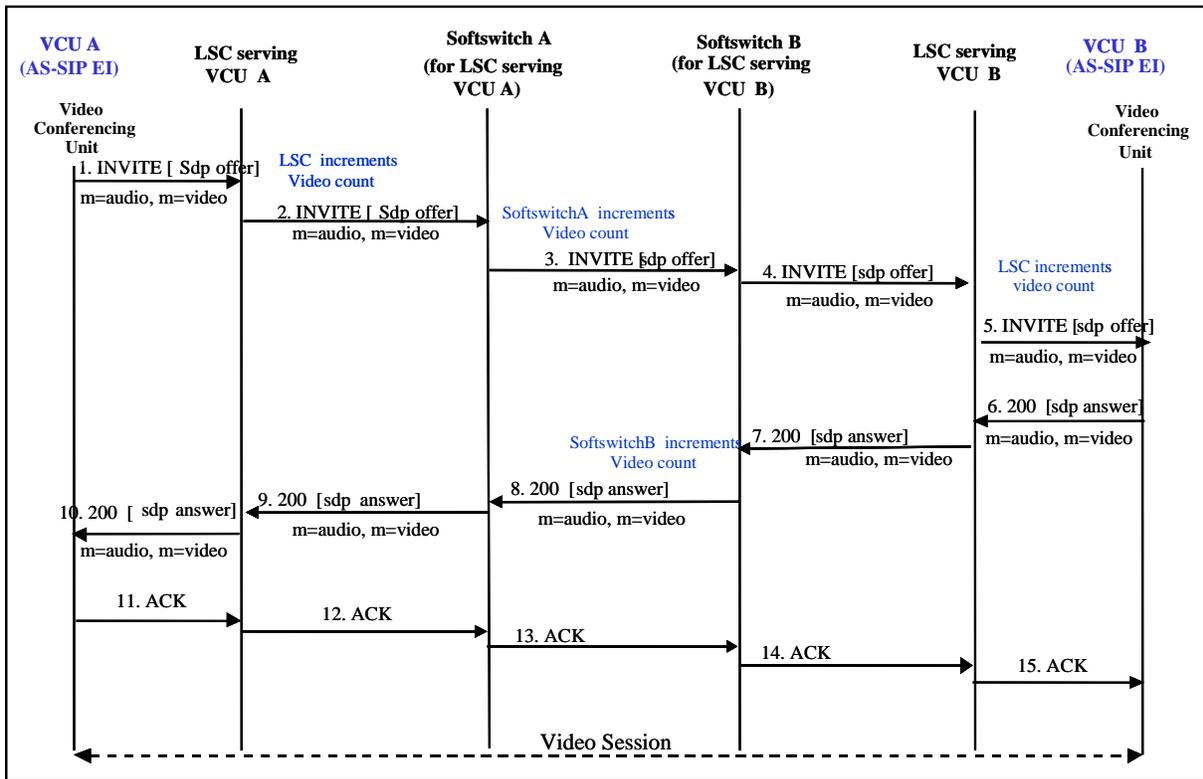


Figure 5.3.4.12-13. VCU INVITE with sdp Video Offer (AS-SIP VCU EI)

5.3.4.12.4.2 VCU Empty INVITE (Media Feature Tags NOT Used)

The originating LSC sends an Empty INVITE. The terminating LSC responds with a 200 sdp offer of audio and video capabilities on behalf of VCU B. VCU A accepts audio and video capabilities and the originating LSC responds with an ACK request that includes an sdp answer accepting the audio and video capabilities (see [Figure 5.3.4.12-14](#), VCU Empty INVITE (Media Feature Tags NOT Used)).

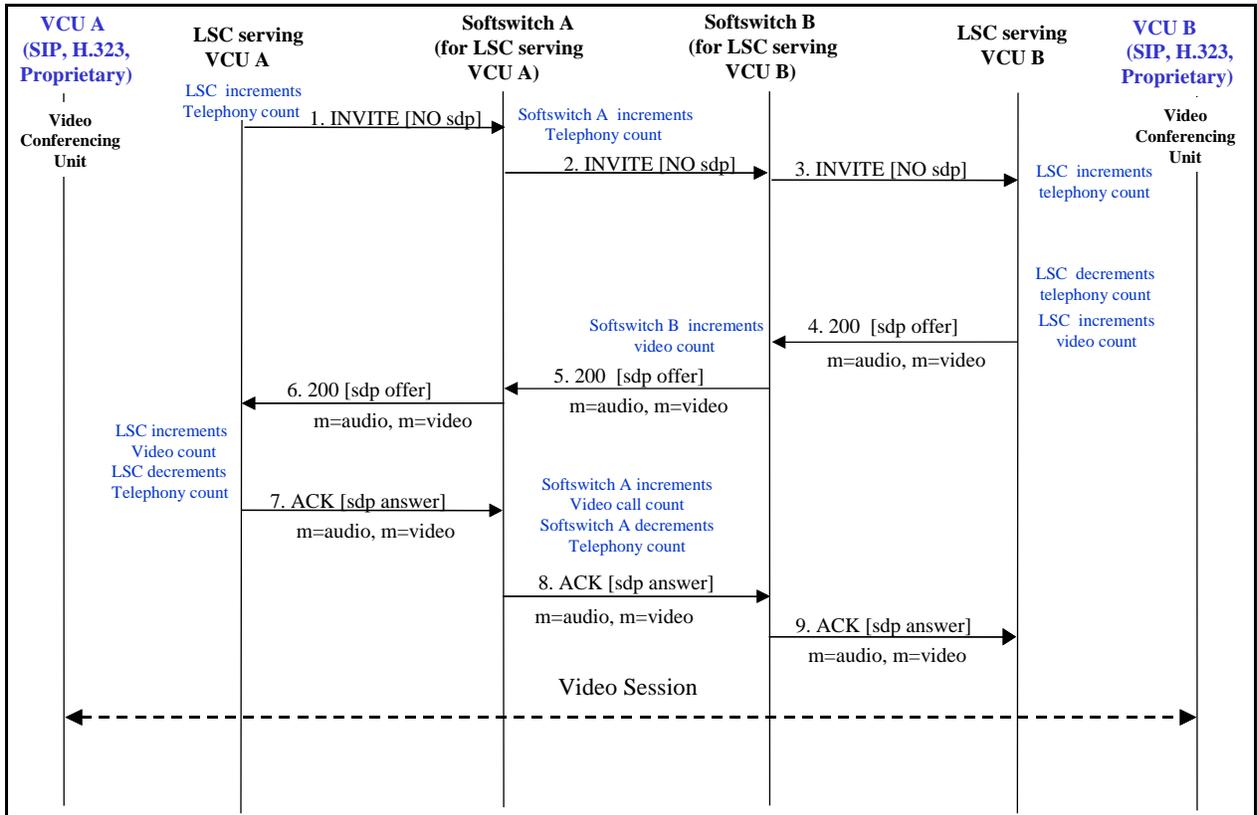


Figure 5.3.4.12-14. VCU Empty INVITE (Media Feature Tags NOT Used)

STEP	INSTRUCTION
1, 2, 3	<p>Upon receiving an initial call request message from VCU A (not depicted in the call flow diagram), the LSC serving VCU A either generates and sends an Empty INVITE to SS A or forwards an Empty INVITE (created by VCU A) to SS A. SS A forwards the Empty INVITE across the WAN to SS B (which is assigned to the LSC serving VCU B). SS B forwards the Empty INVITE to the LSC serving VCU B.</p> <p>NOTE: Signaling between VCU A and the LSC serving VCU A is not depicted. In addition, signaling between the LSC serving VCU B and VCU B is not depicted.</p> <p>ASAC Before sending 1, LSC A increments telephony count. Upon receiving 2, SS A increments telephony count. Upon receiving 3, LSC B increments telephony count.</p>

STEP	INSTRUCTION
4, 5, 6	<p>VCU B answers the call and the LSC serving VCU B sends a 200 (OK) response with an sdp offer for audio and video capabilities to SS B. SS B forwards the 200 (OK) response to SS A, which forwards the 200 (OK) response to the LSC serving VCU A.</p> <p>NOTE: Signaling between VCU B and the LSC serving VCU B is not depicted. In addition, signaling between the LSC serving VCU A and VCU A is not depicted.</p> <p>ASAC Before sending 4, LSC B decrements telephony count and increments video count. Upon receiving 4, SS B increments video count. Upon receiving 6, LSC A increments video count.</p>
7, 8, 9	<p>VCU A accepts the video offer and the LSC serving VCU A sends an ACK with an sdp answer accepting audio and video capabilities to SS A. It forwards the ACK to SS B, which forwards the ACK to the LSC serving VCU B.</p> <p>NOTE: Signaling between VCU A and the LSC serving VCU A is not depicted. In addition, signaling between the LSC serving VCU B and VCU B is not depicted.</p> <p>ASAC Before sending 7, LSC A decrements telephony count. Upon receiving 7, SS A increments video count and decrements telephony count.</p>

VCU Empty INVITE (Media Feature Tags NOT Used) – AS-SIP VCU EIs

The AS-SIP VCU EIs do NOT generate Empty INVITEs but they MUST accept Empty INVITEs.

[Figure 5.3.4.12-15](#), VCU Empty INVITE (Media Feature Tags NOT Used) – AS-SIP EI, shows that AS-SIP VCU EI B receives the Empty INVITE (step 4) and responds with 200 (OK) response with an sdp offer for a video session (step 5). AS-SIP VCU EI B receives an ACK with an sdp answer accepting the video session (step 12).

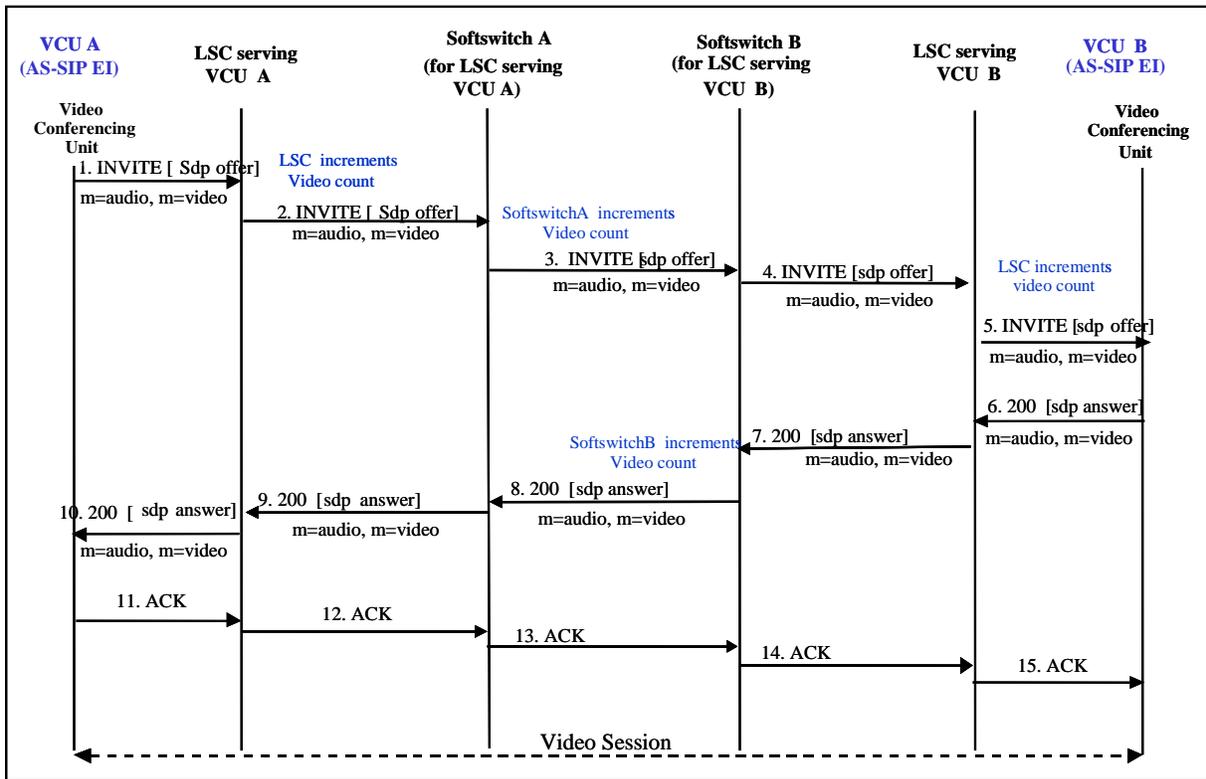


Figure 5.3.4.12-15. VCU Empty INVITE (Media Feature Tags NOT Used) – AS-SIP EI

5.3.4.12.4.3 VCU Empty INVITE (Media Feature Tags Used by Originating LSC and Terminating LSC)

The AS-SIP message sequence in this call flow is identical to that of [Section 5.3.4.12.4.2](#), VCU Empty INVITE (Media Feature Tags NOT Used). The use of media feature tags by the LSCs alters the specific ASAC actions taken during the course of session establishment; however, the ASAC end state is the same as for [Section 5.3.4.12.4.2](#) (see [Figure 5.3.4.12-16](#), VCU Empty INVITE (Media Feature Tags Used by Originating LSC and Terminating LSC)).

NOTE: The AS-SIP VCU EIs are not required to be capable of generating media feature tags or to be capable of receiving/processing media feature tags; however, an AS-SIP VCU EI that receives but does not understand media feature tags MUST ignore them and MUST NOT reject the call solely because of the presence of a media feature tag in the Contact header.

Section 5.3.4 – AS-SIP Requirements

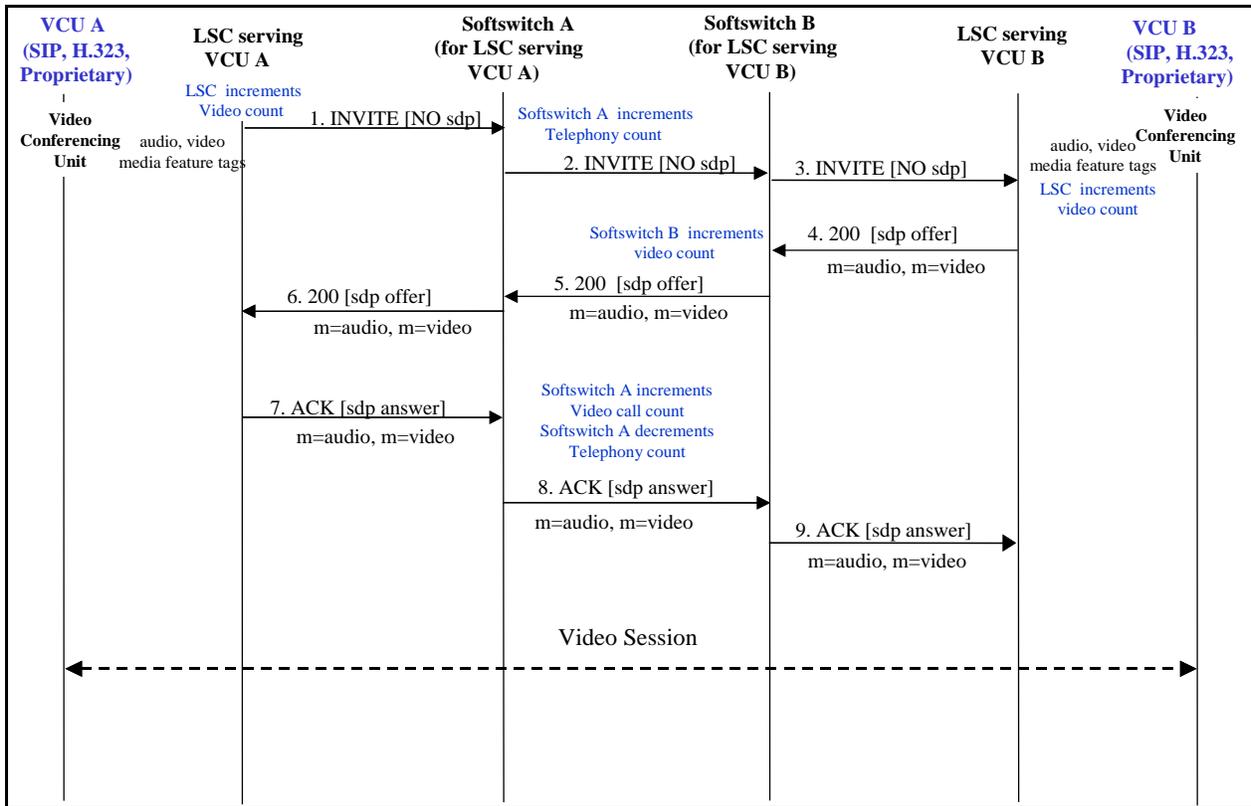


Figure 5.3.4.12-16. VCU Empty INVITE (Media Feature Tags Used by Originating LSC and Terminating LSC)

STEP	INSTRUCTION
1, 2, 3	<p>Upon receiving an initial call request message from VCU A (not depicted in the call flow diagram), the LSC serving VCU A either generates and sends an Empty INVITE to SS A or forwards an Empty INVITE (created by VCU A) to SS A. SS A forwards the Empty INVITE across the WAN to SS B (which is assigned to the LSC serving VCU B). SS B forwards the Empty INVITE to the LSC serving VCU B.</p> <p>NOTE: Signaling between VCU A and the LSC serving VCU A is not depicted. In addition, signaling between the LSC serving VCU B and VCU B is not depicted.</p> <p>ASAC Before sending 1, LSC A increments video count. Upon receiving 2, SS A increments telephony count. Upon receiving 3, LSC B increments video count.</p>

STEP	INSTRUCTION
4, 5, 6	<p>VCU B answers the call and the LSC serving VCU B sends a 200 (OK) response with an sdp offer for audio and video capabilities to SS B. SS B forwards the 200 (OK) response to SS A, which forwards the 200 (OK) response to the LSC serving VCU A.</p> <p>NOTE: Signaling between VCU B and the LSC serving VCU B is not depicted. In addition, signaling between the LSC serving VCU A and VCU A is not depicted.</p> <p>ASAC Upon receiving 4, SS B increments video count.</p>
7, 8, 9	<p>VCU A accepts the video offer and the LSC serving VCU A sends an ACK with an sdp answer accepting audio and video capabilities to SS A. It forwards the ACK to SS B, which forwards the ACK to the LSC serving VCU B.</p> <p>NOTE: Signaling between VCU A and the LSC serving VCU A is not depicted. In addition, signaling between the LSC serving VCU B and VCU B is not depicted.</p> <p>ASAC Upon receiving 7, SS A increments video count and decrements telephony count.</p>

VCU Empty INVITE (Media Feature Tags Used) – AS-SIP VCU EIs

The AS-SIP VCU EIs do NOT generate Empty INVITES but they MUST accept Empty INVITES.

[Figure 5.3.4.12-17](#), VCU Empty INVITE (Media Feature Tags Used) – AS-SIP EI, shows that AS-SIP VCU EI B receives the Empty INVITE (step 4) and responds with 200 (OK) response with an sdp offer for a video session (step 5). AS-SIP VCU EI B receives an ACK with an sdp answer accepting the video session (step 12).

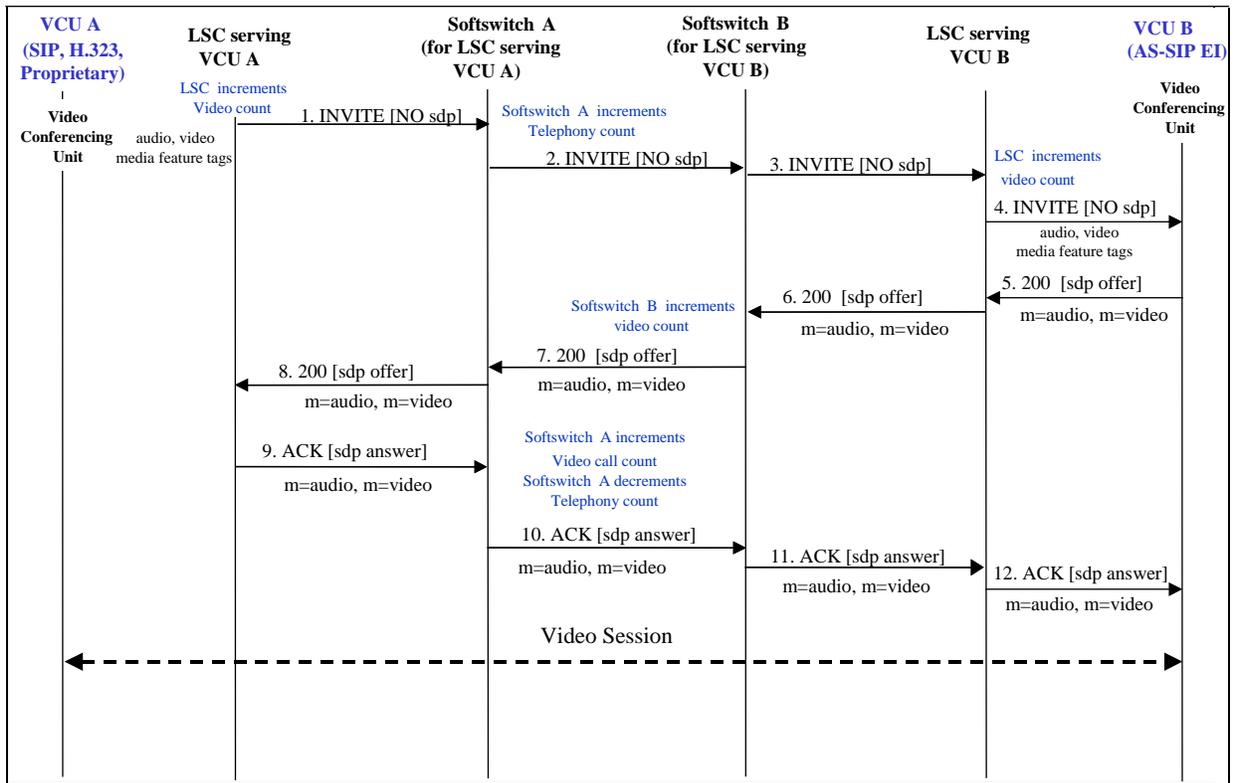


Figure 5.3.4.12-17. VCU Empty INVITE (Media Feature Tags Used) – AS-SIP EI

5.3.4.13 Calling Services

5.3.4.13.1 Introduction

Many calling features are traditional to the TDM network and the PSTN. Within the PSTN, some calling features are required by law and regulated by the Federal Communications Commission (FCC). This specification is used within the context of a private network and thus is not necessarily subject to the same laws and regulations.

The initial focus of this specification has been on the signaling-related functions and interfaces supported by the signaling appliances belonging to the UC WAN or interfacing with the UC WAN. Before Change 1, this document had placed no restrictions on the line-side signaling method used between LSCs and the IP EIs served by those LSCs. The only requirements on the line side have been of a functional nature relating to the user experience (e.g., the sequence of events experienced by a user of an IP EI when a call is to be preempted).

In Change 1, this UCR has defined an AS-SIP EI that communicates with the LSC using the AS-SIP. The call flow diagrams for the various supplementary services that previously detailed the signaling flow across the UC WAN between LSCs serving SIP, H.323, and other vendor-

proprietary EIs have now been supplemented with additional diagrams depicting and detailing the AS-SIP message flow between AS-SIP EIs and LSCs.

The call flow diagrams assume the two-tier hierarchical architecture where for incoming or outgoing calls to be established across the UC WAN, the LSC at a B/P/C/S exchanges its AS-SIP messages with a network-controlled SS.

Call features 1, 2 3, 4, and 5 are required for the near-term open loop architecture. The near-term open loop architecture also requires AS-SIP to support the fax and modem services.

5.3.4.13.2 Point-to-Point Call

Signaling requirements for point-to-point calls are described for the following three call conditions:

- No reliable provisional response, no preconditions
- Segmented precondition
- End-to-end precondition

5.3.4.13.2.1 IP-to-IP Call Type

5.3.4.13.2.1.1 [Figure 5.3.4.13-1](#), Successful Basic IP-to-IP Call (No Reliable Provisional Responses, No Preconditions), depicts the sequence of AS-SIP messages between the AS-SIP signaling appliance serving IP Party A and the AS-SIP signaling appliance serving IP Party B used to establish, and then tear down a telephony session between the parties. The call flow diagram does not depict reliable provisional responses or the use of preconditions.

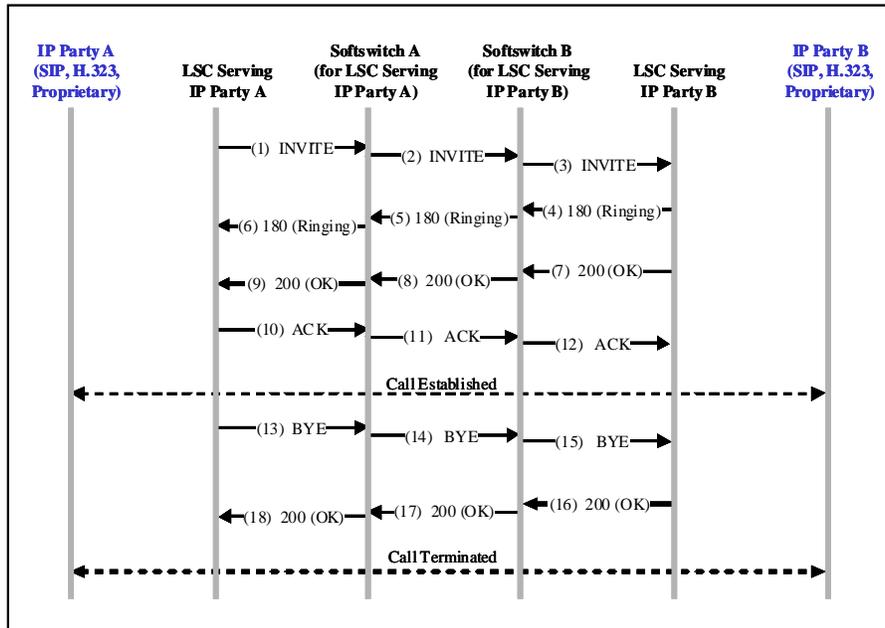


Figure 5.3.4.13-1. Successful Basic IP-to-IP Call (No Reliable Provisional Responses, No Preconditions)

Call Establishment

STEP	INSTRUCTION
1, 2, 3	<p>Upon receiving an initial call request message from IP Party A (not depicted in the call flow diagram), the LSC serving IP Party A sends an INVITE to its assigned SS (SS A). SS A forwards the INVITE across the WAN to the SS B, which is assigned to the LSC serving IP Party B. SS B forwards the INVITE to the LSC serving IP Party B.</p> <p>NOTE: Signaling between the EI serving IP Party A and the LSC serving IP Party A is not depicted. In addition, signaling between the LSC serving IP Party B and the EI serving IP Party B is not depicted.</p>
4, 5, 6	<p>Assuming the EI is not busy, the LSC serving IP Party B sends a 180 (Ringing) response to SS B. It forwards the 180 (Ringing) response to SS A, which forwards the 180 (Ringing) response to the LSC serving IP Party A.</p> <p>NOTE: Signaling between the EI serving IP Party B and the LSC serving IP Party B is not depicted. In addition, signaling between the LSC serving IP Party A and the EI serving IP Party A is not depicted.</p>

STEP	INSTRUCTION
7, 8, 9	<p>When IP Party B goes off-hook (i.e., answers the call), the LSC serving IP Party B sends a 200 (OK) response to SS B. It forwards the 200 (OK) response to SS A, which forwards the 200 (OK) response to the LSC serving IP Party A.</p> <p>NOTE: Signaling between the EI serving IP Party B and the LSC serving IP Party B is not depicted. In addition, signaling between the LSC serving IP Party A and the EI serving IP Party A is not depicted.</p>
10, 11, 12	<p>The LSC serving IP Party A sends an ACK to SS A. It forwards the ACK to SS B, which forwards the ACK to the LSC serving IP Party B.</p> <p>NOTE: Signaling between the EI serving IP Party A and the LSC serving IP Party A is not depicted. In addition, signaling between the LSC serving IP Party B and the EI serving IP Party B is not depicted.</p>

Call Release

STEP	INSTRUCTION
13, 14, 15	<p>The LSC serving IP Party A sends a BYE request to SS A. It forwards the BYE request to SS B, which forwards the BYE request to the LSC serving IP Party B.</p> <p>NOTE: Signaling between the EI serving IP Party A and the LSC serving IP Party A that initiated the call release is not depicted. In addition, signaling between the LSC serving IP Party B and the EI serving IP Party B is not depicted.</p>
16, 17, 18	<p>The LSC serving IP Party B sends a 200 (OK) response to SS B. It forwards the 200 (OK) response to SS A, which forwards the 200 (OK) response to the LSC serving IP Party A.</p> <p>NOTE: Signaling between the EI serving IP Party B and the LSC serving IP Party B is not depicted. In addition, signaling between the LSC serving IP Party A and the EI serving IP Party A is not depicted.</p>

Successful Basic IP-to-IP Call (AS-SIP EI)

[Figure 5.3.4.13-2](#), Successful Basic IP-to-IP Call (No Reliable Provisional Responses, No Preconditions) (AS-SIP EIs), shows that AS-SIP EI A sends an INVITE with an sdp offer for a telephony call (step 1). AS-SIP EI B receives the INVITE (step 5) and responds with a 180 (Ringing) response (step 6). AS-SIP EI A receives the 180 (Ringing) response (step 10). The user at AS-SIP EI B goes off-hook and EI B sends a 200 (OK) response with an sdp answer accepting the telephony call. AS-SIP EI A receives the 200 (OK) response (step 15). AS-SIP EI A sends an ACK (step 16) and AS-SIP EI B receives the ACK (step 20). The call is now established.

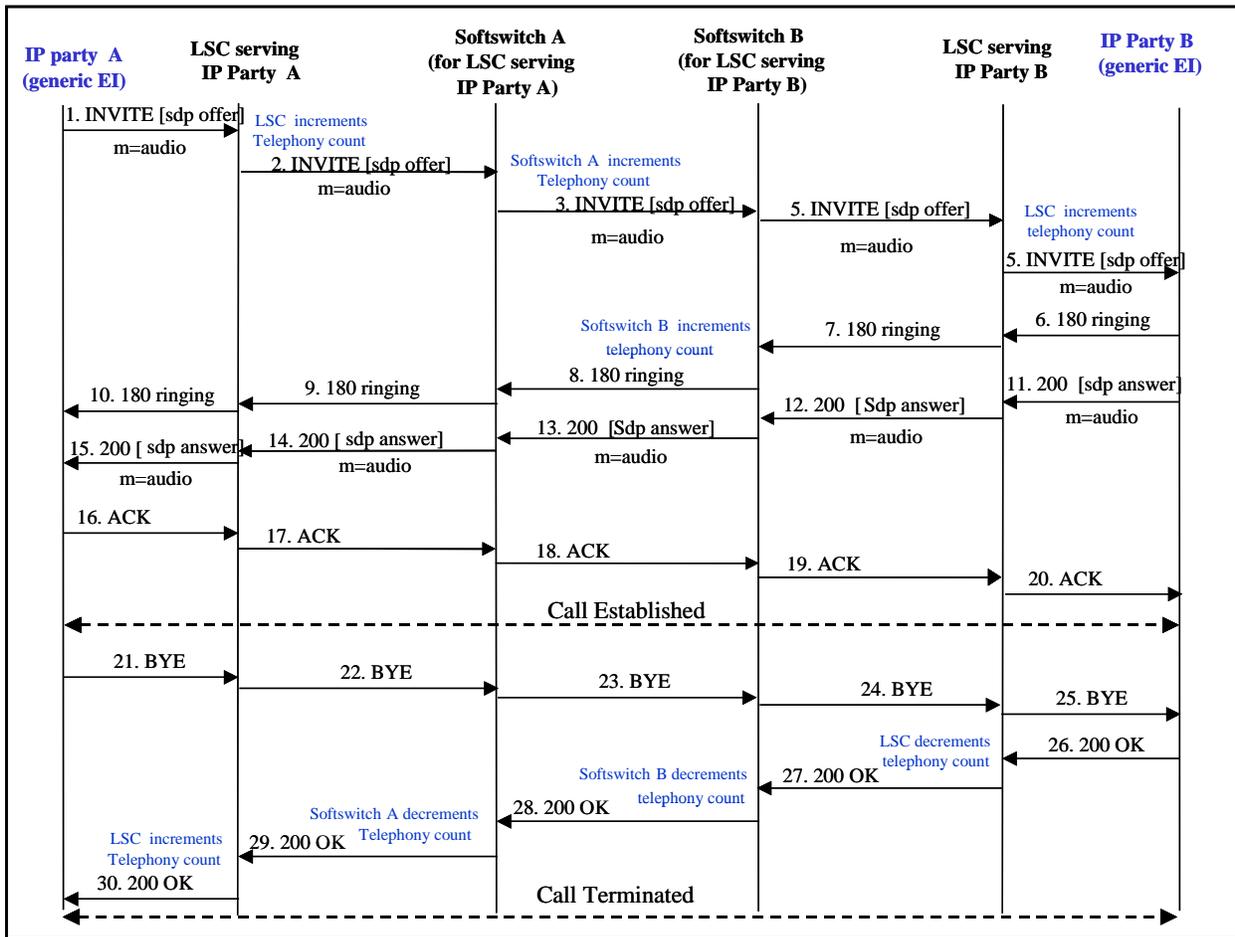


Figure 5.3.4.13-2. Successful Basic IP-to-IP Call (No Reliable Provisional Responses, No Preconditions) (AS-SIP EIs)

To terminate the call AS-SIP EI A sends a BYE request (step 21), which is received by AS-SIP EI B (step 25). AS-SIP EI B responds with 200 (OK) response (step 26) that is received at AS-SIP EI A (step 30). When the 200 (OK) response reaches each AS-SIP signaling appliance, the AS-SIP signaling appliance reduces the telephony count by one.

5.3.4.13.2.1.2 Removed.

5.3.4.13.2.1.3 [Figure 5.3.4.13-3](#), Successful Basic IP-to-IP Call (End-to-End Precondition), depicts the sequence of AS-SIP messages between the AS-SIP signaling appliance serving IP Party A and the AS-SIP signaling appliance serving IP Party B for establishing a telephony session, using a status-type end-to-end precondition.

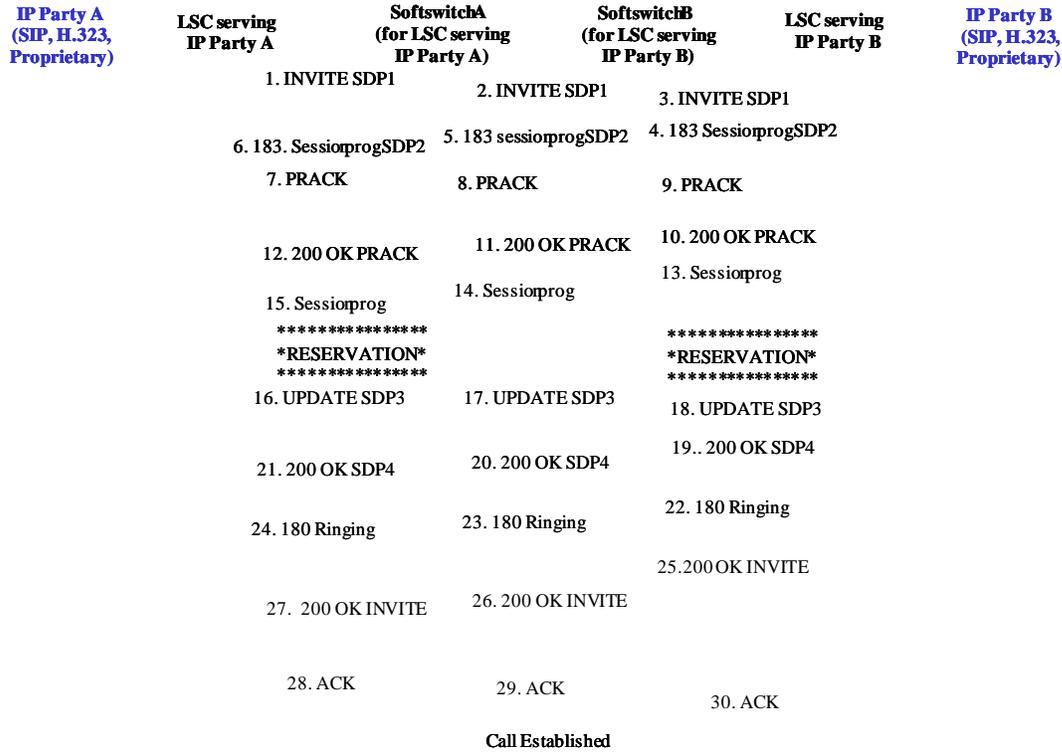


Figure 5.3.4.13-3. Successful Basic IP-to-IP Call (End-to-End Precondition)

Call Establishment

STEP	INSTRUCTION
1, 2, 3	Upon receiving an initial call request message from IP Party A (not depicted in the call flow diagram), the LSC serving IP Party A sends an INVITE to its assigned SS (SS A). The INVITE contains an E2E precondition offer using SDP attributes described in RFC 3312. The precondition offer includes, at a minimum, a media (“m=”) line, a connection (“c=”) line, at least one current-status line, and at least one desired-status line. SS A forwards the INVITE to SS B that is assigned to the LSC serving IP Party B. SS B forwards the INVITE to the LSC serving IP Party B.

STEP	INSTRUCTION
4, 5, 6	The LSC serving IP Party B sends a 183 (Session Progress) response to SS B with an answer to the E2E precondition offer that includes, at a minimum, a media (“m=”) line, a connection (“c=”) line, at least one current-status line, at least one desired-status line, and at least one confirm-status line. SS B forwards the 183 (Session Progress) response to SS A, which forwards the 183 (Session Progress) response to the LSC serving IP Party A. The LSC serving IP Party A and IP Party B attempt to reserve the resources needed to fulfill the preconditions.
7, 8, 9	The LSC serving IP Party A sends a PRACK to SS A. It forwards the PRACK to SS B, which forwards the PRACK to the LSC serving IP Party B.
10, 11, 12	The LSC serving IP Party B sends a 200 (OK) PRACK to SS B. It forwards the 200 (OK) PRACK to SS A, which forwards the 200 (OK) PRACK to the LSC serving IP Party A.
13, 14, 15	The LSC serving IP party B sends a 183 (Session Progress) response with no sdp immediately after sending the 200 OK PRACK to notify the originating AS-SIP signaling appliance (i.e., LSC serving IP party A) that there is no early media and ringback must be generated locally.
16, 17, 18	When the LSC serving IP Party A receives confirmation that it has met its precondition (e.g., receives an RSVP RESV message), the LSC serving IP Party A sends an UPDATE to SS A with an updated offer reflecting compliance with its part of the precondition. The updated precondition offer includes, at a minimum, a media (“m=”) line, a connection (“c=”) line, at least one current-status line, and at least one desired-status line. SS A forwards the UPDATE to SS B, which forwards the UPDATE to the LSC serving IP Party B.
19, 20, 21	The LSC serving IP Party B receives confirmation that it has met its precondition (e.g., receives RSVP RESV message) and sends a 200 (OK) UPDATE to SS B with an updated precondition answer reflecting compliance with its part of the precondition as well as the most recent updated information from the UPDATE offer. The updated answer includes, at a minimum, a media (“m=”) line, a connection (“c=”) line, at least one current-status line, and at least one desired-status line. SS B forwards the 200 (OK) UPDATE to SS A, which forwards the 200 (OK) UPDATE to the LSC serving IP Party A.
22, 23, 24	<p>The preconditions have been met so the LSC serving IP Party B notifies the IP EI of a pending call and sends a 180 (Ringing) response to SS B. It forwards the 180 (Ringing) response to SS A, which forwards the 180 (Ringing) response to the LSC serving IP Party A.</p> <p>NOTE: Signaling between the LSC serving IP Party B and the IP EI serving IP Party B is not depicted. In addition, signaling between the LSC serving IP Party A and the EI serving Party A is not depicted.</p>

STEP	INSTRUCTION
25, 26, 27	<p>The LSC serving IP Party B sends a 200 (OK) INVITE to SS B. It forwards the 200 (OK) INVITE to SS A, which forwards the 200 (OK) INVITE to the LSC serving IP Party A.</p> <p>NOTE: Signaling between the IP EI serving IP Party B and the LSC serving IP Party B is not depicted. In addition, signaling between the LSC serving IP Party A and the EI serving IP Party A is not depicted.</p>
28, 29, 30	<p>The LSC serving IP Party A sends an ACK to SS A. It sends the ACK to SS B, which forwards the ACK to the LSC serving IP Party B.</p> <p>NOTE: Signaling between the IP EI serving IP Party A and the LSC serving IP Party A is not depicted. In addition, signaling between the LSC serving IP Party B and the EI serving IP Party B is not depicted.</p>

5.3.4.13.2.1.4 [Figure 5.3.4.13-4](#) depicts the sequence of AS-SIP messages between the AS-SIP signaling appliance serving IP Party A and the AS-SIP signaling appliance serving IP Party B for establishing a telephony session, using a segmented precondition.

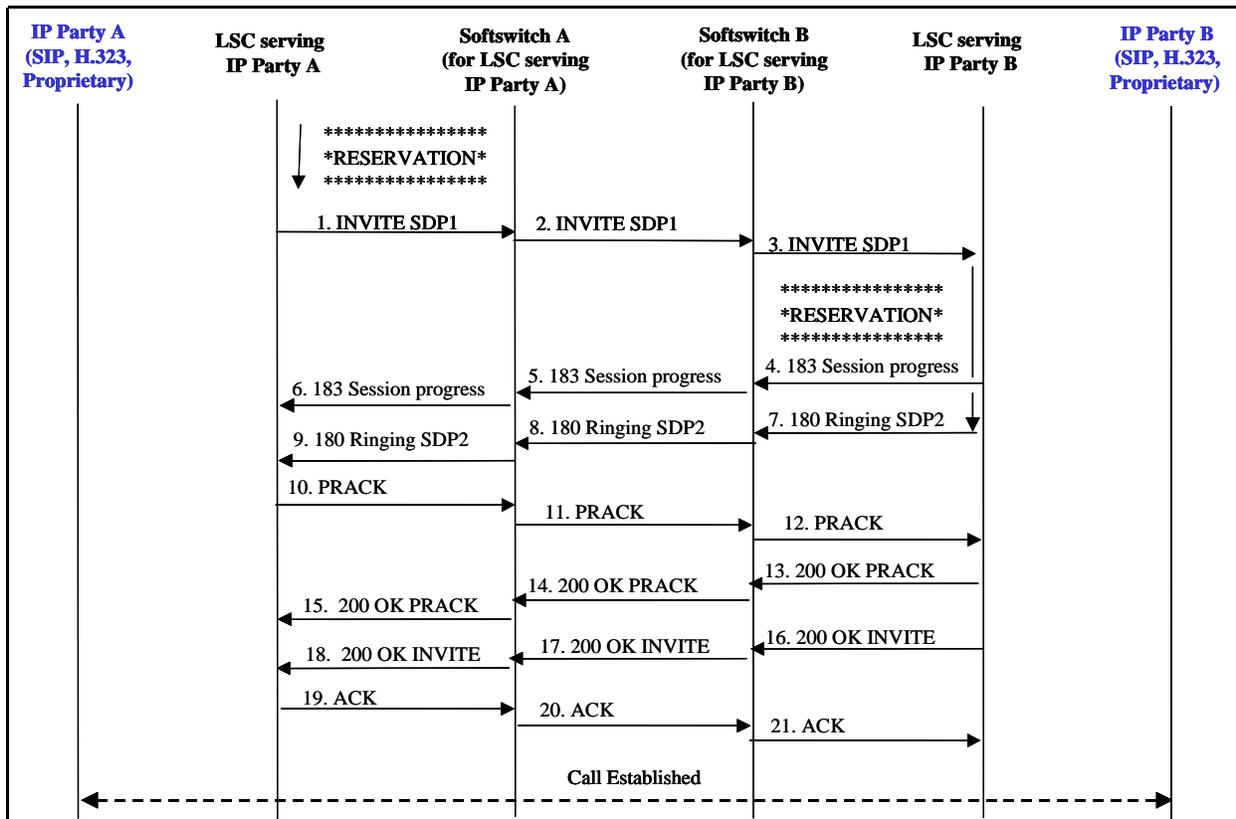


Figure 5.3.4.13-4. Successful Basic IP-to-IP Call (Segmented Precondition)

Call Establishment

STEP	INSTRUCTION
1, 2, 3	Upon receiving an initial call request message from IP Party A (not depicted in call flow diagram), the LSC serving IP Party A satisfies its local precondition before sending an INVITE to its assigned SS (SS A). The INVITE contains a segmented precondition offer using SDP attributes described in RFC 3312. The precondition offer includes, at a minimum, a media (“m=”) line, a connection (“c=”) line, at least two current-status lines (local and remote), and at least two desired-status lines (local and remote). SS A forwards the INVITE to SS B, which is assigned to the LSC serving IP Party B. SS B forwards the INVITE to the LSC serving IP Party B.
4, 5, 6	The LSC serving IP Party B sends a 183 (Session Progress) response with no sdp before sending the 180 (Ringing) response with the answer to the segmented precondition offer to notify the originating AS-SIP signaling appliance (i.e., LSC serving IP Party A) that there is no early media and ringback must be generated locally.
7, 8, 9	<p>The LSC serving IP Party B satisfies its local precondition, notifies the IP EI of the pending call, and sends a 180 (Ringing) response with an answer to the segmented precondition offer that includes, at a minimum, a media (“m=”) line, a connection (“c=”) line, at least two current-status lines, and at least two desired-status lines to SS B. SS B forwards the 180 (Ringing) response to SS A, which forwards the 180 (Ringing) response to the LSC serving IP Party A.</p> <p>NOTE: Signaling between the LSC serving IP Party B and the EI serving IP Party B is not depicted. In addition, signaling between the LSC serving IP Party A and the EI serving Party A is not depicted.</p>
10, 11, 12	The LSC serving IP Party A sends a PRACK to SS A. It forwards the PRACK to SS B, which forwards the PRACK to the LSC serving IP Party B.
13, 14, 15	The LSC serving IP Party B sends a 200 (OK) PRACK response to SS B. It forwards the 200 (OK) PRACK response to SS A, which forwards the 200 (OK) PRACK response to the LSC serving IP Party A.
16, 17, 18	<p>The LSC serving IP Party B sends a 200 (OK) INVITE to SS B. It forwards the 200 (OK) INVITE to SS A, which forwards the 200 (OK) INVITE to the LSC serving IP Party A.</p> <p>NOTE: Signaling between the IP EI serving IP Party B and the LSC serving IP Party B is not depicted. In addition, signaling between the LSC serving IP Party A and the EI serving IP Party A is not depicted.</p>

STEP	INSTRUCTION
19, 20, 21	<p>The LSC serving IP Party A sends an ACK to SS A. It forwards the ACK to SS B, which forwards the ACK to the LSC serving IP Party B.</p> <p>NOTE: Signaling between the IP EI serving IP Party A and the LSC serving IP Party A is not depicted. In addition, signaling between the LSC serving IP Party B and the EI serving IP Party B is not depicted.</p>

5.3.4.13.3 Call Hold

Party A and Party B are engaged in a conversation. Party A places the call on hold. Later, Party A resumes the call (i.e., removes the call from hold).

5.3.4.13.3.1 IP-to-IP Call Type

5.3.4.13.3.1.1 [Figure 5.3.4.13-5](#) depicts the sequence of AS-SIP messages between the AS-SIP signaling appliance serving IP Party A and the AS-SIP signaling appliance serving IP Party B that are used to place the current call on hold, and then to resume the call.

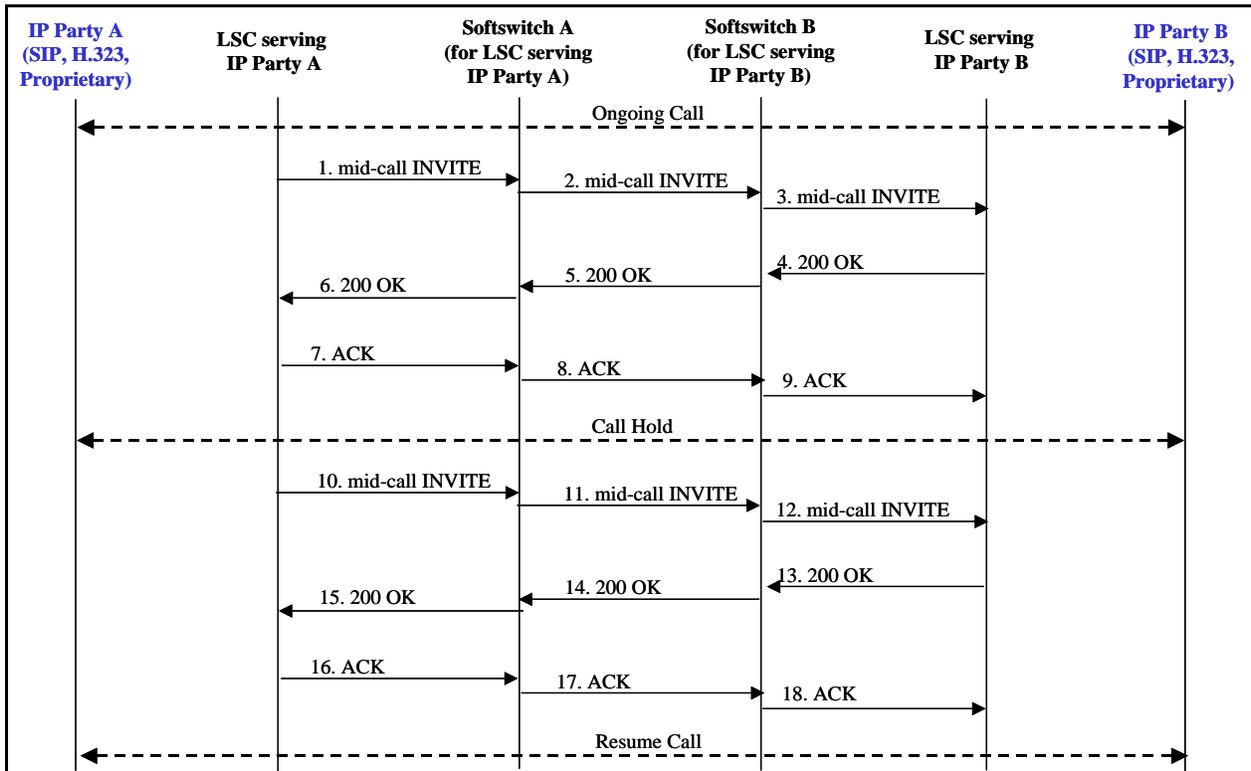


Figure 5.3.4.13-5. IP-to-IP Call Hold

Call Hold

STEP	INSTRUCTION
1, 2, 3	<p>In response to a request on the part of IP Party A, the LSC serving Party A sends a mid-call INVITE to the assigned SS (SS A). The INVITE includes the attribute line “a=sendonly” or “a=inactive” if the stream had been a sendrecv media stream, or “a=inactive” if the stream had been a recvonly stream. SS A forwards the mid-call INVITE to SS B, which is assigned to the LSC serving IP Party B. SS B forwards the mid-call INVITE to the LSC serving IP Party B.</p> <p>NOTE: The SIP UA MAY also support establishing a call hold by sending a mid-call INVITE that includes a session description, which is the same as in the original request, but the “c” destination addresses for the media streams to be put on hold are set to zero: c=IN IP4 0.0.0.0.</p> <p>NOTE: Signaling between the EI serving IP Party A and the LSC serving IP Party A is not depicted. In addition, signaling between the LSC serving IP Party B and the EI serving IP Party B and is not depicted.</p>
4, 5, 6	<p>The LSC serving IP Party B sends a 200 (OK) response to SS B. It forwards the 200 (OK) response to SS A, which forwards the 200 (OK) response to the LSC serving IP Party A.</p> <p>If the sdp offer included the attribute line “a=sendonly,” then it is RECOMMENDED that the sdp answer in the 200 (OK) response responds with “a=recvonly.” If the sdp answer in the 200 (OK) response responds with an attribute other than “a=recvonly” (e.g., “a=sendonly” or “a=inactive”), then the LSC serving Party A will accept the response and continue normal call processing.</p> <p>If the sdp offer included the attribute line “a=inactive,” then it is RECOMMENDED that the sdp answer in the 200 (OK) response responds with “a=inactive.” If the sdp answer in the 200 (OK) response responds with an attribute other than “a=inactive” (e.g., “a=recvonly” or “a=sendonly”), then the LSC serving Party A will accept the response and continue normal call processing.</p> <p>NOTE: Signaling between the EI serving IP Party B and the LSC serving IP Party B is not depicted. In addition, signaling between the LSC serving IP Party A and the EI serving IP Party A is not depicted.</p>
7, 8, 9	<p>The LSC serving IP Party A sends an ACK to SS A. It forwards the ACK to SS B, which forwards the ACK to the LSC serving IP Party B.</p> <p>NOTE: Signaling between the LSC serving IP Party B and the EI serving IP Party B is not depicted.</p>

The call is now on hold.

Call Resume

STEP	INSTRUCTION
10, 11, 12	<p>In response to a request on the part of IP Party A, the LSC serving Party A sends a mid-call re-INVITE to SS A. It forwards the mid-call re-INVITE to SS B, which forwards the mid-call re-INVITE to the LSC serving IP Party B. The re-INVITE includes the attribute line “a=sendrecv” if the stream had originally been a sendrecv media stream, or “a=recvonly” if the stream had been a recvonly stream.</p> <p>NOTE: In the case of a call hold established by setting the “c” destination address to 0.0.0.0, another re-INVITE with the original address parameter terminates the call hold.</p> <p>NOTE: Signaling between the EI serving IP Party A and the LSC serving IP Party A is not depicted. In addition, signaling between the LSC serving IP Party B and the EI serving IP Party B and is not depicted.</p>
13, 14, 15	<p>The LSC serving IP Party B sends a 200 (OK) response to SS B. It forwards the 200 (OK) response to SS A, which forwards the 200 (OK) response to the LSC serving IP Party A.</p> <p>NOTE: Signaling between the EI serving IP Party B and the LSC serving IP Party B is not depicted. In addition, signaling between the LSC serving IP Party A and the EI serving IP Party A is not depicted.</p>
16, 17, 18	<p>The LSC serving IP Party A sends an ACK to SS A. It forwards the ACK to SS B, which forwards the ACK to the LSC serving IP Party B.</p> <p>NOTE: Signaling between the EI serving IP Party A and the LSC serving IP Party A is not depicted. In addition, signaling between the LSC serving IP Party B and the EI serving IP Party B is not depicted.</p>

The call is now resumed.

Successful Basic IP-to-IP Call Hold (AS-SIP EI)

[Figure 5.3.4.13-6](#), IP-to-IP Call Hold (AS-SIP EIs), shows that AS-SIP EI A sends a mid-call INVITE (step 1). The INVITE sdp body includes the attribute line “a=sendonly” or “a=inactive” if the stream had been a sendrecv media stream, or “a=inactive” if the stream had been a recvonly stream. AS-SIP EI B receives the INVITE (step 5), responds with a 200 (OK) response (step 6), and stops sending and receiving/processing the media stream. The 200 (OK) response includes an sdp answer where:

- If the sdp offer in step 5 included the attribute line “a=sendonly,” then the sdp answer in the 200 (OK) response is “a=recvonly.”
- If the sdp offer in step 5 included the attribute line “a=inactive,” then the sdp answer in the 200 (OK) response is “a=inactive.” If the sdp answer in the 200

(OK) response responds with an attribute other than “a=inactive” (e.g., “a=recvonly” or “a=sendonly”), then the LSC serving Party A will accept the response and continue normal call processing.

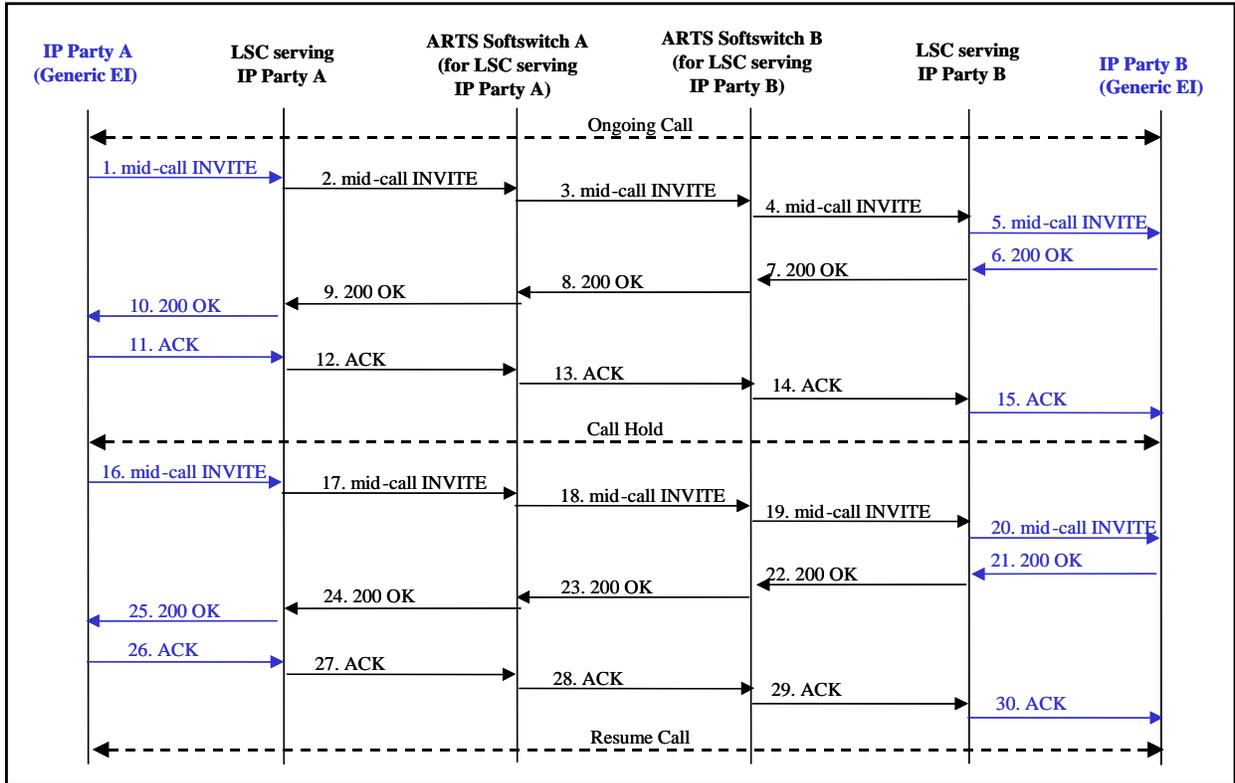


Figure 5.3.4.13-6. IP-to-IP Call Hold (AS-SIP EIs)

AS-SIP EI A receives the 200 (OK) response (step 10). If the sdp offer in step 1 had been a=sendonly and the sdp answer in the 200 (OK) response is an attribute other than “a=recvonly” (e.g., “a=sendonly” or “a=inactive”), then AS-SIP EI A MUST accept the response and continue normal call processing. If the sdp offer in step 1 had been a=inactive and the sdp answer in the 200 (OK) response responds with an attribute other than “a=inactive” (e.g., “a=recvonly” or “a=sendonly”), then AS-SIP EI A MUST accept the response and continue normal call processing.

AS-SIP EI A stops sending and receiving/processing the media stream. AS-SIP EI A sends an ACK (step 11). AS-SIP EI B receives the ACK (step 15). The call is now on hold.

When the user at AS-SIP EI A is ready to resume the call that is on hold, then AS-SIP EI A sends a mid-call INVITE (step 16) that includes the attribute line “a=sendrecv” if the stream had originally been a sendrecv media stream, or “a=recvonly” if the stream had been a recvonly stream. AS-SIP EI B receives the mid-call INVITE (step 20). AS-SIP EI B sends a 200 (OK) response (step 21). The 200 (OK) response includes an sdp answer where:

- If the sdp offer in step 20 included the attribute line “a=sendonly,” then the sdp answer in the 200 (OK) response is “a=recvonly.” AS-SIP EI B resumes receiving/processing the media stream.
- If the sdp offer in step 20 included the attribute line “a=inactive,” then the sdp answer in the 200 (OK) response is “a=sendrecv.” AS-SIP EI B resumes sending and receiving/processing the media stream.

AS-SIP EI A receives the 200 (OK) response (step 25), accepts the attribute line “a=recvonly” or “a=sendrecv.” If the attribute is “a=recvonly,” then AS-SIP EI A will send but not receive packets on the media stream; if the attribute is “a=sendrecv,” then AS-SIP EI A will send and receive packets on the media stream. AS-SIP EI A sends an ACK (step 26). AS-SIP EI B receives the ACK (step 30).

5.3.4.13.4 Call Waiting

Party A and Party B are engaged in a conversation. Call waiting consists of one party placing the other party on hold while either accepting or resuming a call with a third party.

5.3.4.13.4.1 IP-to-IP Call Type

5.3.4.13.4.1.1 [Figure 5.3.4.13-7](#), IP-to-IP Call Waiting, depicts the sequence of AS-SIP messages between the AS-SIP signaling appliance serving IP Party A and the AS-SIP signaling appliance serving IP Party B that are used to place the current call on hold to accept a call from a third Party C, and to terminate the call with the third Party C to resume the original call.

The SSs have been omitted deliberately from the call flow diagram.

NOTE: There are other variations of call waiting, such as placing the current call on hold, answering a call from a third Party C, placing the call with the third Party C on hold, resuming the original call, and terminating the original call and resuming the call with the third Party C. However, the basic elements of call waiting involve the serial implementation of call hold and call resume as portrayed in [Figure 5.3.4.13-7](#).

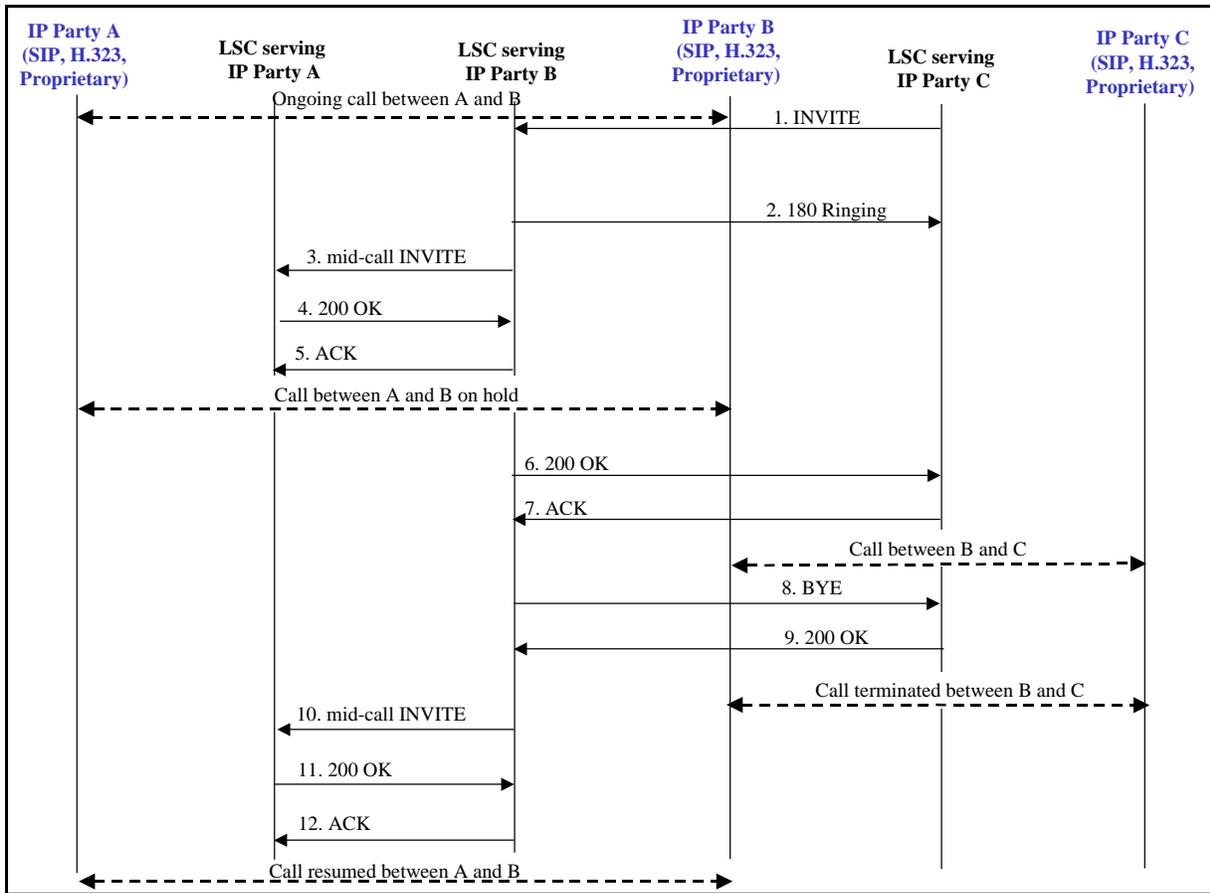


Figure 5.3.4.13-7. IP-to-IP Call Waiting

STEP	INSTRUCTION
1	<p>Upon receiving an initial call request message from IP Party C (not depicted in call flow diagram), the LSC serving IP Party C sends an INVITE to the LSC serving IP Party B.</p> <p>NOTE: Signaling between the EI serving IP Party C and the LSC serving IP Party C is not depicted. In addition, signaling between the LSC serving IP Party B and the EI serving party B is not depicted.</p>
2	<p>The LSC serving IP Party B instructs the EI for IP Party B to alert the user of an incoming call request (i.e., call waiting tone) and sends a 180 (Ringing) response to the LSC serving IP Party C.</p> <p>NOTE: Signaling between the LSC serving IP Party B and the EI serving IP Party B is not depicted. In addition, signaling between the LSC serving IP Party C and the EI serving Party C is not depicted.</p>

STEP	INSTRUCTION
3	<p>In response to a request on the part of IP Party B, the LSC serving IP Party B sends a mid-call INVITE to the LSC serving IP Party A with the attribute line “a=sendonly” or “a=inactive” if the stream had been a sendrecv media stream, or “a=inactive” if the stream had been a recvonly stream (i.e., Party B initiates a call hold with Party A).</p> <p>NOTE: Party B MAY also support establishing a call hold by sending a mid-call INVITE that includes a session description that is the same as in the original request, but the “c” destination addresses for the media streams to be put on hold are set to zero: c=IN IP4 0.0.0.0.</p> <p>NOTE: Signaling between the EI serving IP Party B and the LSC serving IP Party B is not depicted. In addition, signaling between the LSC serving IP Party A and the EI serving IP Party A is not depicted.</p>
4	<p>The LSC serving IP Party A sends a 200 (OK) response to the LSC serving IP Party B.</p> <p>If the sdp offer in the mid-call INVITE included the attribute line “a=sendonly,” then it is RECOMMENDED that the sdp answer in the 200 (OK) response responds with “a=recvonly.” If the sdp answer in the 200 (OK) response responds with an attribute other than “a=recvonly” (e.g., “a=sendonly” or “a=inactive”), then the LSC serving Party B will accept the response and continue normal call processing.</p> <p>If the sdp offer in the mid-call INVITE included the attribute line “a=inactive,” then it is RECOMMENDED that the sdp answer in the 200 (OK) response responds with “a=inactive.” If the sdp answer in the 200 (OK) response responds with an attribute other than “a=inactive” (e.g., “a=recvonly” or “a=sendonly”), then the LSC serving Party B will accept the response and continue normal call processing.</p> <p>NOTE: Signaling between the EI serving IP Party A and the LSC serving IP Party A is not depicted. In addition, signaling between the LSC serving IP Party B and the EI serving IP Party B is not depicted.</p>
5	<p>The LSC serving IP Party B sends an ACK to the LSC serving IP Party A.</p> <p>NOTE: Signaling between the EI serving IP Party B and the LSC serving IP Party B is not depicted. In addition, signaling between the LSC serving IP Party A and the EI serving IP Party A is not depicted.</p>

The call between IP Party B and IP Party A is now on hold.

Section 5.3.4 – AS-SIP Requirements

STEP	INSTRUCTION
6	<p>The LSC for IP Party B sends a 200 (OK) response to the LSC for IP Party C.</p> <p>NOTE: Signaling between the EI serving IP Party B and the LSC serving IP Party B is not depicted. In addition, signaling between the LSC serving IP Party C and the EI serving IP Party C is not depicted.</p>
7	<p>The LSC for IP Party C sends an ACK to the LSC for IP Party B.</p> <p>NOTE: Signaling between the EI serving IP Party C and the LSC serving IP Party C is not depicted. In addition, signaling between the LSC serving IP Party B and the EI serving IP Party B is not depicted.</p>

The call between IP Party C and IP Party B is now established.

STEP	INSTRUCTION
8	<p>When IP Party B terminates the session with IP Party C, then the LSC serving IP Party B sends a BYE request to the LSC serving IP Party C.</p> <p>NOTE: Signaling between the EI serving IP Party B and the LSC serving IP Party B that initiated the call release is not depicted. In addition, signaling between the LSC serving IP Party C and the EI serving IP Party C is not depicted.</p>
9	<p>The LSC serving IP Party C sends a 200 (OK) response to the LSC serving IP Party B.</p> <p>NOTE: Signaling between the EI serving IP Party C and the LSC serving IP Party C is not depicted. In addition, signaling between the LSC serving IP Party B and the EI serving IP Party B is not depicted.</p>

The call between IP Party C and IP Party B is now terminated.

STEP	INSTRUCTION
10	<p>The LSC serving IP Party B sends a mid-call re-INVITE to the LSC for IP Party A that includes the attribute line “a=sendrecv” if the stream had originally been a sendrecv media stream, or “a=recvonly” if the stream had been a recvonly stream.</p> <p>NOTE: In the case of a call hold established by setting the “c” destination address to 0.0.0.0, another re-INVITE with the original address parameter terminates the call hold.</p> <p>NOTE: Signaling between the EI serving IP Party B and the LSC serving IP Party B is not depicted. In addition, signaling between the LSC serving IP Party A and the EI serving IP Party A is not depicted.</p>

STEP	INSTRUCTION
11	<p>The LSC serving IP Party A sends a 200 (OK) response to the LSC serving IP Party B.</p> <p>NOTE: Signaling between the EI serving IP Party A and the LSC serving IP Party A is not depicted. In addition, signaling between the LSC serving IP Party B and the EI serving IP Party B is not depicted.</p>
12	<p>The LSC serving IP Party B sends an ACK to the LSC serving IP Party A.</p> <p>NOTE: Signaling between the EI serving IP Party B and the LSC serving IP Party B is not depicted. In addition, signaling between the LSC serving IP Party A and the EI serving IP Party A is not depicted.</p>

The call between IP Party A and IP Party B is now resumed.

Successful Basic IP-to-IP Call Waiting (AS-SIP EI)

[Figure 5.3.4.13-8](#) shows that AS-SIP EI A sends a mid-call INVITE (step 1). The INVITE sdp body includes the attribute line “a=sendonly” or “a=inactive” if the stream had been a sendrecv media stream, or “a=inactive” if the stream had been a rcvonly stream. AS-SIP EI B receives the INVITE (step 5), responds with a 200 (OK) response (step 6), and stops sending and receiving/processing the media stream. The 200 (OK) response includes an sdp answer where:

- If the sdp offer in step 5 included the attribute line “a=sendonly,” then the sdp answer in the 200 (OK) response is “a=rcvonly.”
- If the sdp offer in step 5 included the attribute line “a=inactive,” then the sdp answer in the 200 (OK) response is “a=inactive.” If the sdp answer in the 200 (OK) response responds with an attribute other than “a=inactive” (e.g., “a=rcvonly” or “a=sendonly”), then the LSC serving Party A will accept the response and continue normal call processing.

AS-SIP EI A receives the 200 (OK) response (step 10). If the sdp offer in step 1 had been a=sendonly and the sdp answer in the 200 (OK) response is an attribute other than “a=rcvonly” (e.g., “a=sendonly” or “a=inactive”), then AS-SIP EI A MUST accept the response and continue normal call processing. If the sdp offer in step 1 had been a=inactive and the sdp answer in the 200 (OK) response responds with an attribute other than “a=inactive” (e.g., “a=rcvonly” or “a=sendonly”), then AS-SIP EI A MUST accept the response and continue normal call processing.

AS-SIP EI A stops sending and receiving/processing the media stream. AS-SIP EI A sends an ACK (step 11). AS-SIP EI B receives the ACK (step 15). The call is now on hold.

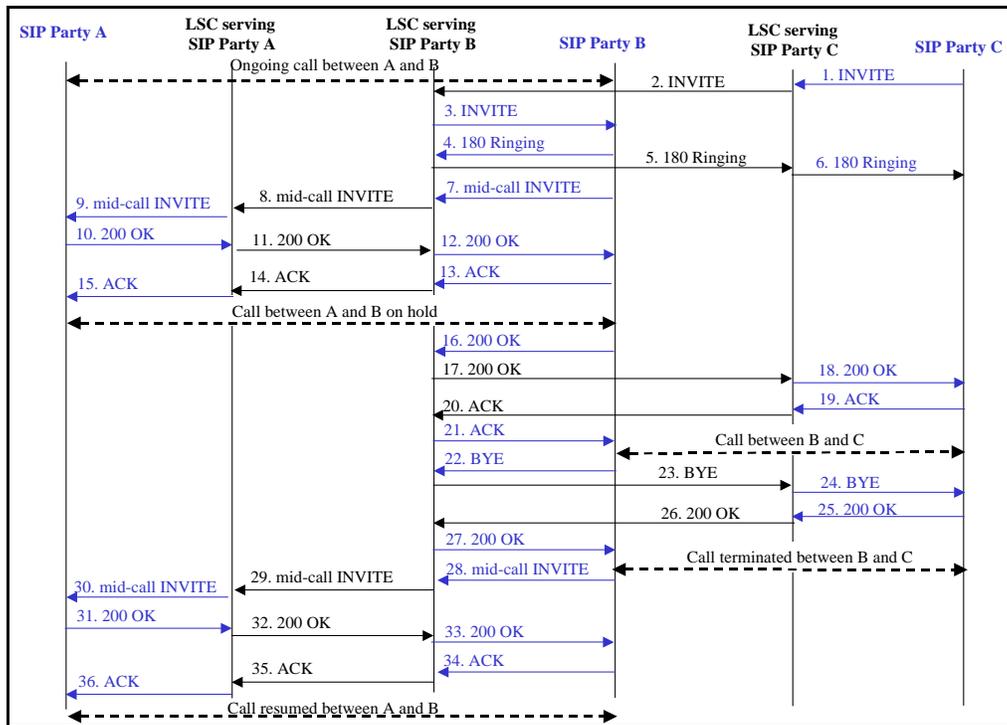


Figure 5.3.4.13-8. IP-to-IP Call Waiting (AS-SIP EI)

When the user at AS-SIP EI A is ready to resume the call that is on hold, then AS-SIP EI A sends a mid-call INVITE (step 16) that includes the attribute line “a=sendrecv” if the stream had originally been a sendrecv media stream, or “a=recvonly” if the stream had been a recvonly stream. AS-SIP EI B receives the mid-call INVITE (step 20). AS-SIP EI B sends a 200 (OK) response (step 21). The 200 (OK) response includes an sdp answer where:

- If the sdp offer in step 20 included the attribute line “a=sendonly,” then the sdp answer in the 200 (OK) response is “a=recvonly.” AS-SIP EI B resumes receiving/processing the media stream.
- If the sdp offer in step 20 included the attribute line “a=inactive,” then the sdp answer in the 200 (OK) response is “a=sendrecv.” AS-SIP EI B resumes sending and receiving/processing the media stream.

AS-SIP EI A receives the 200 (OK) response (step 25), accepts the attribute line “a=recvonly” or “a=sendrecv.” If the attribute is “a=recvonly,” then AS-SIP EI A will send but not receive packets on the media stream; if the attribute is “a=sendrecv,” then AS-SIP EI A will send and receive packets on the media stream. AS-SIP EI A sends an ACK (step 26). AS-SIP EI B receives the ACK (step 30).

5.3.4.13.5 Call Forward (Unconditional)

A user instructs that call requests to a particular telephone number be routed to a different predefined telephone number. In the following call flow diagrams, User B has instructed that call requests addressed to User B's telephone number at location 1 be rerouted to a different telephone number for an EI at location 2.

NOTE: When the LSC forwards an inbound call to a forwarded-to party the LSC remains in the call signaling path. When the forwarded-to party is located at an external enclave reached over the UC WAN, then the LSC allocates two call counts from the call count budget for the duration of the call even though no bearer traffic related to the given call actually transits the access link to the enclave where the LSC is located.

5.3.4.13.5.1 IP-to-IP Call Type

5.3.4.13.5.1.1 [Figure 5.3.4.13-9](#) depicts the sequence of AS-SIP messages involved in the unconditional forwarding of a call request intended for IP Party B at location 1 to a forwarded telephone number (SIP URI) corresponding to an IP EI at location 2.

The SSs assigned to the LSC serving IP Party A, IP Party B at location 1, and IP Party B at location 2 have been deliberately omitted from the call flow diagram.

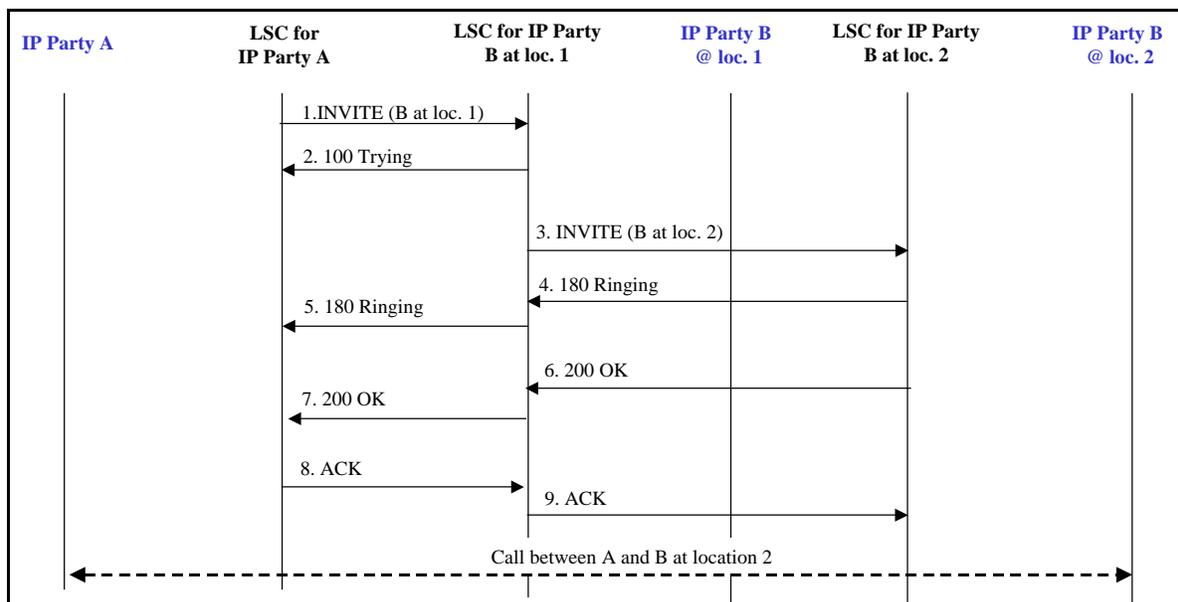


Figure 5.3.4.13-9. IP-to-IP Call Forward (Unconditional)

Call Forward

STEP	INSTRUCTION
1	<p>The LSC serving IP Party A sends an INVITE to the LSC serving IP Party B at location 1.</p> <p>NOTE: Signaling between the IP EI serving IP Party A and the LSC serving IP Party A is not depicted.</p>
2	<p>The LSC serving IP Party B at location 1 sends a 100 (Trying) response to the LSC serving IP Party A.</p> <p>NOTE: Signaling between the LSC serving IP Party A and party A’s IP EI is not depicted.</p>
3	<p>Background: Previously, User B instructed the LSC that all calls intended for the telephone number (SIP URI) associated with User B at location 1 be forwarded temporarily to another number (SIP URI) corresponding to an EI at location 2.</p> <p>The LSC serving IP Party B at location 1 rewrites the Request URI and sends the INVITE to the LSC serving IP Party B at location 2.</p>
4, 5	<p>The LSC serving IP Party B at location 2 sends a 180 (Ringing) response to the LSC serving IP Party B at location 1, which forwards the 180 (Ringing) response to the LSC serving IP Party A.</p> <p>NOTE: Signaling between the IP EI serving IP Party B at location 2 and the LSC serving IP Party B at location 2 is not depicted. In addition, signaling between the LSC serving IP Party A and the IP EI serving IP Party A is not depicted.</p>
6, 7	<p>The LSC serving IP Party B at location 2 sends a 200 (OK) response to the LSC serving IP Party B at location 1, which forwards the 200 (OK) response to the LSC serving IP Party A.</p> <p>NOTE: Signaling between the IP EI serving IP Party B at location 2 and the LSC serving IP Party B at location 2 is not depicted. In addition, signaling between the LSC serving IP Party A and the IP EI serving IP Party A is not depicted.</p>
8, 9	<p>The LSC serving IP Party A sends an ACK to the LSC serving IP Party B at location 1, which forwards the ACK to the LSC serving IP Party B at location 2.</p> <p>NOTE: Signaling between the IP EI serving IP Party A and the LSC serving IP Party A is not depicted. In addition, signaling between the LSC serving IP Party B at location 2 and the IP EI serving IP Party B at location 2 is not depicted.</p>

The forwarded call is now established between IP Party A and IP Party B at location 2.

Call Forward Unconditional (AS-SIP EI)

5.3.4.13.5.1.2 The user of an AS-SIP EI MUST be able to enable unconditional call forward to another DSN telephone number by entering “*72” followed by the 10-digit DSN number of the forwarded-to party, and sending the input to the LSC where the userinfo part of the SIP URI of the INVITE’s Request-URI field is populated with “*72” and the 10-digit DSN number.

Example

DSN number = 3151234567
SIP URI in Request-URI field of INVITE: sip:
*723151234567@uc.mil;user=phone

5.3.4.13.5.1.3 The user of an AS-SIP EI MUST be able to enable unconditional call forward to a PSTN telephone number by entering “*72” followed by the E.164 number of the forwarded-to party, and sending the input to the LSC where the userinfo part of the SIP URI of the INVITE’s Request-URI field is populated with “*72” and the E.164 number.

Example

PSTN number = 2021234567 US country code: 1
SIP URI in Request-URI field of INVITE: sip:
*7212021234567@uc.mil;user=phone

5.3.4.13.5.1.4 The user of an AS-SIP EI MUST be able to deactivate unconditional call forward by entering “*73” and sending the input to the LSC where the userinfo part of the SIP URI of the INVITE’s Request-URI field is populated with “*73.”

Example

SIP URI in Request-URI field of INVITE: sip: *73@uc.mil;user=phone

Referring to [Figure 5.3.4.13-10](#), IP-to-IP Call Forward (Unconditional) (AS-SIP EI), assume that at some time before the call request, the user IP Party B at location 1 (i.e., AS-SIP EI B at location 1) has enabled unconditional call forwarding to IP Party B at location 2 by sending a *72 with the DSN number of location 2 to its LSC (i.e., LSC for Party B at location 1).

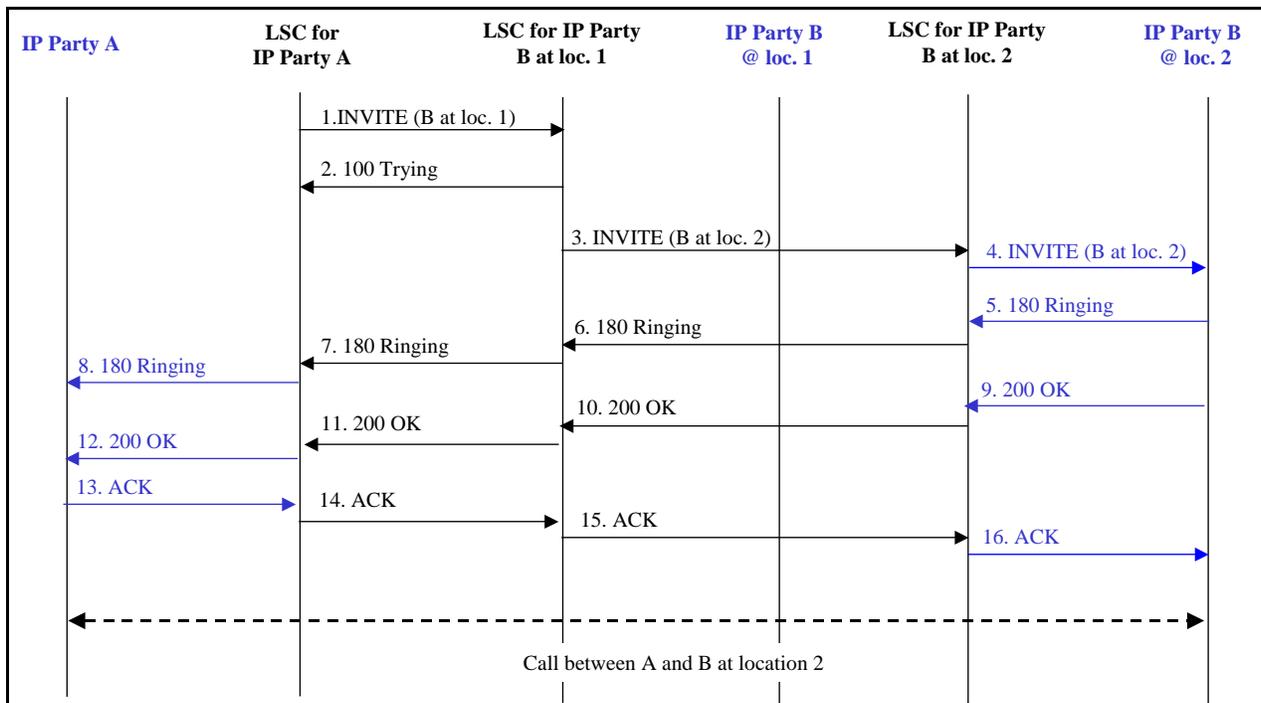


Figure 5.3.4.13-10. IP-to-IP Call Forward (Unconditional) (AS-SIP EI)

AS-SIP EI A sends an INVITE (step 1) to its LSC, which is forwarded to the LSC serving AS-SIP EI B at location 1. The LSC serving AS-SIP EI B at location 1 forwards the INVITE through the network to the LSC serving AS-SIP EI B at location 2 (step 5). AS-SIP EI B at location 2 responds with 180 (Ringing) response (step 6), which is conveyed through the network to AS-SIP EI A (step 9). When Party B at location 2 goes off-hook, then AS-SIP EI B at location 2 sends a 200 (OK) response (step 10) across the network to AS-SIP EI A (step 13). AS-SIP EI A responds with an ACK (step 14) that is received by AS-SIP EI B at location 2 (step 17).

5.3.4.13.6 Call Forward (No Answer)

A user instructs that call requests to a particular telephone number be routed to a different predefined telephone number if the call is not answered within some number of rings (No Answer). In the following call flow diagrams, User B has instructed that call requests addressed to User B's telephone number at location 1, which receives no reply, be rerouted to a different telephone number for an EI at location 2.

As specified in [Section 5.3.4.7.6](#), SIP URI and Mapping of Telephony Number into SIP URI, the addressing format for the SIP messages MUST be a SIP URI having a userinfo part that either is a number from the DSN worldwide numbering plan with the appropriate phone-context descriptor or an E.164 encoded telephone number and having a "user=phone" field appended to the URI.

NOTE: When the LSC forwards an inbound call to a forwarded-to party the LSC remains in the call signaling path. When the forwarded-to party is located at an external enclave reached over the UC WAN, then the LSC allocates two call counts from the call count budget for the duration of the call even though no bearer traffic related to the given call actually transits the access link to the enclave at which the LSC is located.

5.3.4.13.6.1 IP-to-IP Call Type

5.3.4.13.6.1.1 [Figure 5.3.4.13-11](#), IP-to-IP Call Forwarding on No Answer, depicts the sequence of AS-SIP messages involved in the forwarding of an unanswered call request intended for IP Party B at location 1 to a forwarded telephone number (SIP URI) corresponding to an IP EI at location 2.

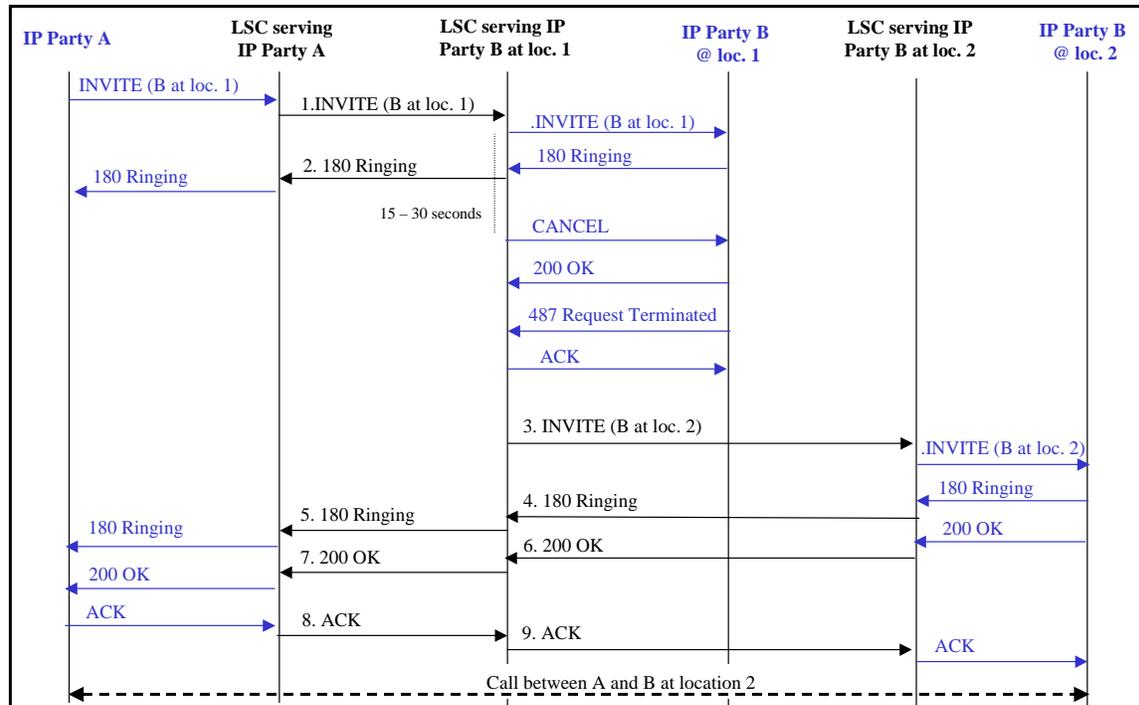


Figure 5.3.4.13-11. IP-to-IP Call Forwarding on No Answer

The SSs assigned to the LSC serving IP Party A, IP Party B at location 1, and IP Party B at location 2 have been omitted deliberately from the call flow diagram.

NOTE: The call flow diagram is based on Section 2.9 of draft-ietf-sipping-services-examples. The 100 (Trying) messages have been omitted.

NOTE : To clearly convey the call flow in this scenario, SIP EIs have been depicted and indicated the SIP signaling between the LSC and SIP EIs. In the case of H.323 and

proprietary IP end points, comparable functionality must be provided in the signaling between the LSCs and their served IP end points.

Call Forward (No Answer)

STEP	INSTRUCTION
1	<p>IP Party A (a SIP EI) sends an INVITE to the LSC serving IP Party A</p> <p>The LSC serving IP Party A sends an INVITE to the LSC serving IP Party B at location 1. (The INVITE is ultimately intended for IP Party B at location 1.)</p> <p>User B has previously instructed the LSC serving IP Party B at location 1 that in the event of no reply, the call is to be forwarded to another number (SIP URI) corresponding to an EI at location 2. The LSC will notify the IP EI of the pending call request, and if there is no answer within a predefined period, then the LSC serving IP Party B at location 1 will rewrite the Request URI and send it to the LSC serving IP Party B at location 2.</p> <p>The LSC serving IP Party B at location 1 sends the INVITE to IP Party B at location 1 (which is a SIP EI).</p>
2	<p>IP Party B at location 1 sends a 180 (Ringing) response to the LSC serving IP Party B at location 1.</p> <p>The LSC serving IP Party B at location 1 forwards the 180 (Ringing) response to the LSC serving IP Party A.</p> <p>The LSC serving IP Party A forwards the 180 (Ringing) to IP Party A.</p>
3	<p>When there is no answer within a configurable amount of time, the request times out.</p> <p>The LSC serving IP Party B at location 1 sends a CANCEL request to IP Party B at location 1. IP Party B at location 1 responds with a 200 (OK) response to the CANCEL and a 487 (Request Terminated) response to the INVITE. The LSC serving IP Party B at location 1 sends an ACK for the 487 (Request Terminated) response.*</p> <p>The LSC serving IP Party B at location 1 rewrites the Request URI and sends the INVITE to the LSC serving IP Party B at location 2.</p> <p>The LSC serving IP Party B at location 2 forwards the INVITE to IP Party B at location 2 (which is a SIP EI).</p>

STEP	INSTRUCTION
4, 5	<p>IP Party B at location 2 sends a 180 (Ringing) response to the LSC serving IP Party B at location 2.</p> <p>The LSC serving IP Party B at location 2 sends the 180 (Ringing) response to the LSC serving IP Party B at location 1. The LSC serving IP Party B at location 1 sends the 180 (Ringing) response to the LSC serving IP Party A.</p> <p>The LSC serving IP Party A sends the 180 (Ringing) response to IP Party A.</p>
6, 7	<p>IP Party B at location 2 goes off-hook and the SIP EI sends a 200 (OK) response to the LSC serving IP Party B at location 2.</p> <p>The LSC serving IP Party B at location 2 sends the INVITE to the LSC serving IP Party B at location 1. The LSC serving IP Party B at location 1 sends the 180 (Ringing) response to the LSC serving IP Party A.</p> <p>The LSC serving IP Party A sends the 200 (OK) response to IP Party A.</p>
8, 9	<p>IP Party A sends an ACK to the LSC serving IP Party A.</p> <p>The LSC serving IP Party A sends the ACK to the LSC serving IP Party B at location 1, which sends the ACK to the LSC serving IP Party B at location 2.</p> <p>The LSC serving IP Party B at location 2 sends the ACK to IP Party B at location 2.</p>
*RFC 2543-compliant IP EIs will not send a 487 (Request Terminated) response to the INVITE.	

The forwarded call is now established between IP Party A and IP Party B at location 2.

Call Forward No Answer (AS-SIP EI)

5.3.4.13.6.1.2 The user of an AS-SIP EI MUST be able to enable call forward no answer to another DSN telephone number by entering “*92” followed by the 10-digit DSN number of the forwarded-to party, and sending the input to the LSC where the userinfo part of the SIP URI of the INVITE’s Request-URI field is populated with “*92” and the 10-digit DSN number.

Example

DSN number = 3151234567
 SIP URI in Request-URI field of INVITE: sip:
 *923151234567@uc.mil;user=phone

5.3.4.13.6.1.3 The user of an AS-SIP EI MUST be able to enable call forward no answer to a PSTN telephone number by entering “*92” followed by the E.164 number of the

forwarded-to party, and sending the input to the LSC where the userinfo part of the SIP URI of the INVITE's Request-URI field is populated with “*92” and the E.164 number.

Example

PSTN number = 2021234567 US country code: 1
SIP URI in Request-URI field of INVITE: sip:
*9212021234567@uc.mil;user=phone

5.3.4.13.6.1.4 The user of an AS-SIP EI MUST be able to deactivate call forward no answer by entering “*93” and sending the input to the LSC where the userinfo part of the SIP URI of the INVITE's Request-URI field is populated with “*93.”

Example

SIP URI in Request-URI field of INVITE: sip: *93@uc.mil;user=phone

Referring to [Figure 5.3.4.13-12](#), assume that at some time before the call request, the user IP Party B at location 1 (i.e., AS-SIP EI B at location 1) has enabled call forwarding no answer to IP Party B at location 2 by sending a *92 with the DSN number of location 2 to its LSC (i.e., LSC for Party B at location 1).

AS-SIP EI A sends an INVITE (step 1) to its LSC, which is forwarded to the AS-SIP EI B at location 1 (step 3). AS-SIP EI B at location 1 responds with a 180 (Ringing) response (step 4), which is forward to AS-SIP EI A (step 6). AS-SIP EI B at location 1 does not respond further within a configurable time (e.g., 15–30 seconds) and the LSC serving AS-SIP EI B at location 1 sends a CANCEL (step 7) to AS-SIP EI B at location 1. In response, AS-SIP EI B at location 1 sends a 200 (OK) response (step 9) and a 487 (Request Terminated) response (step 10) to its LSC. The LSC serving AS-SIP EI B at location 1 rewrites the Request URI and sends the INVITE through the network to AS-SIP EI B at location 2 (received by AS-SIP EI B at location 2 in step 12). AS-SIP EI B at location 2 responds with a 180 (Ringing) response (step 13), which is conveyed through the network to AS-SIP EI A (step 16). When Party B at location 2 goes off-hook, then AS-SIP EI B at location 2 sends a 200 (OK) response (step 17) across the network to AS-SIP EI A (step 20). AS-SIP EI A responds with an ACK (step 21) that is received by AS-SIP EI B at location 2 (step 24).

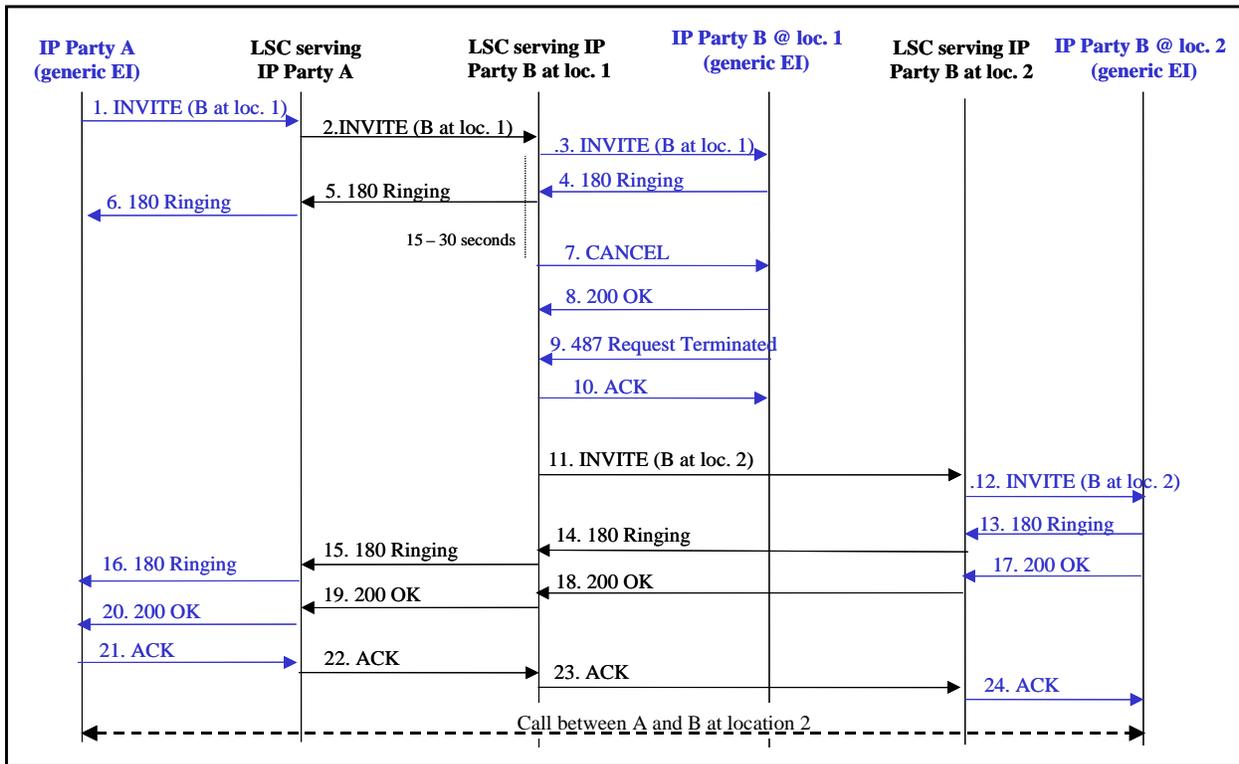


Figure 5.3.4.13-12. IP-to-IP Call Forwarding on No Answer (AS-SIP EI)

5.3.4.13.7 Call Forward (Busy)

A user instructs that call requests to a particular telephone number be routed to a different predefined telephone number if the EI is busy with another call. In the following call flow diagrams, User B has instructed that when a call request is addressed to User B's telephone at location 1 but the phone is currently busy with another call, then the new call request is to be forwarded to an EI at location 2.

Recalling Requirement [5.3.4.10.2.5.5](#), the new call request will be forwarded only if the existing call either has an equal or higher precedence level than the incoming call request. If the existing call has a lower precedence than the incoming call request, then the existing call will be preempted in favor of the new call request.

NOTE: When the LSC forwards an inbound call to a forwarded-to party the LSC remains in the call signaling path. When the forwarded-to party is located at an external enclave reached over the UC WAN, then the LSC allocates two call counts from the call count budget for the duration of the call even though no bearer traffic related to the given call actually transits the access link to the enclave where the LSC is located.

5.3.4.13.7.1 IP-to-IP Call Type

5.3.4.13.7.1.1 [Figure 5.3.4.13-13](#), IP-to-IP Call Forwarding Busy, depicts the sequence of AS-SIP messages involved in the forwarding of a call request intended for IP Party B at location 1. However, the EI for IP Party B at location 1 is currently busy with a call of equal or higher precedence than the incoming call request, so the call request is forwarded to another telephone number (SIP URI) corresponding to an IP EI at location 2.

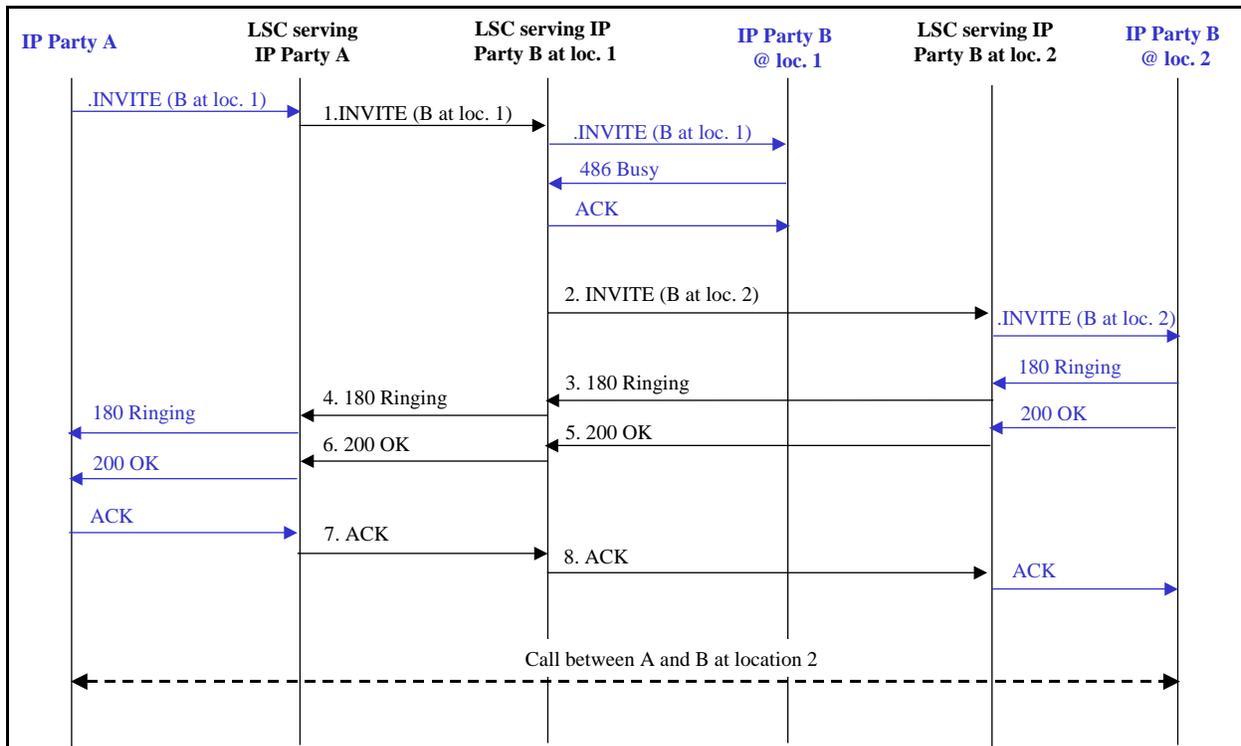


Figure 5.3.4.13-13. IP-to-IP Call Forwarding Busy

The SSs assigned to the LSC serving IP Party A, IP Party B at location 1, and IP Party B at location 2 have been omitted deliberately from the call flow diagram.

NOTE: The call flow diagram is based on Section 2.8 of draft-ietf-sipping-services-examples. The 100 (Trying) messages have been omitted.

NOTE: To clearly convey the call flow in this scenario, the SIP EIs are depicted and indicated the SIP signaling between the LSC and SIP EIs. In the case of H.323 and proprietary IP end points, comparable functionality must be provided in the signaling between the LSCs and their served IP end points

STEP	INSTRUCTION
1	<p>IP Party A (a SIP EI) sends an INVITE to the LSC serving IP Party A.</p> <p>The LSC serving IP Party A sends an INVITE to the LSC serving IP Party B at location 1. (The INVITE is ultimately intended for IP Party B at location 1.)</p> <p>User B has previously instructed the LSC serving IP Party B at location 1 that in the event the EI for IP Party B at location 1 is busy, the call is to be forwarded to another number (SIP URI) corresponding to an EI at location 2.</p> <p>The LSC serving IP Party B at location 1 sends the INVITE to IP Party B at location 1 (which is a SIP EI).</p>
2	<p>IP Party B at location 1 sends a 486 (Busy) response to the LSC serving IP Party B at location 1.</p> <p>The LSC serving IP Party B at location 1 responds with an ACK to IP Party B at location 1.</p> <p>The LSC serving IP Party B at location 1 rewrites the Request URI and sends the INVITE to the LSC serving IP Party B at location 2.</p> <p>The LSC serving IP Party B at location 2 forwards the INVITE to IP Party B at location 2, which is a SIP EI.</p>
3, 4	<p>IP Party B at location 2 sends a 180 (Ringing) response to the LSC serving IP Party B at location 2.</p> <p>The LSC serving IP Party B at location 2 sends the 180 (Ringing) response to the LSC serving IP Party B at location 1. The LSC serving IP Party B at location 1 sends the 180 (Ringing) response to the LSC serving IP Party A.</p> <p>The LSC serving IP Party A sends the 180 (Ringing) response to IP party A.</p>
5, 6	<p>IP Party B at location 2 goes off-hook and sends a 200 (OK) response to the LSC serving IP Party B at location 2.</p> <p>The LSC serving IP Party B at location 2 sends the INVITE to the LSC serving IP Party B at location 1. The LSC serving IP Party B at location 1 sends the 180 (Ringing) response to the LSC serving IP Party A.</p> <p>The LSC serving IP Party A sends a 200 (OK) response to IP Party A.</p>
7, 8	<p>IP Party A sends an ACK to the LSC serving IP Party A.</p> <p>The LSC serving IP Party A sends the ACK to the LSC serving IP Party B at location 1, which sends the ACK to the LSC serving IP Party B at location 2.</p> <p>The LSC serving IP Party B at location 2 sends the ACK to IP Party B at location 2.</p>

Call Forward Busy (AS-SIP EI)

5.3.4.13.7.1.2 The user of an AS-SIP EI MUST be able to enable call forward busy to another DSN telephone number by entering “*90” followed by the 10-digit DSN number of the forwarded-to party, and sending the input to the LSC where the userinfo part of the SIP URI of the INVITE’s Request-URI field is populated with “*90” and the 10-digit DSN number.

Example

DSN number = 3151234567
SIP URI in Request-URI field of INVITE: sip:
*903151234567@uc.mil;user=phone

5.3.4.13.7.1.3 The user of an AS-SIP EI MUST be able to enable call forward busy to a PSTN telephone number by entering “*90” followed by the E.164 number of the forwarded-to party, and sending the input to the LSC where the userinfo part of the SIP URI of the INVITE’s Request-URI field is populated with “*90” and the E.164 number.

Example

PSTN number = 2021234567 US country code: 1
SIP URI in Request-URI field of INVITE: sip:
*9012021234567@uc.mil;user=phone

5.3.4.13.7.1.4 The user of an AS-SIP EI MUST be able to deactivate call forward busy by entering “*91” and sending the input to the LSC where the userinfo part of the SIP URI of the INVITE’s Request-URI field is populated with “*91.”

Example

SIP URI in Request-URI field of INVITE: sip: *91@uc.mil;user=phone

Referring to [Figure 5.3.4.13-14](#), IP-to-IP Call Forwarding Busy (AS-SIP EI), assume that at some time before the call request, the user IP Party B at location 1 (i.e., AS-SIP EI B at location 1) has enabled call forwarding busy to IP Party B at location 2 by sending a *90 with the DSN number of location 2 to its LSC (i.e., LSC for Party B at location 1).

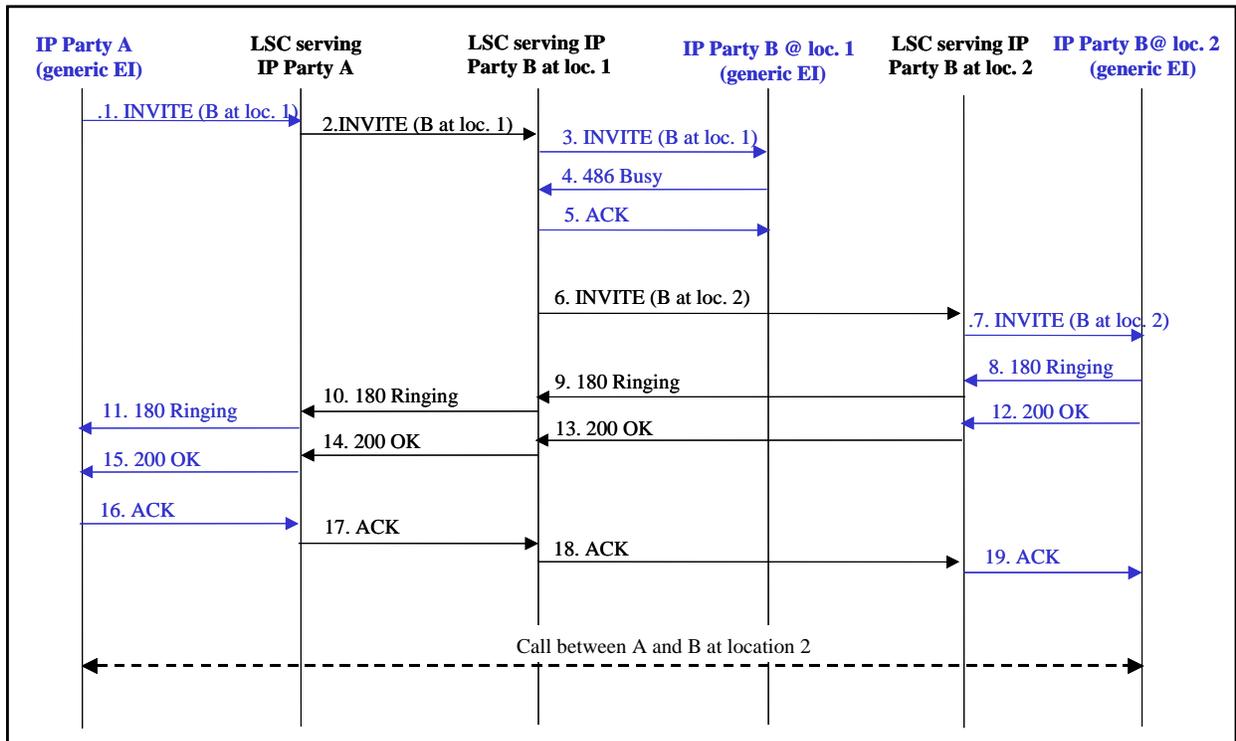


Figure 5.3.4.13-14. IP-to-IP Call Forwarding Busy (AS-SIP EI)

AS-SIP EI A sends an INVITE (step 1) to its LSC, which is forwarded to the AS-SIP EI B at location 1 (step 3). AS-SIP EI B at location 1 responds with a 486 (Busy Here) response (step 4) to its LSC. The LSC AS-SIP EI B at location 1 in turn sends an ACK (step 5) to AS-SIP EI B at location 1, rewrites the Request URI of the original INVITE and sends the INVITE through the network to AS-EI B at location 2 (received by AS-SIP EI B at location 2 in step 7). AS-SIP EI B at location 2 responds with a 180 (Ringing) response (step 8), which is conveyed through the network to AS-SIP EI A (step 11). When Party B at location 2 goes off-hook, then AS-SIP EI B at location 2 sends a 200 (OK) response (step 12) across the network to AS-SIP EI A (step 15). AS-SIP EI A responds with an ACK (step 16) that is received by AS-SIP EI B at location 2 (step 19).

The forwarded call is now established between IP Party A and IP Party B at location 2.

5.3.4.13.8 Call Transfer

There are three actors in a given transfer event, each playing one of the following roles:

- Transferee – the party being transferred to the Transfer Target
- Transferor – the party initiating the transfer

- Transfer Target – the new party being introduced into a call with the Transferee

The call transfer feature enables the call transferor, who has two calls (one with transferee and one with transfer target), to create either a direct signaling and bearer path between the transferee and the transfer target, or just a direct bearer path between the transferee and transfer target depending on the call flow used to implement the call transfer.

5.3.4.13.8.1 Call Transfer Modes

Call transfer can be operated in two different modes: unattended (blind) and attended (consultation transfer). Blind call transfer will forward the transferee to the new destination without talking to the transfer target. The alternative to this type of call transfer would be consultation transfer, where the call transferor will have a chance to talk to the transfer target before making the transfer.

5.3.4.13.8.2 Unattended Transfer – Call Transferor: SIP

Two methods have been approved for conducting the unattended call transfer:

1. Method 1 removes the Transferor LSC from the SIP signaling path and removes the EI from the signaling and bearer paths. (It is assumed that under normal circumstances, the LSC is never in the bearer path.) The Transferor LSC uses the REFER request to provide the Transferee with the contact information of the Transfer Target and to instruct the Transferee to initiate a session with the Transfer Target. The call flow is depicted in [Figure 5.3.4.13-15](#), Unattended Call Transfer for IP EIs (REFER Method).
2. Method 2 does not remove the Transferor LSC from the SIP signaling path. The Transferor LSC uses re-INVITEs with the Transferee and an INVITE with the Transfer Target in place of the use of the REFER request. The call flow is depicted in [Figure 5.3.4.13-16](#), Unattended Call Transfer for IP EIs (INVITE and re-INVITE Method).

[Figure 5.3.4.13-15](#), Unattended Call Transfer for IP EIs (REFER Method), presents the SIP call flow for the unattended call transfer between a Transferor, Transferee, and Transfer Target that are all IP EIs. The call flow is based on IETF Internet Draft, draft-ietf-sipping-examples, Section 2.4.

NOTE: [Figure 5.3.4.13-15](#) does not depict the call flows between LSCs and IP EIs. A summary of the changes to the call flow, if the IP EIs were AS-SIP EIs, is described after [Figure 5.3.4.13-15](#) and the accompanying table describing the unattended call transfer.

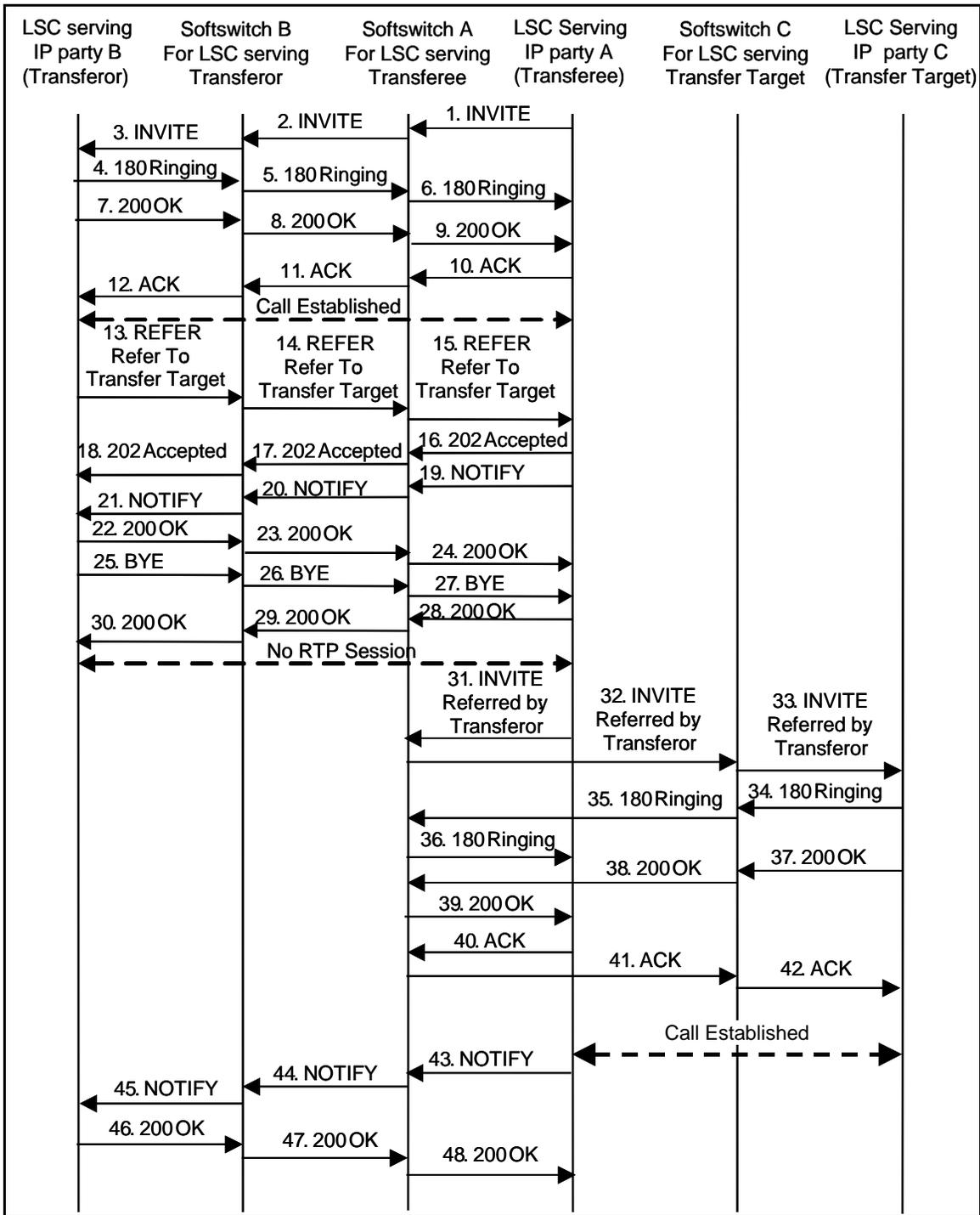


Figure 5.3.4.13-15. Unattended Call Transfer for IP EIs (REFER Method)

Section 5.3.4 – AS-SIP Requirements

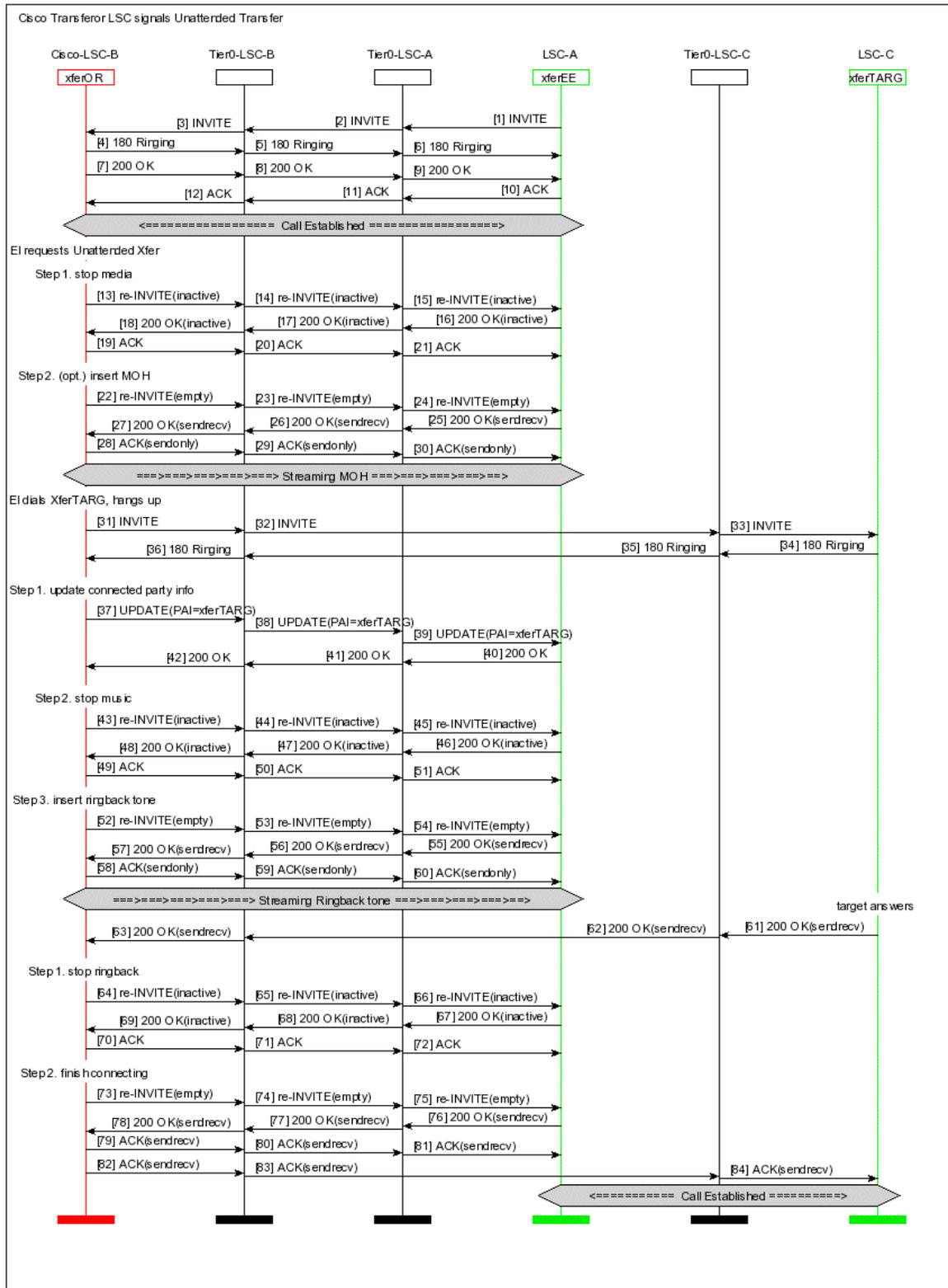


Figure 5.3.4.13-16. Unattended Call Transfer for IP EIs (INVITE and re-INVITE Method)

The basic sequence of events is as follows:

- The Transferee and the Transferor establish a session.
- The Transferor uses the REFER request to provide the Transferee with the contact information of the Transfer Target and to instruct the Transferee to initiate a session with the Transfer Target.
- The Transferee acknowledges the REFER request and terminates the session with the Transferor.
- The Transferee establishes a session with the Transfer Target.
- The Transferee notifies the Transferor of the successful establishment of the session between the Transferee and the Transfer Target.

STEP	INSTRUCTION
1, 2, 3	<p>Upon receiving an initial call request message from IP Party A (Transferee) (not depicted in the call flow diagram), the LSC serving IP Party A sends an INVITE to its assigned SS (SS A). SS A forwards the INVITE to the SS for the LSC serving IP Party B (Transferor) (SS B). SS B forwards the INVITE to the LSC serving IP Party B (Transferor).</p> <p>NOTE: Signaling between the EI serving IP Party A (Transferee) and the LSC serving IP Party A (Transferee) is not depicted. In addition, signaling between the LSC serving IP Party B (Transferor) and the EI serving IP Party B (Transferor) is not depicted.</p>
4, 5, 6	<p>The LSC serving IP Party B (Transferor) sends a 180 (Ringing) response to SS B. SS B forwards the 180 (Ringing) response to SS A, which forwards the 180 (Ringing) response to the LSC serving IP Party A (Transferee).</p> <p>NOTE: Signaling between the Transferor's IP EI and the LSC serving the Transferor is not depicted. In addition, signaling between the Transferee and the Transferee's IP EI is not depicted.</p>
7, 8, 9	<p>When IP Party B (Transferor) goes off-hook (i.e., answers the call), the LSC serving IP Party B sends a 200 (OK) response to SS B. SS B forwards the 200 (OK) response to SS A, which forwards the 200 (OK) response to the LSC serving IP Party A (Transferee).</p> <p>NOTE: Signaling between the Transferor's IP EI and the LSC serving the Transferor is not depicted. In addition, signaling between the LSC serving the Transferee and the Transferee's IP EI is not depicted.</p>

STEP	INSTRUCTION
10, 11, 12	<p>The LSC serving IP Party A (Transferee) sends an ACK to SS A. SS A forwards the ACK to SS B, which forwards the ACK to the LSC serving IP Party B (Transferor).</p> <p>NOTE: Signaling between the EI serving IP Party A (Transferee) and the LSC serving IP Party A (Transferee) is not depicted. In addition, signaling between the LSC serving IP Party B (Transferor) and the EI serving IP Party B (Transferor) is not depicted.</p>
13, 14, 15	<p>The Transferor (IP Party B) wishes to transfer the call to a third party, the Transfer Target (IP Party C), and instructs the IP EI to initiate a request for a call transfer to the Transfer Target. In the case of a SIP EI, the message takes the form of a REFER request. In the case of an H.323 or proprietary IP EI, the LSC serving the Transferor MUST create the REFER request when the Transferor signals an intent to transfer the call.</p> <p>The LSC serving the Transferor sends the REFER request to SS B. The REFER request includes a Refer-To header with the SIP URI of the Transfer Target (IP Party C). The REFER request also MAY include the Referred-By header that contains the SIP URI of the Transferor. SS B forwards the REFER request to SS A, which forwards the REFER request to the LSC serving IP Party A (Transferee).</p> <p>NOTE: Signaling between the Transferor’s IP EI and the LSC serving the Transferor is not depicted. In addition, signaling between the LSC serving the Transferee and the Transferee’s IP EI is not depicted.</p>
16, 17, 18	<p>The LSC serving the Transferee responds to the REFER request with a 202 (Accepted) response that it sends to SS A. SS A forwards the 202 (Accepted) response to SS B. SS B forwards the 202 (Accepted) response to the LSC serving the Transferor.</p> <p>NOTE: Signaling between the Transferee’s IP EI and the LSC serving the Transferee is not depicted. In addition, signaling between the LSC serving the Transferor and the Transferor’s IP EI is not depicted.</p>
19, 20, 21	<p>The LSC serving the Transferee creates (or forwards*) a NOTIFY with a body having the SIP response status line: SIP/2.0 100 Trying.</p> <p>The LSC serving the Transferee sends the NOTIFY to SS B. SS B forwards the NOTIFY to SS A.</p> <p>SS A forwards the NOTIFY to the LSC serving the Transferor.</p> <p>NOTE: Signaling between the Transferee’s IP EI and the LSC serving the Transferee is not depicted. In addition, signaling between the LSC serving the Transferor and the Transferor’s IP EI is not depicted.</p> <p>*If the Transferee uses a SIP EI</p>

STEP	INSTRUCTION
22, 23, 24	<p>The LSC serving the Transferor sends a 200 (OK) response to the NOTIFY to SS B. SS B forwards the 200 (OK) response to SS A. SS A forwards the 200 (OK) response to the LSC serving the Transferee.</p> <p>NOTE: Signaling between the Transferor's IP EI and the LSC serving the Transferor is not depicted. In addition, signaling between the LSC serving the Transferee and the Transferee's IP EI is not depicted.</p>
25, 26, 27	<p>The LSC serving the Transferor now terminates the RTP session with the Transferee. The LSC serving the Transferor sends a BYE request to SS B. SS B sends the BYE request to SS A. SS A sends the BYE request to the LSC serving the Transferee.</p> <p>NOTE: Signaling between the Transferor's IP EI and the LSC serving the Transferor is not depicted. In addition, signaling between the LSC serving the Transferee and the Transferee's IP EI is not depicted.</p>
28, 29, 30	<p>The LSC serving the Transferee responds to the BYE request with a 200 (OK) response. The Transferee sends the BYE request to SS A. SS A sends the 200 (OK) response to SS B. SS B sends the 200 (OK) response to the LSC serving the Transferor.</p> <p>NOTE: Signaling between the Transferee's IP EI and the LSC serving the Transferee is not depicted. In addition, signaling between the LSC serving the Transferor and the Transferor's IP EI is not depicted.</p>
31, 32, 33	<p>The LSC serving the Transferee creates (or forwards*) an INVITE using the SIP URI in the Refer-To header of the REFER request (received in step 15 from the Transferor) to generate the Request-URI of the INVITE. The INVITE MAY include a Referred-By header that contains the SIP URI of the Transferor.</p> <p>The LSC serving the Transferee sends the INVITE to SS A. SS A sends the INVITE to SS C (which is assigned to the LSC serving the Transfer Target (i.e., IP Party C)). SS C sends the INVITE to the LSC serving the Transfer Target.</p> <p>NOTE: Signaling between the Transferee's IP EI and the LSC serving the Transferee is not depicted. In addition, signaling between the LSC serving the Transfer Target and the Transfer Target's IP EI is not depicted.</p> <p>*If the Transferee uses a SIP EI</p>
34, 35, 36	<p>The LSC serving the Transfer Target sends a 180 (Ringing) response to SS C. SS C sends a 180 (Ringing) response to SS C. SS C sends a 180 (Ringing) response to the LSC serving the Transferee.</p> <p>NOTE: Signaling between the Transfer Target's IP EI and the LSC serving the Transfer Target is not depicted. In addition, signaling between the LSC serving the Transferee and the Transferee's IP EI is not depicted.</p>

STEP	INSTRUCTION
37, 38, 39	<p>The LSC serving the Transfer Target sends a 200 (OK) response to SS C. SS C sends a 200 (OK) response to SS A. SS A sends a 200 (OK) response to the LSC serving the Transferee.</p> <p>NOTE: Signaling between the Transfer Target’s IP EI and the LSC serving the Transfer Target is not depicted. In addition, signaling between the LSC serving the Transferee and the Transferee’s IP EI is not depicted.</p>
40, 41, 42	<p>The LSC serving the Transferee sends an ACK to SS A. SS A sends the ACK to SS C. SS C sends the ACK to the LSC serving the Transfer Target.</p> <p>NOTE: Signaling between the Transferee’s IP EI and the LSC serving the Transferee is not depicted. In addition, signaling between the LSC serving the Transfer Target and the Transfer Target’s IP EI is not depicted.</p>
43, 44, 45	<p>The LSC serving the Transferee reports the success of the call transfer to the Transferor by creating (or forwarding*) a NOTIFY with a body having the SIP response status line: SIP/2.0 200 OK.</p> <p>The LSC serving the Transferee sends the NOTIFY to SS A. SS A forwards the NOTIFY to SS B. SS B forwards the NOTIFY to the LSC serving the Transferor.</p> <p>NOTE: Signaling between the Transferee’s IP EI and the LSC serving the Transferee is not depicted. In addition, signaling between the LSC serving the Transferor and the Transferor’s IP EI is not depicted.</p> <p>*If the Transferee uses a SIP EI</p>
46, 47, 48	<p>The LSC serving the Transferor responds to the NOTIFY by creating a 200 (OK) response. The LSC serving the Transferor sends the 200 (OK) response to SS B. SS B sends the 200 (OK) response to SS A. SS A sends the 200 (OK) response to the LSC serving the Transferee.</p> <p>NOTE: Signaling between the Transferor’s IP EI and the LSC serving the Transferor is not depicted. In addition, signaling between the LSC serving the Transferee and the Transferee’s IP EI is not depicted.</p>

If the IP EIs are AS-SIP EIs, then the call flow is extended as follows:

- The initial INVITE originates at the transferee AS-SIP EI and is forwarded to the transferor AS-SIP EI (steps 1–3 extended).
- The subsequent 180 (Ringing) and 200 (OK) responses originate at the transferor AS-SIP EI and are forwarded to the transferee AS-SIP EI (steps 4–6 and 7–9 extended).

- The ACK originates at the transferee AS-SIP EI and is forwarded to the transferor AS-SIP EI (steps 10–12 extended).
- The transferor AS-SIP EI generates the REFER request and the REFER is forwarded to the transferee AS-SIP EI (steps 13–15 extended).
- The transferee AS-SIP EI originates and sends the 202 (Accepted) response and the NOTIFY through the UC network to the transferor AS-SIP EI (steps 16–18 and 19–21 extended).
- The transferor AS-SIP EI originates and sends the 200 (OK) response and the BYE request to the transferee AS-SIP EI (steps 22–24 and 25–27 extended).
- The transferee AS-SIP EI responds with the 200 (OK) response to the BYE request and sends it to the transferor AS-SIP EI (steps 28–30 extended).
- The transferee AS-SIP EI creates an INVITE using the SIP URI in the Refer-To header of the REFER request (received from the transferor) to generate the Request-URI of the INVITE for the transfer target and sends the INVITE to the transfer target AS-SIP EI (steps 31–33 extended).
- The transfer target AS-SIP EI generates and sends the 180 (Ringing) and 200 (OK) responses to the transferee AS-SIP EI (steps 34–36 and 37–39 extended).
- The transferee AS-SIP EI responds with an ACK that is forwarded to the transfer target AS-SIP EI (steps 40–42 extended).
- The transferee AS-SIP EI sends a NOTIFY with a body having the SIP response status line: SIP/2.0 200 OK to the transferor AS-SIP EI (steps 43–45 extended).
- The transferor AS-SIP EI responds with a 200 (OK) response to the NOTIFY, which it sends to the transferee AS-SIP EI (steps 46–48 extended).

[Figure 5.3.4.13-16](#), Unattended Call Transfer for IP EIs (INVITE and re-INVITE Method), presents an alternative SIP call flow for the unattended call transfer between a transferor, transferee, and transfer target that makes use of re-INVITES and INVITES (as opposed to the REFER request).

The basic sequence of events is as follows:

- The Transferee and the Transferor establish a session.
- The Transferor places the session with the Transferee on hold (music on hold is optional).
- The Transferor initiates the session establishment process with the Transfer Target (sends INVITE and receives a 180 (Ringing) response).
- The Transferor updates the connected party information with the Transferee to reflect the identity of the Transfer Target.
- The Transferor stops the music on hold if previously enabled and inserts ringback tone into bearer stream with the Transferee.
- The Transferor receives a 200 (OK) response from the Transfer Target and stops playing the ringback tone to the Transferee.
- The Transferor uses a re-INVITE with the Transferee to provide the Transferee with the Transfer Target's IP address and udp port for the RTP bearer stream between the Transferee and the Transfer Target.
- The Transferor completes the session establishment with the Transfer Target and provides the Transfer Target with the Transferee's IP address and udp port for the RTP stream between the Transfer Target and the Transferee.

NOTE: The call flows between LSCs and the IP EIs are not depicted.

NOTE: [Figure 5.3.4.13-16](#) does not depict the call flows between LSCs and IP EIs. A summary of the changes to the call flow, if the IP EIs were AS-SIP EIs, is described after [Figure 5.3.4.13-16](#) and the accompanying table describing the unattended call transfer.

ASAC

In [Figure 5.3.4.13-16](#), the call flow requires the Transferor LSC to allocate two call counts from the call count budget for the duration of the call even though no bearer traffic related to the given call actually transits the access link to the enclave where the Transferor is located.

5.3.4.13.8.3 Attended Transfer Call Transferor: SIP

Two methods have been approved for conducting the attended call transfer: The first method makes use of the REFER with Replaces method and the second makes use of INVITEs and re-INVITEs.

[Figure 5.3.4.13-17](#), Attended Call Transfer for IP EIs (REFER Method), presents the SIP call flow for the attended call transfer (using the REFER with Replaces method) between a Transferor, Transferee, and Transfer Target that are all IP EIs. The call flow is loosely based on ETSI TS 183 029 V2.5.0.

The basic sequence of events is as follows:

- The Transferee and the Transferor establish a session.
- The Transferor places the session with the Transferee on hold.
- The Transferor establishes a session with the Transfer Target.
- The Transferor places the session with the Transfer Target on hold.
- The Transferor then proceeds with the creation of a REFER request intended for the Transferee. Normally, the URI of the Transfer Target would be used by the Transferor LSC to populate the Refer-To URI field. However, to enable the successful completion of the attended call transfer using the REFER with Replaces method, it is necessary to keep the Transferor LSC in the signaling path of the REFER-triggered INVITE. As a result, the Transferor LSC inserts its own globally routable URI into the Refer-To header. The Transferor LSC places the Call-ID, to-tag, and from-tag information for the target dialog (from the perspective of the Transferor) in a single, fully escaped Replaces header, field which is inserted into the Refer-To header (see the example following the figure). The REFER is then forwarded downstream toward the Transferee.

NOTE: The Transferor LSC stores the actual Transfer Target URI in an indexed file and the index entry is placed in a new “rfrid” URI parameter that is inserted into the userinfo field of the Refer-To URI as follows:

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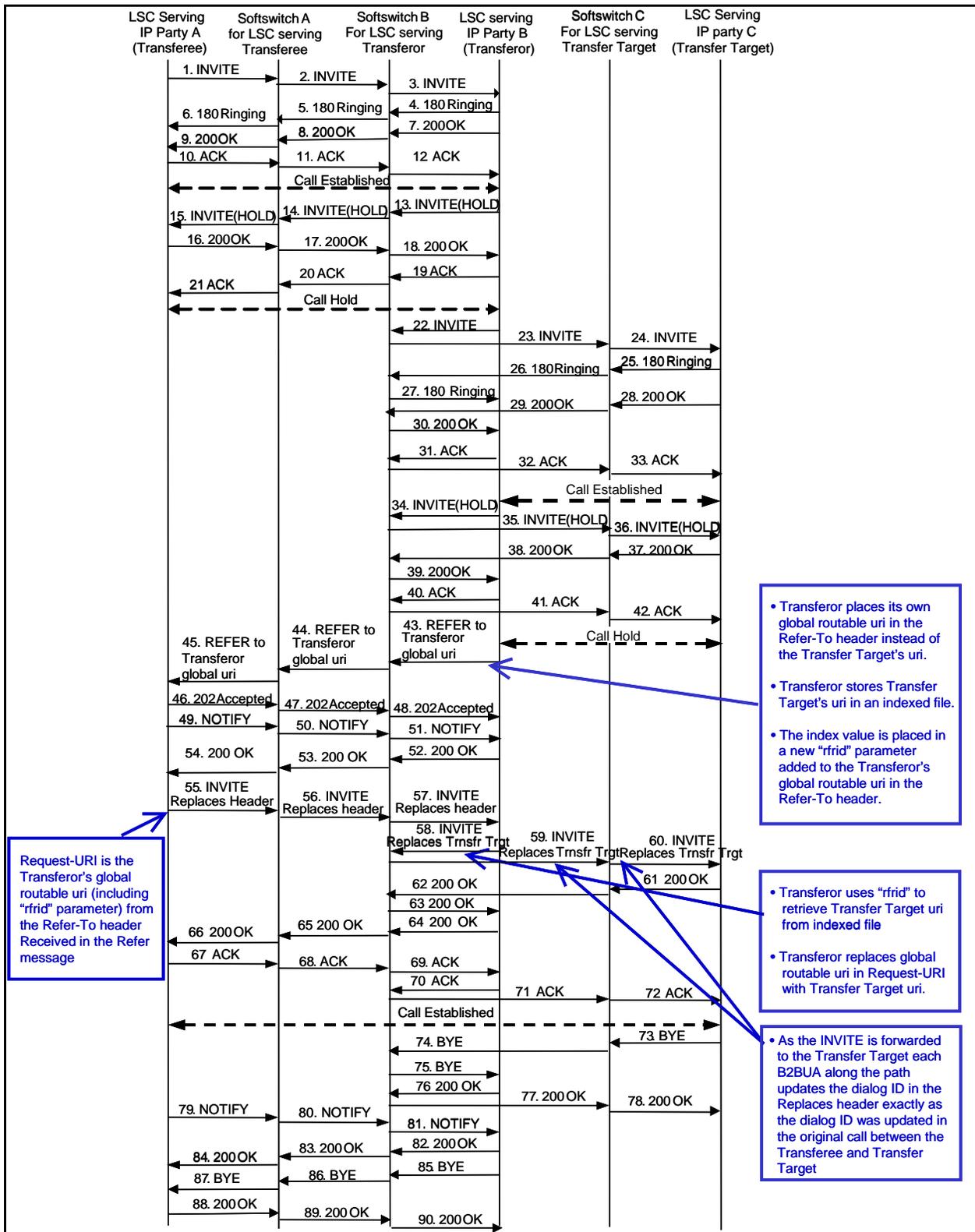


Figure 5.3.4.13-17. Attended Call Transfer for IP EIs (REFER Method)

Example:

Refer-
To:<sip:3121000000;rfrid=123456@uc.mil;user=phone>;Replaces=59243588173
4450904%3Bto-tag%3D9m2n3wq%3Bfrom-tag3D763231

- The Transferee accepts/acknowledges the REFER request.
- The Transferee attempts to establish a session by sending an INVITE request to the contact identified in the Refer-To header. The INVITE request includes a single Replaces header field value (i.e., the un-escaped Replaces header field value from the Refer-To header within the body of the REFER which triggered the corresponding INVITE).

NOTE: The Transferor LSC is now permanently in the signaling path between the Transferee and Transfer Target until the transferred call between the Transferee and the Transfer Target is eventually terminated.

- Transferor LSC receives the INVITE. It uses the “rfrid” value to look up and retrieve the actual Transfer Target URI. The Transferor LSC replaces its globally routable URI in the Request-URI with the actual Transfer Target URI and forwards the INVITE toward the Transfer Target.
- As the INVITE request is forwarded from the Transferor LSC to the Transfer Target, the dialog ID value in the Replaces header is “updated” (mapped to the dialog ID value of a corresponding “call leg” as recognized by each of the signaling appliances along the signaling path) on each hop along the signaling path.
- After successfully establishing a session with the Transferee, the Transfer Target ends the session with the Transferor.
- The Transferee notifies the Transferor of the successful establishment of the session between the Transferee and the Transfer Target.
- The Transferor ends the session with the Transferee.

NOTE: [Figure 5.3.4.13-17](#) does not depict the call flows between LSCs and the IP EIs. A summary of the changes to the call, if the IP EIs were AS-SIP EIs, is described after [Figure 5.3.4.13-17](#) and the accompanying table describing the attended call transfer.

ASAC

In [Figure 5.3.4.13-17](#), Attended Call Transfer for IP EIs (REFER Method), the call flow requires the Transferor LSC to allocate two call counts from the call count budget for the duration of the call even though no bearer traffic related to the given call actually transits the access link to the enclave where the Transferor is located.

STEP	INSTRUCTION
1, 2, 3	<p>Upon receiving an initial call request message from IP Party A (Transferee) (not depicted in the call flow diagram), the LSC serving IP Party A sends an INVITE to its assigned SS (SS A). SS A forwards the INVITE to the SS for the LSC serving IP Party B (Transferor) (SS B). SS B forwards the INVITE to the LSC serving IP Party B (Transferor).</p> <p>NOTE: Signaling between the EI serving IP Party A (Transferee) and the LSC serving IP Party A (Transferee) is not depicted. In addition, signaling between the LSC serving IP Party B (Transferor) and the EI serving IP Party B (Transferor) is not depicted.</p>
4, 5, 6	<p>The LSC serving IP Party B (Transferor) sends a 180 (Ringing) response to SS B. SS B forwards the 180 (Ringing) response to SS A, which forwards the 180 (Ringing) response to the LSC serving IP Party A (Transferee).</p> <p>NOTE: Signaling between the EI serving IP Party B (Transferor) and the LSC serving IP Party B (Transferor) is not depicted. In addition, signaling between the LSC serving IP Party A (Transferee) and the EI serving IP Party A (Transferee) is not depicted.</p>
7, 8, 9	<p>When IP Party B (Transferor) goes off-hook (i.e., answers the call), the LSC serving IP Party B sends a 200 (OK) response to SS B. SS B forwards the 200 (OK) response to SS A, which forwards the 200 (OK) response to the LSC serving IP Party A (Transferee).</p> <p>NOTE: Signaling between the EI serving IP Party B (Transferor) and the LSC serving IP Party B (Transferor) is not depicted. In addition, signaling between the LSC serving IP Party A (Transferee) and the EI serving IP Party A (Transferee) is not depicted.</p>
10, 11, 12	<p>The LSC serving IP Party A (Transferee) sends an ACK to SS A. SS A forwards the ACK to SS B, which forwards the ACK to the LSC serving IP Party B (Transferor).</p> <p>NOTE: Signaling between the EI serving IP Party A (Transferee) and the LSC serving IP Party A is not depicted. In addition, signaling between the LSC serving IP Party B and the EI serving IP Party B (Transferor) is not depicted.</p>
13, 14, 15	<p>The Transferor initiates call hold with the Transferee.</p> <p>In response to a request on the part of the Transferor, the LSC serving the Transferor sends a mid-call INVITE to SS B. The INVITE includes the attribute line “a=sendonly” or “a=inactive” if the stream had been a sendrecv media stream, or “a=inactive” if the stream had been a recvonly stream. SS B forwards the mid-call INVITE to SS A, which forwards the mid-call INVITE to the LSC serving the Transferee.</p>

STEP	INSTRUCTION
	<p>NOTE: The SIP UA MAY also support establishing a call hold by sending a mid-call INVITE that includes a session description that is the same as in the original request, but the “c” destination addresses for the media streams to be put on hold are set to zero: c=IN IP4 0.0.0.0.</p> <p>NOTE: Signaling between the Transferor’s EI and the LSC serving the Transferor is not depicted. In addition, signaling between the LSC serving the Transferee and the EI serving the Transferee is not depicted.</p>
16, 17, 18	<p>The LSC serving the Transferee sends a 200 (OK) response to SS A. SS A sends the 200 (OK) response to SS B. SS B sends the 200 (OK) response to the LSC serving the Transferor.</p> <p>NOTE: Signaling between the Transferee’s EI and the LSC serving the Transferee is not depicted. In addition, signaling between the LSC serving the transferor and the EI serving the Transferor is not depicted.</p>
19, 20, 21	<p>The LSC serving the Transferor sends an ACK to SS B. SS B sends an ACK to SS A. SS A sends the ACK to the LSC serving the Transferee.</p> <p>NOTE: Signaling between the Transferor’s EI and the LSC serving the Transferor is not depicted. In addition, signaling between the LSC serving the transferee and the EI serving the Transferee is not depicted.</p>
22, 23, 24	<p>Upon receiving a call request message from the Transferor (not depicted in the call flow diagram), the LSC serving the Transferor sends an INVITE to SS B. SS B forwards the INVITE to the SS C (which is responsible for the LSC serving the Transfer Target). SS C forwards the INVITE to the LSC serving the Transfer Target (IP Party C).</p> <p>NOTE: Signaling between the IP EI serving the transferor and the LSC serving the transferor is not depicted. In addition, signaling between the LSC serving the Transfer Target and the IP EI serving the Transfer Target is not depicted.</p>
25, 26, 27	<p>The LSC serving the Transfer Target sends a 180 (Ringing) response to SS C. SS C forwards the 180 (Ringing) response to SS B, which forwards the 180 (Ringing) response to the LSC serving the Transferor.</p> <p>NOTE: Signaling between the IP EI serving the Transfer Target and the LSC serving the Transfer Target is not depicted. In addition, signaling between the LSC serving the Transferor and the IP EI serving the Transferor is not depicted.</p>
28, 29, 30	<p>When the Transfer Target goes off-hook (i.e., answers the call), the LSC serving the Transfer Target sends a 200 (OK) response to SS C. SS C forwards the 200 (OK) response to SS B, which forwards the 200 (OK) response to the LSC serving the Transferor.</p> <p>NOTE: Signaling between the EI serving IP Party C (Transfer Target) and the LSC serving the Transfer Target is not depicted. In addition, signaling between the LSC serving IP Party A (Transferor) and the EI serving IP Party A (Transferor) is not depicted.</p>

STEP	INSTRUCTION
31, 32, 33	<p>The LSC serving IP Party B (Transferor) sends an ACK to SS B. SS B forwards the ACK to SS C, which forwards the ACK to the LSC serving IP Party C (Transfer Target).</p> <p>NOTE: Signaling between the EI serving IP Party B (Transferor) and the LSC serving IP Party B (Transferor) is not depicted. In addition, signaling between the LSC serving IP Party C (Transfer Target) and the EI serving IP Party C (Transfer Target) is not depicted.</p>
34, 35, 36	<p>The Transferor initiates call hold with the Transfer Target.</p> <p>In response to a request on the part of the Transferor, the LSC serving the Transferor sends a mid-call INVITE to SS B. The INVITE includes the attribute line “a=sendonly” or “a=inactive” if the stream had been a sendrecv media stream, or “a=inactive” if the stream had been a recvonly stream. SS B forwards the mid-call INVITE to SS C, which forwards the mid-call INVITE to the LSC serving the Transfer Target.</p> <p>NOTE: The SIP UA MAY also support establishing a call hold by sending a mid-call INVITE that includes a session description that is the same as in the original request, but the “c” destination addresses for the media streams to be put on hold are set to zero: c=IN IP4 0.0.0.0.</p> <p>NOTE: Signaling between the Transferor’s EI and the LSC serving the Transferor is not depicted. In addition, signaling between the LSC serving the Transfer Target and the EI serving the Transfer Target is not depicted.</p>
37, 38, 39	<p>The LSC serving the Transfer Target sends a 200 (OK) response to SS C. SS C sends the 200 (OK) response to SS B. SS B sends the 200 (OK) response to the LSC serving the Transferor.</p> <p>NOTE: Signaling between the Transfer Target’s EI and the LSC serving the Transfer Target is not depicted. In addition, signaling between the LSC serving the transferor and the EI serving the Transferor is not depicted.</p>
40, 41, 42	<p>The LSC serving the Transferor sends an ACK to SS B. SS B sends an ACK to SS C. SS C sends the ACK to the LSC serving the Transfer Target.</p> <p>NOTE: Signaling between the Transferor’s EI and the LSC serving the Transferor is not depicted. In addition, signaling between the LSC serving the transfer target and the EI serving the Transfer Target is not depicted.</p>

STEP	INSTRUCTION
43, 44, 45	<p>The Transferor (IP Party B) wishes to transfer the call with the Transferee (IP Party A) to the Transfer Target (IP Party C) and instructs the IP EI to initiate a request for a call transfer to the Transfer Target. In the case of an attended call transfer and a SIP EI, the message takes the form of a REFER request where the Refer-To header has the format indicated in RFC 3515, page 3, example 3. To wit, the SIP URI of the Transfer Target is followed by “?” followed by “Replaces=,” followed by the Call-ID of the established dialog between the Transferor and the Transfer target (where the “@” symbol is replaced by “%40”), followed by “%3Bto-tag=%3D” followed by the value of the to-tag of the dialog between the Transferor and the Transfer Target, followed by “%3Bfrom-tag=%3D,” followed by the value of the from-tag of the dialog between the Transferor and the Transfer Target. (For an example, please see draft-ietf-sipping-service-examples sec. 2.5, Transfer attended message F15).</p> <p>Upon receiving the REFER, the Transferor LSC stores the Transfer Target’s uri in an indexed file.</p> <p>The Transferor LSC places its own global routable uri in the Refer-To header.</p> <p>The Transferor LSC places the index value (used to access the Transfer Target URI in the indexed file) in a new “rfrid” parameter added to the Transferor LSC’s globally routable URI in the Refer-To header.</p> <p>If the Call-ID, to-tag, or from-tag used by the Transferor LSC in the established call to the Transfer Target is different from the values entered by the Transferor SIP EI, then the Transferor LSC places its values for the Call-ID, to-tag, and rom-tag in the Replaces field of the Refer-To header.</p> <p>The Transferor LSC sends the REFER to SS B. SS B forwards the REFER to SS A. SS A sends the REFER to the LSC serving IP Party A (the Transferee LSC).</p> <p>NOTE: All AS-SIP signaling appliances in the signaling path from the Transferor LSC through the Transferee LSC must preserve the entire URI including the the “rfrid” parameter located in the Refer-To header.</p>
46, 47, 48	<p>The LSC serving the Transferee responds to the REFER with a 202 (Accepted) response that it sends to SS A. SS A forwards the 202 (Accepted) response to SS B. SS B forwards the 202 (Accepted) response to the LSC serving the Transferor.</p> <p>NOTE: Signaling between the Transferee’s IP EI and the LSC serving the Transferee is not depicted. In addition, signaling between the LSC serving the Transferor and the Transferor’s IP EI is not depicted.</p>

STEP	INSTRUCTION
49, 50, 51	<p>The LSC serving the Transferee creates (or forwards*) a NOTIFY with a body having the SIP response status line: SIP/2.0 100 Trying. The LSC serving the Transferee sends the NOTIFY to SS A. SS A forwards the NOTIFY to SS B. SS B forwards the NOTIFY to the LSC serving the Transferor.</p> <p>NOTE: Signaling between the Transferee’s IP EI and the LSC serving the Transferee is not depicted. In addition,signaling between the LSC serving the Transferor and the Transferor’s IP EI is not depicted.</p> <p>*If the Transferee uses a SIP EI</p>
52, 53, 54	<p>The LSC serving the Transferor sends a 200 (OK) response to the NOTIFY to SS B. SS B forwards the 200 (OK) response to SS A, which forwards the 200 (OK) response to the LSC serving the Transferee.</p> <p>NOTE: Signaling between the Transferor’s IP EI and the LSC serving the Transferor is not depicted. In addition,signaling between the LSC serving the Transferee and the Transferee’s IP EI is not depicted.</p>
55, 56, 57	<p>The LSC serving the Transferee creates (or forwards*) an INVITE addressed to the Transferor LSC’s globally routable URI. The Request-URI field is the SIP URI from the Refer-To header of the REFER request (step 45) that consists of the globally routable URI of the Transferor LSC and the “rfrid” parameter. The INVITE also includes a Replaces header with a Call-ID, to-tag, and from-tag where their values are taken from the Refer-To header of the REFER request (step 45). The LSC serving IP Party A sends an INVITE to SS A, which forwards the INVITE to SS B, which forwards the INVITE to LSC serving IP Party B (i.e., Transferor LSC).</p> <p>NOTE: Signaling between the Transferee’s IP EI and the LSC serving the Transferee is not depicted.</p> <p>*If the Transferee uses a SIP EI</p>
58, 59, 60	<p>The Transferor LSC uses value in the “rfrid” parameter to retrieve the Transfer Target URI.</p> <p>The Transferor LSC replaces its global routable URI in the Request-URI with the Transfer Target URI.</p> <p>As the INVITE is forwarded from the Transferor LSC to the Transfer Target various AS-SIP signaling appliances implemented as B2BUAs will need to update the dialog ID in the Replaces header to match the dialog ID changes made across call legs to the established call between the Transferor and the Transfer Target.</p> <p>The Transferor LSC sends the INVITE to SS B, which sends the INVITE to SS C, which sends the INVITE to LSC serving IP Party C.</p> <p>NOTE: Signaling between the LSC serving the Transfer Target and the Transfer Target’s IP EI is not depicted.</p>

STEP	INSTRUCTION
61, 62, 63, 64, 65, 66	<p>The LSC serving the Transfer Target sends a 200 (OK) response to SS C. It forwards the 200 (OK) response to SS B, which forwards the 200 (OK) response to the LSC serving the Transferor, which forwards the 200 (OK) response back to SS B, which forwards the 200 (OK) response to SS A, which forwards the 200 (OK) response to the LSC serving the Transferee.</p> <p>NOTE: Signaling between the Transfer Target's IP EI and the LSC serving the Transfer Target is not depicted. In addition, signaling between the LSC serving the Transferee and the Transferee's IP EI is not depicted.</p>
67, 68, 69, 70, 71, 72	<p>The LSC serving the Transferee sends an ACK to SS A. SS A sends the ACK to SS B. SS B sends the ACK to the LSC serving the Transferor. The LSC serving the Transferor sends the ACK back to SS B. SS B sends the ACK to SS C. SS C sends the ACK to the LSC serving the Transfer Target.</p> <p>NOTE: Signaling between the Transferee's IP EI and the LSC serving the Transferee is not depicted. In addition, signaling between the LSC serving the Transfer Target and the Transfer Target's IP EI is not depicted.</p>
73, 74, 75	<p>The Transfer Target terminates the session with the Transferor.</p> <p>The LSC serving the Transfer Target sends a BYE to SS C. It sends the BYE to SS A, which sends the BYE to the LSC serving the Transferor.</p> <p>NOTE: Signaling between the Transfer Target's IP EI and the LSC serving the Transfer Target is not depicted. In addition, signaling between the LSC serving the Transferor and the Transferor's IP EI is not depicted.</p>
76, 77, 78	<p>The LSC serving the Transferor sends a 200 (OK) response to SS A. It sends a 200 (OK) response to SS C, which sends a 200 (OK) response to the LSC serving the Transfer Target.</p> <p>NOTE: Signaling between the Transferor's IP EI and the LSC serving the Transferor is not depicted. In addition, signaling between the LSC serving the Transfer Target and the Transfer Target's IP EI is not depicted.</p>
79, 80, 81	<p>The LSC serving the Transferee reports the success of the call transfer to the Transferor by creating (or forwarding*) a NOTIFY with a body having the SIP response status line: SIP/2.0 200 OK. The LSC serving the Transferee sends the NOTIFY to SS A. It forwards the NOTIFY to SS B, which forwards the NOTIFY to the LSC serving the Transferor.</p> <p>NOTE: Signaling between the Transferee's IP EI and the LSC serving the Transferee is not depicted. In addition, signaling between the LSC serving the Transferor and the Transferor's IP EI is not depicted.</p> <p>*If the Transferee uses a SIP EI</p>

STEP	INSTRUCTION
82, 83, 84	<p>The LSC serving the Transferor responds to the NOTIFY with a 200 (OK) response. The LSC serving the Transferor sends the 200 (OK) response to SS B. It sends the 200 (OK) response to SS A, which sends the 200 (OK) response to the LSC serving the Transferee.</p> <p>NOTE: Signaling between the Transferor’s IP EI and the LSC serving the Transferor is not depicted. In addition, signaling between the LSC serving the Transferee and the Transferee’s IP EI is not depicted.</p>
85, 86, 87	<p>The LSC serving the Transferor sends a BYE to SS B to initiate the session termination between the Transferor and the Transferee. SS B sends the BYE to SS A. It sends the BYE to the LSC serving the Transferee.</p> <p>NOTE: Signaling between the Transferor’s IP EI and the LSC serving the Transferor is not depicted. In addition, signaling between the LSC serving the Transferee and the Transferee’s IP EI is not depicted.</p>
88, 89, 90	<p>The LSC serving the Transferee responds to the BYE with a 200 (OK) response.</p> <p>The LSC serving the Transferee sends the 200 (OK) response to SS A. It sends the 200 (OK) response to SS B, which sends the 200 (OK) response to the LSC serving the Transferor.</p> <p>NOTE: Signaling between the Transferee’s EI and the LSC serving the Transferee is not depicted. In addition, signaling between the LSC serving the Transferor and the Transferor’s IP EI is not depicted.</p>

If the IP EIs are AS-SIP EIs, then the call flow is extended as follows:

- The initial INVITE originates at the Transferee’s AS-SIP EI and is forwarded to the Transferor’s AS-SIP EI (steps 1–3 extended).
- The subsequent 180 (Ringing) and 200 (OK) responses originate at the Transferor’s AS-SIP EI and are forwarded to the Transferee’s AS-SIP EI (steps 4–6 and 7–9 extended).
- The ACK originates at the Transferee’s AS-SIP EI and is forwarded to the Transferor’s AS-SIP EI (steps 10–12 extended).
- The Transferor’s AS-SIP EI sends a re-INVITE to the Transferee’s AS-SIP EI to place the call on hold (step 13–15 extended).
- The Transferee’s AS-SIP EI responds with a 200 (OK) response that is forwarded to the Transferor’s AS-SIP EI (step 16–18 extended).

- The Transferor’s AS-SIP EI sends an ACK to the Transferee’s AS-SIP EI and is forwarded to the Transferor’s AS-SIP EI (steps 19–21 extended).
- The Transferor’s AS-SIP EI sends an INVITE to the Transfer Target AS-SIP EI (steps 22–24 extended).
- The subsequent 180 (Ringing) and 200 (OK) responses originate at the Transfer Target’s AS-SIP EI and are forwarded to the Transferor’s AS-SIP EI (steps 25–27 and 28–30 extended).
- The ACK originates at the Transferor’s AS-SIP EI and is forwarded to the Transfer Target AS-SIP EI (steps 31–33 extended).
- The Transferor’s AS-SIP EI sends a re-INVITE to the Transfer Target’s AS-SIP EI to place the call on hold (step 34–36 extended).
- The Transfer Target’s AS-SIP EI responds with a 200 (OK) response that is forwarded to the Transferor’s AS-SIP EI (step 37–39 extended).
- The Transferor’s AS-SIP EI sends an ACK to the Transfer Target’s AS-SIP EI and is forwarded to the Transferor’s AS-SIP EI (steps 40–42 extended).
- The Transferor’s AS-SIP EI generates a REFER request where the Refer-To header has the format indicated in RFC 3515, page 3, example 3 (in the previous table, see steps 43–45) (step 43 extended). Upon receiving the REFER, the Transferor’s LSC stores the Transfer Target’s uri in an indexed file, places its own global routable uri in the Refer-To header, places the index value (used to access the Transfer Target’s URI in the indexed file) in a new “rfrid” parameter that is added its globally routable URI in the Refer-To header (If the Call-ID, to-tag, or from-tag used by the Transferor’s LSC in the established call to the Transfer Target is different from the values entered by the Transferor’s AS-SIP EI, then the Transferor’s LSC places its values for Call-ID, to-tag, and from-tag in the Replaces field of the Refer-To header.) (step 43). The REFER is forwarded to the Transferee’s AS-SIP EI (steps 44 and 45 extended). NOTE: The Transferee’s LSC and the Transferee’s AS-SIP EI must preserve the entire URI including the “rfrid” parameter located in the Refer-To header.
- The Transferee’s AS-SIP EI sends a 202 (Accepted) response and a NOTIFY to the Transferor’s AS-SIP EI (steps 46–48 and steps 49–51 extended).

- The Transferor’s AS-SIP EI responds to the NOTIFY by sending a 200 (OK) response to the Transferee’s AS-SIP EI (steps 52–54 extended).
- The Transferee’s AS-SIP EI creates an INVITE with a Replaces header addressed to the Transferor’s LSC globally routable URI. The Request-URI field is the SIP URI from the Refer-To header of the REFER request (step 45) that consists of the globally routable URI of the Transferor’s LSC and the “rfrid” parameter (steps 55–57 extended).
- The Transferor LSC uses value in “rfrid” parameter to retrieve the Transfer Target’s URI and replaces its globally routable URI in the Request-URI with the Transfer Target’s URI. Then Transferor’s LSC sends an INVITE to Transfer Target’s AS-SP EI (steps 58–60 extended).
- The Transfer Target’s AS-SIP EI responds with 200 (OK) response to the Transferee’s AS-SIP EI (steps 61–66 extended).
- The Transferee’s AS-SIP EI sends an ACK to Transfer Target’s AS-SIP EI (steps 67–72 extended).
- The Transfer Target’s AS-SIP EI ends the session with the Transferor’s AS-SIP EI by sending a BYE (steps 73–75 extended).
- The Transferor’s AS-SIP EI responds with a 200 (OK) response to the Transfer Target’s AS-SIP EI (steps 76–78 extended).
- The Transferee’s AS-SIP EI notifies the Transferor’s AS-SIP EI of a successful transfer by sending a NOTIFY request (steps 79–81 extended).
- The Transferor’s AS-SIP EI responds with 200 (OK) response to the Transferee’s AS-SIP EI (steps 82–84 extended).
- The Transferor’s AS-SIP EI terminates the session with the Transferee’s AS-SIP EI by sending a BYE (steps 85–87 extended).
- The Transferee’s AS-SIP EI responds with 200 (OK) response to the Transferor’s AS-SIP EI (steps 88–90 extended).

[Figure 5.3.4.13-18](#), Attended Call Transfer for IP EI (INVITE and re-INVITE Method), presents an alternative SIP call flow for the attended call transfer between a Transferor, Transferee, and Transfer Target that makes use of an INVITE and re-INVITEs (as opposed to the REFER request and an INVITE with a Replaces header).

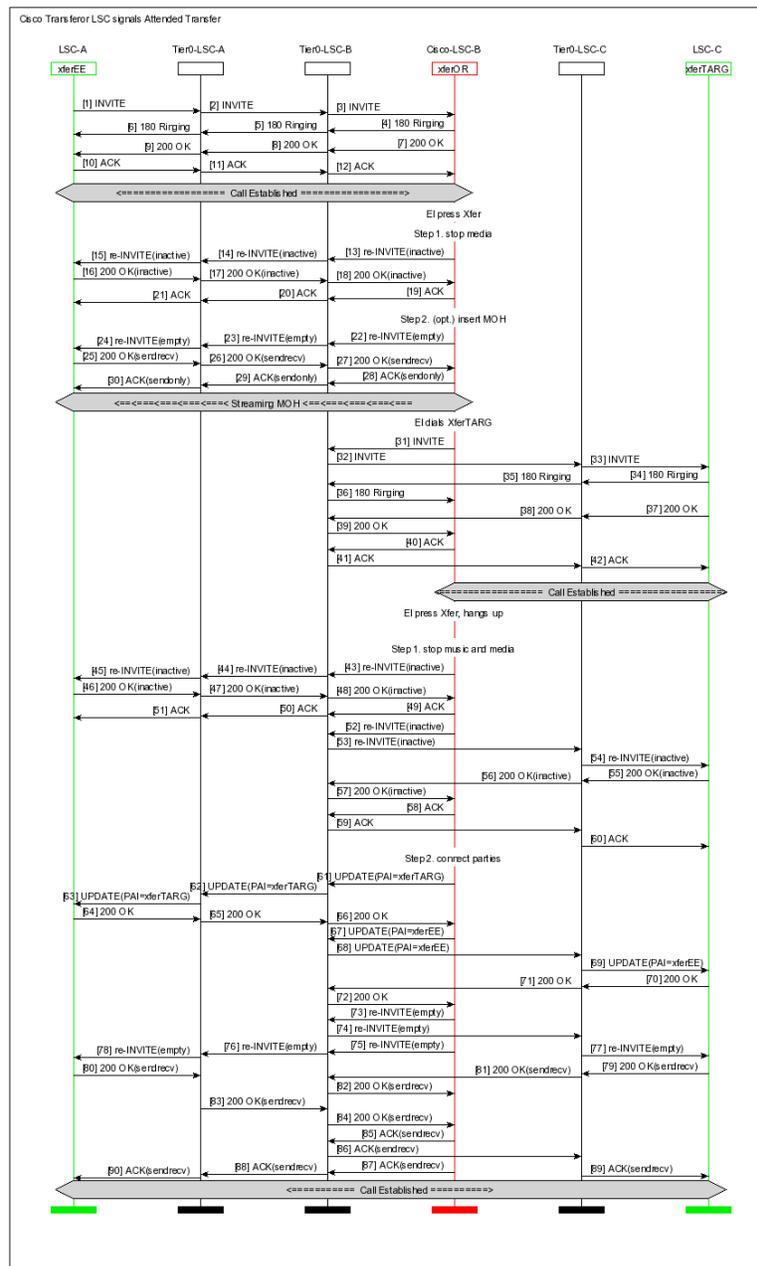


Figure 5.3.4.13-18. Attended Call Transfer for IP EI (INVITE and re-INVITE Method)

The basic sequence of events is as follows:

- The Transferee and the Transferor establish a session.
- The Transferor places the session with the Transferee on hold (music on hold is optional).

- The Transferor and the Transfer Target establish a session.
- The Transferor stops the music on hold with the Transferee, if previously enabled, and places the call on hold without media.
- The Transferor places the call with the Transfer Target on hold.
- The Transferor updates the connected party information with the Transferee to reflect the identity of the Transfer Target.
- The Transferor updates the connected party information with the Transfer Target to reflect the identity of the Transferee.
- The Transferor updates the connected party information with the Transfer Target for the bearer stream to be provided to the Transfer Target.
- The Transferor initiates a re-INVITE with the Transferee to obtain an IP address and udp port to be provided to the Transfer Target and initiates a separate re-INVITE with the Transfer Target to obtain an IP address and udp port for the bearer stream to provide to the Transferee.
- The Transferor provides the Transferee with the IP address and udp port information obtained from the Transfer Target when the Transferor sends the sdp answer to the Transferee (ACK request 87, 88, 90).
- The Transferor provides the Transfer Target with the IP address and udp port information obtained from the Transferee when the Transferor sends the sdp answer to the Transfer Target (ACK request 85, 86, 89).

NOTE: The call flows between LSCs and the IP EIs are not depicted.

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In [Figure 5.3.4.13-18](#), Attended Call Transfer for IP EI (INVITE and re-INVITE Method), the call flow requires the Transferor's LSC to allocate two call counts from the call count budget for the duration of the call even though no bearer traffic related to the given call actually transits the access link to the enclave where the Transferor is located.

5.3.4.13.9 Modem on IP Networks

This section of the UCR addresses the AS-SIP signaling plane requirements necessary for the support of modem relay capabilities in gateways (i.e., trunk-side MGs, line-side MGs, ATAs, IADs) and UC SCIP EIs. The role of AS-SIP signaling is to provide an interoperable mechanism for exchanging and negotiating modem relay capabilities between modem relay-capable gateways and UC SCIP EIs during session establishment, and thereby, enabling the gateways and UC SCIP EIs to transition to, and operate in, the modem relay state during the established session.

The detailed minimum essential requirements relating to V.150.1 modem relay (outside of the AS-SIP signaling plane requirements) including the messages and operation of the Simple Packet Relay Transport (SPRT) Protocol and of the State Signaling Events (SSE) Protocol are defined in UCR 2008, Section 5.3.2.21.

5.3.4.13.9.1 AS-SIP Signaling Requirements in Support of Modem Relay-Capable Gateways

NOTE: The term modem relay-capable gateway applies to the following:

- Trunk-side MGs
- Line-side MGs
- ATAs
- IADs

NOTE: In the following signaling plane sections on modem relay, the term AS-SIP signaling appliance refers to the LSC or MFSS that controls a trunk-side MG and/or line-side MG, and refers to ATAs and IADs.

5.3.4.13.9.1.1 Advertisement of Modem Relay Capabilities in SDP Offer on behalf of Modem Relay Capable Gateways

5.3.4.13.9.1.1.1 Whenever an AS-SIP signaling appliance controlling a modem relay-capable gateway receives a telephony call request from the TDM network intended for the IP network AND the associated MG currently has sufficient idle modem relay resources capable of supporting a new modem relay session, then the AS-SIP signaling appliance MUST advertise audio capabilities and modem relay-related capabilities in the sdp offer.

5.3.4.13.9.1.1.1.1 NOTE: The modem relay resources are not actually allocated to a particular audio session until either receipt of State Signaling Event 3, “Modem Relay Mode” call discrimination message

over the RTP bearer or receipt of the 2100 Hz ANS or /ANS tone over the TDM bearer.

NOTE: Since the modem relay resource at the MG is not allocated to a session until receipt of an appropriate SSE message (from the IP network) or tone (from the TDM network) that is transmitted over the bearer stream, it is possible that at the time a precedence caller signals an intent to transition to secure mode (i.e., modem relay mode) that all modem relay resources at the MG could currently be allocated to other sessions, in which case, the request by the precedence caller to make the transition to secure mode will fail then. Under the current scheme, there is no mechanism to conduct preemption of modem relay resources on behalf of the precedence call transition request. This shortcoming will be addressed in the next update of the UCR.

5.3.4.13.9.1.1.2 Whenever an AS-SIP signaling appliance controlling a modem relay-capable gateway receives a call request from the TDM network intended for the IP network AND the associated MG does not currently have sufficient idle modem relay resources to support a new modem relay session, then the AS-SIP signaling appliance **MUST** only advertise audio capabilities and **MUST NOT** advertise modem relay-related capabilities in the sdp offer. This applies to ROUTINE calls and to precedence calls.

NOTE: If idle modem relay resources are not available at the time a precedence call request is received from the TDM network, the sdp offer in the INVITE will **NOT** advertise modem relay capabilities. The interworking AS-SIP signaling appliance will **NOT** preempt a ROUTINE or lower precedence call that is currently using the MG's modem relay resources to enable the precedence call to advertise modem relay capabilities. This functionality will be reviewed for the next update of the UCR.

5.3.4.13.9.1.1.3 The AS-SIP signaling appliance **MUST** offer the same udp port number for the modem relay media (i.e., for SPRT and for SSE) as is used for the corresponding audio media.

5.3.4.13.9.1.1.4 Modem relay **MUST** be indicated as a latent capability in accordance with RFC 3407 where:

5.3.4.13.9.1.1.4.1 The <media> parameter of the “cdsc” attribute **MUST** be assigned the value “audio.”

5.3.4.13.9.1.1.4.2 The <transport> parameter of the “cdsc” attribute MUST be assigned the value “udpsprt” to advertise SPRT.

5.3.4.13.9.1.1.4.3 The <fmt list> MUST be assigned a dynamic payload type in the range 96–127 inclusive.

5.3.4.13.9.1.1.4.4 The payload type MUST be mapped to the modem relay payload format, v150mr, by including the “sprtmap” attribute in the RFC 3407 “cpar” attribute.

Example

```
m=audio 49230 RTP/AVP 0 8
a=sqn: 0
a=cdsc: 1 audio udpsprt 100
a=cpar: a=sprtmap:100 v150mr/8000
```

where a=sprtmap:<payload type> v150mr/<clock rate>

5.3.4.13.9.1.1.5 The AS-SIP signaling appliance MUST declare the SSE Protocol as a dynamic RTP/AVP payload type where the <encoding name> parameter of the “rtptime” attribute MUST be assigned the value “v150fw.”

Example

```
m=audio 3456 RTP/AVP 0 96
a=rtptime:96 v150fw/8000
```

5.3.4.13.9.1.1.6 The AS-SIP signaling appliance MAY include a format-specific “fmt” attribute line if it wishes to advertise event 4 fax relay (and/or event 5 text relay; however, text relay is NOT defined for this version of the UCR).

Example including “fmt” attribute

```
m=audio 3456 RTP/AVP 0 96
a=rtptime:96 v150fw/8000
a=fmt:96 4
```

5.3.4.13.9.1.1.7 The gateway is required to be capable of sending and receiving/processing event 1, Audio Mode, and event 3, Modem Relay Mode. Events 1 and 3 MAY be enumerated, but are not required to be enumerated, in the “fmt” attribute as they are implied by default, and event 2, VBD, MUST NOT be

enumerated in the “fmtp” attribute because it is NOT a valid media state for purposes of this UCR document.

Example:

```
m=audio 3456 RTP/AVP 0 96
a=rtpmap:96 v150fw/8000
a=fmtp:96 1,3,4
```

5.3.4.13.9.1.1.8 This specification requires use of simple SSE repetition for SSE reliability, which is not declared in the sdp description and the AS-SIP signaling appliance MUST NOT declare support for the RFC 2198 RTP payload for redundant data and MUST NOT negotiate the optional explicit acknowledgement procedure.

5.3.4.13.9.1.1.9 The AS-SIP signaling appliance MUST specify the following set of format-specific mandatory parameters for v150mr that are declared using the “fmtp” attribute:

5.3.4.13.9.1.1.9.1 Modem relay type “mr” MUST be set to the value “1,” which represents U-MR.

5.3.4.13.9.1.1.9.2 If the gateway does not support transcoding, then the MG type “mg” MUST be set to zero (“No Transcoding”); otherwise, in the case of a single transcoding gateway, the MG type “mg” MUST be set to one (“Single Transcoding”), and in the case of a double transcoding gateway, the MG type “mg” MUST be set to two (“Double Transcoding”).

5.3.4.13.9.1.1.9.3 Call discrimination mode select, “CDSCselect” MUST be set to one (audio (RFC 2833)).

5.3.4.13.9.1.1.9.3.1 If negotiation of call discrimination mode select fails for any reason, then it is assumed by the AS-SIP signaling appliance and gateway that “CDSCselect” is set to one (audio (RFC 2833)).

5.3.4.13.9.1.1.9.4 The parameter “mrmodes” identifies the V-series modulations supported in modem relay mode by the gateway and MUST be set to include, at a minimum, “1” (V.34 duplex), “3” (V.32 bis/V.32), “13” (V.90 analog), “14” (V.90 digital), “16” (V.92 analog), and “17”

(V.92 digital). Additional supported V-series modulations MAY also be advertised.

5.3.4.13.9.1.1.9.5 A Boolean parameter “jmdelay” indicates whether the gateway supports the JM delay procedure. If the gateway supports the JM delay procedure, the value of “jmdelay” MUST be set to “yes,” and if the gateway does not support the JM delay procedure, the value of “jmdelay” MUST be set to “no.”

5.3.4.13.9.1.1.9.6 The AS-SIP signaling appliance MUST specify the version of the V.150.x family of recommendations using the “versn” parameter where the value assumes a format of x.y where the x corresponds to the number after V.150 (currently x=1) and the y represents the version of the recommendation (currently y=1). versn=1.1

Example of v150mr mandatory parameters

```
m=audio 49232 RTP/AVP 0 8
a=sqn: 0
a=cdsc: 1 audio udpsprt 100
a=cpar: a=sprtmap:100 v150mr/8000
a=cpar :a=fmtp:100 mr=1; mg=1; CDSCselect=1;
mrmodes=1,3,13,14,16,17; jmdelay=no; versn=1.1
```

NOTE: It is permissible to place each <parameter>=<value> pair in a separate “fmtp” attribute line.

Example:

```
m=audio 49232 RTP/AVP 0 8
a=sqn: 0
a=cdsc: 1 audio udpsprt 100
a=cpar: a=sprtmap:100 v150mr/8000
a=cpar :a=fmtp:100 mr=1
a=cpar :a=fmtp:100 mg=1
a=cpar :a=fmtp:100 CDSCselect=1
a=cpar :a=fmtp:100 mrmodes=1,3,13,14,16,17
a=cpar :a=fmtp:100 jmdelay=no
a=cpar :a=fmtp:100 versn=1.1
```

5.3.4.13.9.1.1.10 The AS-SIP signaling appliance **MUST** indicate support for, at a minimum, the following RFC 2833 events: ANS (32), /ANS (33), ANSam (34), and /ANSam (35).

Example:

```
m=audio 49230 RTP/AVP 0 8 97
a=rtpmap:97 telephone-event/8000
a=fmtp:97 32,33,34,35
```

5.3.4.13.9.1.1.11 The AS-SIP signaling appliance **MUST** advertise the “NoAudio” payload type to interoperate with a “Modem Relay-Preferred” end point that immediately transitions to the Modem Relay state without first transmitting voice information in the Audio state.

5.3.4.13.9.1.1.11.1 The AS-SIP signaling appliance **MUST** use a dynamic RTP payload type in the range 96–127 (although no RTP message definition has been defined for “NoAudio”) and **MUST** identify the NoAudio payload type using the string “NoAudio.”

5.3.4.13.9.1.1.11.2 The AS-SIP signaling appliance controlling the gateway **MUST** signal a udp port number and a sample rate of 8000 Hz.

5.3.4.13.9.1.1.11.3 “NoAudio” **SHOULD** be the lowest priority payload type in the payload type list.

Example of sdp offer that supports G.711 and NoAudio:

```
m=audio 54320 RTP/AVP 0 111
a=rtpmap:0 PCMU/8000
a=rtpmap:111 NoAudio/8000
```

5.3.4.13.9.1.1.11.4 Whenever the “NoAudio” payload type has been negotiated for a media stream, then the AS-SIP signaling appliance **MUST** ensure that the gateway ceases transmission of voice traffic over the given media stream.

5.3.4.13.9.1.1.12 It is **RECOMMENDED** that the AS-SIP signaling appliance use the default values for maximum payload size for SPRT channels 0, 2, and 3, and the maximum window size for SPRT channel 2; however, the AS-SIP signaling appliance **MAY** specify optional SPRT protocol parameters by the “srtparm” attribute where the “srtparm” format is:

a=sprtparm:<maxPayload0> <maxPayload1> <maxPayload2>
 <maxPayload3>
 <maxWindow1> <maxWindow2>

and where the parameters maxPayload0, maxPayload1, maxPayload2, maxPayload3, maxWindow1, and maxWindow2 represent integer values as shown in [Table 5.3.4.13-1](#), which is a copy of ITU Recommendation V.150.1, Table E.2:

Table 5.3.4.13-1. Payload and Window Sizes

PARAMETER	DEFINITION	VALUE RANGE	DEFAULT
maxPayload0	Maximum payload size of SPRT channel 0 in bytes	Integer 140–256	140
maxPayload1	Maximum payload size of SPRT channel 1 in bytes	Integer 132–256	132
maxPayload2	Maximum payload size of SPRT channel 2 in bytes	Integer 132–256	132
maxPayload3	Maximum payload size of SPRT channel 3 in bytes	Integer 140–256	140
maxWindow1	Maximum window size of SPRT channel 1 in bytes	Integer 32–96	32
maxWindow2	Maximum window size of SPRT channel 2 in bytes	Integer 8–32	8

NOTE: Any parameter can be omitted by setting it to “\$,” in which case, the default value is used for that parameter. It is also valid to emit trailing “\$.”

Example

a=sprtparm:180 100 \$ 240 40 25

Example

a=sprtparm:220 200 \$ 240

In this example maxpayload2, maxwindow1, and maxwindow2 are set to their default values.

5.3.4.13.9.1.1.13 If negotiation of the optional SPRT protocol parameters fails for any reason, then the default values MUST be used by the local gateway and by the remote gateway or UC SCIP EI.

Basic Example of SDP offer on behalf of modem relay capable gateway that advertises modem relay capabilities

```
v=0
o=- 25678 753849 IN IP4 128.96.41.1
s=
c=IN IP4 128.96.41.1
t=0 0
m=audio 49230 RTP/AVP 0 8 97 98 111
a=rtpmap:97 telephone-event/8000
a=fmtp:97 32,33,34,35
a=rtpmap:98 v150fw/8000
a=rtpmap:111 NoAudio/8000
a=sn: 0
a=cdsc: 1 audio udpsprt 100
a=cpar: a=sprtmap:100 v150mr/8000
a=cpar :a=fmtp:98 mr=1; mg=1; CDSCselect=1;
mrmods=1,3,13,14,16,17; jmdelay=no; versn=1.1
```

5.3.4.13.9.1.2 Advertisement of Modem Relay Capabilities in SDP Answer on Behalf of Modem Relay Capable Gateways

5.3.4.13.9.1.2.1 Whenever an AS-SIP signaling appliance controlling a modem relay-capable gateway receives an sdp offer in which modem relay-related capabilities have NOT been declared, then the AS-SIP signaling appliance MUST NOT declare its modem relay-related capabilities in the sdp answer.

5.3.4.13.9.1.2.2 Whenever an AS-SIP signaling appliance controlling a modem relay-capable gateway receives an INVITE with an sdp offer that advertises modem relay capabilities AND the associated MG currently has idle modem relay resources capable of supporting a new modem relay session, then the AS-SIP signaling appliance MUST advertise modem relay-related capabilities in the sdp answer.

NOTE: It is possible that when a precedence caller signals an intent to transition to secure mode (i.e., modem relay mode) that all modem relay resources at the MG could currently be allocated to other sessions in which case the request by the precedence caller to make the transition to secure mode will fail then. Under the current scheme, there is no mechanism to conduct preemption of modem relay resources on behalf of the precedence call transition request. This shortcoming will be addressed in the next update of the UCR.

5.3.4.13.9.1.2.3 Whenever an AS-SIP signaling appliance controlling a modem relay-capable gateway receives an INVITE with an sdp offer that advertises modem relay capabilities AND the associated MG does not currently have sufficient idle modem relay resources to support a new modem relay session, then the AS-SIP signaling appliance MUST only advertise audio capabilities and MUST NOT declare a modem relay capability in the sdp answer. This applies to ROUTINE calls and to precedence calls.

NOTE: If idle modem relay resources are not available when a precedence call request is received from the TDM network, the sdp answer will NOT accept modem relay capabilities. The interworking AS-SIP signaling appliance will NOT preempt a ROUTINE or lower precedence call that is currently using the MG's modem relay resources to enable the precedence call to accept modem relay capabilities. This functionality will be reviewed for the next update of the UCR.

5.3.4.13.9.1.2.4 As in the case of the sdp offer, the AS-SIP signaling appliance MUST use the same udp port number for the modem relay media (i.e., for SPRT and for SSE) as is used for the corresponding audio media.

5.3.4.13.9.1.2.5 As in the case of the sdp offer, modem relay MUST be indicated as a latent capability in the sdp answer in accordance with RFC 3407 where:

5.3.4.13.9.1.2.5.1 The <media> parameter of the “cdsc” attribute MUST be assigned the value “audio.”

5.3.4.13.9.1.2.5.2 The <transport> parameter of the “cdsc” attribute MUST be assigned the value “udpsprt” to advertise SPRT.

5.3.4.13.9.1.2.5.3 The <fmt list> MUST match the dynamic payload type assigned in the sdp offer.

5.3.4.13.9.1.2.5.4 The payload type MUST be mapped to the modem relay payload format, v150mr, by including the “sprtmap” attribute in the RFC 3407 “cpar” attribute.

Example excerpt of an sdp offer and answer

Offer

```
m=audio 49230 RTP/AVP 0 8
a=sqn: 0
a=cdsc: 1 audio udpsprt 100
```

a=cpar: a=sprtmap:100 v150mr/8000

Answer

m=audio 32140 RTP/AVP 0
a=sqn: 0
a=cdsc: 1 audio udpsprt 100
a=cpar: a=sprtmap:100 v150mr/8000

5.3.4.13.9.1.2.6 The AS-SIP signaling appliance **MUST** declare the SSE Protocol in the sdp answer, using the same dynamic RTP/AVP payload type assigned in the sdp offer where the <encoding name> parameter of the “rtpmap” attribute **MUST** be assigned the value “v150fw”.

Example excerpt of an sdp offer and answer

Offer

m=audio 3456 RTP/AVP 0 96
a=rtpmap:96 v150fw/8000

Answer

m=audio 4640 RTP/AVP 0 96
a=rtpmap:96 v150fw/8000

5.3.4.13.9.1.2.7 If the sdp offer includes an “fmp” attribute line with supported events, then if the gateway controlled by the AS-SIP signaling appliance supports any event listed in the sdp offer, the AS-SIP signaling appliance **MUST** include an “fmp” attribute in the sdp answer that includes the events that were listed in the sdp offer that are also supported by the gateway of the AS-SIP signaling appliance generating the sdp answer.

5.3.4.13.9.1.2.8 If event 1, Audio Mode, and event 3, Modem Relay Mode, are listed in the “fmp” attribute in the sdp offer, then events 1 and 3 **MAY** be listed, but are **NOT** required to be listed, in the sdp answer as they are implied by default, and event 2, VBD, **MUST NOT** be present in the “fmp” attribute of the sdp offer or answer because it is **NOT** a valid media state for purposes of this UCR document.

Example excerpt of sdp offer and answer including “fmp” attribute

Offer

```
m=audio 3456 RTP/AVP 0 96  
a=rtpmap:96 v150fw/8000  
a=fmtp:96 4
```

Answer

```
m=audio 4640 RTP/AVP 0 96  
a=rtpmap:96 v150fw/8000  
a=fmtp:96 4
```

5.3.4.13.9.1.2.9 This specification requires the use of simple SSE repetition for SSE reliability, which is not declared in the sdp description, and the AS-SIP signaling appliance **MUST NOT** declare support for the RFC 2198 RTP payload for redundant data in the sdp answer and **MUST NOT** declare the optional explicit acknowledgement procedure in the sdp answer.

5.3.4.13.9.1.2.10 In the sdp answer, the AS-SIP signaling appliances **MUST** specify the following set of format-specific mandatory parameters for v150mr that are declared using the “fmtp” attribute:

5.3.4.13.9.1.2.10.1 Modem relay type “mr” **MUST** be set to the value one, which represents U-MR.

5.3.4.13.9.1.2.10.2 If the gateway does not support transcoding, then the MG type “mg” **MUST** be set to zero (i.e., No Transcoding); otherwise, in the case of a single transcoding gateway, the MG type “mg” **MUST** be set to one (i.e., Single Transcoding), and in the case of a double transcoding gateway, the MG type “mg” **MUST** be set to two (i.e., Double Transcoding).

5.3.4.13.9.1.2.10.3 The call discrimination mode select, “CDSCselect,” **MUST** be set to one (audio [RFC 2833]).

5.3.4.13.9.1.2.10.3.1 If negotiation of call discrimination mode select fails for any reason, then it is assumed by the AS-SIP signaling appliance and gateway that “CDSCselect” is set to one (audio [RFC 2833]).

5.3.4.13.9.1.2.10.4 The parameter “mrmodes” identifies the V-series modulations supported in modem relay mode by the gateway and for each of the following V-series modulations advertised in the sdp offer:

- ‘1’ (V.34 duplex)
- ‘3’ (V.32 *bis*/V.32)
- ‘13’ (V.90 analog)
- ‘14’ (V.90 digital)
- ‘16’ (V.92 analog)
- ‘17’ (V.92 digital)

The same V-series modulation **MUST** be included in the sdp answer.

5.3.4.13.9.1.2.10.4.1 For any V-series modulation listed in Requirement 5.3.4.13.9.1.2.10.4 that is absent in the sdp offer, the AS-SIP signaling appliance **MUST NOT** include said absent V-series modulations in the sdp answer.

5.3.4.13.9.1.2.10.4.2 If additional V-series modulations beyond those enumerated in Requirement 5.3.4.13.9.1.2.10.4 are present in the sdp offer and are supported by the gateway, then the additional V-series modulations **MUST** be added to the sdp answer.

5.3.4.13.9.1.2.10.5 A Boolean parameter, “jmdelay,” is indicating whether the gateway supports the JM delay procedure. If the gateway supports the JM delay procedure, the value of “jmdelay” **MUST** be set to “yes,” and if the gateway does not support the JM delay procedure the value of “jmdelay” **MUST** be set to “no.”

5.3.4.13.9.1.2.10.6 The AS-SIP signaling appliance **MUST** specify the version of the V.150.x family of recommendations using the parameter “versn” where the value assumes a format of x.y where the x corresponds to the number after V.150 (currently x=1), and the y represents the version of the recommendation (currently y=1), e.g., versn=1.1.

5.3.4.13.9.1.2.10.6.1 The value of the sdp “versn” parameter in the sdp answer **MUST** be equal to or lower than the value of the “versn” parameter in the sdp offer. For this UCR release, the value of the parameter “versn” is 1.1.

Example of v150mr mandatory parameters in an sdp offer and answer

Offer

```
m=audio 49232 RTP/AVP 0 8
a=sqn: 0
a=cdsc: 1 audio udpsprt 100
a=cpar: a=sprtmap:100 v150mr/8000
a=cpar :a=fmtp:100 mr=1; mg=1;
CDSCselect=1; mrmodes=1,3,13,14,16,17;
jmdelay=no; versn=1.1
```

Answer

```
m=audio 37416 RTP/AVP 0
a=sqn: 0
a=cdsc: 1 audio udpsprt 100
a=cpar: a=sprtmap:100 v150mr/8000
a=cpar :a=fmtp:100 mr=1; mg=1;
CDSCselect=1; mrmodes=1,3,13,14,16,17;
jmdelay=no; versn=1.1
```

5.3.4.13.9.1.2.11 The AS-SIP signaling appliance **MUST** indicate support for, at a minimum, the following RFC 2833 events: ANS (32), /ANS (33), ANSam (34) and /ANSam (35).

Example

```
m=audio 37416 RTP/AVP 0 2 8 97
a=rtmap:97 telephone-event/8000
a=fmtp:97 32-35
```

5.3.4.13.9.1.2.12 When the AS-SIP signaling appliance controlling the gateway receives an sdp offer from a modem relay-preferred end point (i.e., the audio media stream in the sdp offer advertises the “NoAudio” payload as the only payload type), then the AS-SIP signaling appliance **MUST** respond in the sdp answer by accepting the “NoAudio” payload type.

Example

“NoAudio” sdp offer:

```
m=audio 12346 RTP/AVP 99  
a=rtpmap:99 NoAudio/8000
```

“NoAudio” sdp answer:

```
m=audio 24560 RTP/AVP 99  
a=rtpmap:99 NoAudio/8000
```

5.3.4.13.9.1.2.12.1 Whenever the “NoAudio” payload type has been negotiated for a media stream, then the AS-SIP signaling appliance **MUST** ensure that the gateway ceases transmission of voice traffic over the given media stream.

5.3.4.13.9.1.2.13 Whenever the AS-SIP signaling appliance controlling the gateway receives an sdp offer in which one or more audio payload types supported by the controlled gateway are advertised in addition to the “NoAudio” payload type, then the AS-SIP signaling appliance **MUST** respond in the sdp answer by accepting one of the supported audio payload types.

5.3.4.13.9.1.2.14 If the sdp offer includes the “srtprtm” attribute, then the sdp answer **MUST** include the “srtprtm” attribute in the sdp answer where each parameter value advertised in the sdp answer **MUST** be less than or equal to the corresponding parameter value in the sdp offer **AND**, in all cases, the parameter value **MUST** be within the value range specified in Table 5.3.4.13-1, Payload and Window Sizes.

5.3.4.13.9.1.2.15 If the sdp offer received by the AS-SIP signaling appliance does not include the “srtprtm” attribute, the sdp answer **MUST NOT** include the “srtprtm” attribute, because the gateway is required to be capable of supporting the default values for the maximum payload size for SPRT channels 0, 2, and 3, and the maximum window size for SPRT channel 2.

5.3.4.13.9.1.2.15.1 If negotiation of the optional SPRT protocol parameters fails for any reason, then the default values **MUST** be used by the local gateway and by the remote gateway or UC SCIP EI.

Basic example of an SDP offer on behalf of a modem relay-capable gateway that advertises modem relay capabilities

```
v=0
o=- 25678 753849 IN IP4 128.96.41.1
s= -
c=IN IP4 128.96.41.1
t=0 0
m=audio 49230 RTP/AVP 0 8 97 98 111
a=rtpmap:97 telephone-event/8000
a=fmtp:97 32,33,34,35
a=rtpmap:98 v150fw/8000
a=rtpmap:111 NoAudio/8000
a=sn: 0
a=cdsc: 1 audio udpsprt 100
a=cpar: a=sprtmap:100 v150mr/8000
a=cpar :a=fmtp:98 mr=1; mg=1; CDSCselect=1;
mrmodes=1,3,13,14,16,17; jmdelay=no; versn=1.1
```

Basic example of an SDP answer on behalf of a modem relay-capable gateway that advertises modem relay capabilities

```
v=0
o=- 36421 34062 IN IP4 118.2.36.42
s= -
c=IN IP4 118.2.36.42
t=0 0
m=audio 25110 RTP/AVP 0 97 98
a=rtpmap:97 telephone-event/8000
a=fmtp:97 32,33,34,35
a=rtpmap:98 v150fw/8000
a=sn: 0
a=cdsc: 1 audio udpsprt 100
```

5.3.4.13.9.2 AS-SIP Signaling Requirements in Support of UC SCIP EIs

5.3.4.13.9.2.1 UC SCIP EI Advertisement of Modem Relay Capabilities in SDP Offer

5.3.4.13.9.2.1.1 Whenever a single appearance UC SCIP EI generates an sdp offer then it declares its modem relay-related capabilities in the sdp offer.

5.3.4.13.9.2.1.2 Whenever a multiple appearance UC SCIP EI generates an sdp offer AND the UC SCIP EI currently has idle modem relay resources to support a modem

relay session, then the UC SCIP EI MUST declare its modem relay-related capabilities in the sdp offer.

NOTE: A multiple appearance UC SCIP EI can only operate one session in secure mode (i.e., modem relay mode) at any given time. If a session on appearance 1 is currently operating in secure mode and the user wishes to transition the session on appearance 2 to secure mode, then the user must first transition the session on appearance 1 back to audio mode.

5.3.4.13.9.2.1.3 Whenever a multiple appearance UC SCIP EI generates an sdp offer AND the UC SCIP EI and the modem relay resources are currently in use, then the UC SCIP EI MUST only declare audio capabilities in the sdp offer and MUST NOT declare modem relay-related capabilities in the sdp offer.

NOTE: To offer modem relay capabilities on one appearance, the user must either be operating in audio mode on the other appearance or the other appearance must be idle.

5.3.4.13.9.2.1.4 The UC SCIP EI MUST offer the same udp port number for the modem relay media (i.e., for SPRT and for SSE) as used for the corresponding audio media.

5.3.4.13.9.2.1.5 Modem relay MUST be indicated as a latent capability in accordance with RFC 3407, sdp Simple Capability Declaration, where:

5.3.4.13.9.2.1.5.1 The <media> parameter of the “cdsc” attribute MUST be assigned the value “audio.”

5.3.4.13.9.2.1.5.2 The <transport> parameter of the “cdsc” attribute MUST be assigned the value “udpsprt” to advertise SPRT.

5.3.4.13.9.2.1.5.3 The <fmt list> MUST be assigned a dynamic payload type in the range 96–127 inclusive.

5.3.4.13.9.2.1.5.4 The payload type MUST be mapped to the modem relay payload format, v150mr, by including the “sprtmap” attribute in the RFC 3407 “cpar” attribute.

Example:

```
m=audio 49230 RTP/AVP 0 8
a=sqn: 0
a=cdsc: 1 audio udpsprt 100
a=cpar: a=sprtmap:100 v150mr/8000
```

where a=sprtmap:<payload type> v150mr/<clock rate>

5.3.4.13.9.2.1.6 The UC SCIP EI MUST declare the SSE protocol as a dynamic RTP/AVP payload type where the <encoding name> parameter of the “rtpmap” attribute MUST be assigned the value “v150fw.”

Example:

```
m=audio 3456 RTP/AVP 0 96
a=rtpmap:96 v150fw/8000
```

5.3.4.13.9.2.1.7 The UC SCIP EI MAY include a format-specific “fmp” attribute line if it wishes to advertise event 4 fax relay (and/or event 5 text relay; however, text relay is NOT defined for this version of the UCR).

Example including “fmp” attribute

```
m=audio 3456 RTP/AVP 0 96
a=rtpmap:96 v150fw/8000
a=fmp:96 4
```

5.3.4.13.9.2.1.8 The UC SCIP EI is required to be capable of sending and receiving/processing event 1 Audio mode and event 3 Modem Relay mode. Events 1 and 3 MAY be enumerated, but are not required to be enumerated, in the “fmp” attribute because they are implied by default and event 2 VBD MUST NOT be enumerated in the “fmp” attribute because it is NOT a valid media state for the purposes of this UCR document.

Example:

```
m=audio 3456 RTP/AVP 0 96
a=rtpmap:96 v150fw/8000
a=fmp:96 4
```

5.3.4.13.9.2.1.9 This specification requires the use of simple SSE repetition for SSE reliability, which is not declared in the sdp description, and the UC SCIP EI MUST NOT declare support for the RFC 2198 RTP payload for redundant data and MUST NOT negotiate the optional explicit acknowledgement procedure.

5.3.4.13.9.2.1.10 The UC SCIP EI MUST specify the following set of format-specific mandatory parameters for v150mr that are declared using the “fmp” attribute:

5.3.4.13.9.2.1.10.1 Modem relay type “mr” MUST be set to the value “1,” which represents U-MR

5.3.4.13.9.2.1.10.2 Media gateway type “mg” MUST be set to “0” (“No Trans-compression”)

5.3.4.13.9.2.1.10.3 Call discrimination mode select, “CDSCselect” MUST be set to 1 (audio [RFC 2833]).

5.3.4.13.9.2.1.10.3.1 If negotiation of call discrimination mode select fails for any reason, then it is assumed by the UC SCIP EI that “CDSCselect” is set to 1 (audio [RFC 2833]).

5.3.4.13.9.2.1.10.4 The parameter “mrmodes” identifies the V-series modulations supported in modem relay mode by the UC SCIP EI and MUST be set to include “1” (V.34 duplex) and “3” (V.32 *bis*/V.32). The UC SCIP EI MAY also identify other supported modulations.

5.3.4.13.9.2.1.10.5 The JM delay support procedure parameter “jmdelay” MUST be set to “no.”

5.3.4.13.9.2.1.10.6 The UC SCIP EI MUST specify the version of the V.150.x family of ITU-T Recommendations using the parameter “versn” where the value assumes a format of x.y where the “x” corresponds to the number after V.150 (currently x=1) and the “y” represents the version of the recommendation (currently y=1): versn=1.1.

Example:

```
m=audio 49232 RTP/AVP 0 8
a=sqn: 0
a=cdsc: 1 audio udpsprt 100
a=cpar: a=sprtmap:100 v150mr/8000
a=cpar :a=fmtp:100 mr=1; mg=0; CDSCselect=1; mrmodes=1,3;
jmdelay=no; versn=1.1
```

NOTE: It is permissible to place each <parameter>=<value> pair in a separate “fmtp” attribute line.

Example:

```
m=audio 49232 RTP/AVP 0 8
a=sqn: 0
a=cdsc: 1 audio udpsprt 100
a=cpar: a=sprtmap:100 v150mr/8000
a=cpar :a=fmtp:100 mr=1
a=cpar :a=fmtp:100 mg=0
a=cpar :a=fmtp:100 CDSCselect=1
a=cpar :a=fmtp:100 mrmodes=1,3
a=cpar :a=fmtp:100 jmdelay=no
a=cpar :a=fmtp:100 versn=1.1
```

5.3.4.13.9.2.1.11 The UC SCIP EI MUST indicate support for, at a minimum, the following RFC 2833 events: ANS (32), /ANS (33), ANSam (34), and /ANSam (35).

Example:

```
m=audio 49230 RTP/AVP 0 8 97
a=rtptime:97 telephone-event/8000
a=fmtp:97 32,33,34,35
```

5.3.4.13.9.2.1.12 The UC SCIP EI MUST advertise the “NoAudio” payload type to interoperate with a “Modem Relay-Preferred” end point that immediately transitions to the Modem Relay state without first transmitting voice information in the Audio state.

5.3.4.13.9.2.1.12.1 The UC SCIP EI MUST use a dynamic RTP payload type in the range 96–127 (although no RTP message definition has been defined for “NoAudio”) and MUST identify the “NoAudio” payload type using the string “NoAudio.”

5.3.4.13.9.2.1.12.2 The UC SCIP EI MUST signal a udp port number and a sample rate of 8000 Hz.

5.3.4.13.9.2.1.12.3 “NoAudio” SHOULD be the lowest priority payload type in the payload type list.

Example from SCIP-215 of sdp offer sent by UC SCIP EI that supports G.711 and “NoAudio”:

```
m=audio 54320 RTP/AVP 0 111
a=rtpmap:0 PCMU/8000
a=rtpmap:111 NoAudio/8000
```

5.3.4.13.9.2.1.12.4 Whenever the “NoAudio” payload type has been negotiated for a media stream, then the UC SCIP EI MUST cease transmission of voice traffic over the given media stream.

5.3.4.13.9.2.1.13 It is RECOMMENDED that the UC SCIP EI use the default values for maximum payload size for SPRT channels 0, 2, and 3 and the maximum window size for SPRT channel 2; however, the UC SCIP EI MAY specify optional SPRT protocol parameters by means of the “sprtparm” attribute where the “sprtparm” format is:

```
a=sprtparm:<maxPayload0> <maxPayload1> <maxPayload2> <maxPayload3>
           <maxWindow1> <maxWindow2>
```

and where the parameters maxPayload0, maxPayload1, maxPayload2, maxPayload3, maxWindow1, and maxWindow2 represent integer values as shown in [Table 5.3.4.13-2](#), which is a copy of ITU Recommendation V.150.1, Table E.2.

Table 5.3.4.13-2. ITU Recommendation V.150.1, Table E.2

PARAMETER	DEFINITION	VALUE RANGE	DEFAULT
maxPayload0	Maximum payload size of SPRT channel 0 in bytes	Integer 140–256	140
maxPayload1	Maximum payload size of SPRT channel 1 in bytes	Integer 132–256	132
maxPayload2	Maximum payload size of SPRT channel 2 in bytes	Integer 132–256	132
maxPayload3	Maximum payload size of SPRT channel 3 in bytes	Integer 140–256	140
maxWindow1	Maximum window size of SPRT channel 1 in bytes	Integer 32–96	32
maxWindow2	Maximum window size of SPRT channel 2 in bytes	Integer 8–32	8

NOTE: Any parameter can be omitted by setting it to “\$” in which case the default value is used for that parameter. It is also valid to emit trailing “\$.”

Example:

```
a=sprtparm:180 100 $ 240 40 25
```

Example:

```
a=sprtparm:220 200 $ 240
```

In this example, maxPayload2, maxWindow1, and maxWindow2 are set to their default values.

5.3.4.13.9.2.1.14 If negotiation of the optional SPRT protocol parameters fails for any reason, then the default values **MUST** be used by the local UC SCIP EI and by the remote gateway or UC SCIP EI.

Basic Example of SDP Offer by UC SCIP EI that Advertises Modem Relay Capabilities

```
v=0
o=- 25678 753849 IN IP4 128.96.128.1
s=
c=IN IP4 128.96.128.1
t=0 0
m=audio 49230 RTP/AVP 0 8 97 98 111
a=rtpmap:97 telephone-event/8000
a=fmtp:97 32,33,34,35
a=rtpmap:98 v150fw/8000
a=rtpmap:111 NoAudio/8000
a=sn: 0
a=cpsc: 1 audio udpsprt 100
a=cpar: a=sprtmap:100 v150mr/8000
a=cpar :a=fmtp:98 mr=1; mg=0; CDSCselect=1; mrmodes=1,3; jmdelay=no; versn=1.1
```

5.3.4.13.9.2.2 UC SCIP EI Advertisement of Modem Relay Capabilities in SDP Answer

5.3.4.13.9.2.2.1 Whenever a UC SCIP EI receives an sdp offer in which modem relay-related capabilities have NOT been declared, then the UC SCIP EI **MUST NOT** declare its modem relay-related capabilities in the sdp answer.

5.3.4.13.9.2.2.2 Whenever a single appearance UC SCIP EI receives an sdp offer in which modem relay-related capabilities have been declared for an audio media stream, then the UC SCIP EI **MUST**, in the sdp answer, declare its own modem relay-related capabilities for the audio media stream.

5.3.4.13.9.2.2.3 Whenever a multiple appearance UC SCIP EI receives an sdp offer in which modem relay-related capabilities have been declared for an audio media stream **AND** the UC SCIP EI has idle modem relay resources available to support the modem

relay call, then the UC SCIP EI **MUST**, in the sdp answer, declare its own modem relay-related capabilities for the audio media stream.

NOTE: A multiple appearance UC SCIP EI can only operate one session in secure mode (i.e., modem relay mode) at any given time. If a session on appearance 1 is currently operating in secure mode and the user wishes to transition the session on appearance 2 to secure mode, then the user must first transition the session on appearance 1 back to audio mode.

5.3.4.13.9.2.2.4 Whenever a multiple appearance UC SCIP EI receives a SIP message with an sdp offer in which modem relay-related capabilities have been declared but the UC SCIP EI does not presently have idle modem relay resources to support a modem relay session, then the UC SCIP EI **MUST** only advertise audio capabilities and **MUST NOT** declare a modem relay capability in the sdp answer. This applies to ROUTINE calls and to precedence calls.

NOTE: To accept modem relay capabilities on one appearance, the user must either be operating in audio mode on the other appearance or the other appearance must be idle.

5.3.4.13.9.2.2.5 As in the case of the sdp offer, the UC SCIP EI **MUST** use the same udp port number for the modem relay media (i.e., for SPRT and for SSE) as used for the corresponding audio media (i.e., for SPRT and for SSE).

5.3.4.13.9.2.2.6 As in the case of the sdp offer, modem relay **MUST** be indicated as a latent capability in the sdp answer in accordance with RFC 3407, sdp Simple Capability Declaration, where:

5.3.4.13.9.2.2.6.1 The <media> parameter of the “cdsc” attribute **MUST** be assigned the value “audio.”

5.3.4.13.9.2.2.6.2 The <transport> parameter of the “cdsc” attribute **MUST** be assigned the value “udpsprt” to advertise SPRT.

5.3.4.13.9.2.2.6.3 The <fmt list> **MUST** match the dynamic payload type assigned in the sdp offer.

5.3.4.13.9.2.2.6.4 The payload type **MUST** be mapped to the modem relay payload format, v150mr, by including the “sprtmap” attribute in the RFC 3407 “cpar” attribute.

Example excerpt of sdp offer and answer:

Offer:

```
m=audio 49230 RTP/AVP 0 8
a=sqn: 0
a=cdsc: 1 audio udpsprt 100
a=cpar: a=sprtmap:100 v150mr/8000
```

Answer:

```
m=audio 32140 RTP/AVP 0
a=sqn: 0
a=cdsc: 1 audio udpsprt 100
a=cpar: a=sprtmap:100 v150mr/8000
```

5.3.4.13.9.2.2.7 The UC SCIP EI MUST declare the SSE protocol in the sdp answer using the same dynamic RTP/AVP payload type assigned in the sdp offer where the <encoding name> parameter of the “rtpmap” attribute MUST be assigned the value “v150fw.”

Example excerpt of sdp offer and answer:

Offer:

```
m=audio 3456 RTP/AVP 0 96
a=rtpmap:96 v150fw/8000
```

Answer:

```
m=audio 4640 RTP/AVP 0 96
a=rtpmap:96 v150fw/8000
```

5.3.4.13.9.2.2.8 If the sdp offer includes an “fmp” attribute line with supported events, then if the UC SCIP EI supports any of the events listed in the sdp offer the UC SCIP EI MUST include a “fmp” attribute in the sdp answer that includes the events that were listed in the sdp offer that are also supported by the UC SCIP EI.

5.3.4.13.9.2.2.9 If event 1 Audio mode and event 3 Modem Relay mode are listed in the “fmp” attribute in the sdp offer, then events 1 and 3 MAY be listed, but are NOT required to be listed, in the sdp answer as they are implied by default, and event 2 VBD MUST NOT be present in the “fmp” attribute of the sdp offer or answer because it is NOT a valid media state for the purposes of this UCR document.

Example excerpt of sdp offer and answer including “fmt” attribute:

Offer:

```
m=audio 3456 RTP/AVP 0 96
a=rtpmap:96 v150fw/8000
a=fmt:96 4
```

Answer:

```
m=audio 4640 RTP/AVP 0 96
a=rtpmap:96 v150fw/8000
a=fmt:96 4
```

5.3.4.13.9.2.2.10 This specification requires use of simple SSE repetition for SSE reliability, which is not declared in the sdp description and the UC SCIP EI MUST NOT declare support for the RFC 2198 RTP payload for redundant data in the sdp answer and MUST NOT declare support for the optional explicit acknowledgement procedure in the sdp answer.

5.3.4.13.9.2.2.11 In the sdp answer, the UC SCIP EI MUST specify the following set of format-specific mandatory parameters for v150mr that are declared using the “fmt” attribute:

5.3.4.13.9.2.2.11.1 Modem relay type “mr” MUST be set to the value “1,” which represents U-MR.

5.3.4.13.9.2.2.11.2 Media gateway type “mg” MUST be set to “0” (“No Trans-compression”).

5.3.4.13.9.2.2.11.3 Call discrimination mode select, “CDSCselect” MUST be set to “1” (audio [RFC 2833]).

5.3.4.13.9.2.2.11.3.1 If negotiation of call discrimination mode select fails for any reason, then it is assumed by the UC SCIP EI that “CDSCselect” is set to 1 (audio [RFC 2833]).

5.3.4.13.9.2.2.11.4 The parameter “mrmodes” identifies the V-series modulations supported in modem relay mode by the UC SCIP EI and for each of the following V-series modulations advertised in the sdp offer:

“1” (V.34 duplex)

“3” (V.32 *bis*/V.32)

the same V-series modulation **MUST** be included in the sdp answer.

5.3.4.13.9.2.2.11.4.1 For any of the V-series modulations listed in Requirement 5.3.4.13.9.2.2.11.4 that are absent in the sdp offer, the UC SCIP EI **MUST NOT** include said absent V-series modulations in the sdp answer.

5.3.4.13.9.2.2.11.4.2 If additional V-series modulations are present in the sdp offer and are supported by the UC SCIP EI, then the additional V-series modulations **MUST** be added to the sdp answer.

5.3.4.13.9.2.2.11.5 The JM delay support procedure parameter “jmdelay” **MUST** be set to “no.”

5.3.4.13.9.2.2.11.6 The UC SCIP EI **MUST** specify the version of the V.150.x family of ITU-T Recommendations using the parameter “versn” where the value assumes a format of x.y where the “x” corresponds to the number after V.150 (currently x=1) and the “y” represents the version of the recommendation (currently y=1): versn=1.1.

5.3.4.13.9.2.2.11.6.1 The value of the sdp “versn” parameter in the sdp answer **MUST** be equal to or lower than the value of the “versn” parameter in the sdp offer. For purposes of this version of the UCR, the value of the parameter “versn” is 1.1.

Example of v150mr mandatory parameters in sdp offer and answer:

Offer (from gateway):

```
m=audio 49232 RTP/AVP 0 8
a=sqn: 0
a=cdsc: 1 audio udpsprt 100
a=cpar: a=sprtmap:100 v150mr/8000
a=cpar :a=fmtp:100 mr=1; mg=1; CDSCselect=1;
mrmods=1,3,13,14,16,17; jmdelay=no; versn=1.1
```

Answer (from UC SCIP EI):

```
m=audio 33310 RTP/AVP 0
a=sn: 0
a=cdsc: 1 audio udpsprt 100
a=cpar: a=sprtmap:100 v150mr/8000
a=cpar :a=fmtp:100 mr=1; mg=0; CDSCselect=1; mrmodes=1,3;
jmdelay=no; versn=1.1
```

5.3.4.13.9.2.2.12 The UC SCIP EI MUST indicate support for, at a minimum, the following RFC 2833 events: ANS (32), /ANS (33), ANSam (34) and /ANSam (35).

Example:

```
m=audio 37416 RTP/AVP 0 8 97
a=rtmpmap:97 telephone-event/8000
a=fmtp:97 32-35
```

5.3.4.13.9.2.2.13 When the UC SCIP EI receives an sdp offer from a Modem Relay-Preferred end point (i.e., the audio media stream in the sdp offer advertises the “NoAudio” payload as the only payload type), then the UC SCIP EI MUST respond in the sdp answer by accepting the “NoAudio” payload type.

Example:

‘NoAudio’ sdp offer:

```
m=audio 12346 RTP/AVP 99
a=rtmpmap:99 NoAudio/8000
```

‘NoAudio’ sdp answer:

```
m=audio 24560 RTP/AVP 99
a=rtmpmap:99 NoAudio/8000
```

5.3.4.13.9.2.2.13.1 Whenever the “NoAudio” payload type has been negotiated for a media stream, then the UC SCIP EI MUST cease transmission of voice traffic over the given media stream.

5.3.4.13.9.2.2.14 Whenever the UC SCIP EI receives an sdp offer in which one or more audio payload types supported by the UC SCIP EI are advertised in addition to the

“NoAudio” payload type, then the UC SCIP EI MUST respond in the sdp answer by accepting one of the supported audio payload types.

5.3.4.13.9.2.2.15 If the sdp offer includes the “sprtparm” attribute, then the sdp answer MUST include the “sprtparm” attribute in the sdp answer where each parameter value advertised in the sdp answer MUST be less than or equal to the corresponding parameter value in the sdp offer AND, in all cases, the parameter value MUST be within the value range specified in [Table 5.3.4.13-2](#), ITU Recommendation V.150.1, Table E.2.

5.3.4.13.9.2.2.16 If the sdp offer received by the UC SCIP EI does not include the “sprtparm” attribute the sdp answer MUST NOT include the “sprtparm” attribute because the UC SCIP EI is required to be capable of supporting the default values for maximum payload size for SPRT channels 0, 2, and 3 and the maximum window size for SPRT channel 2.

5.3.4.13.9.2.2.16.1 If negotiation of the optional SPRT protocol parameters fails for any reason, then the default values MUST be used by the local UC SCIP EI and by the remote gateway or UC SCIP EI.

Basic Example of SDP Offer Generated by UC SCIP EI that Advertises Modem Relay Capabilities

```
v=0
o=- 25678 753849 IN IP4 128.96.128.1
s=
c=IN IP4 128.96.128.1
t=0 0
m=audio 49230 RTP/AVP 0 8 97 98 111
a=rtpmap:97 telephone-event/8000
a=fmtp:97 32,33,34,35
a=rtpmap:98 v150fw/8000
a=rtpmap:111 NoAudio/8000
a=sn: 0
a=cdsc: 1 audio udpsprt 100
a=cpar: a=sprtparm:100 v150mr/8000
a=cpar :a=fmtp:98 mr=1; mg=0; CDSCselect=1; mrmodes=1,3; jmdelay=no; versn=1.1
```

Basic Example of SDP Answer Generated by UC SCIP EI that Advertises Modem Relay Capabilities

```
v=0
o=- 14481 30303 IN IP4 132.44.17.220
s=
c=IN IP4 132.44.17.220
t=0 0
m=audio 49230 RTP/AVP 0 97 98
a=rtpmap:97 telephone-event/8000
a=fmtp:97 32,33,34,35
a=rtpmap:98 v150fw/8000
a=sqn: 0
a=cdsc: 1 audio udpsprt 100
a=cpar: a=sprtmap:100 v150mr/8000
a=cpar :a=fmtp:98 mr=1; mg=0; CDSCselect=1; mrmodes=1,3; jmdelay=no; versn=1.1
```

5.3.4.14 SIP Translation Requirements for Inter-working AS-SIP Signaling Appliances

5.3.4.14.1 Introduction

The UC SBU design has been evolving over time and the following paragraphs detailing the requirements for interworking AS-SIP signaling and TDM signaling are crafted to reflect that evolution. At the outset, the focus for AS-SIP-TDM signaling interworking was the SS⁶². It is now understood and the following paragraphs reflect that the AS-SIP-TDM interworking may occur at the SS or at the LSC.

The detailed signal interworking requirements in the following paragraphs describe translation between AS-SIP and SS7 ISUP. It is recognized that the TDM signaling to be interworked at the SS may take the form of SS7 ISUP and/or ISDN Q.931, and that TDM signaling at the LSC will probably take the form of ISDN Q.931 messages.⁶³ The most comprehensive standards document covering SIP-TDM signaling interworking is ITU-T Recommendation Q.1912.5, which defines the requirements for SIP-ISUP translation and encapsulation. Reliance has been placed on Recommendation Q.1912.5 and to a lesser extent RFC 3398 as the base documents for defining signaling interoperability between SIP and TDM. As the interworking between SS7 ISUP and ISDN Q.931 is well understood and has been practiced in TDM switches for an extended period, the SIP-SS7 translation requirements found in these sections are also deemed to provide a sufficient basis for the interoperable interworking of SIP with ISDN Q.931.

⁶²Identified in earlier versions as the Multifunction Softswitch or SIP-T(AS) signaling appliance.

⁶³For completeness, note that the interworking could also occur between AS-SIP and a channel-associated signaling trunk.

NOTE: This specification uses SIP translation for converting between ISUP signaling and AS-SIP signaling but does not use SIP encapsulation of ISUP.

The signaling mediation requirements levied on the interworking AS-SIP signaling appliances are best organized and understood in the context of the role of the originating TDM/IP SG and the terminating IP/TDM signaling gateway in each of the two call signaling path types described in the following paragraphs (i.e., TDM-to-IP calls and IP-to-TDM calls).

5.3.4.14.1.1 Reserved

5.3.4.14.1.2 TDM-to-IP Calls Over an IP Backbone

Figure 5.3.4.14-1 depicts the basic signaling elements in a TDM-to-IP call flow in which the SS (shown to the left of the IP backbone) assumes the role of an originating TDM/IP SG. The originating TDM/IP SG will conduct translation between ISUP and AS-SIP.

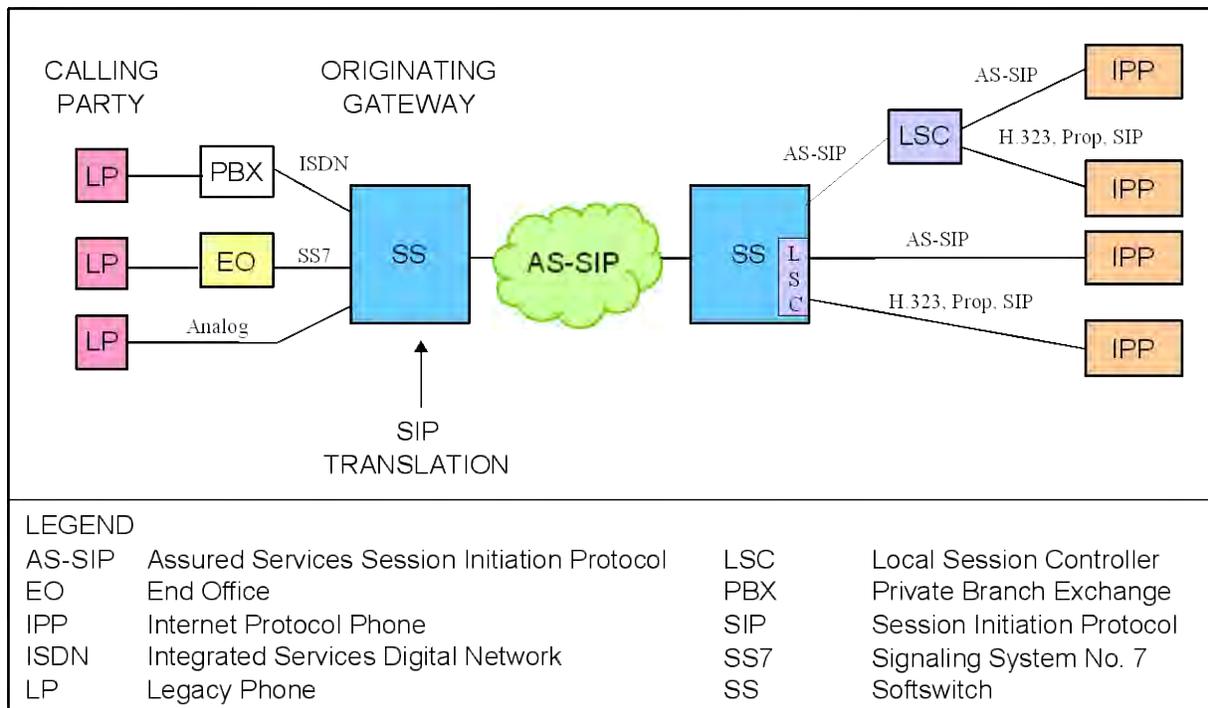


Figure 5.3.4.14-1. TDM-to-IP Call over an IP Backbone

The SS to the right of the IP backbone forwards the AS-SIP message to an LSC or the LSC component of the SS sends an AS-SIP, SIP, H.323, or proprietary signaling message to a directly served IP EI. Refer to Table 5.3.4.14-1, Reference Case #2a – TDM-to-IP Call over an IP Backbone, for the set of end-to-end TDM-to-IP signaling paths across LSCs and SSs over this topology.

Table 5.3.4.14-1. Reference Case #2a – TDM-to-IP Call over an IP Backbone

2A	Legacy phone	PBX	ISDN	SS	AS-SIP	SS	AS-SIP	LSC	AS-SIP	IP phone
2B	Legacy phone	PBX	ISDN	SS	AS-SIP	SS	AS-SIP	LSC	H323, Prop, SIP	IP Phone
2C	Legacy phone	PBX	ISDN	SS	AS-SIP	←SS LSC comp.→	AS-SIP			IP Phone
2D	Legacy phone	PBX	ISDN	SS	AS-SIP	←SS LSC comp.→	H.323, Prop, SIP			IP Phone
2E	Legacy phone	EO	CCS7	SS	AS-SIP	SS	AS-SIP	LSC	AS-SIP	IP phone
2F	Legacy phone	EO	CCS7	SS	AS-SIP	SS	AS-SIP	LSC	H323, Prop, SIP	IP Phone
2G	Legacy phone	EO	CCS7	SS	AS-SIP	←SS LSC comp.→	AS-SIP			IP Phone
2H	Legacy phone	EO	CCS7	SS	AS-SIP	←SS LSC comp.→	H.323, Prop, SIP			IP Phone
2I	Legacy phone		Analog	SS	AS-SIP	SS	AS-SIP	LSC	AS-SIP	IP phone
2J	Legacy phone		Analog	SS	AS-SIP	SS	AS-SIP	LSC	H323, Prop, SIP	IP Phone
2K	Legacy phone		Analog	SS	AS-SIP	←SS LSC comp.→	AS-SIP			IP Phone
2L	Legacy phone		Analog	SS	AS-SIP	←SS LSC comp.→	H.323, Prop, SIP			IP Phone

Figure 5.3.4.14-2 depicts the basic signaling elements in a TDM-to-IP call flow in which the LSC (shown to the left of the IP backbone) assumes the role of an originating TDM/IP Gateway. The originating TDM/IP Gateway will conduct translation between ISUP and AS-SIP.

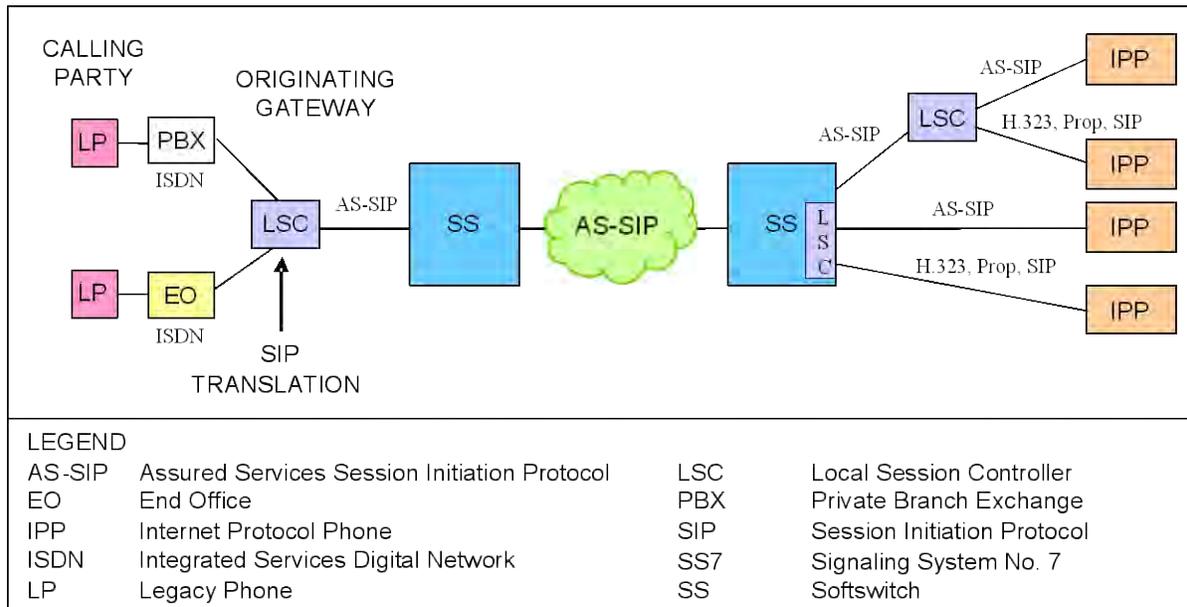


Figure 5.3.4.14-2. TDM-to-IP Call Translated at Originating Enclave

The SS to the right of the IP backbone either sends an AS-SIP, SIP, H.323, or proprietary signaling message to a directly served IP EI or forwards the AS-SIP message to the LSC which in turn sends an AS-SIP, SIP, H.323, or proprietary signaling message to a directly served IP EI. Refer to [Table 5.3.4.14-2](#) for the set of end-to-end TDM-to-IP signaling paths across LSCs and SSs over this topology.

Table 5.3.4.14-2. Reference Case #2b – TDM-to-IP Call Translated at Originating Enclave

2M	Legacy phone	PBX	ISDN	LSC	AS-SIP	SS	AS-SIP	SS	AS-SIP	LSC	AS-SIP	IP phone
2N	Legacy phone	PBX	ISDN	LSC	AS-SIP	SS	AS-SIP	SS	AS-SIP	LSC	H.323, Prop, SIP	IP phone
2O	Legacy phone	PBX	ISDN	LSC	AS-SIP	SS	AS-SIP	←SS LSC comp→	AS-SIP			IP phone
2P	Legacy phone	PBX	ISDN	LSC	AS-SIP	SS	AS-SIP	←SS LSC comp→	H.323, Prop, SIP			IP phone
2Q	Legacy phone	EO	ISDN	LSC	AS-SIP	SS	AS-SIP	SS	AS-SIP	LSC	AS-SIP	IP phone
2R	Legacy phone	EO	ISDN	LSC	AS-SIP	SS	AS-SIP	SS	AS-SIP	LSC	H.323, Prop, SIP	IP phone
2S	Legacy phone	EO	ISDN	LSC	AS-SIP	SS	AS-SIP	←SS LSC comp→	AS-SIP			IP phone
2T	Legacy phone	EO	ISDN	LSC	AS-SIP	SS	AS-SIP	←SS LSC comp→	H.323, Prop, SIP			IP phone

5.3.4.14.1.3 IP-to-TDM Calls Over an IP Backbone

[Figure 5.3.4.14-3](#), IP-to-TDM Call over an IP Backbone, depicts the basic signaling elements in an IP-to-TDM call flow in which the SS (shown to the right of the IP backbone) assumes the role of a terminating IP/TDM Gateway. The terminating IP/TDM Gateway will conduct translation between AS-SIP and the corresponding TDM signaling.

The SS to the left of the IP backbone operates as a SIP B2BUA when forwarding an AS-SIP message from a served LSC and the LSC component of the SS operates as either a B2BUA or call stateful SIP proxy server when serving an AS-SIP end point. Refer to [Table 5.3.4.14-3](#), Reference Case #3a – IP-to-TDM Call over an IP Backbone, for the set of end-to-end IP-to-TDM signaling paths across LSCs and SSs over this topology.

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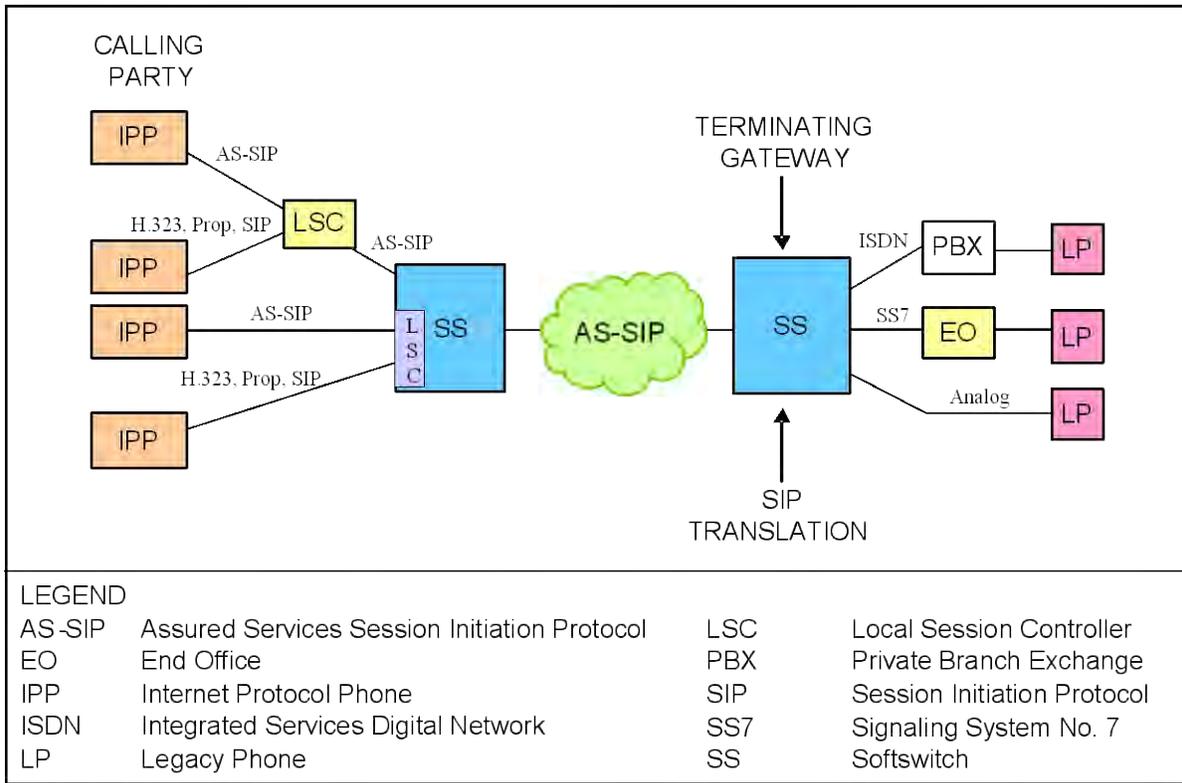


Figure 5.3.4.14-3. IP-to-TDM Call over an IP Backbone

Table 5.3.4.14-3. Reference Case #3a – IP-to-TDM Call over an IP Backbone

3A	IP phone	AS-SIP	LSC	AS-SIP	SS	AS-SIP	SS	ISDN	PBX	Legacy phone
3B	IP phone	AS-SIP	LSC	AS-SIP	SS	AS-SIP	SS	CCS7	EO	Legacy phone
3C	IP phone	AS-SIP	LSC	AS-SIP	SS	AS-SIP	SS	Analog		Legacy phone
3D	IP phone	H.323/Prop, SIP	LSC	AS-SIP	SS	AS-SIP	SS	ISDN	PBX	Legacy phone
3E	IP phone	H.323/Prop, SIP	LSC	AS-SIP	SS	AS-SIP	SS	CCS7	EO	Legacy phone
3F	IP phone	H.323/Prop, SIP	LSC	AS-SIP	SS	AS-SIP	SS	Analog		Legacy phone
3G	IP phone	AS-SIP			←LSC comp SS→	AS-SIP	SS	ISDN	PBX	Legacy phone
3H	IP phone	AS-SIP			←LSC comp SS→	AS-SIP	SS	CCS7	EO	Legacy phone
3I	IP phone	AS-SIP			←LSC comp SS→	AS-SIP	SS	Analog		Legacy phone
3J	IP phone	H.323/Prop, SIP			←LSC comp SS→	AS-SIP	SS	ISDN	PBX	Legacy phone
3K	IP phone	H.323/Prop., SIP			←LSC comp SS→	AS-SIP	SS	CCS7	EO	Legacy phone
3L	IP phone	H.323/Prop, SIP			←LSC comp SS→	AS-SIP	SS	Analog		Legacy phone

Figure 5.3.4.14-4, IP-to-TDM Call Translated at Destination Enclave, depicts the basic signaling elements in an IP-to-TDM call flow in which the LSC (shown to the right of the IP backbone)

assumes the role of a terminating IP/TDM Gateway. The terminating IP/TDM Gateway will conduct translation between AS-SIP and the corresponding TDM signaling.

The SS to the left of the IP backbone operates as a SIP B2BUA when forwarding an AS-SIP message from a served LSC and the LSC component of the SS operates as either a B2BUA or call stateful SIP proxy server when serving an AS-SIP endpoint. Refer to [Table 5.3.4.14-4](#), Reference Case #3b – IP-to-TDM Call Translated at Destination Enclave, for the set of end-to-end IP-to-TDM signaling paths across LSCs and SSs over this topology.

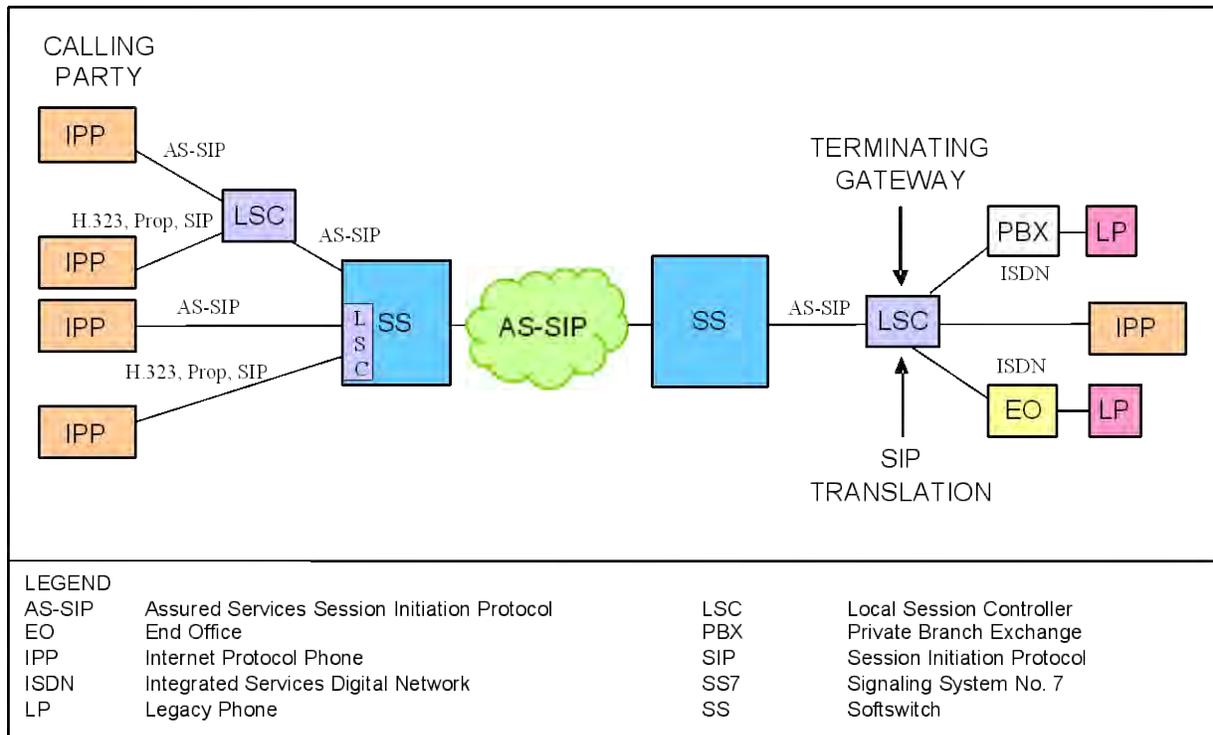


Figure 5.3.4.14-4. IP-to-TDM Call Translated at Destination Enclave

Table 5.3.4.14-4. Reference Case #3b – IP-to-TDM Call Translated at Destination Enclave

3M	IP phone	AS-SIP	LSC	AS-SIP	SS	AS-SIP	SS	AS-SIP	LSC	ISDN	PBX	Legacy phone
3N	IP phone	AS-SIP	LSC	AS-SIP	SS	AS-SIP	SS	AS-SIP	LSC	ISDN	EO	Legacy phone
3O	IP phone	H.323/Prop, SIP	LSC	AS-SIP	SS	AS-SIP	SS	AS-SIP	LSC	ISDN	PBX	Legacy phone
3P	IP phone	H.323/Prop, SIP	LSC	AS-SIP	SS	AS-SIP	SS	AS-SIP	LSC	ISDN	EO	Legacy phone
3Q	IP phone	AS-SIP			← LSC comp SS→	AS-SIP	SS	AS-SIP	LSC	ISDN	PBX	Legacy phone
3R	IP phone	AS-SIP			←LSC comp SS→	AS-SIP	SS	AS-SIP	LSC	ISDN	EO	Legacy phone
3S	IP phone	H.323/Prop, SIP			← Lsc comp SS→	AS-SIP	SS	AS-SIP	LSC	ISDN	PBX	Legacy phone
3T	IP phone	H.323/Prop, SIP			← LSC comp SS→	AS-SIP	SS	AS-SIP	LSC	ISDN	EO	Legacy phone

5.3.4.14.2 Summary Description of the Two Call Types

5.3.4.14.2.1 Reserved

5.3.4.14.2.2 TDM-to-IP Call

The originator is a TDM EI and the destination is an IP EI.

Bearer: TDM to IP, IP to TDM

Signaling: ISUP to AS-SIP

Originating Gateway (O-IWU)

The originating gateway receives an IAM from the SS7 network, creates an INVITE, maps between ISUP IAM parameters and SIP headers, and sends the INVITE onto the IP network.

The originating gateway receives AS-SIP requests and responses including 1xx, 200 (OK), 202 (Accepted), 3xx, 4xx, 5xx, 6xx, BYE, and so on, maps the AS-SIP request/response to an ISUP message, and sends the ISUP message onto the SS7 network.

5.3.4.14.2.3 IP-to-TDM Call

The originator is an IP EI and the destination is a TDM EI.

Bearer: IP to TDM, TDM to IP

Signaling: AS-SIP to ISUP

Terminating Gateway (I-IWU)

The terminating gateway receives an INVITE, maps INVITE header fields to IAM parameters, and sends an IAM onto the SS7 network.

The terminating gateway receives ISUP messages from the SS7 network (e.g., ACM, ANM, CPG, CON, REL, RLC), maps ISUP messages and fields to AS-SIP messages and header fields, and sends AS-SIP messages onto IP network.

5.3.4.14.3 SIP URI and Mapping of Telephony Number into SIP URI

5.3.4.14.3.1 Any telephone number translated by an interworking AS-SIP signaling appliance from ISUP (or Q.931) parameters to fields in SIP headers **MUST** be represented as a SIP URI per UCR 2008, [Section 5.3.4.7.6](#), SIP URI and Mapping of Telephony Number into SIP URI.

5.3.4.14.3.2 When an interworking AS-SIP signaling appliance receives a TDM call request to be translated into an AS-SIP INVITE in which the dialed number is from the DSN worldwide numbering plan, then the AS-SIP signaling appliance **MUST** ensure that the userinfo part of the SIP URI in the Request-URI field of the INVITE is the complete 10-digit DSN number.

5.3.4.14.3.2.1 In the event the dialed number in the ISUP (or Q.931) parameter is a DSN number having fewer than the full 10 digits, then the interworking AS-SIP signaling appliance **MUST** prepend the three area code digits (KXX) of the AS-SIP signaling appliance's local area code and any other missing digits to create the full 10-digit DSN number.

5.3.4.14.3.2.2 The SIP URI **MUST** have a "user=phone" field appended to the URI.

5.3.4.14.3.2.3 The 10-digit DSN number **MAY** be followed by a phone-context descriptor consisting of a domain name (per RFC 3966).

5.3.4.14.3.3 When an interworking AS-SIP signaling appliance receives a TDM call request to be translated into an AS-SIP INVITE in which a telephone number translated by the interworking AS-SIP signaling appliance is a PSTN number, then the userinfo part of the SIP URI **MUST** be represented in global E.164 notation and **MUST** have a "user=phone" field appended to the URI.

5.3.4.14.3.3.1 When the numbering plan indicator of the called party number has the value signifying ISDN Numbering Plan (Recommendation E.164) and the number is not an emergency number or directory assistance number, or other service code, then:

5.3.4.14.3.3.1.1 If the nature of address indicator is set to national significant number, then the originating gateway prepends the country code and generates an E.164 number that is placed after the “+” sign in the userinfo part of the SIP URI.

5.3.4.14.3.3.1.2 If the nature of address indicator is set to subscriber (i.e., local) number, then the originating gateway prepends the country code, area code, city code (if necessary) required to generate an E.164 number that is placed after the “+” sign in the userinfo part of the SIP URI.

NOTE: If for some reason there is insufficient information to generate the E.164 number, then the SIP URI will reject the call request. The cause code value for the Release message is 28 Incomplete Address.

5.3.4.14.4 General Requirements for Interworking AS-SIP Signaling Appliances

(ITU-T Recommendation Q.1912.5, Section 5.4.3.3, modified to reflect translation but not encapsulation.)

5.3.4.14.4.1 (ITU ISUP only) (Applies to TDM-IP call type) When an interworking AS-SIP signaling appliance receives an ISUP message having the optional Forward Call Indicators (FCIs) parameter with the IAM segmentation indicator set to “Additional information being sent by unsolicited information message,” or the optional Backward Call Indicators (BCI) parameter with the IAM segmentation indicator set to “Additional information has been received and added to IAM,” then the interworking AS-SIP signaling appliance **MUST** wait for the segmentation message and translate the ISUP message to the appropriate AS-SIP message.

5.3.4.14.5 ISUP Versions

This specification describes the translation and processing applicable to the interoperation of AS-SIP and the ITU-T Recommendation Q.761-4 (1992) version of ISUP.

This specification also covers the translation and processing applicable to the interoperation of AS-SIP and ANSI ISUP (including ANSI T1.113-2000, Telecordia GR-317, GR-317-CORE, and GR-394-CORE). Differences between the function of the ANSI ISUP and the ITU-T ISUP will be identified in this specification.

5.3.4.14.5.1 Encapsulation of ISUP Messages in AS-SIP Requests/Responses

All ISUP encapsulation requirements have been removed.

5.3.4.14.5.2 De-Encapsulation of ISUP Messages from AS-SIP Requests/Responses

All ISUP de-encapsulation requirements have been removed.

5.3.4.14.5.3 ISUP Messages that are Never Encapsulated

ISUP messages are never encapsulated.

5.3.4.14.5.4 Tones

5.3.4.14.5.4.1 Media paths controlled by the interworking AS-SIP signaling appliance **MUST** support the transmission and receipt of DTMF-related named events as set forth in RFC 2833.

5.3.4.14.5.4.2 Media paths controlled by the interworking AS-SIP signaling appliance **MAY** support the transmission and receipt of standard subscriber line tone events set forth in RFC 2833.

5.3.4.14.5.4.3 When a DTMF digit is transmitted over a media path where the signaling is controlled by an interworking AS-SIP signaling appliance (e.g., SS or LSC controlling an MG), then:

5.3.4.14.5.4.3.1 If the audio codec is G.711, it is **STRONGLY RECOMMENDED** that the DTMF-related named events of RFC 2833, Section 3.10 be used.

5.3.4.14.5.4.3.2 If the audio codec is not G.711, then the DTMF-related named events of RFC 2833, Section 3.10 **MUST** be used.

NOTE: IP EIs that exchange bearer traffic with an MG controlled by an interworking AS-SIP signaling appliance either will need to support the transmission and receipt of DTMF-related named events as set forth in RFC 2833, or will require an intermediary device in the bearer plane to perform a conversion between the DTMF tones and the RFC 2833, Section 3.10 DTMF-related named events.

5.3.4.14.6 *Translation Requirements for an Interworking AS-SIP Signaling Appliance Operating as an Originating Gateway*

5.3.4.14.6.1 **General Requirements (Originating Gateway)**

5.3.4.14.6.1.1 Originating gateways facing ISUP networks in which overlap dialing is used will implement timers to ensure that all digits have been collected before an INVITE is transmitted to the IP network. [RFC 3398]

5.3.4.14.6.1.2 Originating gateways **MUST** wait until a complete ISUP message is received before sending any corresponding INVITE. [RFC 3398]

5.3.4.14.6.2 **TDM-IP Call Flow Requirements (Originating Gateway)**

Originating Gateway Sends AS-SIP INVITE

5.3.4.14.6.2.1 As specified in [Section 5.3.4.14.6.1](#), General Requirements (Originating Gateway), all the digits **MUST** be collected (i.e., a complete address) before the originating gateway is permitted to create the INVITE (i.e., en bloc addressing). [ITU-T Recommendation Q.1912.5, Section 7.1]

5.3.4.14.6.2.2 When the originating gateway receives an IAM and the originating gateway is **NOT** using precondition signaling for the AS-SIP call request:

5.3.4.14.6.2.2.1 If the continuity check indicator in the Nature of Connection Indicators parameter in the IAM had been set to “continuity check not required,” the originating gateway creates an AS-SIP INVITE, promptly sends the INVITE onto the IP network, sets the SIP T1 timer, and sets the ISUP T11 timer.

5.3.4.14.6.2.2.2 If the continuity check indicator in the Nature of Connection Indicators parameter in the IAM had been set to “continuity check required on this circuit” or “continuity check performed on previous circuit,” the originating gateway delays sending the INVITE until it receives a Continuity message with the Continuity Indicators parameter set to “continuity check successful,” which **MUST** be received before the expiration of the ISUP T8 timer. If the ISUP T8 timer expires before receiving the Continuity message, then the originating gateway does **NOT** send the INVITE.

5.3.4.14.6.2.3 When the originating gateway receives an IAM and the originating gateway is using precondition signaling for the AS-SIP call request:

5.3.4.14.6.2.3.1 The originating gateway creates an AS-SIP INVITE with precondition fields in the SDP offer, sends the AS-SIP INVITE onto the IP network, sets the SIP T1 timer, and sets the ISUP T11 timer.

5.3.4.14.6.2.3.2 If the continuity check indicator in the Nature of Connection Indicators parameter in the IAM had been set to “continuity check not required,” then when the requested preconditions have been met in the IP network the originating gateway sends the SDP offer or answer confirming that the precondition has been met.

5.3.4.14.6.2.3.3 If the continuity check indicator in the Nature of Connection Indicators parameter in the IAM had been set to “continuity check required on this circuit” or “continuity check performed on previous circuit,” then the originating gateway only sends the SDP offer or answer confirming that the precondition has been met when the requested preconditions have been met in the IP network, and a Continuity Testing (COT) message is received with the continuity indicators parameter set to “continuity check successful” before the expiration of the ISUP T8 timer.

5.3.4.14.6.2.3.4 If the COT message is received with the Continuity Indicators parameter set to “continuity check failed,” or if the ISUP timer T8 expires before receiving the COT message AND the originating gateway has not received a final response to the INVITE, then the originating gateway MUST send a CANCEL onto the IP network and a REL with cause value 47 (Resource Unavailable, Unspecified) to the SS7 network.

5.3.4.14.6.2.3.5 If the COT message is received with the Continuity Indicators parameter set to “continuity check failed,” or if the ISUP timer T8 expires before receiving the COT message AND the originating gateway has received a final response to the INVITE, then the originating gateway MUST send a BYE with cause value 47 (Resource Unavailable, Unspecified) onto the IP network and a REL with cause value 47 (Resource Unavailable, Unspecified) to the SS7 network.

Originating Gateway Maps ISUP IAM Parameters to AS-SIP INVITE Headers

Request-URI Field [RFC 3398, Section 8.2.1.1]

5.3.4.14.6.2.4 The Request-URI field MUST be a SIP URI.

5.3.4.14.6.2.5 The Request-URI MUST include the “user=phone” URI parameter.

5.3.4.14.6.2.6 **[Conditional]** If the FCI “number translated” bit (i.e., the “M” bit) is set to one indicating that an LNP dip has occurred:

5.3.4.14.6.2.6.1 If a Generic Digits parameter is present (a Generic Address Parameter (GAP) in ANSI ISUP):

5.3.4.14.6.2.6.1 The Generic Digits (or GAP) value in the form of a SIP URI **MUST** be placed in the Request-URI field.

5.3.4.14.6.2.6.1 An “npdi=yes” field **MUST** be appended to the SIP URI.

5.3.4.14.6.2.6.1 The contents of the Called Party Number (CPN) **MUST** be copied into an “rn=” field, which is appended to the SIP URI.

5.3.4.14.6.2.6.2 If a Generic Digits parameter (or GAP) is **NOT** present and both the Location Routing Number (LRN) and the dialed number are in the CPN parameter, then the dialed number in the form of a SIP URI **MUST** be placed in the Request-URI field, the “npdi=yes” field **MUST** be appended, and the LRN copied into a “rn=” field and appended to the Request-URI.

5.3.4.14.6.2.7 If the FCI “number translated” bit indicates that an LNP dip has **NOT** occurred:

5.3.4.14.6.2.7.1 The CPN in the form of a SIP URI **MUST** be placed in the Request-URI field.

5.3.4.14.6.2.8 If either the CIP (ANSI) or TNS (ITU-T) parameter is present and has a value, then a “cic=” field with the value from the CIP or TNS **SHOULD** be appended to the SIP URI. The “cic=” parameter **MUST** be prefixed with the country code of the CPN. For example, CIC “5062” for a U.S. CPN is “+1-5062.”

To Header Field [RFC 3398, Section 8.2.1.1]

5.3.4.14.6.2.9 If the Original Called Number (OCN) parameter is present in the IAM, then the telephone number in the OCN parameter, in the form of a SIP URI, **SHOULD** be placed in the To header field.

5.3.4.14.6.2.10 If the OCN parameter is **NOT** present, then the value of the To header field is the same as the value of the Request-URI field.

From Header Field [ITU-T Recommendation Q.1912.5, Section 7.1.3]

5.3.4.14.6.2.11 (ANSI ISUP) If the calling party number field (CIN) is not present in the IAM, then the From header field **MUST** be populated with a SIP URI that consists of a user portion with the value unavailable and the hostname of the originating gateway (e.g., sip:unavailable@DoDgw.com).

5.3.4.14.6.2.12 (ITU-T ISUP) If the calling party number field (CIN) is not present in the IAM and the IAM does not have a Generic Number parameter (ITU ISUP only), then the From header field **MUST** be populated with a SIP URI that consists of a user portion with the value unavailable and the hostname of the originating gateway (e.g., sip:unavailable@DoDgw.com).

5.3.4.14.6.2.13 (ITU-T ISUP) If the calling party number field (CIN) is not present in the IAM and the IAM has a Generic Number parameter (ITU ISUP only) where the “presentation indicator” field is **NOT** set to “presentation allowed,” then the From header field **MUST** be populated with a SIP URI that consists of a user portion with the value unavailable and the hostname of the originating gateway (e.g., sip:unavailable@DoDgw.com).

5.3.4.14.6.2.14 (ITU-T ISUP) If the calling party number field (CIN) is not present in the IAM and the IAM has a Generic Number parameter (ITU ISUP only) where the “presentation indicator” field is set to “presentation allowed,” then the From header field **MUST** be populated with a SIP URI derived from the Generic Number.

5.3.4.14.6.2.15 When the calling party number (CIN) parameter is present and the “presentation indicator” field is set to “presentation allowed,” then:

- The From header field **MUST** be populated either with a SIP URI derived from the calling party number or
- The originating gateway **MUST** include a P-Asserted-Identity header derived from the calling party number in the form of a SIP URI. [RFC 3325] In the case of a DSN number, the PAssertedID-value **MUST** contain the SIP URI of the calling party where the userinfo part includes the full 10-digit DSN number.

5.3.4.14.6.2.16 When the calling party number parameter is present and the “presentation indicator” field is set to “presentation restricted,” then:

- The From header field **SHOULD** be populated with a SIP URI with a display name and username of “Anonymous” (e.g., From: Anonymous <sip:anonymous@anonymous.invalid>),
- The originating gateway **MAY** include a Privacy header field with priv-value equal to “id,” or [RFC 3323, RFC 3325, Q.1912.5]
- The originating gateway **MUST** include a P-Asserted-Identity header derived from the calling party number in the form of a SIP URI. [RFC 3325] In the case of a DSN number, the PAssertedID-value **MUST** contain the SIP URI of the calling party where the userinfo part includes the full 10-digit DSN number.

5.3.4.14.6.2.17 When the calling party number parameter is present and the “presentation indicator” field is set to “address unavailable,” then the From header field **SHOULD** be populated with a SIP URI that consists of the hostname of the originating gateway without a user portion. [RFC 3398]

SDP Information [ITU-T Recommendation Q.1912.5, Section 7.1.1]

5.3.4.14.6.2.18 [Table 5.3.4.14-5](#), 26/Q.1912, describes the mapping between the relevant fields in the Transmission Medium Requirement/User Service Information (TMR/USI) parameters and the SDP media description lines when transcoding is not available at the originating gateway. This table is copied here for the reader’s convenience.

NOTE: The TMR is a mandatory parameter in the ITU-T ISUP IAM but is not present in the ANSI ISUP IAM. There is an optional USI parameter in ITU ISUP and a similar, but not identical mandatory, USI parameter in the ANSI ISUP IAM.

5.3.4.14.6.2.19 If the originating gateway supports G.711 encoding and transcoding between a-law and μ -law:

5.3.4.14.6.2.19.1 If the call is from an a-law TDM network, the originating gateway **MUST** send an SDP offer with both an a-law (PCMA) and a μ -law (PCMU) in the media description and PCMA takes precedence over PCMU.

5.3.4.14.6.2.19.2 If the call is from a μ -law TDM network the originating gateway **MUST** send an SDP offer with μ -law (PCMU) and optionally a-law (PCMA) included in the media description and PCMU takes precedence over PCMA.

Table 5.3.4.14-5. 26/Q.1912

TMR PARAMETER	USI PARAMETER		HLC IE IN ATP	m= line			b= line	a= line
TMR codes	Information Transport Capability	User Information Layer 1 Protocol Indicator	High Layer Characteristics Identification	<media>	<transport>	<fmt-list>	<modifier>: <bandwidth-value>	rtpmap:<dynamic-PT> <encoding name>/<clock rate>/<encoding parameters>
“speech”	“Speech”	“G.711 μ-law”	Ignore	Audio	RTP/AVP	0 (and possibly 8) ¹	AS:64	rtpmap:0 PCMU/8000 (and possibly rtpmap:8 PCMA/8000) ¹
“speech”	“Speech”	“G.711 μ-law”	Ignore	Audio	RTP/AVP	Dynamic PT (and possibly a second Dynamic PT) ¹	AS:64	rtpmap:<dynamic-PT> PCMU/8000 (and possibly rtpmap:<dynamic-PT> PCMA/8000) ¹
“speech”	“Speech”	“G.711 a-law”	Ignore	Audio	RTP/AVP	8	AS:64	rtpmap:8 PCMA/8000
“speech”	“Speech”	“G.711 a-law”	Ignore	Audio	RTP/AVP	Dynamic PT	AS:64	rtpmap:<dynamic-PT> PCMA/8000
“3.1 KHz audio”	USI Absent		Ignore	Audio	RTP/AVP	0 and/or 8 ¹	AS:64	rtpmap:0 PCMU/8000 and/or rtpmap:8 PCMA/8000 ¹
“3.1 KHz audio”	“3.1 KHz audio”	“G.711 μ-law”	Note 3	Audio	RTP/AVP	0 (and possibly 8) ¹	AS:64	rtpmap:0 PCMU/8000 (and possibly rtpmap:8 PCMA/8000) ¹
“3.1 KHz audio”	“3.1 KHz audio”	“G.711 a-law”	Note 3	Audio	RTP/AVP	8	AS:64	rtpmap:8 PCMA/8000
“3.1 KHz audio”	“3.1 KHz audio”		“Facsimile Group 2/3”	Image	udptl	t38	AS:64	Based on T.38.
“3.1 KHz audio”	“3.1 KHz audio”		“Facsimile Group 2/3”	Image	tcptl	t38	AS:64	Based on T.38.

Section 5.3.4 – AS-SIP Requirements

TMR PARAMETER	USI PARAMETER		HLC IE IN ATP	m= line			b= line	a= line
				Audio	RTP/AVP	9	AS:64	rtpmap:9 G722/8000
“64 kbit/s unrestricted”	“Unrestricted digital inf. W/tone/ann.”	N/A	Ignore	Audio	RTP/AVP	9	AS:64	rtpmap:9 G722/8000
“64 kbit/s unrestricted”	“Unrestricted digital information”	N/A	Ignore	Audio	RTP/AVP	Dynamic PT	AS:64	rtpmap:<dynamic-PT> CLEARMODE/8000 ²
“2 x 64 kbit/s unrestricted”	“Unrestricted digital information”	N/A	Ignore	FFS	FFS	FFS	FFS	FFS
“384 kbit/s unrestricted”	“Unrestricted digital information”	N/A	Ignore	FFS	FFS	FFS	FFS	FFS
“1536 kbit/s unrestricted”	“Unrestricted digital information”	N/A	Ignore	FFS	FFS	FFS	FFS	FFS
“1920 kbit/s unrestricted”	“Unrestricted digital information”	N/A	Ignore	FFS	FFS	FFS	FFS	FFS
“N x 64 kbit/s unrestricted” N from 3 to 29	“Unrestricted digital information”	N/A	Ignore	FFS	FFS	FFS	FFS	FFS

¹Both PCMA and PCMU required under the conditions stated in Q.1912.5, Section 7.1.1.
²Since CLEARMODE has not been standardized yet, its use is for further study.
³HLC normally is absent in this case. It is possible for HLC to be present with the value “Telephony,” although clause 6.3.1/Q.939 indicates that this would be accompanied normally by a value of “Speech” for the Information Transfer Capability element.

Originating Gateway Maps ISUP Messages to AS-SIP Messages

[ITU-T Recommendation Q.1912.5, Section 7.7.1]

5.3.4.14.6.2.20 If the originating gateway receives a REL from the SS7 network before sending an INVITE to the IP network, then the INVITE is NOT sent.

5.3.4.14.6.2.21 If the originating gateway receives a REL from the SS7 network after sending an INVITE to the IP network but before receiving a response, then the originating gateway holds the REL until an AS-SIP response is received and,

5.3.4.14.6.2.21.1 If the AS-SIP response does NOT establish a confirmed dialog (2xx) or early dialog (101-199), then the originating gateway sends a CANCEL. A Reason header field containing the (Q.850) cause value of the REL SHOULD be included in the CANCEL request.

NOTE: If the originating gateway receives a 200 (OK) INVITE after sending the CANCEL, the originating gateway MUST respond with an ACK, and then send a BYE request.

5.3.4.14.6.2.21.2 If the AS-SIP response does establish either an early dialog or confirmed dialog, then the originating gateway sends a BYE request mapping the Cause Indicators parameter to the SIP Reason header.

5.3.4.14.6.2.22 If the originating gateway receives a REL from SS7 network after having received an AS-SIP response that established a confirmed dialog or early dialog, then the originating gateway MUST send a BYE request mapping the Cause Indicators parameter to the SIP Reason header.

NOTE: The Protocol field of the Reason header field will be assigned the value “Q.850,” the Protocol-cause field will be assigned the cause value from the REL, and the reason-text field will be based on the provisioning of the originating gateway.

[ITU-T Recommendation Q.1912.5, Section 7.7.4]

5.3.4.14.6.2.23 When the originating gateway receives a Reset Circuit message (RSC) from the ISUP network:

- If the originating gateway receives an RSC from the SS7 network before sending an INVITE to the IP network, then the INVITE is NOT sent.

5.3.4.14.6.2.24 If the originating gateway receives an RSC from the SS7 network after sending an INVITE to the IP network but before receiving a response:

5.3.4.14.6.2.24.1 If the AS-SIP response does NOT establish a confirmed dialog (2xx) or early dialog (101-199), then the originating gateway sends a CANCEL. A Reason header field containing the (Q.850) cause value of the REL that is being sent to the ISUP network SHOULD be included in the CANCEL request.

5.3.4.14.6.2.24.2 If the AS-SIP response does establish either an early dialog or confirmed dialog, then the originating gateway sends a BYE request mapping the Cause Indicators parameter to the SIP Reason header.

5.3.4.14.6.2.25 If the originating gateway receives an RSC from the SS7 network after having received an AS-SIP response that established a confirmed dialog or early dialog, then the originating gateway MUST send a BYE request mapping the Cause Indicators parameter to the SIP Reason header.

[ITU-T Recommendation Q.1912.5, Section 7.7.4]

5.3.4.14.6.2.26 When the originating gateway receives a Circuit Group Reset message (GRS) from the ISUP network:

- If the originating gateway receives a GRS from the SS7 network before sending an INVITE to the IP network, then the INVITE is NOT sent.

5.3.4.14.6.2.27 If the originating gateway receives GRS from the CCS7 network after sending an INVITE to the IP network but prior to receiving a response:

5.3.4.14.6.2.27.1 If the AS-SIP response does NOT establish a confirmed dialog (2xx) or early dialog (101-199), then the originating gateway sends a CANCEL. A Reason header field containing the (Q.850) cause value of the REL that is being sent to the ISUP network SHOULD be included in the CANCEL Request.

5.3.4.14.6.2.27.2 If the AS-SIP response does establish either an early dialog or confirmed dialog, then the originating gateway sends a BYE request mapping the Cause Indicators parameter to the SIP Reason header.

5.3.4.14.6.2.28 If the originating gateway receives a GRS from the SS7 network after having received a response that established a confirmed dialog or early dialog, then the originating gateway MUST send a BYE request mapping the Cause Indicators parameter to the SIP Reason header.

NOTE: One SIP message MUST be sent for each call affected by the GRS.

[ITU-T Recommendation Q.1912.5, Section 7.7.4]

5.3.4.14.6.2.29 When the originating gateway receives a Circuit Group Blocking message (CGB) with the Circuit Group Supervision Message Type indicator set to “hardware failure oriented” from the ISUP network:

- If the originating gateway receives a CGB from the SS7 network before sending an INVITE to the IP network, then the INVITE is NOT sent.

5.3.4.14.6.2.30 If the originating gateway receives a CGB with the Circuit Group Supervision Message Type indicator set to “hardware failure oriented” from the ISUP network after sending an INVITE to the IP network but before receiving a response:

5.3.4.14.6.2.30.1 If the AS-SIP response does NOT establish a confirmed dialog (2xx) or early dialog (101-199), then the originating gateway sends a CANCEL. A Reason header field containing the (Q.850) cause value of the REL that is being sent to the ISUP network SHOULD be included in the CANCEL request.

5.3.4.14.6.2.30.2 If the AS-SIP response does establish either an early dialog or confirmed dialog, then the originating gateway sends a BYE request mapping the Cause Indicators parameter to the SIP Reason header.

5.3.4.14.6.2.31 If the originating gateway receives a CGB with the Circuit Group Supervision Message Type indicator set to “hardware failure oriented” from the ISUP network after having received an AS-SIP response that established a confirmed dialog or early dialog, then the originating gateway MUST send a BYE request mapping the Cause Indicators parameter to the SIP Reason header.

NOTE: One SIP message MUST be sent for each call affected by the CGB.

Autonomous Release by Originating Gateway

[ITU-T Recommendation Q1912.5, Section 7.7.3]

5.3.4.14.6.2.32 [Table 5.3.4.14-6](#), 37/Q.1912.5, details the events that trigger an autonomous release by the originating gateway resulting in the transmission of a CANCEL or BYE request to the IP network and a REL to the SS7 network.

Table 5.3.4.14-6. 37/Q.1912.5

REL ← CAUSE INDICATORS PARAMETER	TRIGGER EVENT	→ SIP
As determined by ISUP procedure	COT received with the Continuity Indicators parameter set to “continuity check failed” (ISUP only) or the ISUP timer T8 expires	Send CANCEL or BYE request according to the rule described in section titled OG Mapping of ISUP REL Message to SIP Message.
REL with cause value 47 (Resource Unavailable, Unspecified)	Internal resource reservation unsuccessful	As determined by SIP procedure.
As determined by ISUP procedure	ISUP procedures result in generation of autonomous REL on ISUP side.	CANCEL or BYE request according to the rule described in section titled OG Mapping of ISUP REL Message to SIP Message.
Depending on the SIP release reason	SIP procedures result in a decision to release the call.	As determined by SIP procedure.

5.3.4.14.6.2.33 A Reason header field containing the (Q.850) cause value of the REL SHOULD be included in the BYE request or CANCEL request.

Originating Gateway Maps AS-SIP Messages to ISUP Messages

180 Ringing [ITU-T Recommendation Q.1912.5, Section 7.3.1]

5.3.4.14.6.2.32 When an originating gateway receives a 180 (Ringing) response:

5.3.4.14.6.2.32.1 The originating gateway MUST stop the ISUP T11 timer.

5.3.4.14.6.2.32.2 The originating gateway will use its standard ISUP procedures to determine whether to send an ACM or CPG over the ISUP network.

5.3.4.14.6.2.32.3 In the case of the ACM, the called party’s status indicator of the BCI is set to “subscriber free” (bit DC 01).

5.3.4.14.6.2.32.4 In the case of the ACM for a call that originates in a SIP mobile network, the Interworking indicator of the BCI is set to “interworking encountered” (bit I 1).

5.3.4.14.6.2.32.5 In the case of the ACM for a call that originates in a SIP mobile network, the ISUP indicator of the BCI is set to “ISUP not used all the way” (bit K 0).

5.3.4.14.6.2.32.6 In the case of the ACM for a call that originates in a SIP mobile network, the ISDN access indicator of the BCI is set to “terminating access non-ISDN” (bit M 0).

5.3.4.14.6.2.32.7 In the case of the CPG, the Event indicator of the Event information parameter is set to “alerting” (GFEDCBA 000001).

5.3.4.14.6.2.32.8 The originating gateway transmits a ringing tone on the TDM bearer circuit to the caller.

183 Session Progress [ITU-T Recommendation Q.1912.5, Section 7.3.2]

5.3.4.14.6.2.33 If a 183 (Session Progress) response is received, then the originating gateway MUST NOT send an ISUP message onward over the ISUP network.

200 (OK) INVITE [ITU-T Recommendation Q.1912.5, Section 7.5]

5.3.4.14.6.2.34 When an originating gateway receives a 200 (OK) INVITE:

5.3.4.14.6.2.34.1 The originating gateway MUST stop the ISUP timer T11.

5.3.4.14.6.2.34.2 (ITU ISUP) The originating gateway will use its standard ISUP procedures to determine whether to send an ANM or CON over the ISUP network.

NOTE: The CON is an ITU ISUP message (not used in ANSI ISUP) indicating that sufficient information has been received and that the called subscriber has answered. It serves as both an ACM and an ANM.

5.3.4.14.6.2.34.3 (ANSI ISUP) The originating gateway will send an ANM over the ISUP network.

5.3.4.14.6.2.34.4 The originating gateway will terminate the ringing tone to the calling party.

5.3.4.14.6.2.34.5 (ITU ISUP) If the originating gateway creates a CON message, then the called party’s status indicator of the BCI is set to “no indication” (bit DC 00), and if the call originates in a SIP mobile network, then the Interworking indicator of the BCI is set to “interworking encountered” (bit I 1), the ISUP indicator of the BCI is set to “ISUP not used all the way” (bit K 0), and the ISDN access indicator of the BCI is set to “terminating access non-ISDN” (bit M 0).

BYE [ITU-T Recommendation Q.1912.5, Section 7.7.2]

5.3.4.14.6.2.35 When the originating gateway receives a BYE:

5.3.4.14.6.2.35.1 The originating gateway creates a REL to send over the ISUP network.

5.3.4.14.6.2.35.2 If the BYE includes a Reason header field with a Q.850 cause value, then the Q.850 cause value MAY be mapped to the cause value of the Cause Indication parameter of the REL and the location field of the Cause Indication parameter is assigned “network beyond interworking point.”

5.3.4.14.6.2.35.3 If the cause value is not available from the Reason header field, then cause value 16 (“Normal Clearing”) is placed in the Cause Indicators parameter of the REL.

4XX, 5XX, 6XX [ITU-T Recommendation Q.1912.5, Section 7.7.6]

5.3.4.14.6.2.36 When the originating gateway receives a 4XX, 5XX, 6XX response to the INVITE, then:

5.3.4.14.6.2.36.1 If a Reason header field with a Q.850 cause value is present, then the Q.850 cause value SHOULD be mapped to the cause value of the Cause Indication parameter of the REL and the location field of the Cause Indication parameter is assigned “network beyond interworking point.”

Otherwise the 4XX, 5XX, 6XX response code is mapped to the REL ISUP cause value in accordance with [Table 5.3.4.14-7](#), 40/Q.1912.5, which is copied here for the reader’s convenience.

Table 5.3.4.14-7. 40/Q.1912.5

←REL (CAUSE VALUE)	←4XX/5XX/6XX SIP MESSAGE	REMARKS
127 Interworking	400 Bad Request	
127 Interworking	401 Unauthorized	Note 1
127 Interworking	402 Payment Required	
127 Interworking	403 Forbidden	
1 Unallocated number	404 Not Found	
127 Interworking	405 Method Not Allowed	
127 Interworking	406 Not Acceptable	
127 Interworking	407 Proxy authentication Required	Note 1
127 Interworking	408 Request Timeout	
22 Number changed (without diagnostic)	410 Gone	

←REL (CAUSE VALUE)	←4XX/5XX/6XX SIP MESSAGE	REMARKS
127 Interworking	413 Request Entity Too Long	Note 1
127 Interworking	414 Request-uri Too Long	Note 1
127 Interworking	415 Unsupported Media Type	Note 1
127 Interworking	416 Unsupported URI Scheme	Note 1
127 Interworking	420 Bad Extension	Note 1
127 Interworking	421 Extension Required	Note 1
127 Interworking	423 Interval Too Brief	
20 Subscriber absent	480 Temporarily Unavailable	
127 Interworking	481 Call/Transaction Does Not Exist	
127 Interworking	482 Loop Detected	
127 Interworking	483 Too Many Hops	
28 Invalid Number format	484 Address Incomplete	Note 1
127 Interworking	485 Ambiguous	
17 User busy	486 Busy Here	
127 Interworking or no mapping (Note 3)	487 Request Terminated	Note 2
127 Interworking	488 Not Acceptable Here	
No mapping	491 Request Pending	Note 2
127 Interworking	493 Undecipherable	
127 Interworking	500 Server Internal Error	
127 Interworking	501 Not Implemented	
127 Interworking	502 Bad Gateway	
127 Interworking	503 Service Unavailable	Note 1
127 Interworking	504 Server Timeout	
127 Interworking	505 Version Not Supported	Note 1
127 Interworking	513 Message Too Large	Note 1
127 Interworking	580 Precondition Failure	Note 1
17 User busy	600 Busy Everywhere	
21 Call rejected	603 Decline	
1 Unallocated number	604 Does Not Exist Anywhere	
127 Interworking	606 Not Acceptable	
Note 1 – This response may be handled entirely on the SIP side; if so, it is not interworked. Note 2 – This response does not terminate a SIP dialog, but only a specific transaction within it. Note 3 – No mapping if the O-IWU previously issued a CANCEL request for the INVITE.		

5.3.4.14.7 Translation Requirements for Interworking AS-SIP Signaling Appliance Operating as a Terminating Gateway

5.3.4.14.7.1 General Requirements

5.3.4.14.7.1.1 The terminating gateway MUST include a to-tag in the first backward non-100 provisional response to establish an early dialog. [ITU-T Recommendation Q.1912.5, Section 6]

5.3.4.14.7.2 IP-to-TDM Call Flow Requirements (Terminating Gateway)

Terminating Gateway Receives AS-SIP INVITE

5.3.4.14.7.2.1 When the terminating gateway receives an INVITE from the IP network, the terminating gateway **MUST** ensure that a complete telephone number has been received in the INVITE before an IAM is transmitted to the ISUP network.

[ITU-T Recommendation Q.1912.5, Sections 6.1.1 and 6.1.2]

5.3.4.14.7.2.2 When the terminating gateway receives an INVITE with a complete telephone number for purposes of routing to the ISUP network, and the INVITE does not include an SDP offer:

5.3.4.14.7.2.2.1 In compliance with Requirement 5.3.4.7.1.14, the INVITE **MUST** indicate support for reliable provisional responses (e.g., INVITE has Require header field or Support header field with option tag “100rel”) in which case:

5.3.4.14.7.2.2.1.1 If the call is to be routed over an a-law TDM network, the terminating gateway **MUST** send a 183 (Session Progress) response having an SDP offer with a-law (PCMA) and μ -law (PCMU) in the media description (assuming the terminating gateway supports G.711 encoding) with a-law (PCMA) having precedence over μ -law (PCMU).

5.3.4.14.7.2.2.1.2 If the call is to be routed over a μ -law TDM network, the terminating gateway **MUST** send a 183 (Session Progress) response having an SDP offer with μ -law (PCMU) in the media description and **MAY** optionally include a-law (PCMA) and μ -law (PCMU) will take precedence over a-law (PCMA), if present (assuming the terminating gateway supports G.711 encoding and transcoding between a-law and μ -law).

5.3.4.14.7.2.2.1.3 If SIP preconditions are not being used for this call request, the terminating gateway **MUST** send the IAM when it receives the SDP answer with the media description.

5.3.4.14.7.2.2.1.4 If SIP precondition signaling is being used **AND** the subsequent ISUP network supports continuity checking, the terminating gateway will immediately send out the IAM and set the Continuity Check indicator in the Nature of Connections Indicator parameter to “continuity

check performed on previous circuit” or “continuity check required on this circuit” (if COT check is to be performed on outgoing circuit).

5.3.4.14.7.2.2.1.5 If SIP precondition signaling is being used AND the subsequent ISUP network does not support continuity checking, the terminating gateway will defer sending the IAM until all preconditions are met.

5.3.4.14.7.2.2.2 If, in violation of Requirement 5.3.4.7.1.1.14, the INVITE does not include a Supported header with the option tag “100rel,” the terminating gateway MUST immediately send out the IAM over the ISUP network.¹

5.3.4.14.7.2.3 When the terminating gateway receives an INVITE with a complete telephone number for purposes of routing to the ISUP network, and the INVITE includes an SDP offer:

5.3.4.14.7.2.3.1 If the terminating gateway operates as an International gateway and uses G.711 encoding:

5.3.4.14.7.2.3.1.1 If the call is going onto an a-law TDM network, the terminating gateway MUST delete the μ -law media description if present from the SDP answer in the first non-failure provisional response it sends back in response to the receipt of the INVITE.

5.3.4.14.7.2.3.1.2 If the call is going onto an μ -law TDM network and both a-law and μ -law were present in the offer, then the terminating gateway MUST delete the a-law from the media description from the SDP answer in the first non-failure provisional response it sends back in response to the receipt of the INVITE.

5.3.4.14.7.2.3.2 If SIP preconditions are not being used, then the terminating gateway MUST immediately send the IAM onto the ISUP network.

5.3.4.14.7.2.3.3 If SIP precondition signaling is being used AND the subsequent ISUP network supports continuity checking, the terminating gateway will immediately send out the IAM and set the Continuity Check indicator in the Nature of Connections Indicator parameter to “continuity check performed on previous circuit” or “continuity check required on this circuit” (if a COT check is to be performed on outgoing circuit).

¹ The first non-failure provisional response sent by the terminating gateway MUST include an sdp offer.

5.3.4.14.7.2.3.4 If SIP precondition signaling is being used AND the subsequent ISUP network does not support continuity checking, the terminating gateway will defer sending the IAM until all preconditions are met.

5.3.4.14.7.2.3.5 The first non-failure provisional response sent by the terminating gateway MUST include an sdp answer.

Terminating Gateway Maps AS-SIP INVITE Headers to ISUP IAM Parameters

Called Party Number [RFC 3398, Section 7.2.1.1]

5.3.4.14.7.2.4 When the terminating gateway receives an AS-SIP INVITE:

5.3.4.14.7.2.4.1 If there is no “npdi=yes” field within the Request-URI, then the telephone number in the userinfo part of the SIP URI MUST be converted to ISUP format and placed in the CPN parameter.

5.3.4.14.7.2.4.2 **[Conditional]** If there is a “npdi=yes” field within the Request-URI field, but no “rn=” field, then the telephone number of the userinfo part of the SIP URI of the Request-URI field MUST be converted to ISUP format and placed in the CPN parameter.

5.3.4.14.7.2.4.3 **[Conditional]** If there is a “npdi=yes” field within the Request-URI and an “rn=” field, then the telephone number in the “rn=” field MUST be converted to ISUP format and placed in the CPN parameter. The telephone number in the Request-URI field MUST be converted to ISUP format and placed in the Generic Digits (GAP) parameter.

5.3.4.14.7.2.5 If the primary telephone number in the Request-URI and that of the To header are at variance, then the To header SHOULD be used to populate an OCN parameter. Otherwise the To header field SHOULD be ignored. [RFC 3398]

5.3.4.14.7.2.6 If the “cic=” parameter is present in the Request-URI, then if local policy supports transmission of the Carrier Identification Code in the IAM, then the terminating gateway policy determines whether the CIC is carried in the CIP or TNS parameter.

5.3.4.14.7.2.7 The information contained in the INVITE Request-URI field, specifically the telephone number in the userinfo part of the SIP URI, MUST be converted to ISUP format and placed in the CPN parameter.

5.3.4.14.7.2.8 If the number in the Request-URI field being converted to ISUP format is a global E.164 number, the NoA indicator is set to “International number” and the Numbering Plan indicator is set to “ISDN numbering plan (Recommendation E.164).”

5.3.4.14.7.2.9 If the number in the Request-URI field being converted to ISUP format belongs to the DSN worldwide numbering plan, then the NoA indicator is set to “Subscriber number” and the Numbering Plan indicator is set to “Private numbering plan.”

5.3.4.14.7.2.10 If the number in the Request-URI field being converted to ISUP format is a National number, then the NoA indicator is set to “National significant number” and the Numbering Plan indicator is set to “ISDN numbering plan (Recommendation E.164)”.

NOTE: This case SHOULD NOT occur because AS-SIP signaling appliances that receive a call request from a served IP EI intended for the PSTN MUST convert National significant numbers to International E.164 numbers.

Calling Party’s Category [ITU-T Recommendation Q.1912.5, Section 6.1.3.2]

5.3.4.14.7.2.11 The calling party’s category is set to “calling party’s category unknown” (bit 0000 0000).

Nature of Connection Indicators [ITU-T Recommendation Q.1912.5, Section 6.1.3.3]

5.3.4.14.7.2.12 The Satellite indicator SHOULD be set to “One satellite circuit in the connection” (bit BA 01).

5.3.4.14.7.2.13 The Continuity Check indicator SHOULD be set to “Continuity check not required” (bit DC 00) if there is no pending precondition request.

5.3.4.14.7.2.14 The Continuity Check indicator SHOULD be set to “Continuity check performed on a previous circuit” (bit DC 10) if there is a pending precondition request.

5.3.4.14.7.2.15 The Echo Control Device indicator SHOULD be set to “outgoing echo control device included” (bit E 1) if the INVITE is from a mobile network.

Forward Call Indicators [Q.1912.5, Section 6.1.3.4]

5.3.4.14.7.2.16 The Interworking indicator SHOULD be set to “Interworking encountered” (bit D 1).

5.3.4.14.7.2.17 The ISUP indicator SHOULD be set to “ISUP not used all the way” (bit F 0).

5.3.4.14.7.2.18 The ISUP Preference indicator SHOULD be set to “ISUP not required all the way” (bit HG 01) unless the INVITE is from a mobile network; in which case, the ISUP Preference indicator will be set in accordance with local policy.

5.3.4.14.7.2.19 The ISDN Access indicator SHOULD be set to “Originating access non-ISDN” (bit I 0).

TMR/USI User Service Information/Transmission Medium Requirement (TMR/USI) [ITU-T Recommendation Q.1912.5, Section 6.1.3.5]

5.3.4.14.7.2.20 (ITU ISUP only) If the call originates from a mobile IP network, the TMR parameter MUST be set to 3.1 kHz audio, the optional USI parameter is NOT sent, and transcoding is applied when required.

5.3.4.14.7.2.21 (ANSI ISUP only) If the call originates from a mobile IP network, the information transfer capability of the USI parameter MUST be set to 3.1 kHz audio.

5.3.4.14.7.2.22 (ITU ISUP) If the call does not originate from a mobile network and the SDP is received by the terminating gateway before the IAM is sent and if transcoding between a-law and μ -law is not supported by the terminating gateway, the values for the mandatory TMR parameter and the optional USI parameter will be derived from the SDP as detailed in Table 5.3.4.14-8, 6/Q1912.5, which is copied here for the reader’s convenience.

5.3.4.14.7.2.23 (ITU ISUP) If the call does not originate from a mobile network and the SDP is received by the terminating gateway after the IAM is sent, the bearer service values defined by the mandatory TMR parameter and the optional USI parameter will be set in accordance with local policy.

5.3.4.14.7.2.24 (ITU ISUP) If the call does not originate from a mobile network and if transcoding between a-law and μ -law is supported by the terminating gateway, the bearer service values defined by the mandatory TMR parameter and the optional USI parameter will be set in accordance with local policy.

5.3.4.14.7.2.25 (ANSI ISUP) If the call does not originate from a mobile network and the SDP is received by the terminating gateway before the IAM is sent, and if transcoding between a-law and μ -law is not supported by the terminating gateway, the values for the mandatory USI parameter will be derived from the SDP as detailed in [Table 5.3.4.14-8](#), 6/Q.1912.5, which is copied here for the reader’s convenience.

Table 5.3.4.14-8. 6/Q.1912.5

	M= LINE		B= LINE	A= LINE	TMR PARAMETER	USI PARAMETER ³		HLC PARAMETER
<media>	<transport>	<fmt-list>	<modifier>: <bandwidth-value>	rtpmap:<dynamic-PT> <encoding name>/<clock rate>/<encoding parameters>	TMR codes	Information Transport Capability	User Information Layer 1 Protocol Indicator	High Layer Characteristics Identification
			NOTE – <bandwidth value> for <modifier> of AS is evaluated to be B kbit/s.					
audio	RTP/AVP	0	N/A or up to 64 kbit/s	N/A	“3.1KHz audio”	“3.1KHz audio”	“G.711 ¹ mu-Law”	Note 3
audio	RTP/AVP	Dynamic PT	N/A or up to 64 kbit/s	rtpmap:<dynamic-PT> PCMU/8000	“3.1KHz audio”	“3.1KHz audio”	“G.711 ¹ mu-Law”	Note 3
audio	RTP/AVP	8	N/A or up to 64 kbit/s	N/A	“3.1KHz audio”	“3.1KHz audio”	“G.711 ¹ A-Law”	Note 3
audio	RTP/AVP	Dynamic PT	N/A or up to 64 kbit/s	rtpmap:<dynamic-PT> PCMA/8000	“3.1KHz audio”	“3.1KHz audio”	“G.711 ¹ A-Law”	Note 3
audio	RTP/AVP	9	AS:64 kbit/s	rtpmap:9 G722/8000	“64 kbit/s unrestricted”	“Unrestricted digital inf. w/tones/ann”		
audio	RTP/AVP	Dynamic PT	AS:64 kbit/s	rtpmap:<dynamic-PT> CLEARMODE/8000 ²	“64 kbit/s unrestricted”	“Unrestricted digital information”		
image	udptl	t38	N/A or up to 64 kbit/s	Based on T.38	“3.1 KHz audio”	“3.1KHz audio”		“Facsimile Group 2/3”
image	tcptl	t38	N/A or up to 64 kbit/s	Based on T.38	“3.1 KHz audio”	“3.1KHz audio”		“Facsimile Group 2/3”

NOTES

1. In this table, the codec G.711 is used only as an example. Another codec is possible.
2. CLEARMODE has not been standardized yet and its usage is FFS.
3. HLC normally is absent in this case. It is possible for HLC to be present with the value “Telephony,” although clause 6.3.1/Q.939 indicates that this would be accompanied normally by a value of “Speech” for the Information Transfer Capability element.

5.3.4.14.7.2.26 (ANSI ISUP) If the call does not originate from a mobile network and the SDP is received by the terminating gateway after the IAM is sent, the bearer service values defined by the mandatory TMR parameter and the optional USI parameter will be set in accordance with local policy.

5.3.4.14.7.2.27 (ANSI ISUP) If the call does not originate from a mobile network and if transcoding between a-law and μ -law is supported by the terminating gateway, the bearer service values defined by the mandatory TMR parameter and the optional USI parameter will be set in accordance with local policy.

5.3.4.14.7.2.28 (ITU ISUP) If the terminating gateway is an International gateway and the audio coding is G.711, and the incoming call is treated as an ISDN originated call, the User Information Layer 1 Protocol indicator of the optional USI parameter **MUST** be set in accordance with the encoding law (i.e., a-law or μ -law) of the succeeding SS7 network.

5.3.4.14.7.2.29 (ANSI ISUP) If the terminating gateway is an International gateway and the audio coding is G.711, and the incoming call is treated as an ISDN originated call, the User Information Layer 1 Protocol indicator of the mandatory USI parameter **MUST** be set in accordance with the encoding law (i.e., a-law or μ -law) of the succeeding SS7 network.

Calling Party Number [ITU-T Recommendation Q.1912.5, Section 6.1.3.6]

5.3.4.14.7.2.30 When the terminating gateway receives the AS-SIP INVITE:

5.3.4.14.7.2.30.1 If the From header field does not have a SIP URI with a telephone number for the user part of the URI, AND if there is no P-Asserted-Identity header¹ or a P-Asserted-Identity header that does not have a SIP URI with a telephone number for the user part of the URI, the terminating gateway **SHOULD** omit the CPN parameter from the IAM.

5.3.4.14.7.2.30.2 If the From header field does not have a SIP URI with a telephone number for the user part of the URI AND if there is a P-Asserted-Identity header with a SIP URI with a telephone number for the user part of the URI, AND there is either no Privacy header field or a Privacy header field where the priv-value is “none,” then the terminating gateway **MUST** place the telephone number from the P-Asserted-Identity header field into the calling party number field, and:

¹ The presence of a P-Asserted-Identity header is mandated by UCR Requirement 5.3.4.7.1.1.23.

5.3.4.14.7.2.30.2.1 In the case of a number from the DSN worldwide numbering plan, set the NoA indicator to “Subscriber number” and the Numbering Plan indicator to “Private numbering plan”; set the Screening indicator to “Network provided”; and set the Presentation indicator to “Presentation allowed.”

5.3.4.14.7.2.30.2.2 In the case of a PSTN number, set the NoA indicator to “unique international number” or to “national (significant) number,” as appropriate; set the Screening indicator to “Network provided”; and set the Presentation indicator to “Presentation allowed.”

5.3.4.14.7.2.30.3 If the From header field does not have a SIP URI with a telephone number for the user part of the URI AND if there is a P-Asserted-Identity header with a SIP URI with a telephone number for the user part of the URI, AND there is a Privacy header where the priv-value is “header,” or “user,” or “id”:

5.3.4.14.7.2.30.3.1 If the terminating gateway will be forwarding the IAM to an untrusted network, then the terminating gateway MUST NOT add a CPN parameter to the IAM, or

5.3.4.14.7.2.30.3.2 If the terminating gateway will be forwarding the IAM to a trusted network, the terminating gateway MUST place the telephone number from the P-Asserted-Identity header into the calling party number field, and:

5.3.4.14.7.2.30.3.2.1 In the case of a number from the DSN worldwide numbering plan, set the NoA indicator to “Subscriber number” and Numbering Plan indicator to “Private numbering plan”; set the Screening indicator to “Network provided”; and set the Presentation indicator to “Presentation allowed.”

5.3.4.14.7.2.30.3.2.2 In the case of a PSTN number, set the NoA indicator to “unique international number” or to “national (significant) number,” as appropriate; set the Screening indicator to “Network provided”; and set the Presentation indicator to “Presentation allowed.”

5.3.4.14.7.2.30.4 If the From header field has a SIP URI with a telephone number for the user part of the URI AND if there is no P-Asserted-Identity header² or a

² The presence of a P-Asserted-Identity header is mandated by Requirement 5.3.4.7.1.1.23

P-Asserted-Identity header that does not have a SIP URI with a telephone number for the user part of the URI, AND there is either no Privacy header field or a Privacy header field where the priv-value is “none”:

5.3.4.14.7.2.30.4.1 The terminating gateway **MUST** place the telephone number in the From header field into the calling party number field, and:

5.3.4.14.7.2.30.4.1.1 In the case of a number from the DSN worldwide numbering plan, set the NoA indicator to “Subscriber number” and the Numbering plan indicator to “Private numbering plan”; set the Screening indicator to “User provided”; and set the Presentation indicator to “Presentation allowed.”

5.3.4.14.7.2.30.4.1.2 In the case of a PSTN number, set the NoA indicator to “unique international number” or to “national (significant) number,” as appropriate; set the Screening indicator to “User provided”; and set the Presentation indicator to “Presentation allowed.”

AND

5.3.4.14.7.2.30.4.2 (ITU ISUP only) In the case of a PSTN number, the terminating gateway **SHOULD** place the telephone number in the From header field into the Generic Number field, set the Number Qualifier indicator to “additional calling party number”; set the NoA indicator to “unique international number” or to “national (significant) number,” as appropriate; set the Number Incomplete indicator to “complete”; set the Numbering Plan indicator to “ISDN (telephony) numbering plan (Recommendation E.164)”; set the Screening indicator to “User provided, not verified”; and set the Presentation indicator to “Presentation allowed.”

5.3.4.14.7.2.30.5 If the From header field has a SIP URI with a telephone number for the user part of the URI AND if there is no P-Asserted-Identity header field³ or a P-Asserted-Identity header field that does not have a SIP URI with a telephone number for the user part of the URI AND there is a Privacy header field where the priv-value is “header” or “user” or “id,” then:

5.3.4.14.7.2.30.5.1 The terminating gateway **MUST** place the telephone number in the From header field into the calling party number field, and:

³ The presence of a P-Asserted-Identity header is mandated by Requirement 5.3.4.7.1.1.23.

5.3.4.14.7.2.30.5.1.1 In the case of a number from the DSN worldwide numbering plan, set the NoA indicator to “Subscriber number” and the Numbering Plan indicator to “Private numbering plan”; set the Screening indicator to “User provided”; and set the Presentation indicator to “Presentation restricted.”

5.3.4.14.7.2.30.5.1.2 In the case of a PSTN number, set the NoA indicator to “unique international number” or to “national (significant) number,” as appropriate; set the Screening indicator to “User provided”; and set the Presentation indicator to “Presentation restricted.”

AND

5.3.4.14.7.2.30.5.1.3 (ITU ISUP only) In the case of a PSTN number, the terminating gateway SHOULD place the telephone number in the From header field into the Generic Number field, set the Number Qualifier indicator to “additional calling party number”; set the NoA indicator to “unique international number” or to “national (significant) number,” as appropriate; set the Number Incomplete indicator to “complete”; set the Numbering Plan indicator to “ISDN (telephony) numbering plan (Recommendation E.164)”; set the Screening indicator to “User provided, not verified”; and set the Presentation indicator to “Presentation restricted.”

5.3.4.14.7.2.30.6 If the From header field has a SIP URI with a telephone number for the user part of the URI AND if there is a P-Asserted-Identity header field with a SIP URI with a telephone number for the user part of the URI, AND there is either no Privacy header field or a Privacy header field where the priv-value is “none”:

5.3.4.14.7.2.30.6.1 The terminating gateway MUST place the telephone number from the P-Asserted-Identity header field into the calling party number field, and:

5.3.4.14.7.2.30.6.1.1 In the case of a number from the DSN worldwide numbering plan, set the NoA indicator to “Subscriber number” and the Numbering Plan indicator to “Private numbering plan”; set the Screening indicator to “Network provided”; and set the Presentation indicator to “Presentation allowed.”

5.3.4.14.7.2.30.6.1.2 In the case of a PSTN number, set the NoA indicator to “unique international number” or to “national (significant) number,” as appropriate; set the Screening indicator to “Network provided”; and set the Presentation indicator to “Presentation allowed.”

AND

5.3.4.14.7.2.30.6..1.3 (ITU ISUP only) In the case of a PSTN number, the terminating gateway SHOULD place the telephone number in the From header field into the Generic Number field, set the Number Qualifier indicator to “additional calling party number”; set the NoA indicator to “unique international number” or to “national (significant) number,” as appropriate; set the Number Incomplete indicator to “complete”; set the Numbering Plan indicator to “ISDN (telephony) numbering plan (Recommendation E.164)”; set the Screening indicator to “User provided, not verified”; and set the Presentation indicator to “Presentation allowed.”

5.3.4.14.7.2.30.7 If the From header field has a SIP URI with a telephone number for the user part of the URI AND if there is a P-Asserted-Identity header field with a SIP URI with a telephone number for the user part of the URI, AND there is a Privacy header field where the priv-value is “header” or “user” or “id”:

5.3.4.14.7.2.30.7.1 If the terminating gateway will be forwarding the IAM to an untrusted network, the terminating gateway MUST omit the CPN parameter from the IAM, and in the case of a PSTN number (ITU ISUP only), MAY derive the telephone number for the Generic Number from the From header field and set the Number Qualifier indicator to “additional calling party number”; set the NoA indicator to “unique international number” or to “national (significant) number,” as appropriate; set the Number Incomplete indicator to “complete”; set the Numbering Plan indicator to “ISDN (telephony) numbering plan (Recommendation E.164)”; set the Screening indicator to “User provided, not verified”; and set the Presentation indicator to “Presentation restricted.”

5.3.4.14.7.2.30.7.2 If the terminating gateway will be forwarding the IAM to a trusted network, the terminating gateway MUST place the telephone number from the P-Asserted-Identity header field into the calling party number field, and:

5.3.4.14.7.2.30.7.2.1 In the case of a number from the DSN worldwide numbering plan, set the NoA indicator to “Subscriber number” and the Numbering Plan indicator to “Private numbering plan”; set the Screening indicator to “Network provided”; and set the Presentation indicator to “Presentation restricted.”

5.3.4.14.7.2.30.7.2.2 In the case of a PSTN number, set the NoA indicator to “unique international number” or to “national (significant) number,” as appropriate; set the Screening indicator to “Network provided”; and set the Presentation indicator to “Presentation restricted.”

AND

5.3.4.14.7.2.30.7.2.3 (ITU ISUP only) In the case of a PSTN number, it MAY derive the telephone number for the Generic Number from the From header field and set the Number Qualifier indicator to “additional calling party number,” set the NoA indicator to “unique international number” or to “national (significant) number,” as appropriate; set the Number Incomplete indicator to “complete”; set the Numbering Plan indicator to “ISDN (telephony) numbering plan (Recommendation E.164)”; set the Screening indicator to “User provided, not verified”; and set the Presentation indicator to “Presentation restricted.”

Terminating Gateway Maps ISUP Messages to AS-SIP Messages

[ITU-T Recommendation Q.1912.5, Section 6.4] (ITU ISUP only)

5.3.4.14.7.2.31 When the terminating gateway receives a CON, the terminating gateway MUST send a 200 (OK) INVITE response.

[ITU-T Recommendation Q.1912.5, Section 6.5]

5.3.4.14.7.2.32 When the terminating gateway receives an ACM and the called party’s status indicator of the ACM BCI parameter is set to “subscriber free,” (bit 01), the terminating gateway MUST send a 180 (Ringing) response.

5.3.4.14.7.2.33 When the terminating gateway receives an ACM with cause parameters it is NOT interworked.

[ITU-T Recommendation Q.1912.5, Section 6.6]

5.3.4.14.7.2.34 When the terminating gateway receives a CPG and the bits of the Event indicator of the CPG Event Information parameter indicate “alerting,” the terminating gateway MUST send a 180 (Ringing) response.

5.3.4.14.7.2.35 When the terminating gateway receives a CPG and the bits of the Event indicator of the CPG Event Information parameter indicate either “progress” or “in-band information or an appropriate pattern is now available,” the terminating gateway generally does not interwork the CPG. An exception to this rule is that the CPG is interworked when the Generic Notification indicator is set to “remote hold” or “remote retrieval” in support of the call hold supplementary service as depicted in Figure 5.3.4.19-7, TDM-to-IP Call Hold; TDM Party Places Call On Hold, and Figure 5.3.4.19-10, IP-to-TDM Call Hold; IP Party Places Call On Hold.

5.3.4.14.7.2.36 When the terminating gateway receives an ANM, the terminating gateway MUST send a 200 (OK) response. [ITU-T Recommendation Q.1912.5, Section 6.7]

5.3.4.14.7.2.37 When the terminating gateway receives a REL subsequent to receiving an ANM or CON (ITU ISUP only): [ITU-T Recommendation Q.1912.5, Section 6.11.2]

5.3.4.14.7.2.37.1 The terminating gateway MUST send an RLC onto the SS7 network.

5.3.4.14.7.2.37.2 The terminating gateway MUST send a BYE and SHOULD include a Reason header field where the protocol field is assigned the value “Q.850,” the protocol-cause field is assigned the cause value from the REL, and the Reason-Text field is based on the provisioning of the terminating gateway.

5.3.4.14.7.2.38 When the terminating gateway receives a REL before receiving an ANM or CON (ITU ISUP only): [ITU-T Recommendation Q.1912.5, Section 6.11.2]

5.3.4.14.7.2.38.1 The terminating gateway MUST send an RLC onto the SS7 network.

5.3.4.14.7.2.38.2 The terminating gateway MUST send the appropriate response as described in [Table 5.3.4.14-9](#), 21/Q.1912.5, and copied here for the reader’s convenience. [ITU-T Recommendation Q1912.5, Section 6.11.2]

Table 5.3.4.14-9. 21/Q.1912.5

←SIP MESSAGE	← REL CAUSE INDICATORS PARAMETER
404 Not Found	Cause Value No. 1 (“ <i>unallocated (unassigned) number</i> ”)
500 Server Internal Error	Cause Value No. 2 (“ <i>no route to network</i> ”)
500 Server Internal Error	Cause Value No. 3 (“ <i>no route to destination</i> ”)
500 Server Internal Error	Cause Value No. 4 (“ <i>Send special information tone</i> ”)
404 Not Found	Cause Value No. 5 (“ <i>Misdialed trunk prefix</i> ”)
500 Server Internal Error	Cause Value No. 8 (“ <i>Preemption</i> ”)
500 Server internal Error	Cause Value No. 9 (“ <i>Preemption-circuit reserved for reuse</i> ”)
486 Busy Here	Cause Value No. 17 (“ <i>user busy</i> ”)
480 Temporarily unavailable	Cause Value No. 18 (“ <i>no user responding</i> ”)
480 Temporarily unavailable	Cause Value No. 19 (“ <i>no answer from the user</i> ”)
480 Temporarily unavailable	Cause Value No. 20 (“ <i>subscriber absent</i> ”)
480 Temporarily unavailable	Cause Value No. 21 (“ <i>call rejected</i> ”)
410 Gone	Cause Value No. 22 (“ <i>number changed</i> ”)
No mapping	Cause Value No. 23 (“ <i>redirection to new destination</i> ”)
480 Temporarily unavailable	Cause Value No. 25 (“ <i>Exchange routing error</i> ”)
502 Bad Gateway	Cause Value No. 27 (“ <i>destination out of order</i> ”)
484 Address Incomplete	Cause Value No. 28 (“ <i>invalid number format (address incomplete)</i> ”)
500 Server Internal Error	Cause Value No. 29 (“ <i>facility rejected</i> ”)
480 Temporarily unavailable	Cause Value No. 31 (“ <i>normal unspecified</i> ”) (Class default)
486 Busy here if Diagnostics Indicator includes the (CCBS indicator = “ <i>CCBS possible</i> ”) else 480 Temporarily unavailable	Cause Value in the Class 010 (resource unavailable, Cause Value No. 34)
500 Server Internal Error	Cause Value in the Class 010 (resource unavailable, Cause Value No. 38-47) (47 is class default)
500 Server Internal Error	Cause Value No. 50 (“ <i>requested facility not subscribed</i> ”)
500 Server Internal Error (SIP-I only)	Cause Value No. 55 (“ <i>incoming calls barred within CUG</i> ”)
500 Server Internal Error	Cause Value No. 57 (“ <i>bearer capability not authorized</i> ”)
500 Server Internal Error	Cause Value No. 58 (“ <i>bearer capability not presently</i> ”)
500 Server Internal Error	Cause Value No. 63 (“ <i>service option not available, unspecified</i> ”) (Class default)
500 Server Internal Error	Cause Value in the Class 100 (service or option not implemented Cause Value No. 65 - 79) (79 is class default)
500 Server Internal Error (SIP-I only)	Cause Value No. 87 (“ <i>user not member of CUG</i> ”)
500 Server Internal Error	Cause Value No. 88 (“ <i>incompatible destination</i> ”)
500 Server Internal Error (SIP-I only)	Cause Value No. 90 (“ <i>Non-existent CUG</i> ”)
404 Not Found	Cause Value No. 91 (“ <i>invalid transit network selection</i> ”)

←SIP MESSAGE	← REL CAUSE INDICATORS PARAMETER
500 Server Internal Error	Cause Value No. 95 (“invalid message”) (Class default)
500 Server Internal Error	Cause Value No. 97 (“Message type non-existent or not implemented”)
500 Server Internal Error	Cause Value No. 99 (“information element/parameter non-existent or not implemented”)
480 Temporarily unavailable	Cause Value No. 102 (“recovery on timer expiry”)
500 Server Internal Error	Cause Value No. 103 (“Parameter non-existent or not implemented, pass on”)
500 Server Internal Error	Cause Value No. 110 (“Message with unrecognized Parameter, discarded”)
500 Server Internal Error	Cause Value No. 111 (“protocol error, unspecified”) (Class default)
480 Temporarily unavailable	Cause Value No. 127 (“interworking unspecified”) (Class default)
NOTES	
<ol style="list-style-type: none"> 1. The 500 response will include a Reason header where the reason-params has the value preemption ;cause=4 ;text="Non-IP Preemption". 2. The 500 response will include a Reason header where the reason-params has the value preemption ;cause=4 ;text="Non-IP Preemption". 	

[ITU-T Recommendation Q.1912.5, Section 6.11.4]

5.3.4.14.7.2.39 When the terminating gateway receives an RSC from the ISUP network:

5.3.4.14.7.2.39.1 If the terminating gateway has already received an ACK for a previously sent 200 (OK) INVITE, then the terminating gateway MUST send a BYE.

5.3.4.14.7.2.39.2 If the terminating gateway has sent a 200 (OK) INVITE but has not received an ACK, then the terminating gateway MUST wait for the ACK before sending the BYE.

5.3.4.14.7.2.39.3 In all other cases, the terminating gateway MUST send a 500 (Server Internal Error) message response.

[ITU-T Recommendation Q.1912.5, Section 6.11.4]

5.3.4.14.7.2.40 When the terminating gateway receives a GRS message from the ISUP network:

5.3.4.14.7.2.41.1 If the terminating gateway has already received an ACK for a previously sent 200 (OK) INVITE, the terminating gateway MUST send a BYE.

5.3.4.14.7.2.41.2 If the terminating gateway has sent a 200 (OK) INVITE but has not received an ACK, the terminating gateway MUST wait for the ACK before sending the BYE.

5.3.4.14.7.2.41.3 In all other cases, the terminating gateway MUST send a 500 (Server Internal Error) message response.

NOTE: One AS-SIP message MUST be sent for each call affected by the GRS.

[ITU-T Recommendation Q.1912.5, Section 6.11.4]

5.3.4.14.7.2.41 When the terminating gateway receives a CGB with the Circuit Group Supervision Message Type indicator set to “hardware failure oriented” from the ISUP network:

5.3.4.14.7.2.41.1 If the terminating gateway has already received an ACK for a previously sent 200 (OK) INVITE, the terminating gateway MUST send a BYE.

5.3.4.14.7.2.41.2 If the terminating gateway has sent a 200 (OK) INVITE but has not received an ACK, the terminating gateway MUST wait for the ACK before sending the BYE.

5.3.4.14.7.2.41.3 In all other cases, the terminating gateway MUST send a 500 (Server Internal Error) message response.

NOTE: One AS-SIP message MUST be sent for each call affected by the CGB.

Terminating Gateway Maps AS-SIP Messages to ISUP Messages

BYE [ITU-T Recommendation Q.1912.5, Section 6.11.1]

5.3.4.14.7.2.42 When the terminating gateway receives a BYE request, then the terminating gateway MUST send a REL onto the ISUP network.

5.3.4.14.7.2.43 If the BYE has a Reason header field with a Q.850 cause value, the terminating gateway MAY map the Q.850 cause value from the Reason header field to the Cause Value field of the REL Cause Indication parameter, and set the Location field to “network beyond interworking point.”

5.3.4.14.7.2.44 If the BYE does not have a Reason header field with a Q.850 cause value, then the terminating gateway MUST place cause value 16 (Normal Clearing) in the REL.

CANCEL [Q.1912.5, Section 6.11.1]

5.3.4.14.7.2.45 When the terminating gateway receives a CANCEL request, the terminating gateway **MUST** send a REL to the ISUP network.

5.3.4.14.7.2.46 If the CANCEL has a Reason header field with a Q.850 cause value, then the terminating gateway **MAY** map the Q.850 cause value from the Reason header field to the Cause Value field of the REL Cause Indication parameter, and set the Location field to “network beyond interworking point.”

5.3.4.14.7.2.47 If the CANCEL does not have a Reason header field with a Q.850 cause value, then the terminating gateway **MUST** place cause value 31 (Normal Unspecified) in the REL.

ISUP Messages that are Encapsulated by Terminating Gateway for Transparent Transport

No ISUP messages are encapsulated in AS-SIP messages for transport over the IP network.

5.3.4.14.7.3 Autonomous Release of Call by Terminating Gateway

[ITU-T Recommendation Q.1912.5, Section 6.11.3]

5.3.4.14.7.3.1 [Table 5.3.4.14-10](#), 22/Q.1912.5, details the events that trigger an autonomous release by the terminating gateway resulting in the transmission of a BYE, 4xx, or 5xx to the IP network and a REL to the SS7 network.

5.3.4.14.7.3.2 A Reason header field containing the (Q.850) cause value of the REL message **MAY** be included in the BYE request.

5.3.4.15 Relevant Timers for the Terminating Gateway and the Originating Gateway

5.3.4.15.1 ISUP Timers

ISUP T7 Timer

5.3.4.15.1.1 When the terminating gateway receives an initial INVITE, the terminating gateway sets an ISUP T7 timer, maps the INVITE header fields to an IAM message, and sends the IAM onto the SS7 network.

5.3.4.15.1.2 If an ACM is received from the ISUP network before expiration of the T7 timer, then the terminating gateway cancels the T7 timer, and,

Table 5.3.4.14-10. 22/Q.1912.5

← SIP	TRIGGER EVENT	REL → CAUSE INDICATORS PARAMETER
484 Address Incomplete	Determination that insufficient digits were received. Applicable to overlap dialing, which is prohibited in this specification. When a UAS receives a request with a Request-URI that is incomplete, then UAS sends back 484 response.	Not applicable
480 Temporarily Unavailable	Congestion at the IWU.	Not applicable
BYE	ISUP procedures result in release after answer.	According to ISUP procedures
500 Server Internal Error	Call release due to the ISUP compatibility procedure (Note 1).	According to ISUP procedures
484 Address Incomplete	Call release due to expiry of T7 within the ISUP procedures.	According to ISUP procedures
480 Temporarily Unavailable	Call release due to expiry of T9 within the ISUP procedures.	According to ISUP procedures
480 Temporarily Unavailable	Other ISUP procedures result in release before answer..	According to ISUP procedures
NOTE If the I-IWU receives unrecognized ISUP or BICC signaling information and determines that the call needs to be released based on the coding of the compatibility indicators, then see clause 2.9.5.2/Q.764 and clause 13.4.3/Q.1902.4.		

5.3.4.15.1.2.1 If the Called Party’s Status of Backward Call indicator parameter is “no indication” (bit 00) (i.e., early ACM), the terminating gateway creates a 183 (Session Progress) response, maps the ACM parameter fields to the SIP response header fields, and sends the response onto the IP network.

5.3.4.15.1.2.2 If the Called Party’s Status of Backward Call indicator parameter is “Subscriber free” (bit 01), the terminating gateway creates a 180 (Ringing) response, maps the ACM parameter fields to the SIP response header fields, and sends the response onto the IP network.

5.3.4.15.1.3 If the ISUP T7 timer at the terminating gateway expires before the receipt of an ACM from the ISUP network, the terminating gateway MUST send a REL with cause code 102 “protocol error, recovery on timer expiry” to the ISUP network, and send a 504 response “gateway timeout” onto the IP network.

ISUP T11 Timer

5.3.4.15.1.4 When the originating gateway receives an IAM from the ISUP network, the originating gateway sets the ISUP T11 timer, sets the SIP timer T1 (if the underlying transport protocol is unreliable (e.g., UDP)), creates an AS-SIP INVITE, and sends the INVITE onto the IP network.

5.3.4.15.1.5 If the originating gateway receives a 180 (Ringing) response, the originating gateway **MUST** cancel the ISUP T11 timer, set the ISUP T9 timer, create an ACM (setting the Called Party's Status indicator of the BCI will have been set to "subscriber free" (bit 01), send the ACM onto the ISUP network, and transmit a ringing tone over the TDM bearer circuit to the caller.

5.3.4.15.1.6 If the originating gateway receives a 183 (Session Progress) response, the originating gateway **MUST** take no action on the ISUP side.

5.3.4.15.1.7 If the originating gateway does not receive a 180 (Ringing) response before the expiration of the ISUP T11 timer, the originating gateway **MUST** set timer T9, send an ACM with the Called Party's Status of the BCI parameter set to "no indication" (bit 00) onto the ISUP network, and transmit a ringing tone over the TDM bearer circuit to the caller.

ISUP T9 Timer (NOT used by all ISUP Networks)

5.3.4.15.1.8 Upon receipt of an ACM from the ISUP network, the terminating gateway sets an ISUP T9 timer and sends a 18x response onto the IP network.

5.3.4.15.1.8.1 If the ACM is an early ACM (i.e., the Called Party's Status of BCI parameter is "no indication" (bit 00), the SIP response is 183 (Session Progress).

5.3.4.15.1.8.2 If the ACM has the Called Party's Status of BCI parameter is "Subscriber free" (bit 01), then the SIP response is 180 (Ringing) response.

5.3.4.15.1.9 If an ANM is received from the ISUP network before the expiration of the ISUP T9 timer, the terminating gateway cancels the T9 timer, creates a 200 (OK) INVITE, and sends the 200 (OK) INVITE onto the IP network.

5.3.4.15.1.10 If an ANM is **NOT** received from the ISUP network before the expiration of the ISUP T9 timer, the terminating gateway **SHOULD** send a 480 (Temporarily Unavailable) response onto the IP network and an REL message with cause value 19 (No Answer from the User) to the ISUP network.

5.3.4.15.2 SIP Timers

5.3.4.15.2.1 (NOTE: This requirement SHOULD NOT be relevant to either the SBU network or the Classified network.) When the originating gateway sends an AS-SIP INVITE over an Unreliable Transport Layer Protocol (i.e., UDP), the originating gateway MUST set SIP timer A with a default value of T1 equals 500 ms. [RFC 3261, Sections 17.1.1.1 and 17.1.1.2] If the timer expires before the originating gateway receiving a response to the INVITE, the originating gateway retransmits the INVITE. The originating gateway will repeat this process (with the T1 timer duration doubling each time) if it does not receive a response until seven transmissions of the INVITE and seven expirations of the timer at which time the originating gateway MUST send a CANCEL onto the IP network and send a REL over the ISUP network.

5.3.4.15.2.2 When the originating gateway sends an AS-SIP INVITE over a reliable transport layer, then the originating gateway MUST NOT set SIP timer A.

5.3.4.15.2.3 When the terminating gateway receives an ANM from the ISUP network (ITU ISUP, ANSI ISUP) or a CON (ITU ISUP only), and sends a 200 (OK) INVITE, the terminating gateway MUST set SIP timer T1. If the timer expires before the terminating gateway receiving an ACK to the 200 (OK) response, the terminating gateway retransmits the 200 (OK) INVITE. The terminating gateway will repeat this process (with the T1 timer duration doubling each time) if it does not receive an ACK until seven transmissions of the INVITE and seven expirations of the T1 timer at which time the terminating gateway MUST send a BYE request onto the IP network and send a REL over the ISUP network.

5.3.4.16 SIP Requirements for Interworking AS-SIP Signaling Appliances

5.3.4.16.1 General Requirements

Interworking AS-SIP signaling appliances MUST comply with UCR 2008 [Section 5.3.4.7.1](#), AS-SIP Signaling Appliances and AS-SIP EIs, as well as the additional general requirements enumerated here.

5.3.4.16.1.1 When an interworking AS-SIP signaling appliance receives a request that contains a Require header field with one or more option-tags that it does not understand, then the interworking AS-SIP signaling appliance MUST return a 420 (Bad Extension) response. The response MUST include an Unsupported header field listing those option-tags the element did not understand. [RFC 3261, Section 8.2.2.3]

5.3.4.16.1.2 All outbound INVITEs generated by an interworking AS-SIP signaling appliance MUST include a Supported header with the option tag “100rel.”

5.3.4.16.2 Methods

5.3.4.16.2.1 INVITE

5.3.4.16.2.1.1 Interworking AS-SIP signaling appliances **MUST** support generating and receiving SIP INVITE requests.

5.3.4.16.2.1.2 Interworking AS-SIP signaling appliances **MUST** comply with the requirements applicable to INVITE Client Transactions (see RFC 3261, Sections 17.1.1.1, 17.1.1.2, 17.1.1.3, 17.1.3, and 17.1.4) and INVITE Server Transactions (see RFC 3261, Sections 17.2.1, 17.2.3, and 17.2.4).

5.3.4.16.2.1.3 Interworking AS-SIP signaling appliances **MUST** comply with the requirements of RFC 3261 Section 13.2.1, Creating the Initial INVITE, Section 13.2.2, Processing the INVITE Responses, and Section 13.3.1, Processing of the INVITE.

5.3.4.16.2.1.4 Interworking AS-SIP signaling appliances **MUST** support generating and receiving SIP re-INVITES per RFC 3261, Section 14.

5.3.4.16.2.2 CANCEL

5.3.4.16.2.2.1 Interworking AS-SIP signaling appliances **MUST** support generating and receiving SIP CANCEL requests and comply with RFC 3261, Section 9.

5.3.4.16.2.3 REGISTER

Not applicable.

5.3.4.16.2.4 OPTIONS

5.3.4.16.2.4.1 Interworking AS-SIP signaling appliances **MUST** support generating and receiving SIP OPTIONS requests and **MUST** comply with the requirements for SIP OPTIONS set forth in RFC 3261, Section 11, Querying for Capabilities, which includes Sections 11.1, Construction of OPTIONS Request, and 11.2, Processing of OPTIONS Request.

5.3.4.16.2.5 BYE

5.3.4.16.2.5.1 Interworking AS-SIP signaling appliances **MUST** support generating and receiving SIP BYE requests and **MUST** comply with the requirements of RFC 3261, Section 15, Terminating a Session.

5.3.4.16.2.6 ACK

5.3.4.16.2.6.1 Interworking AS-SIP signaling appliances **MUST** support generating and receiving SIP ACK requests as defined in RFC 3261.

5.3.4.16.2.7 INFO

The INFO Method requirements have been removed.

5.3.4.16.2.8 PRACK

5.3.4.16.2.8.1 Interworking AS-SIP signaling appliances **MUST** support generating and receiving the SIP PRACK method as defined in RFC 3262.

5.3.4.16.2.8.2 Interworking AS-SIP signaling appliances **MUST** support use of the option tag “100rel” with the Require header and Supported header, and **MUST** support the use of header fields RACK and RSeq as defined in RFC 3262.

5.3.4.16.2.9 UPDATE

5.3.4.16.2.9.1 Interworking AS-SIP signaling appliances **MUST** support generating and receiving the SIP UPDATE method as defined in RFC 3311.

5.3.4.16.2.10 REFER

5.3.4.16.2.10.1 Inter-working AS-SIP signaling appliances **MUST** be capable of receiving/processing REFER requests, the Refer-To header, and the REFER event package as defined in RFC 3515.

5.3.4.16.2.10.2 Inter-working AS-SIP signaling appliances **MAY** generate REFER requests, the Refer-To header and the REFER event package when acting in the capacity of the transferor in the call transfer supplementary service.

5.3.4.16.2.10.3 Inte-rworking AS-SIP signaling appliances **MAY** support generating and receiving/processing the Referred-By header. [RFC 3892]

5.3.4.16.2.11 SUBSCRIBE

5.3.4.16.2.11.1 At this time the UCR has not mandated the use of any event packages in conjunction with the SUBSCRIBE request. A requirement to support specified event packages may be added in the future.

5.3.4.16.2.12 NOTIFY

5.3.4.16.2.12.1 Interworking AS-SIP signaling appliances MUST support the NOTIFY method for event notification defined in RFC 3265. In particular, this specification uses the NOTIFY request in the call transfer supplementary service.

5.3.4.16.3 Header Fields

5.3.4.16.3.1 Interworking AS-SIP signaling appliances MUST, in adherence with the enumerated RFCs, be capable of generating, receiving, and processing the following SIP headers (see RFC 3261, Section 20; RFC 3262; RFC 3265; RFC 3325; RFC 3326; RFC 3515; RFC 3891; RFC 4028; and RFC 4412 as modified herein):

- Accept
- Alert-Info
- Allow
- Allow-Events
- Call-ID
- Contact
- Content-Disposition
- Content-Length
- Content-Type
- Cseq
- Date
- Event
- Expires
- From
- Max-Forwards
- Min-Expires
- Min-SE
- P-Asserted-Identity
- Rack
- Reason
- Record-Route
- Refer-To
- Replaces
- Require
- Resource-Priority
- Retry-After
- RSeq
- Session-Expires
- Subscription-State

Supported
To
Unsupported
Via
Warning

5.3.4.16.3.2 Interworking AS-SIP signaling appliances MAY be capable of receiving/processing the following SIP header:

Referred-By [RFC 3892]

5.3.4.16.3.3 The From header MUST include a tag field as specified in RFC 3261, Section 19.3.

5.3.4.16.3.4 The To header of a request that is part of a dialog MUST include a tag field as specified in RFC 3261, Section 19.3.

5.3.4.16.3.5 Interworking AS-SIP signaling appliances MUST support the use of option tags for the Require, Supported, and Unsupported headers. Option-tags currently used in this specification are “replaces”, ”100rel”, “resource-priority”, “precondition”, and “timer”.

Route Header

5.3.4.16.3.6 In the event the interworking AS-SIP signaling appliance is an LSC, then:

5.3.4.16.3.6.1 When the interworking LSC sends an initial AS-SIP INVITE to its local EBC intended for its SS, the interworking LSC MUST add two Route header field values, which either takes the form of a route set comprising two Route headers where the first Route header is the sip uri for the EBC at the enclave and the second Route header is the sip uri for the EBC serving the SS, or takes the form of one Route header with two comma-separated field values.

NOTE: This requirement does not preclude the interworking LSC from adding Route headers to SIP requests other than the initial INVITE; however, the interworking LSC is only required to add Route headers to the initial INVITE.

5.3.4.16.3.6.1.1 The format of the sip uri of the Route headers MUST consist of an alphanumeric identifier for the userinfo part and an IP address for the host name.

Example:

Route: <sip:ebcenc1@192.168.7.125;lr>

Route: <sip:ebcsdn3@195.117.2.1;lr>

or

Route: <sip:ebcenc1@192.168.7.125;lr>, <sip:ebcsdn3@195.117.2.1;lr>

5.3.4.16.3.7 In the event the interworking AS-SIP signaling appliance is a SS, then:

5.3.4.16.3.7.1 When an interworking SS forwards an initial AS-SIP INVITE to a peer SS, then the interworking SS **MUST** add a route set comprising two Route headers where the first Route header is the SIP URI for the EBC that serves the interworking SS, and the second Route header is the SIP URI for the EBC serving the peer SS.

NOTE: This requirement does not preclude the interworking SS from adding Route headers to SIP requests other than the initial INVITE; however, the interworking SS is only required to add Route headers to the initial INVITE.

5.3.4.16.3.7.1.1 The default format of the SIP URI for the Route header will consist of an alphanumeric identifier for the userinfo part and an IP address for the host name.

Example:

Route: <sip:ebcsdn3@192.168.100.100;lr>

Route: <sip:ebcsdn7@196.1.2.111;lr>

or

Route: <sip:ebcsdn3@192.168.100.100;lr>, <sip:ebcsdn7@196.1.2.111;lr>

5.3.4.16.3.7.2 When an interworking SS forwards an initial AS-SIP INVITE to a subtended LSC, then the interworking SS **MUST** add a route set comprising two Route headers where the first Route header is the SIP URI for the EBC that serves the interworking SS, and the second Route header is the SIP URI for the EBC serving the subtended LSC.

NOTE: This requirement does not preclude the interworking SS from adding Route headers to SIP requests other than the initial INVITE; however, the interworking SS is only required to add Route headers to the initial INVITE.

5.3.4.16.3.7.2.1 The default format of the SIP URI for the Route header will consist of an alphanumeric identifier for the userinfo part and an IP address for the host name.

Example:

Route: <sip:ebcsdn7@192.168.88.50;lr>

Route: <sip:ebcenc25@188.2.44.3;lr>

or

Route: <sip:ebcsdn7@192.168.88.50;lr>, <sip:ebcenc25@188.2.44.3;lr>

Interworking AS-SIP Signaling Appliance and VIA Header Requirements

5.3.4.16.3.8 When an interworking AS-SIP signaling appliance generates an outbound AS-SIP request, the interworking AS-SIP signaling appliance **MUST** add its own VIA header to the AS-SIP request.

5.3.4.16.3.9 When an interworking AS-SIP signaling appliance receives a SIP response to be translated into TDM signaling, then the interworking AS-SIP signaling appliance operates as the UAC for SIP purposes.

5.3.4.16.3.10 When an interworking AS-SIP signaling appliance receives an inbound SIP request to be translated into TDM signaling, then the AS-SIP signaling appliance operates as the UAS for SIP purposes.

5.3.4.16.3.11 When an interworking AS-SIP signaling appliance generates a SIP response on behalf of a signaling message received from the TDM network, then before forwarding the SIP response the interworking AS-SIP signaling appliance **MUST** include the VIA headers received in the corresponding SIP request.

CCA-ID Parameter

5.3.4.16.3.12 When an interworking AS-SIP signaling appliance operating as an originating gateway receives an IAM from the TDM network and sends an INVITE to another AS-SIP signaling appliance (SS or LSC), then the interworking AS-SIP signaling appliance **MUST** add a CCA-ID parameter to the SIP URI of the Contact header populated with its unique identifier before forwarding the INVITE onward to the next AS-SIP signaling appliance.

5.3.4.16.4 Response Codes

5.3.4.16.4.1 Interworking AS-SIP signaling appliances **MUST** support generating, receiving, and processing the provisional (1xx) response codes: 100 (Trying), 180 (Ringing), and 183 (Session Progress). [RFC 3261, Section 21.1, Provisional 1xx]

5.3.4.16.4.2 Interworking AS-SIP signaling appliances **MUST** support generating and receiving/processing the successful (2xx) response codes: 200 (OK) [RFC 3261, Section 21.2, 200 OK] and 202 (Accepted) [RFC 3515].

5.3.4.16.4.3 Interworking AS-SIP signaling appliances **MAY** be capable of operating as redirect servers and **MAY** support the following redirection (3xx) response codes: 300 (Multiple Choices), 301 (Moved Permanently), 302 (Moved Temporarily), and 305 (Use Proxy). [RFC 3261, Section 21.3, Redirection 3xxx]

5.3.4.16.4.4 Interworking AS-SIP signaling appliances **MUST** support generating and receiving/processing the request failure (4xx) response codes: 400 (Bad Request), 401 (Unauthorized), 403 (Forbidden), 404 (Not Found), 405 (Method Not Allowed), 406 (Not Acceptable), 407 (Proxy Authentication Required), 408 (Request Timeout), 410 (Gone), 413 (Request Entity Too Large), 414 (Request-URI Too Long), 415 (Unsupported Media Type), 416 (Unsupported URI Scheme), 417 (Unknown Resource-Priority), 420 (Bad Extension), 421 (Extension Required), 422 (Session Interval Too Small), 423 (Interval Too Brief), 480 (Temporarily Unavailable), 481 (Call/Transaction Does Not Exist), 482 (Loop Detected), 483 (Too Many Hops), 484 (Address Incomplete), 485 (Ambiguous), 486 (Busy Here), 487 (Request Terminated), 488 (Not Acceptable Here), and 491 (Request Pending). [RFC 3261, Section 21.4, Request Failure 4xx]

5.3.4.16.4.5 Interworking AS-SIP signaling appliances upon properly receiving a CANCEL request for an INVITE **MUST** first send a 200 (OK) response code to the CANCEL, and then follow up with a 487 (Request Terminated) response code to the INVITE.

5.3.4.16.4.6 Interworking AS-SIP signaling appliances **MUST** support generating and receiving/processing the server failure (5xx) response codes: 500 (Server Internal Error), 501 (Not Implemented), 502 (Bad Gateway), 503 (Service Unavailable), 504 (Server Timeout), 505 (Version Not Supported), 513 (Message Too Large) [RFC 3261, Section 21.5, Server Failure 5xx], and 580 (Precondition Failure) [RFC 3312].

5.3.4.16.4.7 Interworking AS-SIP signaling appliances **MUST** support generating and receiving/processing the global failures (6xx) response codes: 600 (Busy Everywhere), 603 (Decline), 604 (Does Not Exist Anywhere), and 606 (Not Acceptable). [RFC 3261, Section 21.6, Global Failures 6xx]

5.3.4.16.5 *SIP Preconditions*

See UCR 2008, [Section 5.3.4.7.5](#), SIP Preconditions – SBU Network Only, and UCR 2008, [Section 5.3.4.7.5b](#), SIP Preconditions – Classified Network Only.

5.3.4.16.6 *64 kbps Transparent Calls (Clear Channel)*

Interworking AS-SIP signaling appliances MUST comply with the requirements in UCR 2008, [Section 5.3.4.7.7](#), 64 kbps Transparent Calls (Clear Channel).

5.3.4.16.7 *Transport of Route Code Information over AS-SIP*

Interworking AS-SIP signaling appliances directly serving IP EIs MUST comply with the requirements in UCR 2008, [Section 5.3.4.7.8](#), Transport of Route Code Information over AS-SIP. In addition, interworking AS-SIP signaling appliances directly serving IP EIs MUST meet the requirements enumerated here.

5.3.4.16.7.1 When an interworking AS-SIP signaling appliance receives an INVITE where the Request URI includes the “tgrp” parameter and “trunk-context” parameter and the INVITE is to be interworked into an ISUP IAM, then the interworking AS-SIP signaling appliance MUST conduct the following translation:

- If tgrp=hotline, then the Information Transfer Capability of the User Service Information parameter is set to Speech (“00000”).
- If tgrp=ccdata, then the Information Transfer Capability of the User Service Information parameter is set to Unrestricted Digital Information (“00000”).
- If tgrp=nosat ← N/A not defined.
- If tgrp=hotline-ccdata, then the Information Transfer Capability of the User Service Information parameter is set to Unrestricted Digital Information (“00000”).

5.3.4.16.7.2 When an interworking AS-SIP signaling appliance receives an INVITE where the Request URI includes the “tgrp” parameter and “trunk-context” parameter and the INVITE is to be interworked into an ISDN Q.931 SETUP message, then the translation to be performed by the interworking AS-SIP signaling appliance is summarized in [Table 5.3.4.16-1](#), SIP tgrp to ISDN Q.931 Mapping.

Table 5.3.4.16-1. SIP tgrp to ISDN Q.931 Mapping

SIP tgrp=VALUE	Q.931 IE / BEARER CAPABILITY
No label	Voice BC
tgrp=ccdata	56/64 kbps circuit-mode data
tgrp=nosat	N/A – not defined
tgrp=hotline	Off-hook indicator of voice, speech BC
tgrp=hotline-ccdata	Off-hook indicator of data, 56/64 kbps cmd BC
LEGEND:	
BC Bearer Capability	kbps Kilobits per Second
cmd Circuit Mode Data	N/A Not Applicable
IE Information Element	SIP Session Initiation Value

5.3.4.17 Keep-Alive Timer Requirements for Interworking AS-SIP Signaling Appliances

5.3.4.17.1 Keep-Alive Timer Requirements for Interworking AS-SIP Signaling Appliances

Interworking AS-SIP signaling appliances MUST comply with UCR 2008, [Section 5.3.4.8](#), SIP Session Keep-Alive Timer, as well as the additional E1 requirements enumerated here.

5.3.4.17.1.1 When an interworking AS-SIP signaling appliance receives an outbound request from the PSTN (i.e., the interworking AS-SIP signaling appliance is operating as an originating gateway) and the destination is NOT an IP EI directly served by the interworking AS-SIP signaling appliance, then the interworking AS-SIP signaling appliance MUST operate in accordance with the UAC behavior set forth in RFC 4028, Sections 7.1, Generating an Initial Session Refresh Request; 7.2, Processing a 2xx Response; 7.3, Processing a 422 Response; 7.4, Generating Subsequent Session Refresh Requests; and 10, Performing Refreshes (when responsible for performing the refresh).

5.3.4.17.1.2 When an interworking AS-SIP signaling appliance receives an outbound request from the PSTN (i.e., the interworking AS-SIP signaling appliance is operating as an originating gateway) and the destination is an IP EI directly served by the interworking AS-SIP signaling appliance, then this document does NOT require the use of the keep-alive mechanism.

5.3.4.17.1.3 When an interworking AS-SIP signaling appliance acting as a terminating gateway receives a call request from another AS-SIP signaling appliance, then the interworking AS-SIP signaling appliance MUST operate in accordance with the UAS behavior set forth in RFC 4028, Section 9, and RFC 4028, Section 10, Performing Refreshes (when responsible for performing the refresh).

5.3.4.17.1.4 When an interworking AS-SIP signaling appliance receives an outbound request from a directly served IP EI and is the terminating gateway for the call request, then this document does NOT require the use of the keep-alive mechanism.

5.3.4.18 Precedence and Preemption Extensions for Interworking AS-SIP Signaling Appliances

5.3.4.18.1 Precedence Level Communicated over SIP Signaling

See UCR 2008, [Section 5.3.4.10.2](#), Precedence Level Communicated over SIP Signaling, for descriptions.

5.3.4.18.1.1 “Resource-Priority” Header Field

Interworking AS-SIP signaling appliances MUST comply with the requirements in UCR 2008, [Section 5.3.4.10.2.1](#), Resource-Priority Header Field.

5.3.4.18.1.2 Namespace

Interworking AS-SIP signaling appliances MUST comply with the requirements in UCR 2008, [Section 5.3.4.10.2.1.1](#), Namespace.

5.3.4.18.1.3 r-priority

Interworking AS-SIP signaling appliances MUST comply with the requirements in UCR 2008 [Section 5.3.4.10.2.1.2](#), r-priority.

5.3.4.18.2 Requirements for Interworking AS-SIP Signaling Appliances

Interworking AS-SIP signaling appliances directly serving IP EIs MUST comply with UCR 2008, [Section 5.3.4.10.2.2](#), Requirements for AS-SIP Signaling Appliances Serving IP EIs. All interworking AS-SIP signaling appliances MUST also comply with the requirements enumerated here.

Call Request Received from SS7 Network

5.3.4.18.2.1 **[2010 - 2012]** Whenever an interworking AS-SIP signaling appliance receives an ISUP message that requires translation into an INVITE, UPDATE, or REFER request then:

5.3.4.18.2.1.1 **[2010 –2012]** If the ISUP message contains a Multilevel Precedence and Preemption (MLPP) IE, the interworking AS-SIP signaling appliance MUST:

- Translate the four network identity digits of the MLPP IE into the value for the corresponding network domain subfield in accordance with a translation table configured in the interworking AS-SIP signaling appliance. (For 2010–2012, an interworking AS-SIP signaling appliance will always enter “uc” into the network domain subfield.)
 - If the four network identity digits of the MLPP IE are the binary coded decimal 0000 (i.e., the MLPP equivalent of the “uc” network domain ID), then the value to be placed into the r-priority field is determined from the MLPP precedence level bits using [Table 5.3.4.18-1](#). In the event the precedence level bits do not have an authorized value, then the r-priority field is given the value “0.”

Table 5.3.4.18-1. Table of Correspondence between MLPP Bits in ISUP Message and Character Representation of Single Decimal Value for “r-priority” Field in Resource-Priority Header

PRECEDENCE LEVEL	MLPP PRECEDENCE LEVEL BITS	“RESOURCE-PRIORITY” R-PRIORITY VALUE
ROUTINE	0100	“0”
PRIORITY	0011	‘2’
IMMEDIATE	0010	‘4’
FLASH	0001	‘6’
FLASH-OVERRIDE	0000	‘8’

- [FY 2008–2012] If the four network identity digits of the MLPP IE are some number other than the binary coded decimal 0000, then the r-priority field is assigned the value “0.”
- Convert the hexadecimal value of the 3-octet MLPP service domain into a text string consisting of six characters, which is placed into the precedence-domain subfield.

Implementation Note: In the 2010–2012 timeframe if the hexadecimal value in the 3-octet MLPP service domain is a value other than 0x000000, then it is acceptable for the interworking AS-SIP signaling appliance to convert the MLPP service domain to a precedence domain subfield having the text string “000000”.

NOTE: [2012+] It is anticipated that additional network domains will be defined and corresponding network identity digits will be defined for the new network domains. The new network domains will have associated r-priority values defined for them and corresponding values for the MLPP precedence level bits will be defined. In addition,

precedence domains other than “000000” may be added and will be directly convertible into the 3-octet MLPP service domain.

5.3.4.18.2.1.2 If the ISUP message does not contain an MLPP IE, an interworking AS-SIP signaling appliance **MUST** assign “uc” to the network domain subfield, assign zero to the r-priority field, and assign “000000” to the precedence-domain subfield.

Call Request Intended for the SS7 Network

5.3.4.18.2.1.3 **[2010–2012]** When an interworking AS-SIP signaling appliance receives an INVITE, UPDATE, or REFER message from an AS-SIP signaling appliance intended for an EI on the TDM network, then the interworking AS-SIP signaling appliance **MUST**:

- Set the network identity digits of the MLPP IE to the binary coded decimal value “0000.”
- Translate the value of the r-priority field of the Resource-Priority header to the equivalent MLPP precedence level bits (see [Table 5.3.4.18-1](#)).
- Set the service domain to 000000000000000000000000_{binary} (i.e., 0x000000).

5.3.4.18.2.1.4 **[2010–2012]** When an interworking AS-SIP signaling appliance receives a call signaling message from a directly served IP EI intended for a TDM EI (for which the AS-SIP signaling appliance is also the interworking gateway) and the corresponding ISUP message is an IAM, then the interworking AS-SIP signaling appliance **MUST**:⁴

- Set the network identity digits of the MLPP IE to the binary coded decimal value “0000.”
- Translate the precedence value of the call request to the equivalent MLPP precedence level bits. For example, in the case of an AS-SIP EI, use [Table 5.3.4.18-1](#) to translate between r-priority value and MLPP precedence level bits.
- Set the service domain to 000000000000000000000000_{binary} (i.e., 0x000000).

⁴In the case of a precedence call request from a directly served IP EI, the interworking AS-SIP signaling appliance must authenticate and authorize the caller.

5.3.4.18.3 Option Tag “resource-priority”

Interworking AS-SIP signaling appliances MUST comply with the requirements in UCR 2008, [Section 5.3.4.10.2.3](#), Option Tag “resource-priority.”

5.3.4.18.3.1 Interworking AS-SIP signaling appliances MUST support the option tag “resource-priority” for use with the Require header.

5.3.4.18.3.2 The interworking AS-SIP signaling appliance MUST receive and accept a Require header field with the option tag “resource-priority” in the INVITE, UPDATE, and REFER messages. Interworking AS-SIP signaling appliances MUST NOT reject the message with a 420 (Bad Extension) response code, but rather it MUST accept the request and translate it into the appropriate TDM signaling message as required.

5.3.4.18.4 Error Conditions

Improper Network-Domain, Priority Value, or Precedence-Domain

FY 2008–2012

5.3.4.18.4.1 [2010–2012] When the interworking AS-SIP signaling appliance receives a SIP request with a Resource-Priority header intended for a destination on the TDM network and the SIP request either does not have a Require header or has a Require header but not the option tag “resource-priority,” then:

5.3.4.18.4.1.1 [2010 –2012] If the interworking AS-SIP signaling appliance does not recognize the value of the network-domain subfield of the namespace, then the network-domain subfield is treated as if it were set to “uc” and the r-priority value is treated as if it were set to zero. If the precedence-domain subfield is either syntactically flawed or does not consist of the text string “000000,” then it is still treated as if it had the value “000000.” NOTE: In the conversion to the precedence level IE, the network identity digits are “0000,” the MLPP precedence level bits are “0100” (ROUTINE), and the service domain is 0x000000.

5.3.4.18.4.1.2 [2010–2012] If the interworking AS-SIP signaling appliance recognizes the value of the network-domain subfield of the namespace but the r-priority field does not have an authorized value for the given network domain, then the r-priority value is treated as if it were set to the lowest priority level.

If the precedence-domain subfield is either syntactically flawed or does not consist of the text string “000000,” then it is still treated as if it had the value “000000.”

5.3.4.18.4.1.3 **[2010–2012]** If the interworking AS-SIP signaling appliance receives a namespace with a recognized network domain and an authorized r-priority value where the precedence-domain subfield has a text string that is either syntactically invalid or does not consist of the text string “000000,” then the precedence-domain subfield is treated as if it were set to the text string “000000.”

5.3.4.18.4.2 **[2010–2012]** When the interworking AS-SIP signaling appliance receives a SIP request with a Resource-Priority header intended for a destination on the TDM network and the SIP request has a Require header field with an option tag “resource-priority,” then:

5.3.4.18.4.2.1 **[2010–2012]** If the interworking AS-SIP signaling appliance does not recognize the value of the network-domain subfield of the namespace, then the interworking AS-SIP signaling appliance **MUST** reject the request with a 417 (Unknown Resource-Priority) response code.

5.3.4.18.4.2.2 **[2010–2012]** If the interworking AS-SIP signaling appliance recognizes the value of the network domain subfield but the value of the r-priority field is not valid for the given network domain, then the r-priority value is treated as if it were set to the lowest priority level. If the precedence-domain subfield has a text string that is syntactically invalid or does not consist of the text string “000000,” then the precedence-domain subfield is treated as if it were set to “000000.”

5.3.4.18.4.2.3 **[2010–2012]** If the interworking AS-SIP signaling appliance recognizes the value of the network domain and the r-priority field has an authorized value for the network domain but the precedence-domain subfield has a text string that is syntactically invalid or does not consist of the text string “000000,” then the precedence-domain subfield is treated as if it were set to “000000.”

After FY 2012

5.3.4.18.4.3 **[After FY 2012]** When the interworking AS-SIP signaling appliance receives a SIP request with one or more Resource-Priority headers intended for a destination on the TDM network and the SIP request either does not have a Require header or has a Require header but not the option tag “resource-priority,” then:

5.3.4.18.4.3.1 **[After FY 2012]** If there is either one Resource-Priority header with at least two namespaces or if there are two or more Resource-Priority headers (i.e., two or more different namespaces) and the interworking AS-SIP signaling appliance does not recognize the value of the network-domain subfield in any of the namespaces, then the interworking AS-SIP signaling appliance **MUST** treat the network domain as if it were “uc” and treat the r-priority value as if it were set to zero. If the precedence-domain subfield has a text string that is invalid syntactically, then the precedence-domain

subfield is treated as if it were set to “000000.” NOTE: In the conversion to the precedence level IE, the network identity digits are set to “0000,” the MLPP precedence level bits are set to “0100” (ROUTINE), and the service domain is set to 0x000000.

5.3.4.18.4.3.2 **[After FY 2012]** If there are multiple resource values having valid network domains, then in any case where the r-priority value associated with the valid network domain is not an authorized value, it is treated as if it were set to the lowest priority level. When the priority levels of the resource values are compared to determine which resource value will be used for precedence and preemption purposes, then the resource values with valid network domains but having unauthorized r-priority values will be treated as having the lowest precedence level when the comparison is made and the resource value with the highest priority will be selected. In each case, the precedence-domain is interpreted as is unless it is syntactically flawed; in which case, it is treated as the text string “000000.”

5.3.4.18.4.4 **[After FY 2012]** When the interworking AS-SIP signaling appliance receives a SIP request with at least one Resource-Priority header intended for a destination on the TDM network and the SIP request has a Require header field with an option tag “resource-priority,” then:

5.3.4.18.4.4.1 **[After FY 2012]** If there is either one (1) Resource Priority header with at least two (2) namespaces or if there are two (2) or more Resource Priority headers (i.e., two (2) or more different namespaces) and the interworking AS-SIP signaling appliance does not recognize the value of the network domain subfield in any of the namespaces, then the interworking AS-SIP signaling appliance **MUST** reject the request with a 417 (Unknown Resource-Priority) response code.

5.3.4.18.4.4.2 **[After FY 2012]** If there are multiple resource values having valid network domains, then in any case where the r-priority value associated with the valid network domain is not an authorized value, it is treated as if it were set to the lowest priority level. When the priority levels of the resource values are compared to determine which resource value will be used for precedence and preemption purposes, then the resource values with valid network domains but having unauthorized r-priority values will be treated as having the lowest precedence level when the comparison is made and the resource value with the highest priority will be selected. In each case, the precedence-domain is interpreted as is unless it is syntactically flawed; in which case, it is treated as the text string “000000.”

Authentication Failure

Interworking AS-SIP signaling appliances directly serving IP EIs **MUST** comply with UCR 2008, [Section 5.3.4.10.2.4](#), Error Conditions, Authentication Failure subsection.

Authorization Failure

Interworking AS-SIP signaling appliances directly serving IP EIs MUST comply with UCR 2008, [Section 5.3.4.10.2.4](#), Error Conditions, Authorization Failure subsection.

Insufficient Resources

5.3.4.18.4.5 If an interworking AS-SIP signaling appliance receives an inbound ROUTINE call request over the IP network for a destination on the TDM network and the interworking AS-SIP signaling appliance has insufficient bandwidth-related resources (e.g., lack of circuit-switched trunk capacity for bearer traffic) to handle the call request, the interworking AS-SIP signaling appliance MUST reply with a 488 (Not Acceptable Here) response code and SHOULD include a Warning header with warning code 370 (Insufficient Bandwidth).

5.3.4.18.4.6 If an interworking AS-SIP signaling appliance receives an inbound precedence call request (i.e., with precedence level PRIORITY or above) over the IP network for a destination on the TDM network and the interworking AS-SIP signaling appliance has insufficient bandwidth-related resources (e.g., lack of circuit-switched trunk capacity for bearer traffic) to handle the call request, and if there are insufficient existing calls (and/or call requests) of lower precedence whose removal would provide the necessary resources to support the pending call request, then:

5.3.4.18.4.6.1 The interworking AS-SIP signaling appliance MUST reply with a 488 (Not Acceptable Here) response code and SHOULD include a Warning header with warning code 370 (Insufficient Bandwidth), and

5.3.4.18.4.6.2 The AS-SIP signaling appliance serving the calling IP EI MUST arrange for a BPA to be played to the calling IP EI before terminating the call.

5.3.4.18.5 Precedence Call Rules

Interworking AS-SIP signaling appliances directly serving IP EIs MUST comply with the requirements in UCR 2008, [Section 5.3.4.10.2.5](#), Precedence Call Rules.

5.3.4.18.6 ASAC Preemption Requirements

Interworking AS-SIP signaling appliances MUST comply with the requirements in UCR 2008, [Section 5.3.4.10.3](#), ASAC Preemption Requirements.

5.3.4.18.6.1 Reason Header for Preemption

Interworking AS-SIP signaling appliances MUST comply with the requirements in UCR 2008, [Section 5.3.4.10.3.1](#), Reason Header for Preemption.

5.3.4.18.6.2 Preemption at the IP EI

5.3.4.18.6.2.1 General Preemption Rules for Behavior at IP EIs

Interworking AS-SIP signaling appliances directly serving IP EIs **MUST** comply with the requirements in UCR 2008, [Section 5.3.4.10.3.2.1](#), General Preemption Rules for Behavior at IP EI.

5.3.4.18.6.2.1b Interworking Signaling for BPA and BNEA

5.3.4.18.6.2.1b.1 When a precedence call request originating on the TDM network is blocked due to insufficient network resources on the IP network, then the interworking AS-SIP signaling appliance will receive a 488 (Not Acceptable Here) response that **SHOULD** include a “Warning” header with warning code 370 (Insufficient Bandwidth) with either no Reason header or a Reason header that does **NOT** include a preemption cause where the interworking AS-SIP signaling appliance **MUST** send a REL with Q.850 cause code 46 precedence call blocked (in the case of SS7 ISUP) or a Disconnect with Q.850 cause code 46 precedence call blocked (in the case of ISDN) onto the TDM network.

5.3.4.18.6.2.1b.2 When an interworking AS-SIP signaling appliance receives a precedence call request from the IP network that it translates and forwards onto the TDM network and the response from the TDM network is a REL with Q.850 cause code 46 precedence call blocked (in the case of SS7 ISUP) or a Disconnect with Q.850 cause code 46 precedence call blocked (in the case of ISDN), then the interworking AS-SIP signaling appliance **MUST** generate a 488 (Not Acceptable Here) response that **SHOULD** include a “Warning” header with warning code 370 (Insufficient Bandwidth) with no Reason header that it sends onto the IP network.

5.3.4.18.6.2.1b.3 When a precedence call request originating on the TDM network is blocked due to an end point preemption on the IP network, then the interworking AS-SIP signaling appliance will receive a 486 (Busy Here) response with either no Reason header or a Reason header that does **NOT** include a preemption cause where the interworking AS-SIP signaling appliance **MUST** send a REL with Q.850 cause code 46 precedence call blocked (in the case of SS7 ISUP) or a Disconnect with Q.850 cause code 46 precedence call blocked (in the case of ISDN) onto the TDM network.

5.3.4.18.6.2.1b.4 When a precedence call request originating on the TDM network is blocked due to a busy nonpreemptable end point on the IP network, then the interworking AS-SIP signaling appliance will receive a 480 (Temporarily Unavailable) response with either no Reason header or a Reason header that does **NOT** include a preemption cause where the interworking AS-SIP signaling appliance **MUST** send a REL with Q.850 cause

code 46 precedence call blocked (in the case of SS7 ISUP) or a Disconnect with Q.850 cause code 46 precedence call blocked (in the case of ISDN) onto the TDM network.

5.3.4.18.6.2.2 Required Behavior at Called IP EI when Active Call is Preempted by Higher Precedence Call Request

Interworking AS-SIP signaling appliances directly serving IP EIs MUST comply with the requirements in UCR 2008, [Section 5.3.4.10.3.2.2](#), Required Behavior at Called IP EI When Active Call is Preempted by Higher Precedence Call Request, when an active call is preempted by a higher precedence call request.

5.3.4.18.6.2.3 Implementing Preemption on Behalf of Called IP EIs

Interworking AS-SIP signaling appliances directly serving IP EIs MUST comply with the requirements in UCR 2008, [Section 5.3.4.10.3.2.3](#), Implementing Preemption on Behalf of Called IP EIs. In addition, interworking AS-SIP signaling appliances directly serving IP EIs MUST meet the following enumerated requirements.

End Point Preemption of an Active Call by Interworking LSC or LSC Component of Interworking SS

LSC Serving IP EI (Other Than AS-SIP EI)

5.3.4.18.6.2.3.1 When an LSC performs end point preemption of an active call on behalf of a called IP EI (other than an AS-SIP EI) and the LSC (or SS for which the LSC is a component) is acting as an interworking gateway to the PSTN on behalf of the call to be preempted, the interworking LSC (or LSC in conjunction with the SS) MUST:

5.3.4.18.6.2.3.1.1 Activate user signaling (i.e., preemption tone) at the local IP EI where the existing call is being preempted.

5.3.4.18.6.2.3.1.2 Send a REL with the cause value 8 “Preemption” or 9 “Preemption -- Circuit reserved for reuse” to the SS7 network.

5.3.4.18.6.2.3.1.3 The EO serving the TDM EI will respond with an RLC.

5.3.4.18.6.2.3.1.4 When the local IP EI (other than an AS-SIP EI) goes on-hook, the interworking LSC activates user signaling (i.e., precedence ringing tone) at the local IP EI and sends a 180 (Ringing) response to the remote LSC serving the calling IP EI where the precedence call request has initiated the end point preemption or to the remote AS-SIP EI that initiated the precedence call request. A remote LSC will activate user signaling (i.e., precedence ringback tone) to the

calling IP EI and the call setup for the precedence call request continues as usual. A remote AS-SIP EI will activate user signaling (i.e., precedence ringback tone) and the call setup for the precedence call request continues as usual.

NOTE: If the precedence call request originated at a TDM EI, then the originating gateway will translate the 180 (Ringing) response into an ACM that is forwarded to the EO serving the calling TDM EI and the associated MG will generate the precedence ringback tone that is transmitted in the bearer to the TDM EI.

NOTE: If the precedence call request originated at a TDM EI and the interworking LSC that is conducting the end point preemption is also the originating gateway for the precedence call request, then when the local IP EI goes on-hook the interworking LSC (or SS if the LSC is a component of the SS) will directly create and send the ACM over the SS7 network to the EO serving the calling TDM EI and the associated MG will generate the precedence ringback tone that is transmitted in the bearer to the TDM EI.

LSC Serving AS-SIP EI

5.3.4.18.6.2.3.1a When an AS-SIP EI performs end point preemption of an active call and the serving LSC (or SS for which the LSC is a component) is acting as an interworking gateway to the PSTN on behalf of the call to be preempted, the AS-SIP EI MUST:

5.3.4.18.6.2.3.1a.1 Activate user signaling (i.e., preemption tone) and send a BYE request with a Reason header where the Reason-params has the value preemption ;cause=1 ;text="UA Preemption" to the serving LSC.

5.3.4.18.6.2.3.1a.2 The serving LSC MUST:

5.3.4.18.6.2.3.1a.2.1 Send a REL with the cause value 8 "Preemption" or 9 "Preemption -- Circuit reserved for reuse" to the SS7 network.

5.3.4.18.6.2.3.1a.2.2 The EO serving the TDM EI will respond with an RLC and the interworking LSC sends a 200 (OK) response to the served AS-SIP EI, which terminates the preempted session.

5.3.4.18.6.2.3.1a.2.3 When the AS-SIP EI goes on-hook the AS-SIP EI MUST:

5.3.4.18.6.2.3.1a.2.3.1 If a 200 (OK) response to the BYE has not been received yet, then terminate the bearer for the preempted session.

5.3.4.18.6.2.3.1a.2.3.2 Activate user signaling (i.e., precedence ringing tone) and send a 180 (Ringing) to the remote LSC serving the calling IP EI where the precedence call request has initiated the end point preemption or to the remote AS-SIP EI that initiated the precedence call request.. A remote LSC will activate user signaling (i.e., precedence ringback tone) to the calling IP EI and the call setup for the precedence call request continues as usual. A remote AS-SIP EI will activate user signaling (i.e., precedence ringback tone) and the call setup for the precedence call request continues as usual.

NOTE: If the precedence call request originated at a TDM EI, then the originating gateway will translate the 180 (Ringing) into an ACM that is forwarded to the EO serving the calling TDM EI and the associated MG will generate the precedence ringback tone that is transmitted in the bearer to the TDM EI.

NOTE: If the precedence call request originated at a TDM EI and the LSC that is serving the AS-SIP EI conducting the end point preemption is also the originating gateway for the precedence call request, then when the local AS-SIP EI goes on-hook and the interworking LSC receives the 180 (Ringing) response, then the LSC (or SS if the LSC is a component of the SS) will directly create and send the ACM over the SS7 network to the EO serving the calling TDM EI, and the associated MG will generate the precedence ringback tone that is transmitted in the bearer to the TDM EI.

End Point Preemption of an Inbound Call Request by Originating Gateway or AS-SIP EI Served by Originating Gateway

LSC Serving IP EI (Other Than AS-SIP EI)

5.3.4.18.6.2.3.2 When an LSC performs end point preemption of an inbound call request on behalf of a called IP EI (other than an AS-SIP EI) and the LSC (or SS if the LSC is a component) is acting as an originating gateway for the inbound call request to be preempted, the interworking LSC (or LSC with the SS) MUST:

5.3.4.18.6.2.3.2.1 Send a termination message to the local IP EI to end the current inbound call request that is being preempted in favor of the new higher precedence call request. The termination message will terminate the ringing tone.

NOTE: There is no particular purpose for playing the preemption tone because the phone is on-hook.

5.3.4.18.6.2.3.2.2 Send a REL with the cause value 8 “Preemption” or 9 “Preemption -- Circuit reserved for reuse” onto the SS7 network to the EO serving the calling TDM EI.

5.3.4.18.6.2.3.2.3 The EO serving the TDM EI will respond with an RLC.

5.3.4.18.6.2.3.2.4 The interworking LSC activates user signaling (i.e., precedence ringing tone) at the local IP EI and a 180 (Ringing) response to the remote LSC serving the calling IP EI where the precedence call request has initiated the end point preemption or to the remote AS-SIP EI where the precedence call request has initiated the end point preemption. A remote LSC will activate user signaling (i.e., precedence ringback tone) to the calling IP EI and the call setup for the precedence call request continues as usual. A remote AS-SIP EI will activate user signaling (i.e., precedence ringback tone) and the call setup for the precedence call request continues as usual.

NOTE: If the precedence call request originated at a TDM EI, then the originating gateway will translate the 180 (Ringing) response into an ACM that is forwarded to the EO serving the calling TDM EI and the associated MG will generate the precedence ringback tone that is transmitted in the bearer to the TDM EI.

NOTE: If the precedence call request originated at a TDM EI and the interworking LSC that is conducting the end point preemption is also the originating gateway for the precedence call request, then when the local IP EI goes on-hook the interworking LSC (or SS if the LSC is a component of an SS) will directly create and send the ACM over the SS7 network to the EO serving the calling TDM EI, and the associated MG will generate the precedence ringback tone that is transmitted in the bearer to the TDM EI.

LSC Serving AS-SIP EI

5.3.4.18.6.2.3.2a When an AS-SIP EI performs end point preemption of an inbound call request and the LSC (or SS if the LSC is a component of an SS) is acting as an originating gateway for the inbound call request to be preempted, the AS-SIP EI **MUST**:

5.3.4.18.6.2.3.2a.1 Terminate the ringing tone and send a 486 (Busy Here) response with a Reason header where the Reason-params has the value preemption ;cause=1 ;text=“UA Preemption” to the serving LSC.

NOTE: There is no particular purpose for playing the preemption tone because the phone is on-hook.

5.3.4.18.6.2.3.2a.2 The interworking LSC (or SS if the LSC is a component of an SS) MUST:

5.3.4.18.6.2.3.2a.2.1 Send a REL with the cause value 8 “Preemption” or 9 “Preemption -- Circuit reserved for reuse” onto the SS7 network to the EO serving the calling TDM EI.

5.3.4.18.6.2.3.2a.2.2 The EO serving the TDM EI will respond with an RLC and the LSC sends an ACK to the served AS-SIP EI.

5.3.4.18.6.2.3.2a.3 Upon receipt of the ACK, the AS-SIP EI MUST:

5.3.4.18.6.2.3.2a.3.1 Activate user signaling (i.e., precedence ringing tone) and send a 180 (Ringing) response to the remote LSC serving the calling IP EI where the precedence call request has initiated the end point preemption or to the remote AS-SIP EI where the precedence call request has initiated the end point preemption. A remote LSC will activate user signaling (i.e., precedence ringback tone) to the calling IP EI and the call setup for the precedence call request continues as usual. A remote AS-SIP EI will activate user signaling (i.e., precedence ringback tone) and the call setup for the precedence call request continues as usual.

NOTE: If the precedence call request originated at a TDM EI, then the originating gateway will translate the 180 (Ringing) response into an ACM that is forwarded to the EO serving the calling TDM EI, and the associated MG will generate the precedence ringback tone that is transmitted in the bearer to the TDM EI.

NOTE: If the precedence call request originated at a TDM EI and the LSC that is serving the AS-SIP EI conducting the end point preemption is also the originating gateway for the precedence call request, then when the local AS-SIP EI goes on-hook and the interworking LSC receives the 180 (Ringing) response, then the LSC (or SS if the LSC is a component of the SS) will directly create and send the ACM over the SS7 network to the EO serving the calling TDM EI, and the associated MG will generate the precedence ringback tone that is transmitted in the bearer to the TDM EI.

LSC Serving IP EI (Other Than AS-SIP EI)

5.3.4.18.6.2.3.3 When an LSC performs end point preemption of an outbound call request on behalf of a called IP EI, and the LSC (or SS if LSC is a component of an SS) is acting as a terminating gateway for the outbound call request to be preempted, the (or LSC in conjunction with an SS) MUST:

5.3.4.18.6.2.3.3.1 Interrupt current user signaling associated with the outbound call request (e.g., ringback) and activate user signaling (i.e., preemption tone) at the local IP EI where the existing call is being preempted.

5.3.4.18.6.2.3.3.2 Send a REL with the cause value 8 “Preemption” or 9 “Preemption -- Circuit reserved for reuse” onto the SS7 network.

5.3.4.18.6.2.3.3.3 The EO serving the TDM EI will respond with an RLC.

5.3.4.18.6.2.3.3.4 Upon the receiver going on-hook, the local interworking LSC will continue the call setup for the higher precedence call by activating user signaling at the local IP EI (i.e., precedence ringing tone), sending a 180 (Ringing) response to the remote LSC or AS-SIP EI, and so forth.

NOTE: If the precedence call request originated at a TDM EI, then the originating gateway will translate the 180 (Ringing) response into an ACM that is forwarded to the EO serving the calling TDM EI and the associated MG will generate the precedence ringback tone that is transmitted in the bearer to the TDM EI.

NOTE: If the precedence call request originated at a TDM EI and the interworking LSC that is conducting the end point preemption is also the originating gateway for the precedence call request, then when the local IP EI goes on-hook the interworking LSC (or SS if the LSC is a component of an SS) will directly create and send the ACM over the SS7 network to the EO serving the calling TDM EI, and the associated MG will generate the precedence ringback tone that is transmitted in the bearer to the TDM EI.

LSC Serving AS-SIP EI

5.3.4.18.6.2.3.3a When an AS-SIP EI performs end point preemption of an outbound call request, and the LSC (or SS if the LSC is a component of an SS) is acting as a terminating gateway for the outbound call request to be preempted, the AS-SIP EI MUST:

5.3.4.18.6.2.3.3a.1 Interrupt current user signaling associated with the outbound call request (e.g., ringback), activate user signaling (i.e., preemption tone), and send

5.3.4.18.6.2.3.3a.1.1 If an early dialog has been established, send a BYE or CANCEL request with a Reason header where the Reason-params has the value `preemption;cause=1;text="UA Preemption"` to the serving LSC.

5.3.4.18.6.2.3.3a.1.2 If an early dialog has not been established, send a CANCEL request with a Reason header where the Reason-params has the value `preemption;cause=1;text="UA Preemption"` to the serving LSC.

5.3.4.18.6.2.3.3a.2 The serving LSC (or SS if the LSC is a component of an SS) MUST:

5.3.4.18.6.2.3.3a.2.1 Send a REL with the cause value 8 "Preemption" or 9 "Preemption -- Circuit reserved for reuse" onto the SS7 network.

5.3.4.18.6.2.3.3a.2.2 The EO serving the TDM EI will respond with an RLC, and the LSC sends a 200 (OK) response to the AS-SIP EI in response to a BYE or a 200 (OK) response in response to a CANCEL followed by a 487 (Request Terminated) response to the INVITE. NOTE: The AS-SIP EI will send an ACK to the LSC if the LSC sends a 487 response.

5.3.4.18.6.2.3.3a.3 Upon the receiver going on-hook, the AS-SIP EI MUST:

5.3.4.18.6.2.3.3a.3.1 Continue the call setup for the higher precedence call by activating user signaling (i.e., precedence ringing tone), sending a 180 (Ringing) response to the remote LSC or AS-SIP EI, and so forth.

NOTE: If the precedence call request originated at a TDM EI, then the originating gateway will translate the 180 (Ringing) response into an ACM that is forwarded to the EO serving the calling TDM EI, and the associated MG will generate the precedence ringback tone that is transmitted in the bearer to the TDM EI.

NOTE: If the precedence call request originated at a TDM EI and the LSC that is serving the AS-SIP EI conducting the end point preemption is also the originating gateway for the precedence call request, then when the local AS-SIP EI goes on-hook and the interworking LSC receives the 180 (Ringing) response, then the LSC (or SS if the LSC is a component of the

SS) will directly create and send the ACM over the SS7 network to the EO serving the calling TDM EI, and the associated MG will generate the precedence ringback tone that is transmitted in the bearer to the TDM EI.

Remote Signaling Appliance Response to Notification of IP End Point Preemption.

5.3.4.18.6.3 Network Preemption

The Network Preemption requirements set forth in this document specifically cover the network preemption scenarios where the interworking AS signaling appliance either:

1. Directly serves an IP EI that is the source of the precedence level call request for which preemption is sought and as the terminating gateway for the precedence level call request for which preemption is sought, or
2. Operates as the originating gateway for the precedence level call request for which preemption is sought and directly serves an IP EI that is the destination of the precedence level call request for which preemption is sought.
3. Operates as the originating gateway for the precedence level call request for which preemption is sought, or
4. Operates as the terminating gateway for the precedence level call request for which preemption is sought.

5.3.4.18.6.3.1 Circumstances under which an Interworking AS-SIP Signaling Appliance Performs Network Preemption

Interworking AS-SIP signaling appliances directly serving IP EIs **MUST** comply with the requirements in UCR 2008, Section 5.3.4.10.3.3.1, Circumstances under which an AS-SIP Signaling Appliance Performs Network Preemption.

5.3.4.18.6.3.2 Implementing the Network Preemption

Interworking AS-SIP signaling appliances directly serving IP EIs **MUST** comply with the requirements in UCR 2008, [Section 5.3.4.10.3.3.2](#), Implementing the Network Preemption. In addition, interworking AS-SIP signaling appliances directly serving IP EIs **MUST** meet the following enumerated requirements.

Network Preemption of Active Call

EI > CCS7 .> Sig Appl.> EI

5.3.4.18.6.3.2.1 When an interworking LSC (or SS if the LSC is a component of an SS) performs a network preemption of an active call (where the interworking LSC directly serves an IP EI (other than an AS-SIP EI) where the call is to be preempted AND serves as the interworking gateway to the TDM network on behalf of the call to be preempted), then the interworking LSC (or SS if the LSC is a component of an SS) MUST:

5.3.4.18.6.3.2.1.1 Activate the preemption tone at the local IP EI for a minimum of 3 seconds, and then send a termination message to the local IP EI.

5.3.4.18.6.3.2.1.2 Send a REL with the cause value 8 “Preemption” or 9 “Preemption -- Circuit reserved for reuse” onto the SS7 network.

5.3.4.18.6.3.2.1.3 The EO serving the TDM EI will respond with an RLC.

5.3.4.18.6.3.2.1a When an interworking LSC (or SS if the LSC is a component of an SS) performs a network preemption of an active call (where the interworking LSC directly serves an AS-SIP EI where the call is to be preempted AND serves as the interworking gateway to the TDM network on behalf of the call to be preempted), then the interworking LSC (or SS if the LSC is a component of an SS) MUST:

5.3.4.18.6.3.2.1a.1 Send a SIP BYE request with a Reason header where the Reason-params has the value preemption ;cause=5 ;text=“Network Preemption”) to the served AS-SIP EI. The AS-SIP EI activates the preemption tone for a minimum of 3 seconds, sends a 200 (OK) response to the LSC, and terminates the session.

5.3.4.18.6.3.2.1a.2 Send a REL with the cause value 8 “Preemption” or 9 “Preemption -- Circuit reserved for reuse” onto the SS7 network.

5.3.4.18.6.3.2.1a.3 The EO serving the TDM EI will respond with an RLC.

Network Preemption of an Inbound Call Request

5.3.4.18.6.3.2.2 When an interworking LSC (or SS if the LSC is a component of an SS) performs a network preemption of an inbound call request to a directly served IP EI (other than an AS-SIP EI) (receiver is on-hook) and is also acting as an originating gateway for the inbound call request to be preempted, the interworking LSC (or SS if the LSC is a component of an SS) MUST:

5.3.4.18.6.3.2.2.1 Send a REL with the cause value 8 “Preemption” or 9 “Preemption -- Circuit reserved for reuse” onto the SS7 network.

5.3.4.18.6.3.2.2.2 The EO serving the TDM EI will respond with an RLC.

5.3.4.18.6.3.2.2.3 Conduct call termination with the local IP EI that deactivates the ringing tone.

5.3.4.18.6.3.2.2a When an interworking LSC (or SS if the LSC is a component of an SS) performs a network preemption of an inbound call request to a directly served AS-SIP EI (i.e., receiver is on-hook) and is also acting as an originating gateway for the inbound call request to be preempted, the interworking LSC (or SS if the LSC is a component of an SS) MUST:

5.3.4.18.6.3.2.2a.1 Send a REL with the cause value 8 “Preemption” or 9 “Preemption -- Circuit reserved for reuse” onto the SS7 network.

5.3.4.18.6.3.2.2a.2 The EO serving the TDM EI will respond with an RLC.

5.3.4.18.6.3.2.2a.3 Send a SIP BYE request or CANCEL request, as appropriate, with a Reason header where the Reason-params has the value preemption ;cause=5 ;text=“Network Preemption”) to the AS-SIP EI. The AS-SIP EI deactivates the ringing tone, sends a 200 (OK) response, and terminates the inbound call request.

Network Preemption of an Outbound Call Request

5.3.4.18.6.3.2.3 When an interworking LSC (or SS if the LSC is a component of an SS) performs a network preemption of an outbound call request from a directly served IP EI (other than an AS-SIP EI) that has not received a 2xx response and is also acting as a terminating gateway for the outbound call request being preempted, the interworking LSC (or SS if the LSC is a component of an SS) MUST:

5.3.4.18.6.3.2.3.1 Send a REL with the cause value 8 “Preemption” or 9 “Preemption -- Circuit reserved for reuse” onto the SS7 network.

5.3.4.18.6.3.2.3.2 The EO serving the TDM EI will respond with an RLC.

5.3.4.18.6.3.2.3.3 Deactivate any user signaling (e.g., ringback), activate the preemption tone for a minimum of 3 seconds, and then conduct call termination.

5.3.4.18.6.3.2.3a When an interworking LSC (or SS if the LSC is a component of an SS) performs a network preemption of an outbound call request from a directly served AS-SIP EI that has not received a 2xx response and is also acting as a

terminating gateway for the outbound call request being preempted, the interworking LSC (or SS if the LSC is a component of an SS) MUST:

5.3.4.18.6.3.2.3a.1 Send a REL with the cause value 8 “Preemption” or 9 “Preemption -- Circuit reserved for reuse” onto the SS7 network.

5.3.4.18.6.3.2.3a.2 The EO serving the TDM EI will respond with an RLC.

5.3.4.18.6.3.2.3a.3 Send a 488 (Not Acceptable Here) response code that SHOULD include a Warning header field with warning code 370 (Insufficient Bandwidth) with a Reason header where the Reason-params has the value `preemption;cause=5;text=“Network Preemption”` to the AS-SIP EI. The AS-SIP EI deactivates any user signaling (e.g., ringback), activates the preemption tone for a minimum of 3 seconds, responds to the LSC with an ACK, and terminates the call request.

Network Preemption of Call Request (Interworking AS-SIP Signaling Appliance does not Directly Serve One of the Preempted IP EIs)

5.3.4.18.6.3.2.4 When an interworking AS-SIP signaling appliance acting as an originating gateway for a call request intended for a remote EI not directly served by the interworking AS-SIP signaling appliance initiates a preemption of the call request (for which a 2xx response has not been received yet), the interworking AS-SIP signaling appliance MUST:

5.3.4.18.6.3.2.4.1 If an early dialog has been established, send a BYE or CANCEL request to the-AS-SIP signaling appliance directly serving the called IP EI in the preempted call request or to the AS-SIP EI in the preempted call request.

5.3.4.18.6.3.2.4.1.1 If the preemption was caused by a lack of network resources on the IP side (e.g., call count at provisioned threshold), then the BYE or CANCEL request MUST include a Reason header where the Reason-params has the value `preemption ;cause=5 ;text=“Network Preemption”`.

5.3.4.18.6.3.2.4.1.2 If the preemption was caused by a lack of network resources on the TDM side (e.g., all TDM circuits on interworking gateway are busy), then the BYE or CANCEL request MUST have a Reason header where the Reason-params has the value `preemption ;cause=4 ;text=“Non-IP Preemption”`.

5.3.4.18.6.3.2.4.2 If an early dialog has NOT been established, then if a provisional response has been received already or if not, then upon receipt of a provisional response send a CANCEL⁵ request to the-AS-SIP signaling appliance directly serving the called IP EI in the preempted call request or to the AS-SIP EI in the preempted call request.

5.3.4.18.6.3.2.4.2.1 If the preemption was caused by a lack of network resources on the IP side (e.g., call count at provisioned threshold), then the CANCEL request MUST include a Reason header where the Reason-params has the value preemption ;cause=5 ;text="Network Preemption".

5.3.4.18.6.3.2.4.2.2 If the preemption was caused by a lack of network resources on the TDM side (e.g., all TDM circuits on interworking gateway are busy), then the CANCEL request MUST include a Reason header where the Reason-params has the value preemption ;cause=4 ;text="Non-IP Preemption".

5.3.4.18.6.3.2.4.3 The interworking AS-SIP signaling appliance will receive a 200 (OK) response to the BYE or CANCEL request. In the case of a CANCEL request, the interworking AS-SIP signaling appliance will usually receive a 487 (Request Terminated) response to the INVITE.

5.3.4.18.6.3.2.4.4 Send a REL with the cause value 8 "Preemption" or 9 "Preemption -- Circuit reserved for reuse" onto the SS7 network.

5.3.4.18.6.3.2.4.5 The EO serving the TDM EI will respond with an RLC.

5.3.4.18.6.3.2.5 When an interworking AS-SIP signaling appliance performs a network preemption of a call request where the interworking AS-SIP signaling appliance is acting as a terminating gateway on behalf of the call request to be preempted AND does not directly serve an IP EI where the call is to be preempted, then the interworking AS-SIP signaling appliance MUST:

5.3.4.18.6.3.2.5.1 Send a 488 (Not Acceptable Here) response code that SHOULD include a Warning header with warning code 370 (Insufficient Bandwidth) over the IP network toward the AS-SIP signaling appliance directly

⁵ If the interworking AS-SIP signaling appliance has not received any provisional response, it MUST wait to receive a provisional response before sending the CANCEL. In the event the first response is a final response or a provisional response that creates an early dialog (i.e., 101-199 with a tag in the To header), then the interworking AS-SIP signaling appliance sends a BYE request instead of a CANCEL.

serving the IP EI where the call request is being preempted or to the AS-SIP EI where the call request is being preempted.⁶

5.3.4.18.6.3.2.5.1.1 If the preemption was caused by a lack of network resources on the IP side (e.g., call count at provisioned threshold), then the 488 response MUST include a Reason header where the Reason-params has the value preemption ;cause=5 ;text="Network Preemption".

5.3.4.18.6.3.2.5.1.2 If the preemption was caused by a lack of network resources on the TDM side (e.g., all TDM circuits on interworking gateway are busy), then the 488 response MUST include a Reason header where the Reason-params has the value preemption ;cause=4 ;text="Non-IP Preemption".

5.3.4.18.6.3.2.5.2 The interworking AS-SIP signaling appliance will receive an ACK response to the 488 (Not Acceptable Here) response.

5.3.4.18.6.3.2.5.3 Send a REL with the cause value 8 "Preemption" or 9 "Preemption -- Circuit reserved for reuse" onto the SS7 network.

5.3.4.18.6.3.2.5.4 The EO serving the TDM EI will respond with an RLC.

Network Preemption of an Active Call (Interworking AS-SIP Signaling Appliance Receives REL)

5.3.4.18.6.3.2.6 When an interworking AS-SIP signaling appliance receives a REL with a cause value of 8 "Preemption" or 9 "Preemption -- Circuit reserved for reuse" for an active call and does NOT directly serve an IP EI where the call is to be preempted, the interworking AS-SIP signaling appliance MUST:

5.3.4.18.6.3.2.6.1 Send an RLC response onto the SS7 network.

5.3.4.18.6.3.2.6.2 Send a BYE request with a Reason header with a reason-value of preemption ;cause=4 ;text="Non-IP Preemption" intended for either the AS-SIP signaling appliance directly serving the IP EI where the call is to be preempted or to the AS-SIP EI where the call is to be preempted.

5.3.4.18.6.3.2.6.3 The interworking gateway will receive a 200 (OK) response.

⁶ Call has not progressed to the point that the interworking AS-SIP signaling appliance has received an ACK to a 200 OK INVITE.

5.3.4.18.6.3.2.7 When an interworking AS-SIP signaling appliance receives a REL with a cause value of 8 “Preemption” or 9 “Preemption -- Circuit reserved for reuse” for an active call AND directly serves the IP EI where the call is to be preempted, then the interworking AS-SIP signaling appliance MUST:

5.3.4.18.6.3.2.7.1 Send an RLC response onto the SS7 network.

5.3.4.18.6.3.2.7.2 In the case of a served IP EI (other than an AS-SIP EI) activate the preemption tone at the local IP EI for a minimum of 3 seconds, and then send a termination message to the local IP EI.

5.3.4.18.6.3.2.7.3 In the case of a served AS-SIP EI, send a SIP BYE request with a Reason header where the Reason-params has the value preemption ;cause=4 ;text=“Non-IP Preemption”. The AS-SIP EI activates the preemption tone for a minimum of 3 seconds, sends a 200 (OK) response to the LSC, and terminates the session.

Network Preemption of Call Request (Interworking AS-SIP Signaling Appliance Receives REL)

5.3.4.18.6.3.2.8 When an interworking AS-SIP signaling appliance is acting as an originating gateway for a call request (call request originated on TDM network) for which the interworking AS-SIP signaling appliance receives a REL with a cause value of 8 “Preemption” or 9 “Preemption -- Circuit reserved for reuse,” and the interworking AS-SIP signaling appliance does NOT directly serve an IP EI where the call is to be preempted, and has not received a 2xx response yet, the interworking AS-SIP signaling appliance MUST:

5.3.4.18.6.3.2.8.1 Send an RLC response onto the SS7 network.

5.3.4.18.6.3.2.8.2 If an early dialog has been established, send a BYE or CANCEL request with a Reason header where the Reason-params has the value preemption ;cause=4 ;text=“Non-IP Preemption” either to the AS-SIP signaling appliance directly serving the called IP EI in the preempted call request or to the AS-SIP EI in the preempted call request.

5.3.4.18.6.3.2.8.3 If an early dialog has not been established, then if a provisional response has already been received, or if not, then upon receipt of a provisional response, send a CANCEL⁷ request with a Reason header where the Reason-

⁷ If the interworking AS-SIP signaling appliance has not received any provisional response, it MUST wait to receive a provisional response before sending the CANCEL. In the event the first response is a final response or a provisional response that creates an early dialog (i.e., 101-199 with a tag in the To header), then the interworking AS-SIP signaling appliance sends a BYE request instead of a CANCEL.

params has the value `preemption ;cause=4 ;text="Non-IP Preemption"` either to the AS-SIP signaling appliance directly serving the called IP EI in the preempted call request or to the AS-SIP EI in the preempted call request.

5.3.4.18.6.3.2.8.4 The interworking AS-SIP signaling appliance will receive a 200 (OK) response to the BYE or CANCEL request. The interworking AS-SIP signaling appliance will usually receive a 487 (Request Terminated) response to the INVITE.

5.3.4.18.6.3.2.9 When an interworking AS-SIP signaling appliance is acting as a terminating gateway for a call request for which the interworking AS-SIP signaling appliance receives a REL with a cause value of 8 "Preemption" or 9 "Preemption -- Circuit reserved for reuse," and the interworking AS-SIP signaling appliance does NOT directly serve an IP EI where the call is to be preempted, the interworking AS-SIP signaling appliance MUST:

5.3.4.18.6.3.2.9.1 Send an RLC response onto the SS7 network.

5.3.4.18.6.3.2.9.2 Send a 488 (Not Acceptable Here) response code that SHOULD include a Warning header with warning code 370 (Insufficient Bandwidth) with a Reason header where the Reason-params has the value `preemption;cause=4 ;text="Non-IP Preemption"` over the IP network toward the AS-SIP signaling appliance directly serving the IP EI where the call request is being preempted or to the AS-SIP EI where the call request is being preempted.

5.3.4.18.6.3.2.10 When an interworking AS-SIP signaling appliance receives a REL with a cause value of 8 "Preemption" or 9 "Preemption – Circuit reserved for reuse" for a call request initiated by a TDM EI and where the destination is an IP EI directly served by the interworking AS-SIP signaling appliance, then the interworking AS-SIP signaling appliance MUST:

5.3.4.18.6.3.2.10.1 Send an RLC response onto the SS7 network.

5.3.4.18.6.3.2.10.2 In the case of an IP EI (other than an AS-SIP EI) deactivate the ringing tone at the local IP EI, and conduct call termination with the local IP EI

5.3.4.18.6.3.2.10.3 In the case of an AS-SIP EI, send a SIP BYE request or CANCEL request, as appropriate, with a Reason header where the Reason-params has the value `preemption ;cause=4 ;text="Non-IP Preemption"`). The AS-SIP EI deactivates the ringing tone, sends a 200 (OK) response to the LSC, and terminates the session.

5.3.4.18.6.3.2.11 When an interworking AS-SIP signaling appliance receives a REL with a cause value of 8 “Preemption” or 9 “Preemption – Circuit reserved for reuse” for a call request initiated by a directly served IP EI, then the interworking AS-SIP signaling appliance MUST:

5.3.4.18.6.3.2.11.1 Send an RLC response onto the SS7 network.

5.3.4.18.6.3.2.11.2 In the case of an IP EI (other than an AS-SIP EI) deactivate user signaling (e.g., ringback), activate the preemption tone for a minimum of 3 seconds, and then conduct call termination.

5.3.4.18.6.3.2.11.3 In the case of an AS-SIP EI, send a 488 (Not Acceptable Here) response with a Reason header where the Reason-params has the value preemption ;cause=4 ;text=“Non-IP Preemption”). The AS-SIP EI deactivates user signaling (e.g., ringback), activates the preemption tone for a minimum of 3 seconds, sends an ACK to the LSC, and terminates the call request.

5.3.4.19 *Supplementary Services*

5.3.4.19.1 *Introduction*

Many calling features are traditional to the TDM network and the PSTN. Within the PSTN, some calling features are required by law and regulated by the FCC. This specification is used within the context of a private network, and thus, is not necessarily subject to the same laws and regulations.

This specification’s focus is on the signaling-related functions and interfaces supported by the signaling appliances interfacing with the UC WAN. This document places no restrictions on the line-side signaling method used between AS-SIP signaling appliances and the IP EIs served by those AS-SIP signaling appliances. The IP telephony signaling used by and with the EI may be SIP, H.323, or proprietary. The only requirements on the line side are of a functional nature relating to the user experience (example.g., the sequence of events experienced by a user of an IP EI when a call is to be preempted). In every case, the primary call flow diagram and description depicts and details the signaling flow across the UC WAN but not the signaling across the LAN between the AS-SIP signaling appliance serving an IP EI and the EI. In some cases, a secondary call flow diagram is provided that does depict SIP signaling down to the SIP EI to enhance the reader’s understanding of the given call flow. However, it is the AS-SIP message sequences across the WAN and the AS-SIP/ISUP translations and message sequences that are governed by this specification. When depicted, the SIP message flows across the LAN are merely instructional.

The call flow diagrams assume the two-tier hierarchical architecture where for incoming or outgoing calls to be established across the UC WAN, the LSC at a B/P/C/S exchanges its AS-SIP messages with an assigned SS.

Call features 1, 2, 3, 4, and 5 are required for the near-term open loop architecture. In addition, the near-term open loop architecture calls for AS-SIP to support the fax and modem services per UCR 2008, [Section 5.3.4.13.9](#), Modem on IP Networks.

5.3.4.19.2 Point-to-Point Call

Signaling requirements for point-to-point calls are described for the following three call conditions:

- No precondition
- Segmented precondition
- End-to-end precondition

5.3.4.19.2.1 IP-to-IP Call Type

See UCR 2008, [Section 5.3.4.13.2.1](#), IP-to-IP Call Type.

5.3.4.19.2.2 Reserved

5.3.4.19.2.3 TDM-to-IP Call Type

5.3.4.19.2.3.1 [Figure 5.3.4.19-1](#), Successful Basic TDM-to-IP Call (No Preconditions), depicts the sequence of AS-SIP and ISUP messages between the EO serving TDM Party A and the LSC serving IP Party B used to establish, and then tear down a telephony session. The call flow diagram does not depict the use of preconditions.

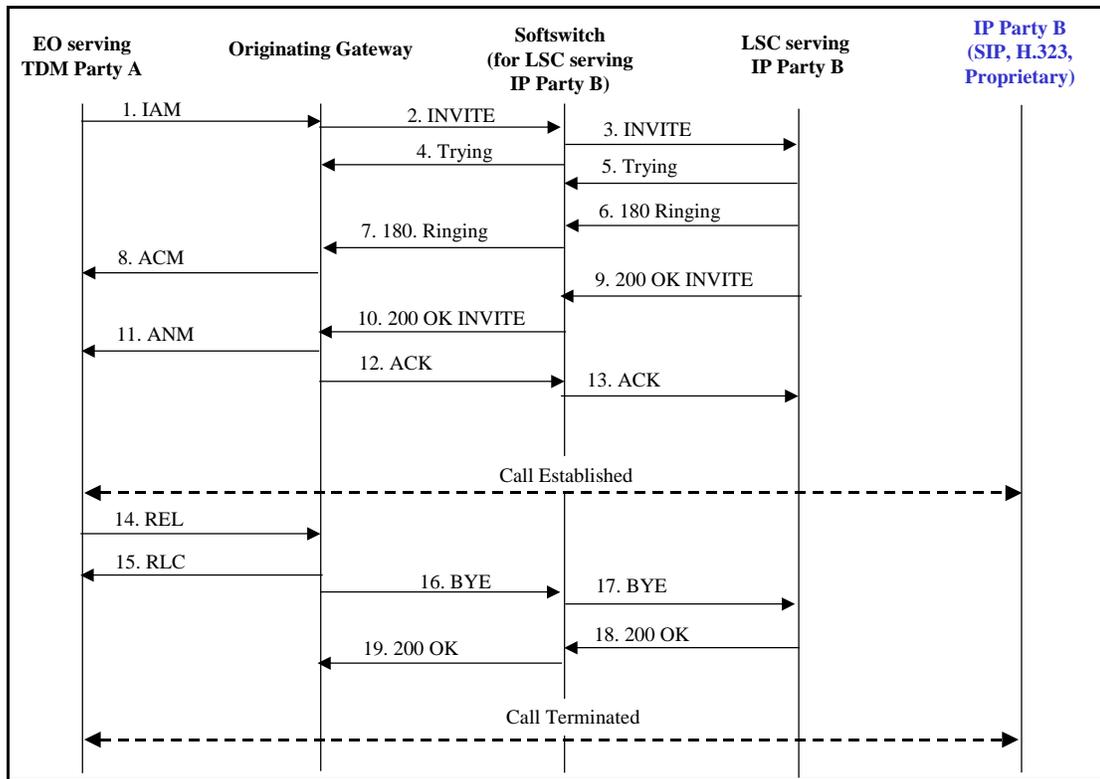


Figure 5.3.4.19-1. Successful Basic TDM-to-IP Call (No Preconditions)

Call Establishment

STEP	INSTRUCTION
1	The EO serving TDM Party A detects an off-hook and receives the dialed string. The EO sends an IAM through the SS7 network to the originating gateway.
2	The originating gateway receives the IAM, creates an INVITE, and sends the INVITE to the SS assigned to the LSC serving IP Party B.
3	The SS receives the INVITE and forwards the INVITE to the LSC serving IP Party B. NOTE: Signaling between the LSC serving IP Party B and the EI serving IP Party B is not depicted.
4	The SS sends a 100 (Trying) response to the originating gateway.
5	The LSC serving IP Party B sends a 100 (Trying) response to the SS.

STEP	INSTRUCTION
6, 7	<p>Assuming the EI is not busy, the LSC serving IP Party B sends a 180 (Ringing) response to the SS, and the SS forwards the 180 (Ringing) response to the originating gateway.</p> <p>NOTE: Signaling between the EI serving IP Party B and the LSC serving IP Party B is not depicted.</p>
8	The originating gateway creates an ACM and sends it to the EO serving TDM Party A.
9, 10	<p>When IP Party B goes off-hook (i.e., answers the call), the LSC serving IP Party B sends a 200 (OK) response to the SS. It forwards the 200 (OK) response to the originating gateway.</p> <p>NOTE: Signaling between the EI serving IP Party B and the LSC serving IP Party B is not depicted.</p>
11	The originating gateway creates an ANM and sends it to the EO serving TDM Party A.
12, 13	<p>The originating gateway sends an ACK to the SS. The SS forwards the ACK to the LSC serving IP Party B.</p> <p>NOTE: Signaling between the LSC serving IP Party B and the EI serving IP Party B is not depicted.</p>

Call Release

STEP	INSTRUCTION
14	The EO serving TDM Party A detects on-hook and sends a REL to the originating gateway.
15	The originating gateway sends an RLC to the EO serving TDM Party A.
16	The originating gateway sends a BYE request over the IP network to the SS.
17	<p>The SS forwards the BYE request to the LSC serving IP Party B.</p> <p>NOTE: Signaling between the LSC serving IP Party B and the EI serving IP Party B is not depicted.</p>
18, 19	<p>The LSC serving IP Party B sends a 200 (OK) response to the SS, and the SS forwards the 200 (OK) response to the originating gateway.</p> <p>NOTE: Signaling between the EI serving IP Party B and the LSC serving IP Party B is not depicted.</p>

5.3.4.19.2.3.2 [Figure 5.3.4.19-2](#) depicts the sequence of AS-SIP and ISUP messages between the EO serving TDM Party A and the LSC serving IP Party B used to establish a telephony session, using a segmented precondition.

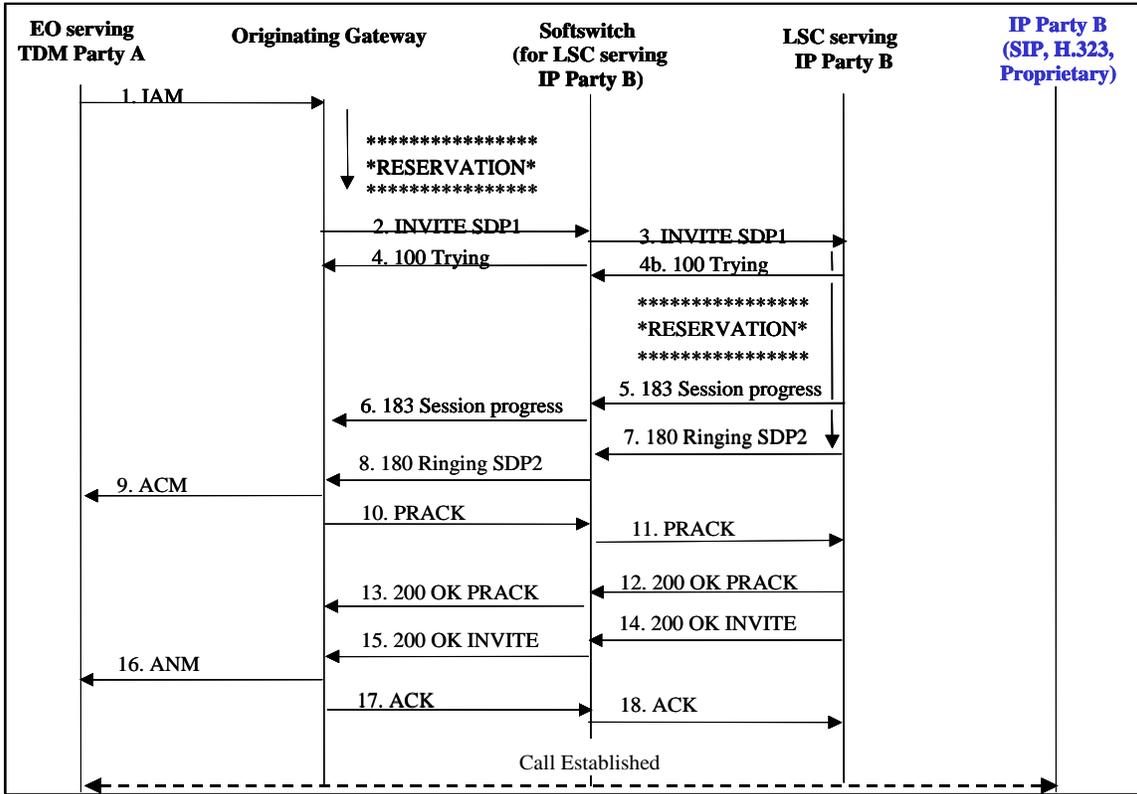


Figure 5.3.4.19-2. Successful Basic TDM-to-IP Call (Segmented Precondition)

Call Establishment

STEP	INSTRUCTION
1	The EO serving TDM Party A detects an off-hook and receives the dialed string. The EO sends an IAM through the SS7 network to the originating gateway.
2	Upon receiving the IAM from the EO serving TDM Party A, the originating gateway satisfies its local precondition before sending an INVITE to the SS for the LSC serving IP Party B. The INVITE contains a segmented precondition offer using SDP attributes described in RFC 3312. The precondition offer includes, at a minimum, a media (“m=”) line, a connection (“c=”) line, at least two current-status lines (local and remote), and at least two desired-status lines (local and remote).
3	The SS forwards the INVITE to the LSC serving IP Party B.
4	The SS sends a 100 (Trying) response code to the originating gateway.

STEP	INSTRUCTION
4b	The LSC serving IP Party B sends a 100 (Trying) response code to the SS.
5, 6	The LSC serving IP party B sends a 183 (Session Progress) response code with no sdp before sending the 180 (Ringing) response code with an answer to the segmented precondition offer to notify the originating gateway that there is no early media, and ringback must be generated locally.
7, 8	<p>The LSC serving IP Party B satisfies its local precondition, notifies the IP EI of the pending call and sends a 180 (Ringing) response with an answer to the segmented precondition offer that includes, at a minimum, a media (“m=”) line, a connection (“c=”) line, at least two current-status lines, and at least two desired-status lines to the SS. The SS forwards the 180 (Ringing) response to the originating gateway.</p> <p>NOTE: Signaling between the LSC serving IP Party B and the EI serving IP Party B is not depicted.</p>
9	The originating gateway receives the 180 (Ringing) response and sends an ACM to the EO serving TDM Party A.
10, 11	The originating gateway sends a PRACK to the SS, and the SS forwards the PRACK to the LSC serving IP Party B.
12, 13	The LSC serving IP Party B sends a 200 (OK) PRACK to the SS, and the SS forwards the 200 (OK) PRACK to the originating gateway.
14, 15	<p>The LSC serving IP Party B sends a 200 (OK) INVITE to the SS. The SS forwards the 200 (OK) INVITE to the originating gateway.</p> <p>NOTE: Signaling between the IP EI serving IP Party B and the LSC serving IP Party B is not depicted.</p>
16	The originating gateway receives the 200 (OK) INVITE and sends an ANM to the EO serving TDM Party A.
17, 18	<p>The originating gateway sends the ACK to the SS, which forwards the ACK to the LSC serving IP Party B.</p> <p>NOTE: Signaling between the LSC serving IP Party B and the IP EI serving IP Party B is not depicted.</p>

The call is now established.

5.3.4.19.2.3.3 [Figure 5.3.4.19-3](#) depicts the sequence of AS-SIP and ISUP messages between the EO serving TDM Party A and the LSC serving IP Party B used to establish a telephony session-using a status-type end-to-end precondition.

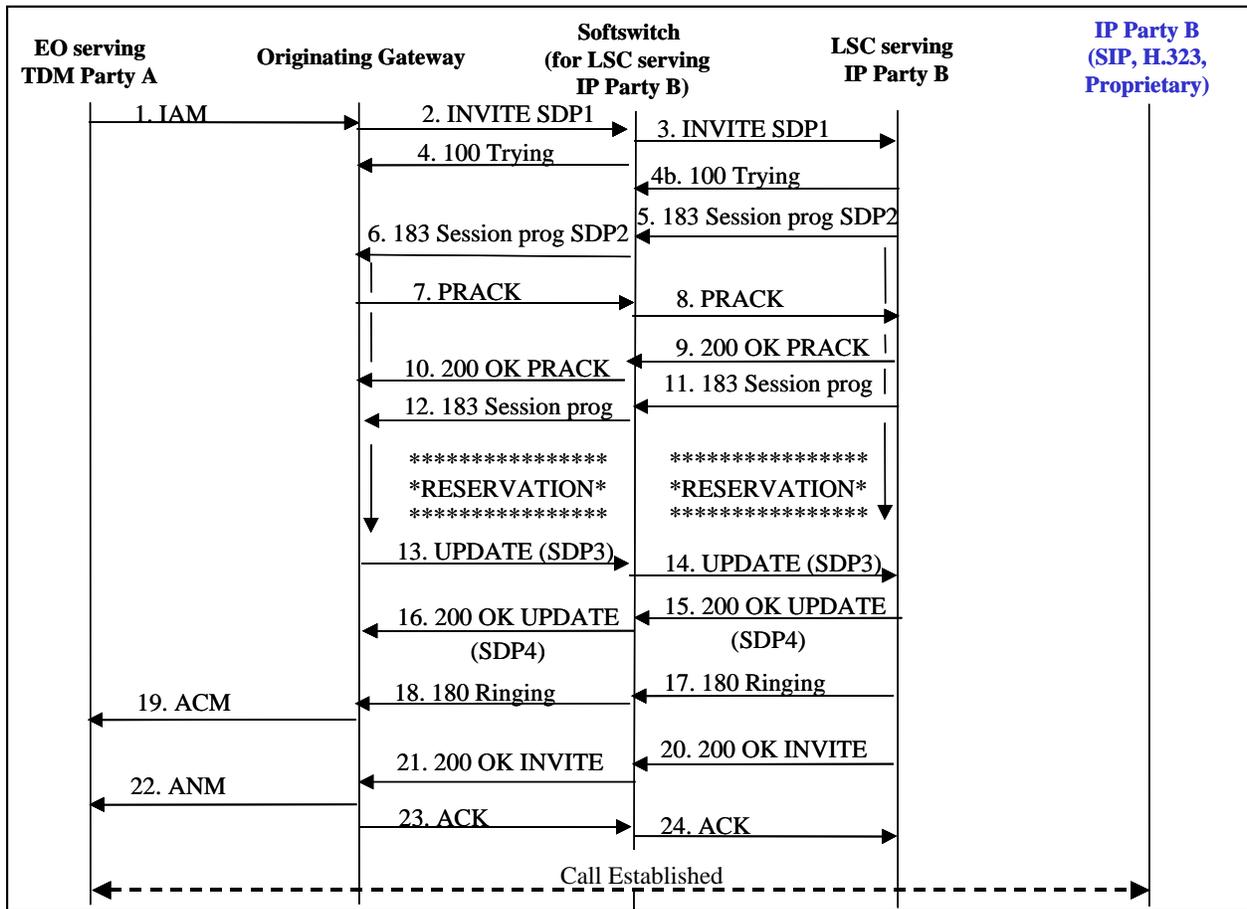


Figure 5.3.4.19-3. Successful Basic TDM-to-IP Call (End-to-End Precondition)

Call Establishment

STEP	INSTRUCTION
1	The EO serving TDM Party A detects an off-hook and receives the dialed string. The EO sends an IAM through the SS7 network to the originating gateway.
2	Upon receiving the IAM from the EO serving TDM Party A, the originating gateway sends an INVITE to the SS for the LSC serving IP Party B. The INVITE contains an end-to-end precondition offer using SDP attributes described in RFC 3312. The precondition offer includes, at a minimum, a media (“m=”) line, a connection (“c=”) line, at least 1 current-status line, and at least 1 desired-status line.
3	The SS receives and forwards the INVITE to the LSC serving IP Party B.
4	The SS sends a 100 (Trying) response code to the originating gateway.

STEP	INSTRUCTION
4b	The LSC serving IP Party B sends a 100 (Trying) response code to the SS.
5, 6	The LSC serving IP Party B sends a 183 (Session Progress) response code to the SS with an answer to the E2E precondition offer that includes, at a minimum, a media (“m=”) line, a connection (“c=”) line, at least one current-status line, at least one desired-status line, and at least one confirm-status line. The SS forwards the 183 (Session Progress) response to the originating gateway. The LSC serving IP Party B and the originating gateway attempt to reserve the resources needed to fulfill the preconditions.
7, 8	The originating gateway sends a PRACK to the SS, and the SS forwards the PRACK to the LSC serving IP Party B.
9, 10	The LSC serving IP Party B sends a 200 (OK) PRACK to the SS, and the SS forwards the 200 (OK) PRACK to the originating gateway.
11, 12	The LSC serving IP party B sends a 183 (Session Progress) response code with no sdp immediately after sending the 200 (OK) PRACK to notify the originating gateway that it will not be receiving early media over the backward bearer path.
13, 14	When the originating gateway receives a confirmation that it has met its precondition (e.g., receives an RSVP RESV message), then it sends an UPDATE to the SS with an updated offer reflecting compliance with its part of the precondition. The updated precondition offer includes, at a minimum, a media (“m=”) line, a connection (“c=”) line, at least one current-status line, and at least one desired-status line. The SS forwards the UPDATE to the LSC serving IP Party B.
15, 16	The LSC serving IP Party B receives a confirmation that it has met its precondition (e.g., receives an RSVP RESV message) and sends a 200 (OK) UPDATE to the SS with an updated precondition answer reflecting compliance with its part of the precondition as well as the most recent updated information from the UPDATE offer. The updated answer includes, at a minimum, a media (“m=”) line, a connection (“c=”) line, at least one current-status line, and at least one desired-status line. The SS forwards the 200 (OK) UPDATE to the originating gateway.
17, 18	<p>The preconditions have been met so the LSC serving IP Party B notifies the IP EI of the pending call and sends a 180 (Ringing) response code to the SS. The SS forwards the 180 (Ringing) response to the originating gateway.</p> <p>NOTE: Signaling between the LSC serving IP Party B and the EI serving IP Party B is not depicted.</p>
19	The originating gateway receives the 180 (Ringing) response and sends an ACM to the EO serving TDM Party A.
20, 21	<p>The LSC serving IP Party B sends a 200 (OK) INVITE to the SS. The SS forwards the 200 (OK) INVITE to the originating gateway.</p> <p>NOTE: Signaling between the IP EI serving IP Party B and the LSC serving IP Party B is not depicted.</p>

Call Establishment

STEP	INSTRUCTION
1, 2	<p>Upon receiving an initial call request message from IP Party A (not depicted in the call flow diagram), the LSC serving IP Party A sends an INVITE to its assigned SS. The SS forwards the INVITE to the terminating gateway.</p> <p>NOTE: Signaling between the EI serving Party A and the LSC serving IP Party A is not depicted.</p>
3	The SS sends a 100 (Trying) response code to the LSC serving IP Party A.
4	The terminating gateway creates an IAM and sends the IAM to the EO serving TDM Party B.
5	The terminating gateway sends a 100 (Trying) response code to the SS.
6	The EO serving TDM Party B initiates ringing of the EI and sends an ACM to the terminating gateway.
7, 8	<p>The terminating gateway creates a 180 (Ringing) response and sends the 180 (Ringing) to the SS. The SS forwards the 180 (Ringing) to the LSC serving IP Party A.</p> <p>NOTE: Signaling between the LSC serving IP Party A and the EI serving IP Party A is not depicted.</p>
9, 10	<p>The LSC serving IP Party A sends a PRACK to the SS and it sends the PRACK to the terminating gateway.</p> <p>NOTE: Signaling between the EI serving IP Party A and the LSC serving IP Party A is not depicted.</p>
11, 12	The terminating gateway sends a 200 (OK) PRACK to the SS. The SS sends the 200 (OK) PRACK to the LSC serving IP Party A.
13	When TDM Party B goes off-hook (i.e., answers the call), the EO serving TDM Party B sends an ANM to the terminating gateway.
14, 15	<p>The terminating gateway creates a 200 (OK) response and sends the 200 (OK) to the SS. The SS forwards the 200 (OK) to the LSC serving IP Party A.</p> <p>NOTE: Signaling between the LSC serving IP Party A and the EI serving IP Party A is not depicted.</p>

STEP	INSTRUCTION
16, 17	The LSC serving IP Party A sends an ACK to the SS. The SS forwards the ACK to the terminating gateway. NOTE: Signaling between the EI serving IP Party A and the LSC serving IP Party A is not depicted.

Call Release

STEP	INSTRUCTION
18, 19	The LSC serving IP Party A sends a BYE request to the SS, which in turn sends the BYE request to the terminating gateway. NOTE: Signaling between the EI serving IP Party A and the LSC serving IP Party A that initiated the call release is not depicted.
20	The terminating gateway sends an REL to the EO serving TDM Party B.
21	The EO serving TDM Party B sends an RLC to the terminating gateway.
22, 23	The terminating gateway sends a 200 (OK) response to the SS and the SS forwards the 200 (OK) to the LSC serving IP Party A. NOTE: Signaling between the LSC serving IP Party A and the EI serving IP Party A is not depicted.

5.3.4.19.2.4.2 [Figure 5.3.4.19-5](#) depicts the sequence of AS-SIP and ISUP messages between the LSC serving IP Party A and the EO serving TDM Party B used to establish a telephony session using a segmented precondition.

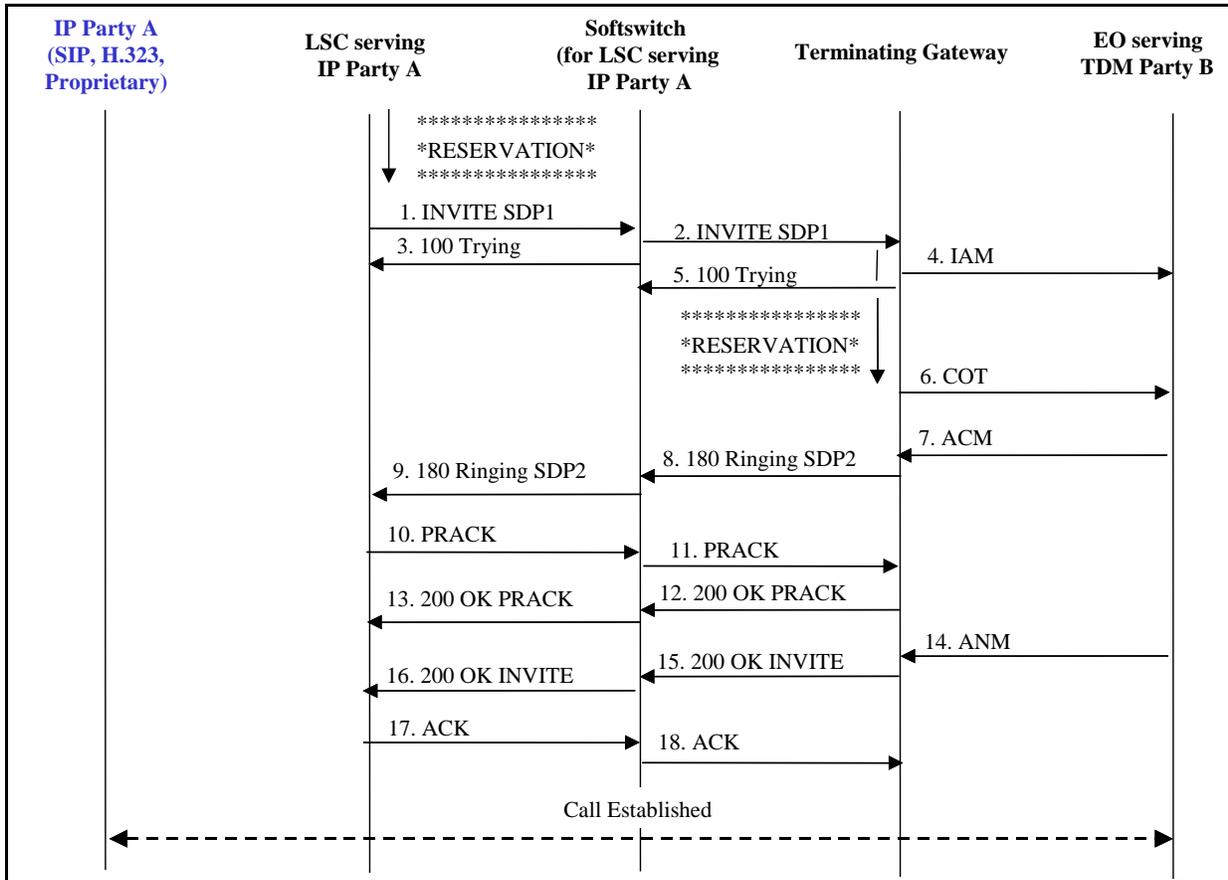


Figure 5.3.4.19-5. Successful Basic IP-to-TDM Call (Segmented Precondition)

Call Establishment

STEP	INSTRUCTION
1, 2	Upon receiving an initial call request message from IP Party A (not depicted in the call flow diagram), the LSC serving IP Party A satisfies its local precondition before sending an INVITE to its assigned SS. The INVITE contains a segmented precondition offer using SDP attributes described in RFC 3312. The precondition offer includes, at a minimum, a media (“m=”) line, a connection (“c=”) line, at least two current-status lines (local and remote), and at least two desired-status lines (local and remote). The SS forwards the INVITE to the terminating gateway.
3	The SS sends a 100 (Trying) response code to the LSC serving IP Party A.
4	The terminating gateway receives the INVITE, creates an IAM, sets the Continuity Check indicator of the Nature of Connection Indicators to “Continuity check performed on a previous circuit” or “Continuity check required on this circuit,” and sends the IAM to the EO serving TDM Party B. The terminating gateway also goes about satisfying its local preconditions.

Section 5.3.4 – AS-SIP Requirements

STEP	INSTRUCTION
	NOTE: If the terminating gateway does not make use of the COT message, then the terminating gateway can refrain from initiating any SS7 messaging until after the resources have been reserved.
5	The terminating gateway sends a 100 (Trying) response code to the SS.
6	Once the SIP preconditions have all been met, the terminating gateway sends a COT message to the EO serving TDM Party B so that the EO can send a ring tone to TDM Party B. NOTE: If the terminating gateway did not send an IAM in step 3, then the terminating gateway sends an IAM with the Continuity Check indicator of the Nature of Connection Indicators set to “00” “Continuity check not required.”
7	The EO sends an ACM to the terminating gateway.
8, 9	When the terminating gateway receives an ACM from the EO serving TDM Party B, it creates a 180 (Ringing) response with an answer to the segmented precondition offer that includes, at a minimum, a media (“m=”) line, a connection (“c=”) line, at least two current-status lines, and at least two desired-status lines. The terminating gateway sends the 180 (Ringing) response to the SS, which forwards the 180 (Ringing) to the LSC serving IP Party A.
10, 11	The LSC serving IP Party A sends a PRACK to the SS, which forwards the PRACK to the terminating gateway. NOTE: Signaling between the LSC serving IP Party A and the EI serving IP Party A is not depicted.
12, 13	The terminating gateway sends a 200 (OK) PRACK to the SS, which forwards the 200 (OK) PRACK to the LSC serving IP Party A.
14	When TDM Party B goes off-hook (i.e., answers the call), the EO serving TDM Party B sends an ANM to the terminating gateway.
15, 16	The terminating gateway creates a 200 (OK) INVITE and sends the 200 (OK) INVITE to the SS, which forwards the 200 (OK) INVITE to the LSC serving IP Party A. NOTE: Signaling between the EI serving IP Party A and the LSC serving IP Party A is not depicted.
17, 18	The LSC serving IP Party A sends an ACK to the SS, which forwards the ACK to the terminating gateway.

The call is now established.

5.3.4.19.2.4.3 [Figure 5.3.4.19-6](#) depicts the sequence of AS-SIP and ISUP messages between the LSC serving IP Party A and the EO serving TDM Party B to establish a telephony session using a status-type end-to-end precondition.

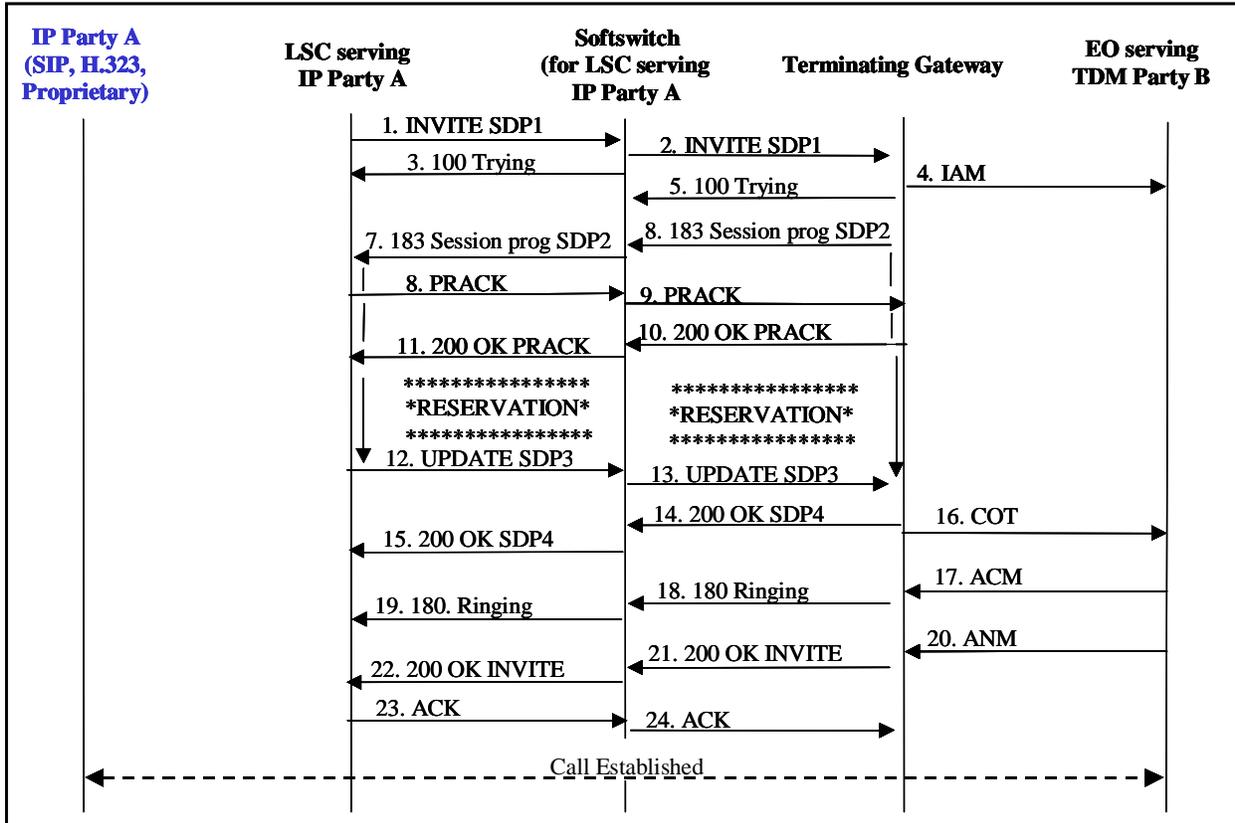


Figure 5.3.4.19-6. Successful Basic IP-to-TDM Call (End-to-End Precondition)

Call Establishment

STEP	INSTRUCTION
1, 2	Upon receiving an initial call request message from IP Party A (not depicted in the call flow diagram), the LSC serving IP Party A sends an INVITE to its assigned SS. The INVITE contains an E2E precondition offer using SDP attributes described in RFC 3312. The precondition offer includes, at a minimum, a media (“m=”) line, a connection (“c=”) line, at least one current-status line, and at least one desired-status line. The SS forwards the INVITE to the terminating gateway.
3	The SS sends a 100 (Trying) response code to the LSC serving IP Party A.

Section 5.3.4 – AS-SIP Requirements

STEP	INSTRUCTION
4	<p>The terminating gateway receives the INVITE, creates an IAM, sets the Continuity Check indicator of the Nature of Connection Indicators to “Continuity check performed on a previous circuit” or “Continuity check required on this circuit,” and sends the IAM to the EO serving TDM Party B.</p> <p>NOTE: If the terminating gateway does not make use of the COT message, then the terminating gateway can refrain from initiating any SS7 messaging until after it receives confirmation that the resources have been reserved and sends its acknowledgement in step 14.</p>
5	The terminating gateway sends a 100 (Trying) response code to the SS.
6, 7	The terminating gateway sends a 183 (Session Progress) response code to the SS with an answer to the E2E precondition offer that includes, at a minimum, a media (“m=”) line, a connection (“c=”) line, at least one current-status line, at least one desired-status line, and at least one confirm-status line. The SS sends the 183 (Session Progress) response to the LSC serving IP Party A. The terminating gateway and the LSC serving IP Party A attempt to reserve the resources needed to fulfill the preconditions.
8, 9	The LSC serving IP Party A sends a PRACK to the SS, which forwards the PRACK to the terminating gateway.
10, 11	The terminating gateway sends a 200 (OK) PRACK to the SS, which forwards the 200 (OK) PRACK to the LSC serving IP Party A.
12, 13	When the LSC serving IP Party A receives confirmation that it has met its precondition (e.g., receives an RSVP RESV message), then the LSC serving IP Party A sends an UPDATE to the SS with an updated offer reflecting compliance with its part of the precondition. The updated precondition offer includes, at a minimum, a media (“m=”) line, a connection (“c=”) data line, at least one current-status line, and at least one desired-status line. The SS forwards the UPDATE to the terminating gateway.
14	The terminating gateway receives confirmation that it has met its precondition (e.g., receives an RSVP RESV message) and sends a 200 (OK) UPDATE to the SS with an updated precondition answer reflecting compliance with its part of the precondition as well as the most recent updated information from the UPDATE offer. The updated answer includes, at a minimum, a media (“m=”) line, a connection (“c=”) data line, at least one current-status line, at least one desired-status line.
15	<p>Now that the SIP preconditions have all been met, the terminating gateway sends a COT message to the EO serving TDM Party B so the EO can send a ring tone to TDM Party B.</p> <p>NOTE: If the terminating gateway did not send an IAM in step 3, then the terminating gateway sends an IAM with the Continuity Check indicator of the Nature of Connection indicators set to “00” “Continuity check not required.”</p>

STEP	INSTRUCTION
16	The SS forwards the 200 (OK) UPDATE to the LSC serving IP Party A.
17	The EO serving TDM Party B sends an ACM to the terminating gateway.
18, 19	<p>The terminating gateway creates a 180 (Ringing) response and sends the 180 (Ringing) response to the SS, which forwards the 180 (Ringing) response to the LSC serving IP Party A.</p> <p>NOTE: Signaling between the LSC serving IP Party A and the EI serving IP Party A is not depicted.</p>
20	When TDM Party B goes off-hook (i.e., answers the call), the EO serving TDM Party B sends an ANM to the terminating gateway.
21, 22	<p>The terminating gateway creates a 200 (OK) INVITE and sends the 200 (OK) INVITE to the SS, which forwards the 200 (OK) INVITE to the LSC serving IP Party A.</p> <p>NOTE: Signaling between the LSC serving IP Party A and the EI serving IP Party A is not depicted.</p>
23, 24	<p>The LSC serving IP Party A sends an ACK to the SS, which forwards the ACK to the terminating gateway.</p> <p>NOTE: Signaling between the EI serving IP Party A and the LSC serving IP Party A that initiated the call release is not depicted.</p>

The call is now established.

5.3.4.19.3 Call Hold

Party A and Party B are engaged in a conversation. Party A places the call on hold. Later, Party A resumes the call (i.e., removes the call from hold).

5.3.4.19.3.1 IP-to-IP Call Type

See UCR 2008, [Section 5.3.4.13.3.1](#), IP-to-IP Call Type.

5.3.4.19.3.2 TDM-to-IP Call Type

5.3.4.19.3.2.1 In the DSN TDM network today when a party engaged in an ongoing call places the call on hold or resumes the call after placing the call on hold the only signaling exchanged in support of the call hold or call resume is between the EI and its local switch. The local switch does not transmit signaling into the network to indicate to the

remote switch serving the other party to the call that a call hold or a call resume has been initiated. [Figure 5.3.4.19-7](#) reflects this by indicating the user signaling to the EO serving TDM Party A but indicating no ISUP signaling from the EO to the originating gateway. When the call has been placed on hold the local TDM switch does not forward outbound bearer traffic received from the EI to the next TDM switch and does not forward inbound bearer traffic received from the network to the EI. When the call is resumed, the local TDM switch again forwards outbound bearer traffic received from the EI to the next TDM switch and forwards inbound bearer traffic received from the network to the EI.

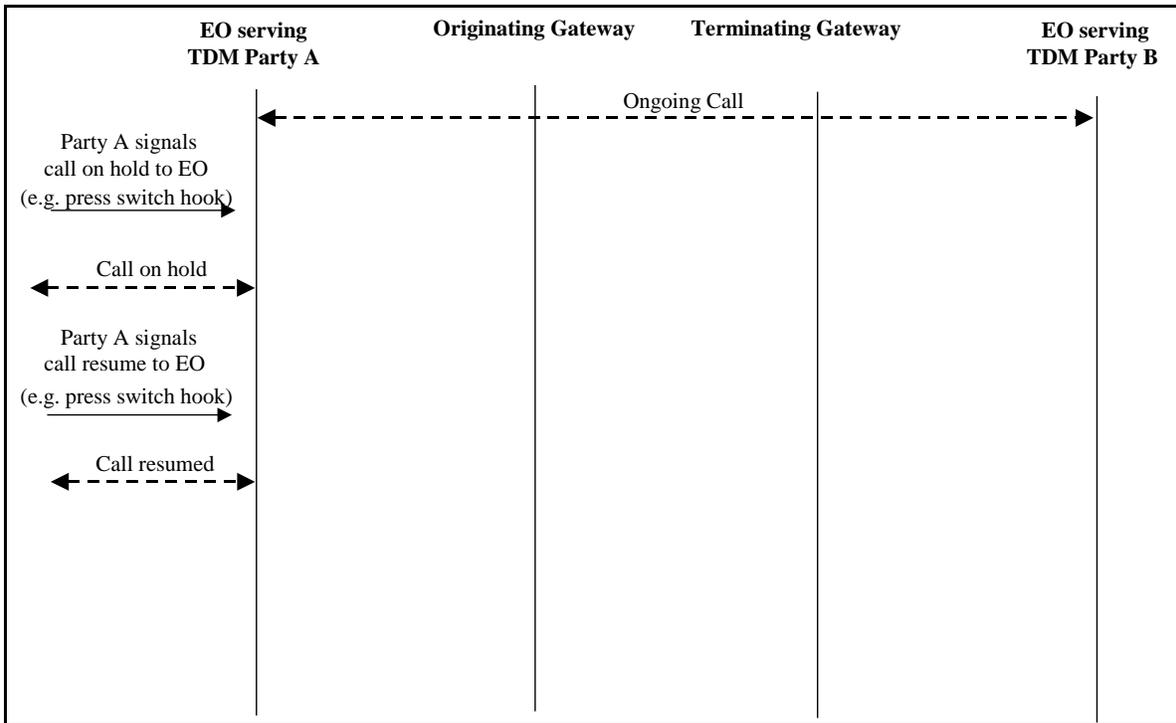


Figure 5.3.4.19-7. TDM-to-IP Call Hold; TDM Party Places Call On Hold

5.3.4.19.3.2.2 [Figure 5.3.4.19-8](#) depicts the sequence of AS-SIP messages between the originating gateway and the LSC serving IP Party B that are used when IP Party B places the current call on hold, and then resumes the call.

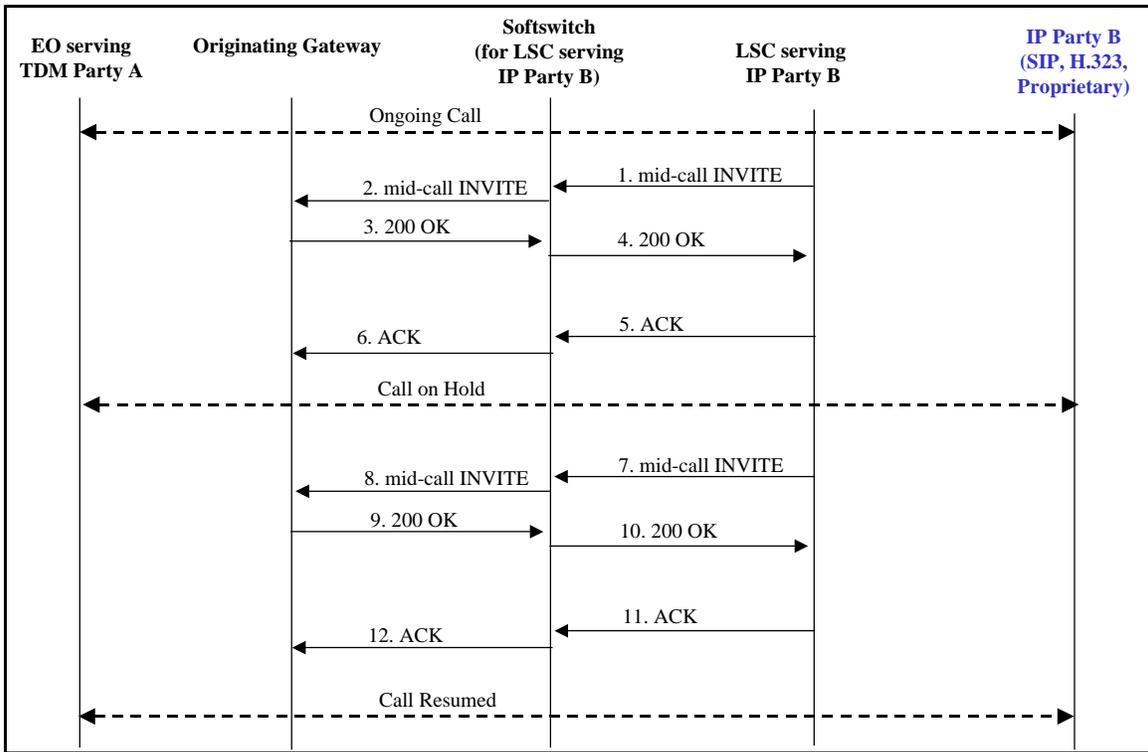


Figure 5.3.4.19-8. TDM-to-IP Call Hold; IP Party Places Call On Hold

Call Hold

STEP	INSTRUCTION
1, 2	<p>In response to a request on the part of IP Party B, the LSC serving IP Party B sends a mid-call INVITE to the SS assigned to the LSC serving IP Party B. The SS forwards the mid-call INVITE to the originating gateway. The INVITE includes the attribute line “a=sendonly” or “a=inactive” if the stream had been a sendrecv media stream or “a=inactive” if the stream had been a recvonly stream.</p> <p>NOTE: The SIP UA MAY also support establishing a call hold by sending a mid-call INVITE that includes a session description that is the same as in the original request, but the “c” destination addresses for the media streams to be put on hold are set to zero: c=IN IP4 0.0.0.0.</p> <p>NOTE: Signaling between the EI serving IP Party B and the LSC serving IP Party B is not depicted.</p> <p>The originating gateway does not translate the re-INVITE into TDM signaling for forwarding onto the TDM network; however, the originating gateway instructs its MG component not to forward bearer traffic for the given call that is received on the TDM channel to the IP network and not to forward RTP packets for the given call that are received from the IP network to the TDM bearer channel.</p>

Section 5.3.4 – AS-SIP Requirements

STEP	INSTRUCTION
3, 4	<p>The originating gateway sends a 200 (OK) INVITE to the SS, which forwards the 200 (OK) INVITE to the LSC serving IP Party B.</p> <p>NOTE: Signaling between the LSC serving IP Party B and the EI serving IP Party B is not depicted</p>
5, 6	<p>The LSC serving IP Party B sends an ACK to the SS, which forwards the ACK to the originating gateway.</p> <p>NOTE: Signaling between the EI serving IP Party B and the LSC serving IP Party B is not depicted.</p>

The call is now on hold.

Call Resumed

STEP	INSTRUCTION
7, 8	<p>In response to a request on the part of IP Party B, the LSC serving Party B sends a mid-call INVITE to the SS, which forwards it to the originating gateway. The re-INVITE includes the attribute line “a=sendrecv” if the stream had originally been a sendrecv media stream, or “a=recvonly” if the stream had been a recvonly stream.</p> <p>NOTE: In the case of a call hold established by setting the “c” destination address to 0.0.0.0, another re-INVITE with the original address parameter terminates the call hold.</p> <p>NOTE: Signaling between the EI serving IP Party B and the LSC serving IP Party B is not depicted.</p> <p>The originating gateway does not translate the re-INVITE into TDM signaling for forwarding onto the TDM network; however, the originating gateway instructs its MG component to again forward bearer traffic for the given call that is received on the TDM channel to the IP network, and to forward RTP packets for the given call that are received from the IP network to the TDM bearer channel.</p>
9, 10	<p>The originating gateway sends a 200 (OK) response code to the SS, which forwards the 200 (OK) response code to the LSC serving IP Party B.</p> <p>NOTE: Signaling between the LSC serving IP Party B and the EI serving IP Party B is not depicted.</p>
11, 12	<p>The LSC serving IP Party B sends an ACK to the SS, which forwards the ACK to the originating gateway.</p> <p>NOTE: Signaling between the EI serving IP Party B and the LSC serving IP Party B is not depicted.</p>

The call is now resumed.

[Figure 5.3.4.19-9](#) offers an illustrative example of the call signaling with a standard SIP EI.

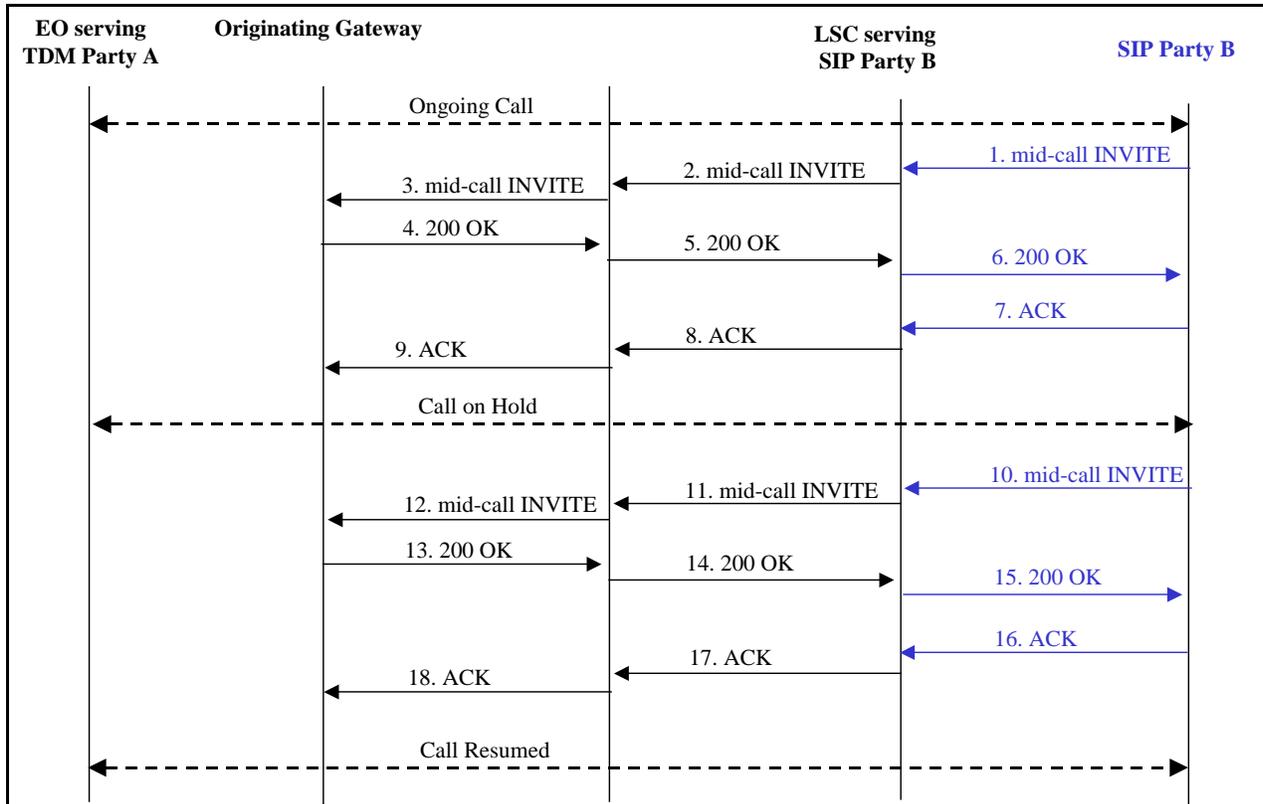


Figure 5.3.4.19-9. TDM-SIP Call Hold; IP Party Places Call On Hold (SIP Signaling to a SIP EI is Added for Illustrative Purposes)

5.3.4.19.3.3 IP-to-TDM Call Type

5.3.4.19.3.3.1 [Figure 5.3.4.19-10](#) depicts the sequence of AS-SIP and ISUP messages between the LSC serving IP Party A and the terminating gateway that are used when IP Party A places the current call on hold, and then resumes the call.

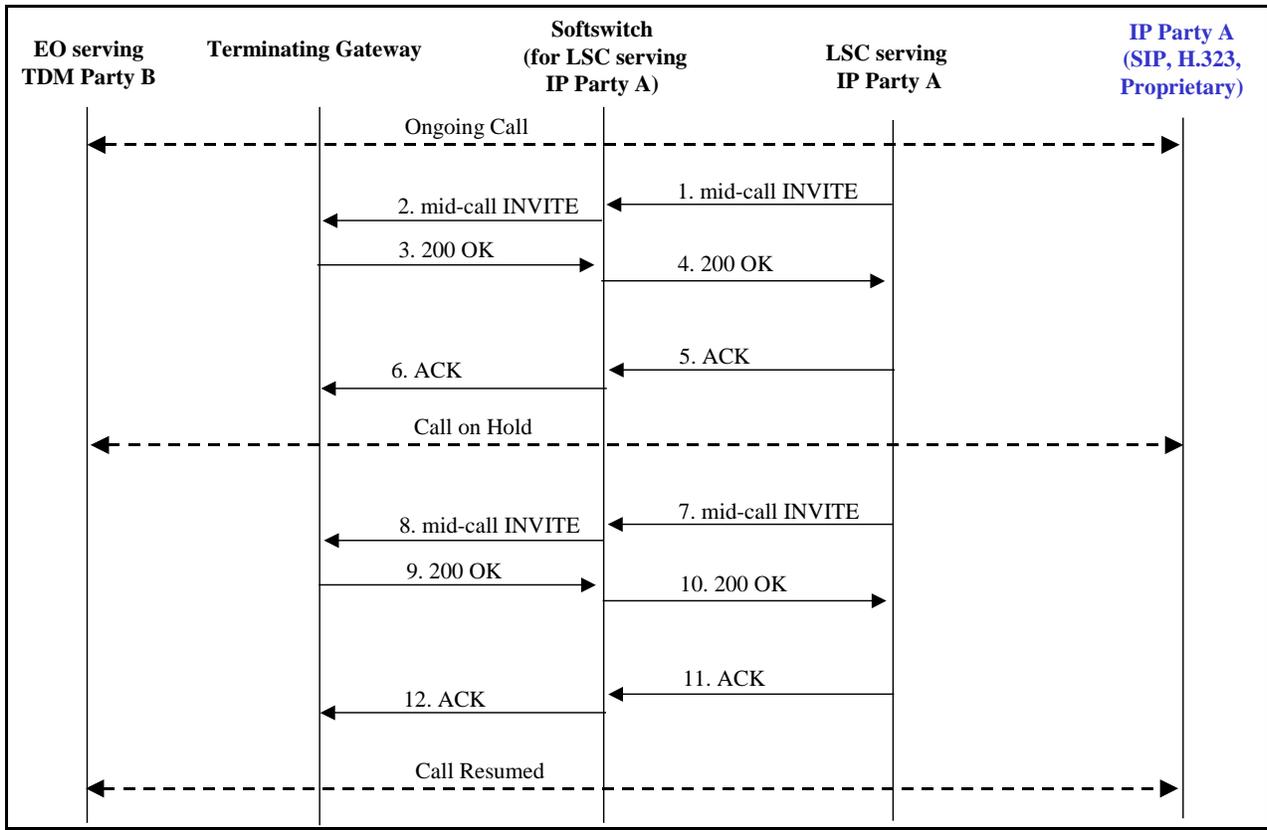


Figure 5.3.4.19-10. IP-to-TDM Call Hold; IP Party Places Call On Hold

Call Hold

STEP	INSTRUCTION
1, 2	<p>In response to a request on the part of IP Party A, the LSC serving IP Party A sends a mid-call INVITE to the SS assigned to the LSC serving IP Party A. The INVITE includes the attribute line “a=sendonly” or “a=inactive” if the stream had been a sendrecv media stream, or “a=inactive” if the stream had been a recvonly stream. The SS forwards the mid-call INVITE to the terminating gateway.</p> <p>NOTE: The SIP UA MAY also support establishing a call hold by sending a mid-call INVITE that includes a session description that is the same as in the original request, but the “c” destination addresses for the media streams to be put on hold are set to zero: c=IN IP4 0.0.0.0.</p> <p>NOTE: Signaling between the EI serving IP Party A and the LSC serving IP Party A is not depicted.</p> <p>The terminating gateway does not translate the re-INVITE into TDM signaling for forwarding onto the TDM network; however, the terminating gateway instructs its MG component not to forward bearer traffic for the given call that is received on the TDM channel to the IP network, and not to forward RTP packets for the given call that are received from the IP network to the TDM bearer channel.</p>

STEP	INSTRUCTION
3, 4	<p>The terminating gateway sends a 200 (OK) response to the SS, which forwards the 200 (OK) response to the LSC serving IP Party A.</p> <p>NOTE: Signaling between the LSC serving IP Party A and the EI serving IP Party A is not depicted.</p>
5, 6	<p>The LSC serving IP Party A sends an ACK to the SS and the SS forwards the ACK to the terminating gateway.</p>

The call is now on hold.

Call Resumed

STEP	INSTRUCTION
7, 8	<p>In response to a request on the part of IP Party A, the LSC serving IP Party A sends a mid-call INVITE to the SS, which forwards it to the terminating gateway. The re-INVITE includes the attribute line “a=sendrecv” if the stream had originally been a sendrecv media stream, or “a=recvonly” if the stream had been a recvonly stream.</p> <p>NOTE: In the case of a call hold established by setting the “c” destination address to 0.0.0.0, another re-INVITE with the original address parameter terminates the call hold.</p> <p>NOTE: Signaling between the EI serving IP Party A and the LSC serving IP Party A is not depicted.</p> <p>The terminating gateway does not translate the re-INVITE into TDM signaling for forwarding onto the TDM network; however the terminating gateway instructs its MG component to again forward bearer traffic for the given call that is received on the TDM channel to the IP network, and to forward RTP packets for the given call that are received from the IP network to the TDM bearer channel.</p>
9, 10	<p>The terminating gateway sends a 200 (OK) response to the SS, which forwards the 200 (OK) response to the LSC serving IP Party A.</p> <p>NOTE: Signaling between the LSC serving IP Party A and the EI serving IP Party A is not depicted.</p>
11, 12	<p>The LSC serving IP Party A sends an ACK to the SS, which forwards the ACK to the terminating gateway.</p> <p>NOTE: Signaling between the EI serving IP Party A and the LSC serving IP Party A is not depicted.</p>

The call is now resumed.

Figure 5.3.4.19-11 offers an illustrative example of the call signaling to a standard SIP EI.

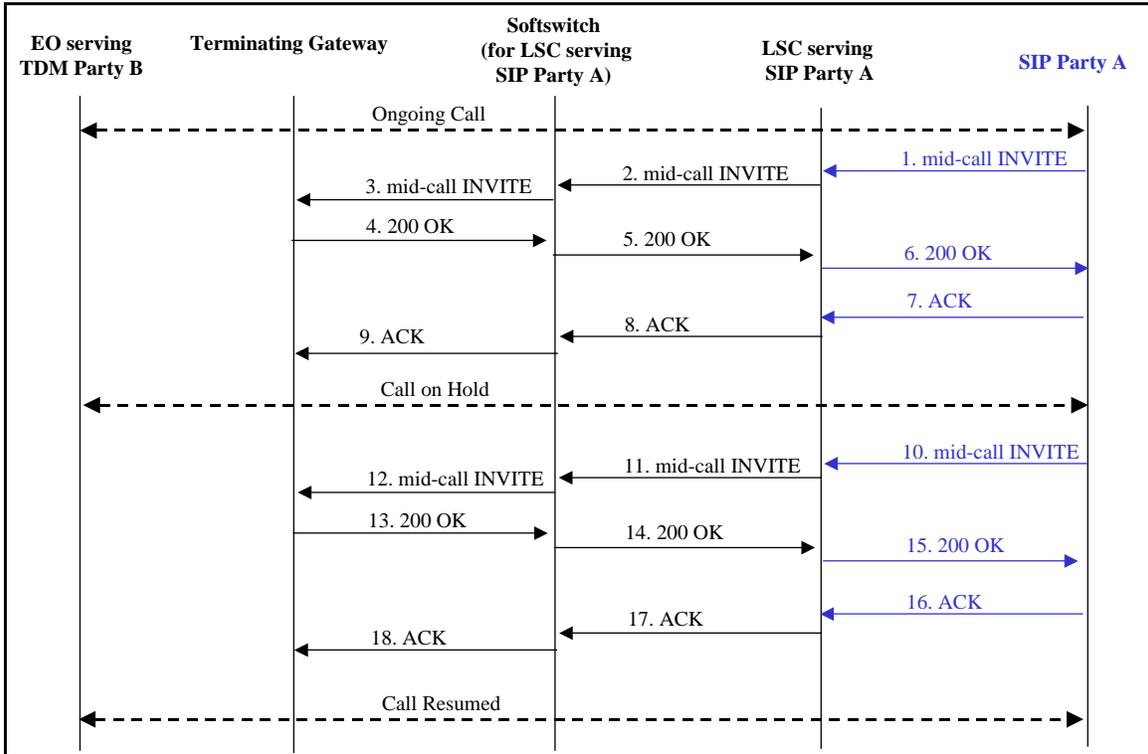


Figure 5.3.4.19-11. SIP-TDM Call Hold; SIP Party Places Call On Hold (SIP Signaling to a SIP EI is Added for Illustrative Purposes)

5.3.4.19.3.3.2 [Figure 5.3.4.19-12](#) is intended to depict a call hold performed by the TDM party in an IP-TDM call. As seen previously, a call hold or call resume conducted by an EI on the TDM network does not result in signaling outside the local TDM switch. Figure 5.3.4.19-12 reflects this by indicating the user signaling to the EO serving TDM Party A but indicating no ISUP signaling from the EO to the originating gateway.

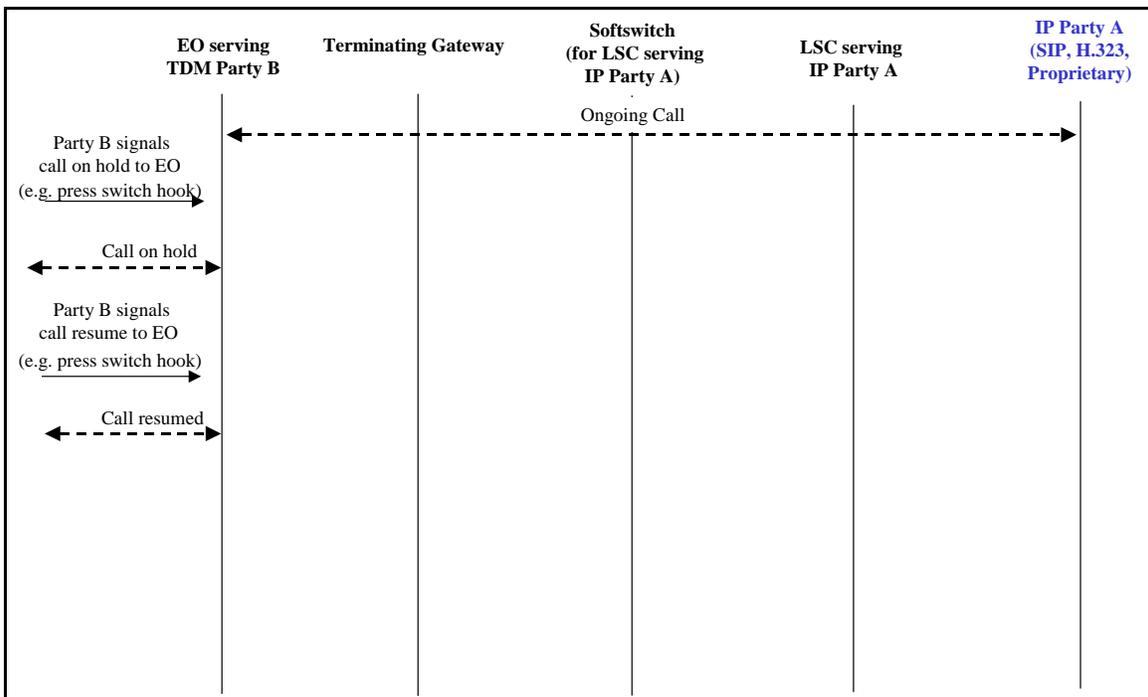


Figure 5.3.4.19-12. IP-to-TDM Call Hold; TDM Party Places Call On Hold

5.3.4.19.4 Call Waiting

Party A and Party B are engaged in a conversation. Call waiting consists of one party placing the other party on hold while either accepting or resuming a call with a third party.

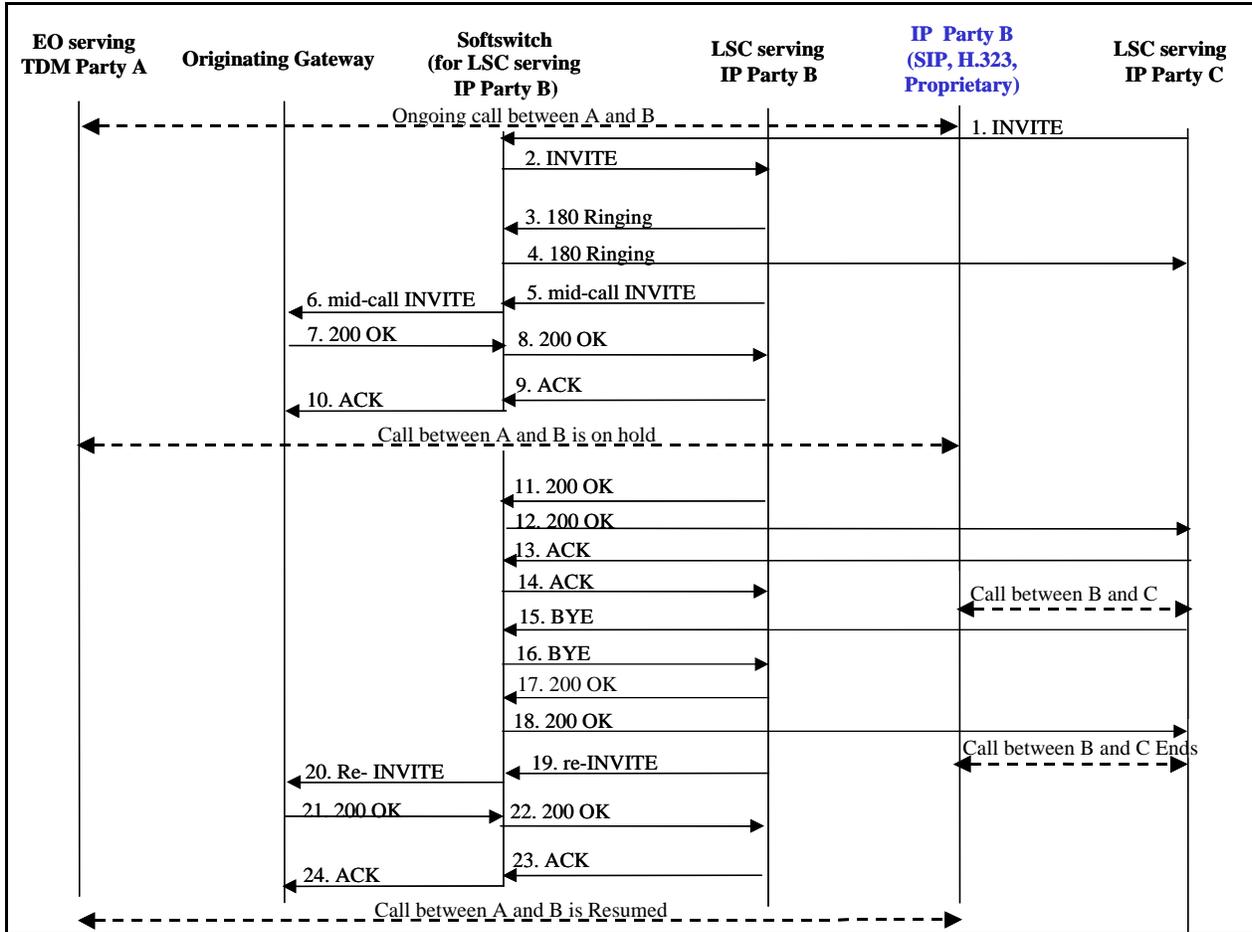
5.3.4.19.4.1 IP-to-IP Call Type

See UCR 2008, [Section 5.3.4.13.4.1](#), IP-to-IP Call Type.

5.3.4.19.4.2 TDM-to-IP Call Type

5.3.4.19.4.2.1 [Figure 5.3.4.19-13](#) depicts the sequence of AS-SIP messages used to place the current TDM-IP call on hold to accept a call from a third party C, and to terminate the call with the third party C to resume the original call. (IP party performs the call waiting). NOTE: When the originating gateway receives the SIP message indicating call hold, the originating gateway instructs its MG to cease forwarding bearer traffic between TDM Party A and IP Party B and vice versa. However, the originating gateway does not translate the SIP signaling message indicating call hold to ISUP as the DSN TDM network does not signal call hold over the network. Similarly, when the originating gateway receives the SIP message indicating call resume, the originating gateway instructs its MG to resume forwarding bearer traffic between TDM Party A and IP Party B and

vice versa. However, the originating gateway does not translate the SIP signaling message indicating call resume into an ISUP message.



**Figure 5.3.4.19-13. TDM-to-IP Call Waiting
 (Performed at IP Party B)**

In this scenario when IP Party B and IP Party C have completed their call, IP Party C terminates the call with IP Party B, and then IP Party B resumes its call with TDM Party A.

NOTE: A valid alternative scenario for resuming the call with TDM Party A would have IP Party B place IP Party C on hold, and resume the call with TDM Party A where IP Party C disconnects the call with IP Party B.

The same SS is assigned to the LSC serving IP Party B and to the LSC serving IP Party C.

STEP	INSTRUCTION
1	<p>Upon receiving an initial call request message from IP Party C (not depicted in the call flow diagram), the LSC serving IP Party C sends an INVITE to the SS assigned to the LSC serving IP Party B.</p> <p>NOTE: Signaling between the EI serving IP Party C and the LSC serving IP Party C is not depicted.</p>
2	<p>The SS sends the INVITE to the LSC serving IP Party B.</p> <p>NOTE: Signaling between the LSC serving IP Party B and the EI serving IP Party B is not depicted.</p>
3, 4	<p>The LSC serving IP Party B sends a 180 (Ringing) response to the SS, which forwards the 180 (Ringing) response to the LSC serving IP Party C.</p> <p>NOTE: Signaling between the EI serving IP Party B and the LSC serving IP Party B is not depicted.</p> <p>NOTE: Signaling between the LSC serving IP Party C and the EI serving IP Party C is not depicted.</p>
5, 6	<p>The LSC serving IP Party B sends a mid-call INVITE to the SS, which forwards the mid-call INVITE to the originating gateway. The mid-call INVITE includes the attribute line “a=sendonly” or “a=inactive” if the stream had been a sendrecv media stream, or “a=inactive” if the stream had been a recvonly stream.</p> <p>NOTE: The LSC serving IP Party B MUST also support establishing a call hold by sending a mid-call INVITE that includes a session description that is the same as in the original request, but the “c” destination addresses for the media streams to be put on hold are set to zero: c=IN IP4 0.0.0.0.</p> <p>NOTE: Signaling between the EI serving IP Party B and the LSC serving IP Party B is not depicted.</p> <p>The originating gateway instructs its MG to cease forwarding bearer traffic between IP Party B and TDM Party A and vice versa.</p>
7, 8	<p>The originating gateway sends a 200 (OK) INVITE to the SS, which forwards the 200 (OK) INVITE to the LSC serving IP Party B.</p> <p>NOTE: Signaling between the LSC serving IP Party B and the EI serving IP Party B is not depicted.</p>

Section 5.3.4 – AS-SIP Requirements

STEP	INSTRUCTION
9, 10	<p>The LSC serving IP Party B sends an ACK to the SS, which forwards the ACK to the originating gateway.</p> <p>NOTE: Signaling between the EI serving IP Party B and the LSC serving IP Party B is not depicted.</p>

The original call between TDM Party A and IP Party B is now on hold.

STEP	INSTRUCTION
11, 12	<p>The LSC serving IP Party B sends a 200 (OK) response to the SS, which forwards the 200 (OK) response to the LSC serving IP Party C.</p> <p>NOTE: Signaling between the EI serving IP Party B and the LSC serving IP Party B is not depicted.</p> <p>NOTE: Signaling between the LSC serving IP Party C and the EI serving IP Party C is not depicted.</p>
13, 14	<p>The LSC serving IP Party C sends an ACK to the SS, which forwards the ACK to the LSC serving IP Party B.</p> <p>NOTE: Signaling between the EI serving IP Party C and the LSC serving IP Party C is not depicted.</p> <p>NOTE: Signaling between the LSC serving IP Party B and the EI serving IP Party B is not depicted.</p>

The call between IP Party C and IP Party B is now established.

STEP	INSTRUCTION
15, 16	<p>When IP Party C terminates the session with IP Party B, then the LSC serving IP Party C sends a BYE request to the SS, which forwards the BYE request to the LSC serving IP Party B.</p> <p>NOTE: Signaling between the EI serving IP Party C and the LSC serving IP Party C that initiated the call release is not depicted.</p> <p>NOTE: Signaling between the LSC serving IP Party B and the EI serving IP Party B is not depicted.</p>

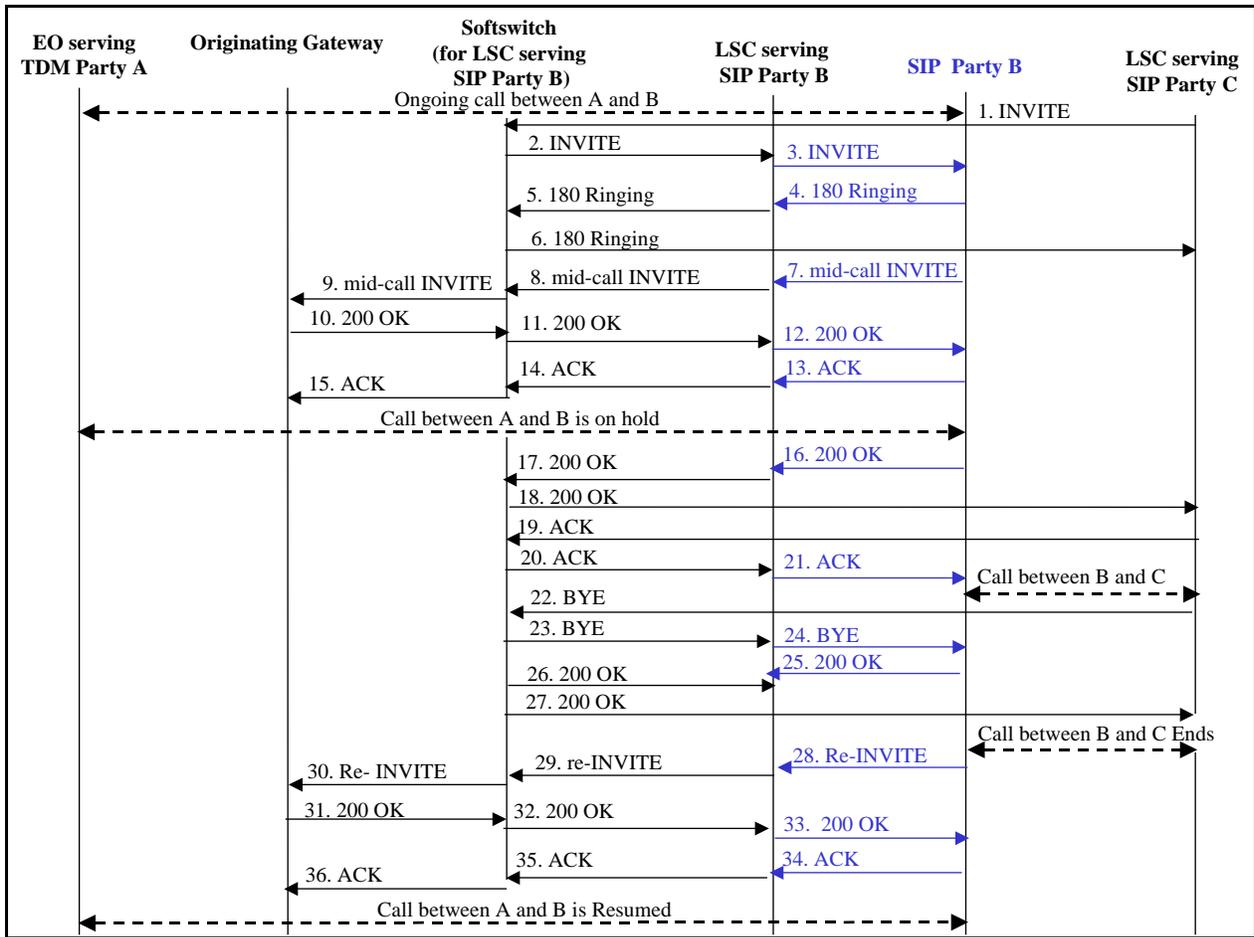
STEP	INSTRUCTION
17, 18	<p>The LSC serving IP Party B sends a 200 (OK) response to the SS, which forwards the 200 (OK) response to the LSC serving IP Party C.</p> <p>NOTE: Signaling between the EI serving IP Party B and the LSC serving IP Party B is not depicted.</p> <p>NOTE: Signaling between the LSC serving IP Party C and the EI serving IP Party C is not depicted.</p>

The call between IP Party C and IP Party B is now terminated.

STEP	INSTRUCTION
19, 20	<p>The LSC serving IP Party B sends a mid-call re-INVITE to the SS, which forwards the mid-call re-INVITE to the originating gateway. The mid-call re-INVITE includes the attribute line “a=sendrecv” if the stream had originally been a sendrecv media stream, or “a=recvonly” if the stream had been a recvonly stream.</p> <p>NOTE: In the case of a call hold established by setting the “c” destination address to 0.0.0.0, another re-INVITE with the original address parameter terminates the call hold.</p> <p>NOTE: Signaling between the EI serving IP Party B and the LSC serving IP Party B is not depicted.</p> <p>The originating gateway instructs its MG to resume forwarding bearer traffic between IP Party B and TDM Party A and vice versa.</p>
21, 22	<p>The originating gateway sends a 200 (OK) response to the SS, which forwards the 200 (OK) response to the LSC serving IP Party B.</p> <p>NOTE: Signaling between the LSC serving IP Party B and the EI serving IP Party B is not depicted.</p>
23, 24	<p>The LSC serving IP Party B sends an ACK to the SS, which forwards the ACK to the originating gateway.</p> <p>NOTE: Signaling between the EI serving IP Party B and the LSC serving IP Party B is not depicted.</p>

The original call between TDM Party A and IP Party B is now resumed.

[Figure 5.3.4.19-14](#) offers an illustrative example of the call signaling when IP Party B is a standard SIP EI.



**Figure 5.3.4.19-14. TDM-SIP Call Waiting (Performed at SIP Party B)
 (SIP Signaling to SIP Party B EI is Depicted for Illustrative Purposes)**

5.3.4.19.4.2.2 [Figure 5.3.4.19-15](#) depicts call waiting in which TDM Party A conducts a call hold on the existing session with IP Party B upon receiving the call waiting tone triggered by a call request from IP Party C. IP Party C conducts a call with TDM Party A, and upon termination of that call, TDM Party A resumes the call with IP Party B. NOTE: When TDM Party A signals its EO to place the call with IP Party B on hold, the EO does not transmit signaling; therefore, to the originating gateway; and consequently, there is no signaling interworking for call hold onto the IP network. Similarly, when TDM Party A signals its EO to resume the call with IP Party B, there is no signaling interworking with the IP network.

NOTE: A valid alternative scenario for resuming the call with IP Party B would have TDM Party A place the call with IP Party C on hold, and resume the call with IP Party B where IP Party C terminates its call with TDM Party A.

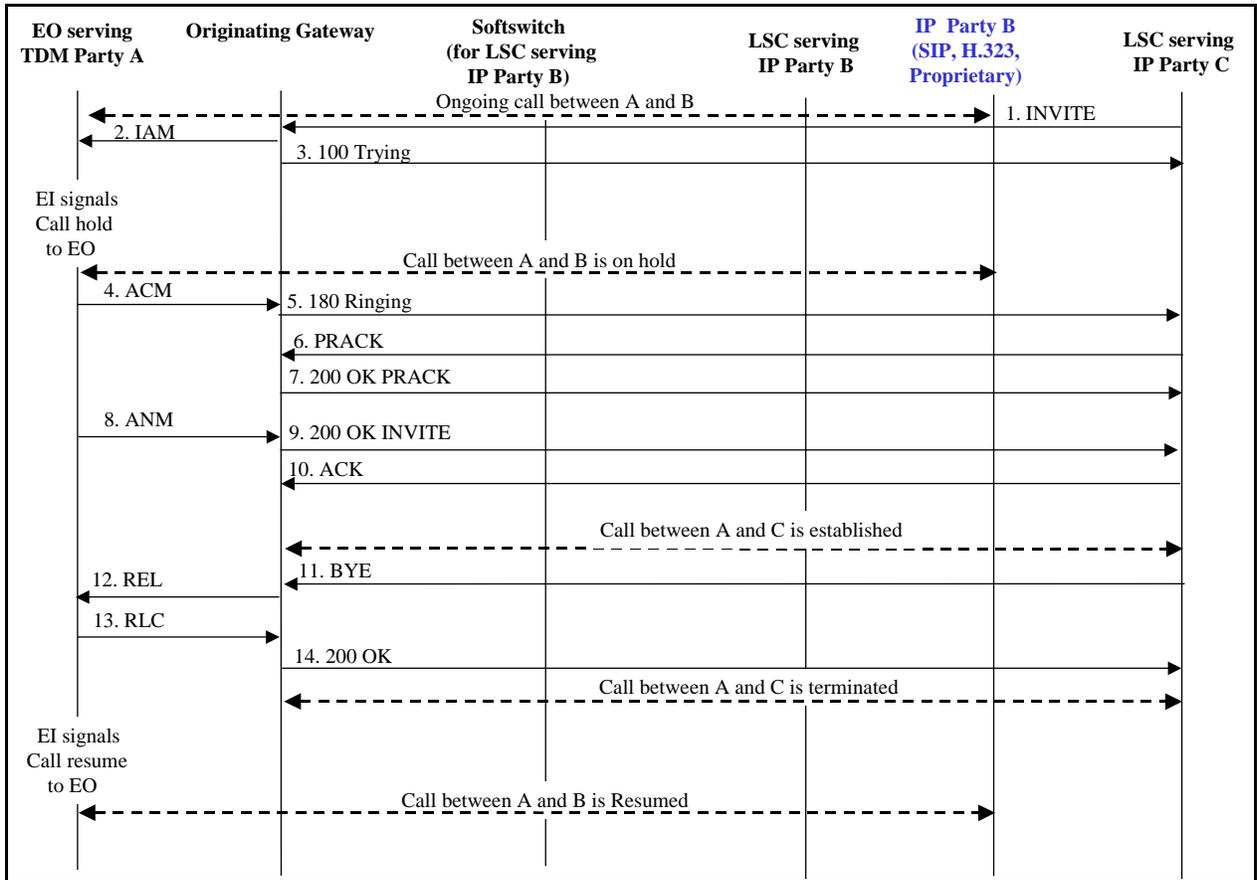


Figure 5.3.4.19-15. TDM-to-IP Call Waiting (Performed at TDM Party A)

The SS assigned to the LSC serving IP Party C is also the originating gateway for the call between TDM Party A and IP Party B.

STEP	INSTRUCTION
1	<p>Upon receiving an initial call request message from IP Party C (not depicted in the call flow diagram), the LSC serving IP Party C sends an INVITE to its assigned SS, which is also the originating gateway.</p> <p>NOTE: Signaling between the EI serving IP Party C and the LSC serving IP Party C is not depicted.</p>
2	<p>The originating gateway receives the INVITE and sends an IAM to the EO serving TDM Party A.</p>
3	<p>The originating gateway sends a 100 (Trying) response code to the LSC serving IP Party C.</p> <p>NOTE: Signaling between the LSC serving IP Party C and the EI serving IP Party C is not depicted.</p>

Section 5.3.4 – AS-SIP Requirements

STEP	INSTRUCTION
Call Hold	TDM Party A receives the call waiting tone and signals call hold to its local EO. The local EO ceases forwarding any bearer traffic between TDM Party A and IP Party B. The original call between TDM Party A and TDM Party B is now on hold.

The original call between TDM Party A and IP Party B is now on hold.

STEP	INSTRUCTION
4	The EO serving TDM Party A sends an ACM to the originating gateway.
5	The originating gateway receives the ACM and sends a 180 (Ringing) response to the LSC serving IP Party C. NOTE: Signaling between the LSC serving IP Party C and the EI serving IP Party C is not depicted.
6	The LSC serving IP Party C sends a PRACK to the originating gateway.
7	The originating gateway sends 200 OK PRACK to the LSC serving IP Party C.
8	The EO serving TDM Party A sends an ANM to the originating gateway.
9	The originating gateway receives the ANM and sends a 200 (OK) response to the LSC serving IP Party C. NOTE: Signaling between the LSC serving IP Party C and the EI serving IP Party C is not depicted.
10	The LSC serving IP Party C sends an ACK to the originating gateway. NOTE: Signaling between the EI serving IP Party C and the LSC serving IP Party C is not depicted.

The call between TDM Party A and IP Party C is now established.

STEP	INSTRUCTION
11	When IP Party C is ready to terminate the call with TDM Party A, then the LSC serving IP Party C sends a BYE request to the originating gateway. NOTE: Signaling between the EI serving IP Party C and the LSC serving IP Party C is not depicted.
12	The originating gateway sends a REL to the EO serving TDM Party A.

STEP	INSTRUCTION
13	The EO serving TDM Party A sends an RLC to the originating gateway.
14	The originating gateway sends a 200 (OK) response to the LSC serving IP Party C. NOTE: Signaling between the LSC serving IP Party C and the EI serving IP Party C is not depicted.

The call between IP Party C and TDM Party A is now terminated.

STEP	INSTRUCTION
Call Resume	TDM Party A signals the call resume to its local EO. The local EO reenables forwarding of bearer traffic between TDM Party A and IP Party B.

The original call between TDM Party A and IP Party B is now resumed.

5.3.4.19.4.4 IP-to-TDM Call Type

5.3.4.19.4.4.1 [Figure 5.3.4.19-16](#) depicts call waiting in which TDM Party B conducts a call hold on the existing session with IP Party A upon receiving the call waiting tone triggered by a call request from IP Party C. TDM Party B and IP Party C complete call setup and engage in a conversation. Then IP Party C terminates the call with TDM Party B, and TDM Party B resumes its call with IP Party A. NOTE: When TDM Party B signals its EO to place the call with IP Party A on hold, the EO does not transmit signaling; therefore, to the originating gateway, and consequently, there is no signaling interworking for call hold onto the IP network. Similarly, when TDM Party B signals its EO to resume the call with IP Party A, there is no signaling interworking with the IP network.

NOTE: A valid alternative scenario for resuming the call with IP Party A would have TDM Party B place the call with IP Party C on hold, and resume the call with IP Party A where IP Party C terminates its call with TDM Party B.

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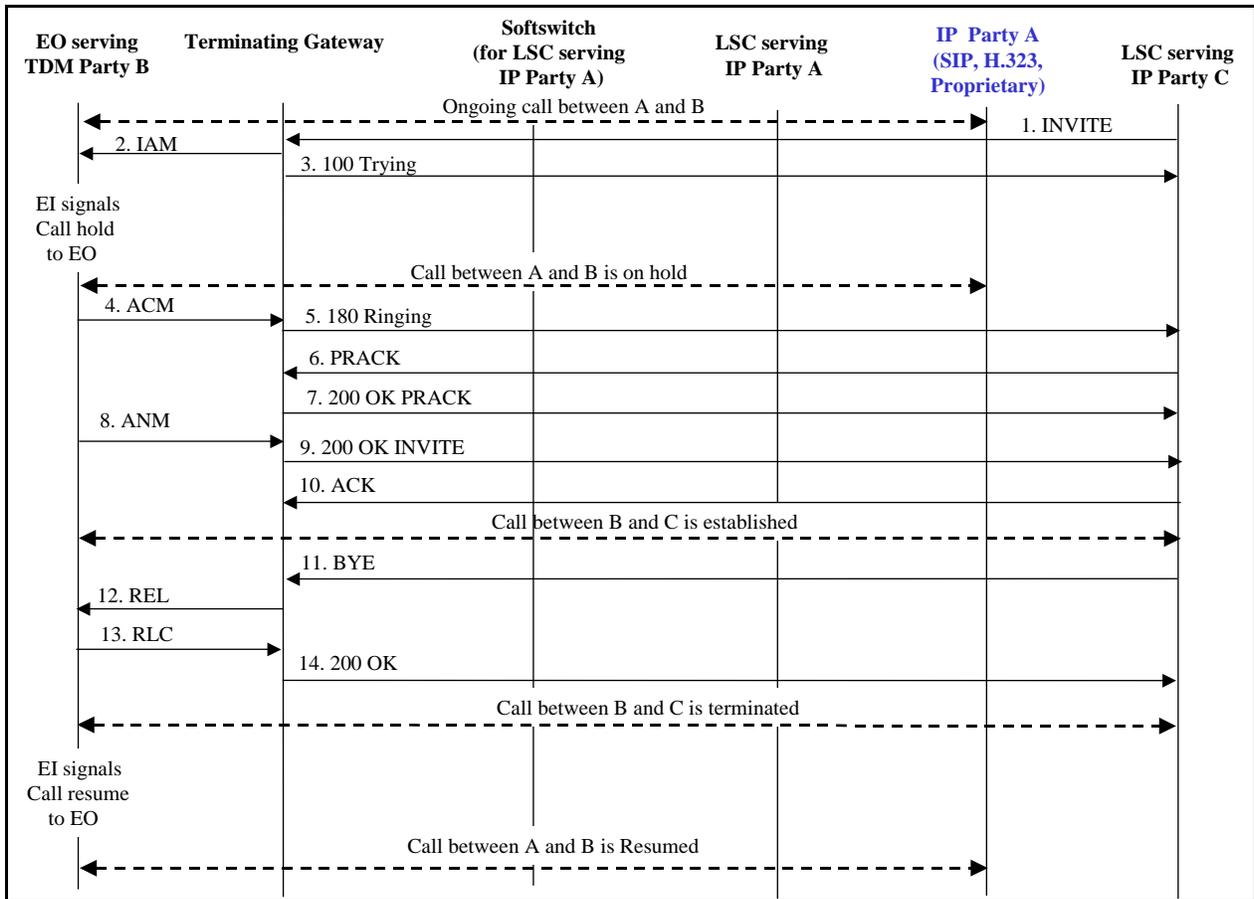


Figure 5.3.4.19-16. IP-to-TDM Call Waiting (Performed at TDM Party B)

The SS assigned to the LSC serving IP Party C is also the terminating gateway for the call between TDM Party A and IP Party B.

STEP	INSTRUCTION
1	<p>Upon receiving an initial call request message from IP Party C (not depicted in the call flow diagram), the LSC serving IP Party C sends an INVITE to the terminating gateway, which is also the SS for IP Party C.</p> <p>NOTE: Signaling between the EI serving IP Party C and the LSC serving IP Party C is not depicted.</p>
2	<p>The terminating gateway receives the INVITE and sends an IAM to the EO serving TDM Party B.</p>
3	<p>The terminating gateway sends a 100 (Trying) response to the LSC serving IP Party C.</p> <p>NOTE: Signaling between the LSC serving IP Party C and the EI serving IP Party C is not depicted.</p>

STEP	INSTRUCTION
Call Hold	TDM Party B receives the call waiting tone and signals call hold to its local EO. The local EO ceases forwarding any bearer traffic between TDM Party B and IP Party A.

The original call between IP Party A and TDM Party B is now on hold.

STEP	INSTRUCTION
4	The EO serving TDM Party B sends an ACM to the terminating gateway.
5	The terminating gateway receives the ACM and sends a 180 (Ringing) to the LSC serving IP Party C. NOTE: Signaling between the LSC serving IP Party C and the EI serving IP Party C is not depicted.
6	The LSC serving IP Party C sends a PRACK to the terminating gateway.
7	The terminating gateway sends a 200 (OK) response to the LSC serving IP Party C.
8	The EO serving TDM Party B sends an ANM to the terminating gateway.
9	The terminating gateway receives the ANM and sends a 200 (OK) response to the LSC serving IP Party C. NOTE: Signaling between the LSC serving IP Party C and the EI serving IP Party C is not depicted.
10	The LSC serving IP Party C sends an ACK to the terminating gateway. NOTE: Signaling between the EI serving IP Party C and the LSC serving IP Party C is not depicted.

The call between TDM Party B and IP Party C is now established.

STEP	INSTRUCTION
11	When IP Party C terminates the session with TDM Party B, then the LSC serving IP Party C sends a BYE request to the terminating gateway. NOTE: Signaling between the EI serving IP Party C and the LSC serving IP Party C is not depicted.
12	The terminating gateway receives the BYE request and sends a REL to the EO serving TDM Party B.

13	The EO serving TDM Party B sends an RLC to the terminating gateway. NOTE: Signaling between the LSC serving IP Party C and the EI serving IP Party C is not depicted.
14	The terminating gateway sends a 200 (OK) response to the LSC serving IP Party C. NOTE: Signaling between the LSC serving IP Party C and the EI serving IP Party C is not depicted.

The call between IP Party C and TDM Party B is now terminated.

STEP	INSTRUCTION
Call Resume	TDM Party B signals call resume to its local EO. The local EO re-enables forwarding of bearer traffic between TDM Party B and IP Party A.

The original call between IP Party A and TDM Party B is now resumed.

5.3.4.19.4.4.2 [Figure 5.3.4.19-17](#) depicts the sequence of AS-SIP messages used to place the current IP-TDM call on hold to accept a call from a third Party C, and to terminate the call with the third Party C to resume the original call. (IP Party A performs the call waiting.)

NOTE: When the terminating gateway receives the SIP message indicating call hold, the terminating gateway instructs its MG to cease forwarding bearer traffic between TDM Party B and IP Party A and vice versa. However, the terminating gateway does not translate the SIP signaling message indicating call hold to ISUP because the DSN TDM network does not signal call hold over the network. Similarly, when the terminating gateway receives the SIP message indicating call resume, the terminating gateway instructs its MG to resume forwarding bearer traffic between TDM Party B and IP Party A and vice versa. However, the terminating gateway does not translate the SIP signaling message indicating call resume into an ISUP message.

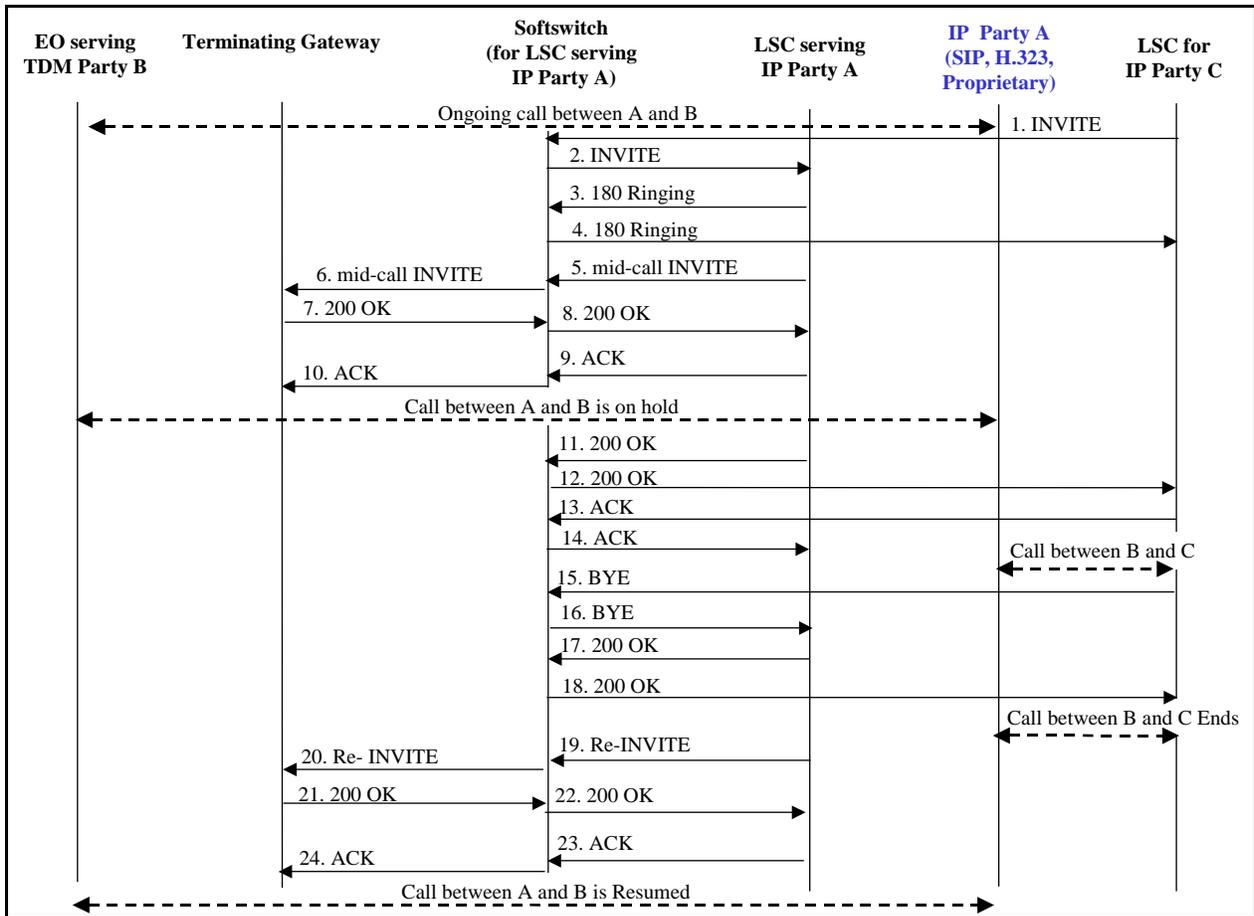


Figure 5.3.4.19-17. IP-to-TDM Call Waiting (Performed at IP Party A)

NOTE: A valid alternative scenario for resuming the call with TDM Party B would have IP Party A place the call with IP Party C on hold and resume the call with TDM Party B where IP Party C terminates its call with IP Party A.

The same SS is assigned to the LSC serving IP Party A and to the LSC serving IP Party C.

Section 5.3.4 – AS-SIP Requirements

STEP	INSTRUCTION
1	<p>Upon receiving an initial call request message from IP Party C (not depicted in the call flow diagram), the LSC serving IP Party C sends an INVITE to the SS assigned to the LSC serving IP Party A.</p> <p>NOTE: Signaling between the EI serving IP Party C and the LSC serving IP Party C is not depicted.</p>
2	<p>The SS sends the INVITE to the LSC serving IP Party A.</p> <p>NOTE: Signaling between the LSC serving IP Party A and the EI serving IP Party A is not depicted.</p>
3, 4	<p>The LSC serving IP Party A sends a 180 (Ringing) response to the SS, which forwards the 180 (Ringing) response to the LSC serving IP Party C.</p> <p>NOTE: Signaling between the EI serving IP Party A and the LSC serving IP Party A is not depicted.</p> <p>NOTE: Signaling between the LSC serving IP Party C and the EI serving IP Party C is not depicted.</p>
5, 6	<p>The LSC serving IP Party A sends a mid-call INVITE to the SS, which forwards the mid-call INVITE to the terminating gateway. The mid-call INVITE includes the attribute line “a=sendonly” or “a=inactive” if the stream had been a sendrecv media stream, or “a=inactive” if the stream had been a recvonly stream.</p> <p>The terminating gateway instructs its MG to cease forwarding bearer traffic between IP Party B and TDM Party A and vice versa.</p> <p>NOTE: The LSC serving IP Party A MUST also support establishing a call hold by sending a mid-call INVITE that includes a session description that is the same as in the original request, but the “c” destination addresses for the media streams to be put on hold are set to zero: c=IN IP4 0.0.0.0.</p> <p>NOTE: Signaling between the EI serving IP Party A and the LSC serving IP Party A is not depicted.</p>
7, 8	<p>The terminating gateway sends a 200 (OK) INVITE to the SS, which forwards the 200 (OK) INVITE to the LSC serving IP Party A.</p> <p>NOTE: Signaling between the LSC serving IP Party A and the EI serving IP Party A is not depicted.</p>

STEP	INSTRUCTION
9, 10	<p>The LSC serving IP Party A sends an ACK to the SS, which forwards the ACK to the terminating gateway.</p> <p>NOTE: Signaling between the EI serving IP Party A and the LSC serving IP Party A is not depicted.</p>

The original call between IP Party A and TDM Party B is now on hold.

STEP	INSTRUCTION
11, 12	<p>The LSC serving IP Party A sends a 200 (OK) response to the SS, which forwards the 200 (OK) response to the LSC serving IP Party C.</p> <p>NOTE: Signaling between the EI serving IP Party A and the LSC serving IP Party A is not depicted.</p> <p>NOTE: Signaling between the LSC serving IP Party C and the EI serving IP Party C is not depicted.</p>
13, 14	<p>The LSC serving IP Party C sends an ACK to the SS, which forwards the ACK to the LSC serving IP Party A.</p> <p>NOTE: Signaling between the EI serving IP Party C and the LSC serving IP Party C is not depicted.</p> <p>NOTE: Signaling between the LSC serving IP Party A and the EI serving IP Party A is not depicted.</p>

The call between IP Party C and IP Party A is now established.

STEP	INSTRUCTION
15, 16	<p>When IP Party C terminates the session with IP Party A, then the LSC serving IP Party C sends a BYE request to the SS, which forwards the BYE request to the LSC serving IP Party A.</p> <p>NOTE: Signaling between the EI serving IP Party C and the LSC serving IP Party C that initiated the call release is not depicted.</p> <p>NOTE: Signaling between the LSC serving IP Party A and the EI serving IP Party A is not depicted.</p>

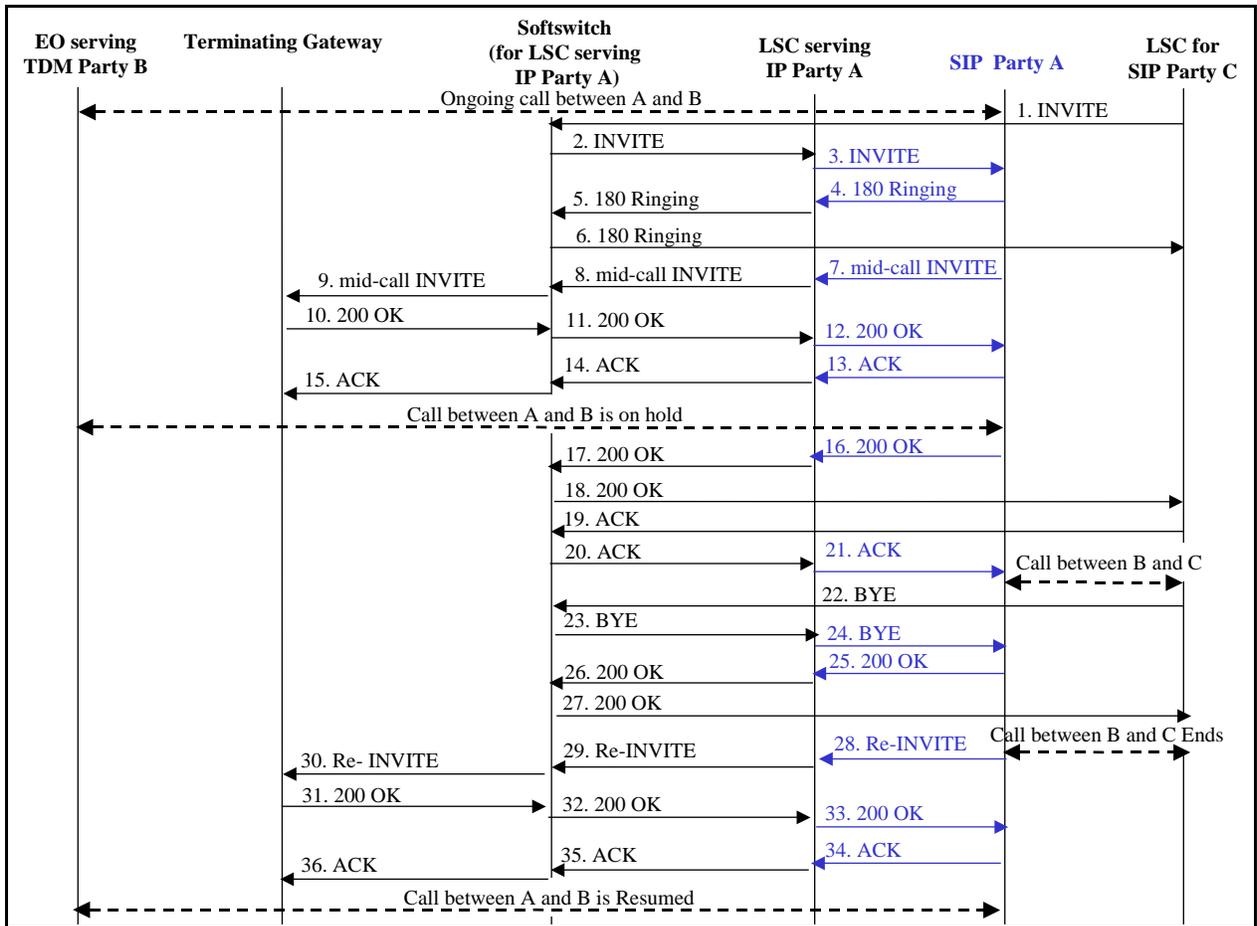
STEP	INSTRUCTION
17, 18	<p>The LSC serving IP Party A sends a 200 (OK) response to the SS, which forwards the 200 (OK) response to the LSC serving IP Party C.</p> <p>NOTE: Signaling between the EI serving IP Party A and the LSC serving IP Party A is not depicted.</p> <p>NOTE: Signaling between the LSC serving IP Party C and the EI serving IP Party C is not depicted.</p>

The call between IP Party C and IP Party A is now terminated.

STEP	INSTRUCTION
19, 20	<p>The LSC serving IP Party A sends a mid-call re-INVITE to the SS, which forwards the mid-call re-INVITE to the terminating gateway. The mid-call re-INVITE includes the attribute line “a=sendrecv” if the stream had originally been a sendrecv media stream, or “a=recvonly” if the stream had been a recvonly stream.</p> <p>The terminating gateway instructs its MG to resume forwarding bearer traffic between IP Party B and TDM Party A and vice versa.</p> <p>NOTE: In the case of a call hold established by setting the “c” destination address to 0.0.0.0, another re-INVITE with the original address parameter terminates the call hold.</p> <p>NOTE: Signaling between the EI serving IP Party A and the LSC serving IP Party A is not depicted.</p>
21, 22	<p>The terminating gateway sends a 200 (OK) response to the SS, which forwards the 200 (OK) response to the LSC serving IP Party A.</p> <p>NOTE: Signaling between the LSC serving IP Party A and the EI serving IP Party A is not depicted.</p>
23, 24	<p>The LSC serving IP Party A sends an ACK to the SS, which forwards the ACK to the terminating gateway.</p> <p>NOTE: Signaling between the EI serving IP Party A and the LSC serving IP Party A is not depicted.</p>

The original call between IP Party A TDM and Party B is now resumed.

[Figure 5.3.4.19-18](#) offers an illustrative example of the call signaling when IP Party A is a standard SIP EI.



5.3.4.19-18. SIP-TDM Call Waiting (Performed at SIP Party A)
 (SIP Signaling to SIP Party A End Instrument is Depicted for Illustrative Purposes)

5.3.4.19.5 Call Forward (Unconditional)

A user instructs that call requests to a particular telephone number be routed to a different predefined telephone number. In the following call flow diagrams, User B has instructed that call requests addressed to User B’s telephone number at location 1 be rerouted to a different telephone number for an EI at location 2.

As specified in UCR 2008, [Section 5.3.4.7.6](#), SIP URI and Mapping of Telephony Number into SIP URI, and UCR 2008, [Section 5.3.4.14.3](#), SIP URI and Mapping of Telephony Number into SIP URI, the addressing format for the SIP messages MUST be a SIP URI having a userinfo part that is either a full 10-digit number from the DSN worldwide numbering plan, which may include a phone-context descriptor or an E.164 encoded telephone number and having a “user=phone” field appended to the URI.

at location 1, and then return to the SS for routing toward the LSC serving IP Party B at location 2.

NOTE: To simplify the call flow diagram, the 100 (Trying) responses have been omitted.

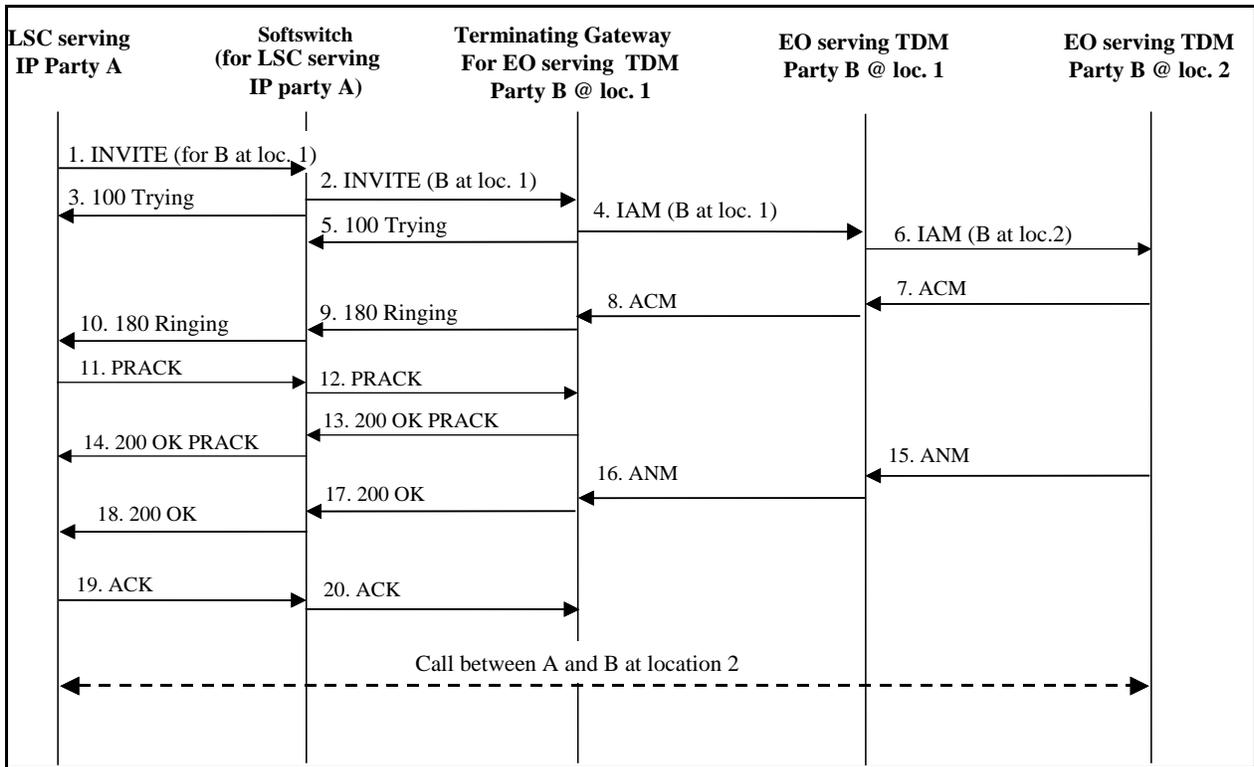
Call Forward

STEP	INSTRUCTION
1	The EO serving TDM Party A sends an IAM (intended for IP Party B at location 1) to the originating gateway.
2	The originating gateway creates an INVITE and sends the INVITE to SS 1 (which is responsible for the LSC serving IP Party B at location 1).
3	SS 1 forwards the INVITE to the LSC serving IP Party B at location 1.
4	<p>The LSC serving IP Party B at location 1 has previously been notified to unconditionally forward call requests intended for the IP EI associated with Party B at location 1 to the SIP URI for IP Party B at location 2.</p> <p>The LSC serving IP Party B at location 1 rewrites the Request URI and sends the INVITE back to SS 1 for forwarding to IP Party B at location 2.</p>
5	SS 1 sends the INVITE to SS 2 (which is responsible for the LSC serving IP Party B at location 2).
6	<p>SS 2 sends the INVITE to the LSC serving IP Party B at location 2.</p> <p>NOTE: Signaling between the LSC serving IP Party B at location 2 and the IP EI at location 2 is not depicted.</p>
7, 8, 9, 10, 11	<p>The LSC serving IP Party B at location 2 sends a 180 (Ringing) response to SS 2. SS 2 forwards the 180 (Ringing) response to SS 1. SS 1 forwards the 180 (Ringing) response to the LSC serving IP Party B at location 1.</p> <p>The LSC serving IP Party B at location 1 forwards the 180 (Ringing) response back to SS 1.</p> <p>SS 1 forwards the 180 (Ringing) response to the originating gateway.</p> <p>NOTE: Signaling between the IP EI serving IP Party B at location 2 and the LSC serving IP Party B at location 2 is not depicted.</p>
12	The originating gateway receives the 180 (Ringing) response and creates an ACM which it sends to the EO serving TDM Party A.
13, 14, 15,	The LSC serving IP Party B at location 2 sends a 200 (OK) response to SS 2. SS 2

STEP	INSTRUCTION
16, 17	<p>forwards the 200 (OK) response to SS 1. SS 1 forwards the 200 (OK) response to the LSC serving IP Party B at location 1.</p> <p>The LSC serving IP Party B at location 1 forwards the 200 (OK) response back to SS 1.</p> <p>SS 1 forwards the 200 (OK) response to the originating gateway.</p> <p>NOTE: Signaling between the IP EI serving IP Party B at location 2 and the LSC serving IP Party B at location 2 is not depicted.</p>
18	<p>The originating gateway receives the 200 (OK) response and creates an ANM, which it sends to the EO serving TDM Party A.</p>
19, 20, 21, 22, 23	<p>The originating gateway sends an ACK to SS 1.</p> <p>SS 1 forwards the ACK to the LSC serving IP Party B at location 1. The LSC serving IP Party B at location 1 forwards the ACK back to SS 1. SS 1 forwards the ACK to SS 2. SS 2 forwards the ACK to the LSC serving IP Party B at location 2.</p> <p>NOTE: Signaling between the LSC serving IP Party B at location 2 and the IP EI at location 2 is not depicted.</p>

5.3.4.19.5.3 IP-to-TDM Call Type

5.3.4.19.5.3.1 [Figure 5.3.4.19-20](#) depicts the sequence of AS-SIP and ISUP messages between the LSC serving IP Party A, the EO serving TDM Party B at location 1, and the EO serving TDM Party B at location 2 that are involved in the unconditional forwarding of a call request intended for TDM Party B at location 1 to a forwarded telephone number corresponding to a TDM EI for Party B at location 2. In this scenario, the call forwarding occurs in the ISUP network.



**Figure 5.3.4.19-20. IP-to-TDM Call Forwarding
(Call Forward Signaling Occurs in ISUP Network)**

Call Forward

STEP	INSTRUCTION
1	The LSC serving IP Party A sends an INVITE to its assigned SS. NOTE: Signaling between the IP EI serving IP Party A and the LSC serving IP Party A is not depicted.
2	The SS forwards the INVITE to the terminating gateway.
3	The SS sends a 100 (Trying) response code to the LSC serving IP Party A.
4	The terminating gateway sends an IAM to the EO serving TDM Party B at location 1.
5	The terminating gateway sends a 100 (Trying) response code to the SS.

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STEP	INSTRUCTION
6	The EO serving TDM Party B at location 1 has been notified by User B to forward all calls to another number (i.e., TDM EI for Party B at location 2). Upon receiving the IAM from the terminating gateway intended for TDM Party B at location 1, the EO modifies the IAM to reflect the call forward (e.g., adds the OCN parameter and the Redirection Information parameter, which includes the Original Redirection Reason set to “0011” (Unconditional call forward), the Redirect counter, etc.) and sends the IAM on the SS7 network to the EO serving TDM Party B at location 2.
7	The EO serving TDM Party B at location 2 initiates ringing of the TDM EI at location 2 and sends an ACM to the EO serving TDM Party B at location 1. The Notification Indicators field in the ACM is set to “1111011” (call is forwarded/deflected). The Called Party’s Status Indicator of the BCI is set to “01” (Subscriber free).
8	The EO serving TDM Party B at location 1 forwards the ACM to the terminating gateway.
9, 10	The terminating gateway receives the ACM, creates a 180 (Ringing) response, and sends the 180 (Ringing) response to the SS, which, in turn, forwards the 180 (Ringing) response to the LSC serving IP Party A. NOTE: Signaling between the LSC serving IP Party A and the IP EI serving IP Party A is not depicted.
11, 12	The LSC serving IP Party A sends a PRACK to the SS, which forwards the PRACK to the terminating gateway.
13, 14	The terminating gateway sends a 200 (OK) response to the SS, which forwards the 200 (OK) response to the LSC serving IP Party A.
15	The EO serving TDM Party B at location 2 sends an ANM to the EO serving TDM Party B at location 1.
16	The EO serving TDM Party B at location 1 forwards the ANM to the terminating gateway.
17, 18	The terminating gateway receives the ANM, creates a 200 (OK) response, and sends the 200 (OK) response to the SS, which forwards the 200 (OK) response to the LSC serving IP Party A. NOTE: Signaling between the LSC serving IP Party A and the IP EI serving IP Party A is not depicted.
19, 20	The LSC serving IP Party A sends an ACK to the SS, which forwards the ACK to the terminating gateway. NOTE: Signaling between the IP EI serving IP Party A and the LSC serving IP Party A is not depicted.

The forwarded call is now established between IP Party A and TDM Party B at location 2.

5.3.4.19.6 Call Forward (No Answer)

A user instructs that call requests to a particular telephone number be routed to a different predefined telephone number if the call is not answered within some number of rings (No Answer). In the following call flow diagrams, User B has instructed that call requests addressed to User B's telephone number at location 1, which receive no reply, be rerouted to a different telephone number for an EI at location 2.

5.3.4.19.6.1 IP-to-IP Call Type

See UCR 2008, [Section 5.3.4.13.6.1](#), IP-to-IP Call Type.

5.3.4.19.6.2 TDM-to-IP Call Type

5.3.4.19.6.2.1 [Figure 5.3.4.19-21](#) depicts the sequence of AS-SIP and ISUP messages involved in forwarding an unanswered call request for IP Party B at location 1 to a forwarded telephone number (SIP URI) corresponding to an IP EI for Party B at location 2. In the present scenario, the LSC serving IP Party B at location 1 has previously been notified that upon a "no answer," the LSC is to forward calls to IP Party B at location 2.

NOTE: To simplify the call flow diagram the 100 (Trying) responses have been omitted.

Call Forward

STEP	INSTRUCTION
1	The EO serving TDM Party A sends an IAM (intended for TDM Party B at location 1) to the originating gateway.
2	The originating gateway creates an INVITE, and sends the INVITE to SS 1 (which is responsible for the LSC serving IP Party B at location 1).
3	SS 1 forwards the INVITE to the LSC serving IP Party B at location 1. NOTE: Signaling between the LSC serving IP Party B at location 1 and the IP EI serving IP Party B at location 1 is not depicted.

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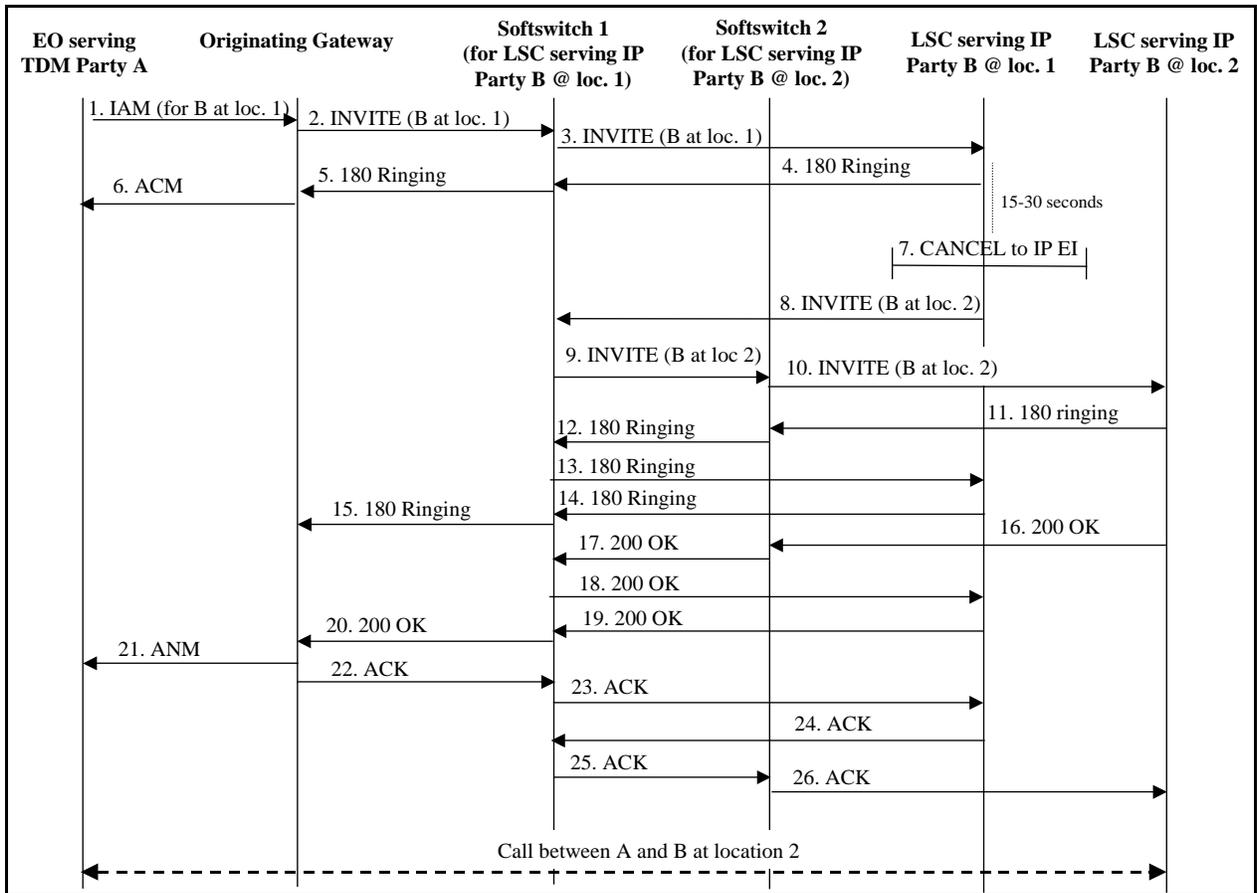


Figure 5.3.4.19-21. TDM-to-IP Call Forwarding on No Answer

STEP	INSTRUCTION
4, 5	<p>The LSC serving IP Party B at location 1 sends a 180 (Ringing) response to SS 1, which forwards the 180 (Ringing) response to the originating gateway.</p> <p>NOTE: User B has previously instructed the LSC that if there is no reply to the call within a predefined period, the call request is to be forwarded to another number (SIP URI) corresponding to an IP EI at location 2.</p> <p>NOTE: Signaling between the IP EI serving IP Party B at location 1 and the LSC serving IP Party B at location 1 is not depicted.</p>
6	<p>The originating gateway receives the 180 (Ringing) response and sends an ACM to the EO serving the TDM EI.</p>

STEP	INSTRUCTION
7	<p>If there is no answer within the predefined time interval (e.g., 15 to 30 seconds), then the LSC serving IP Party B at location 1 cancels the call request with the IP EI for Party B at location 1.</p> <p>NOTE: In the case of a SIP EI, the LSC sends a CANCEL request, the SIP EI sends a 200 (OK) response to the CANCEL and a 487 (Request Terminated) response to the INVITE, and the LSC responds with an ACK to the 487 (Request Terminated) response.</p>
8	<p>The LSC serving IP Party B at location 1 rewrites the Request-URI to reflect that the call is now intended for IP Party B at location 2 and sends the INVITE to SS 1.</p>
9, 10	<p>SS 1 sends the INVITE to SS 2 (which is responsible for the LSC serving IP Party B at location 2) and SS 2 sends the INVITE to the LSC serving IP Party B at location 2.</p> <p>NOTE: The signaling between the LSC serving IP Party B at location 2 and the IP EI for Party B at location 2 is not depicted.</p>
11, 12, 13, 14, 15	<p>The LSC serving IP Party B at location 2 sends a 180 (Ringing) response to SS 2. SS 2 sends the 180 (Ringing) response to SS 1. SS 1 send the 180 (Ringing) response to the LSC serving IP Party B at location 1. The LSC serving IP Party B at location 1 sends the 180 (Ringing) response back to SS 1. SS 1 sends the 180 (Ringing) response to the originating gateway.</p> <p>NOTE: The signaling between the IP EI for Party B at location 2 to the LSC serving IP Party B at location 2 is not depicted.</p>
16, 17, 18, 19, 20	<p>The LSC serving IP Party B at location 2 sends a 200 (OK) response to SS 2. SS 2 sends the 200 (OK) response to SS 1. SS 1 sends the 200 (OK) response to the LSC serving IP Party B at location 1. The LSC serving IP Party B at location 1 sends the 200 (OK) response back to SS 1. SS 1 sends the 200 (OK) response to the originating gateway.</p> <p>NOTE: The signaling between the IP EI for Party B at location 2 to the LSC serving IP Party B at location 2 is not depicted.</p>
21	<p>The originating gateway sends an ANM to the EO serving TDM Party A.</p>
22, 23, 24, 25, 26	<p>The originating gateway sends an ACK to SS 1. SS 1 sends the ACK to the LSC serving IP Party B at location 1. The LSC serving IP Party B at location 1 sends the ACK back to SS 1. SS 1 sends the ACK to SS 2. SS 2 sends the ACK to the LSC serving IP Party B at location 2.</p> <p>NOTE: The signaling between the LSC serving IP Party B at location 2 and the IP EI serving Party B at location 2 is not depicted.</p>

The forwarded call is now established between TDM Party A and IP Party B at location 2.

5.3.4.19.6.3 IP-to-TDM Call Type

5.3.4.19.6.3.1 [Figure 5.3.4.19-22](#) depicts the sequence of AS-SIP and ISUP messages involved in forwarding an unanswered call request for TDM Party B at location 1 to a forwarded phone number corresponding to a TDM EI for Party B at location 2.

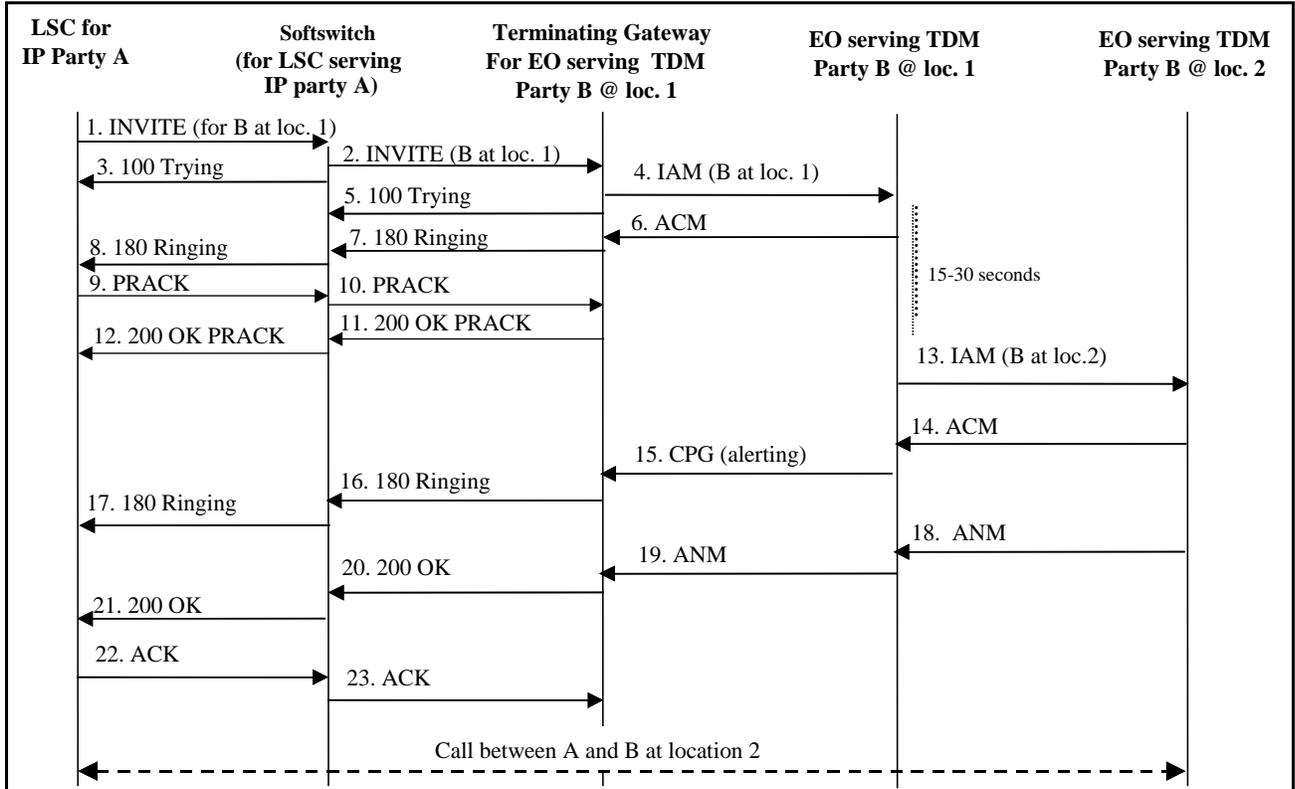


Figure 5.3.4.19-22. IP-to-TDM Call Forwarding (No Answer)

Call Forward

STEP	INSTRUCTION
1	The LSC serving IP Party A sends an INVITE to its assigned SS. NOTE: Signaling between the IP EI serving IP Party A and the LSC serving IP Party A is not depicted.
2	The SS sends the INVITE to the terminating gateway providing connectivity to the EO serving TDM Party B at location 1.
3	The SS sends a 100 (Trying) response to the LSC serving IP Party A.

STEP	INSTRUCTION
4	The terminating gateway creates an IAM that it sends to the EO serving TDM Party B at location 1.
5	The terminating gateway sends a 100 (Trying) response to the originating gateway.
6	<p>The EO serving TDM Party B at location 1 has been notified by User B that if there is “no reply,” the call is to be forwarded to another number (located at a different EO).</p> <p>Upon receiving the IAM from the terminating gateway intended for TDM Party B at location 1, the EO initiates ringing of the EI at location 1 and sends an ACM to the terminating gateway while waiting between 15 to 30 seconds for Party B to go off-hook. The Called Party’s Status Indicator of the BCI is set to “01” (Subscriber free). The Optional BCI parameter is present and the Call Forwarding MAY Occur Indicator of the Optional BCI parameter is set to “1” (Call forwarding may occur).</p>
7, 8	<p>The terminating gateway receives the ACM, creates a 180 Ringing, and sends the 180 Ringing to the SS. The SS forwards the 180 Ringing to the LSC serving IP Party A.</p> <p>NOTE: Signaling between the LSC serving IP Party A and the IP EI serving IP Party A is not depicted.</p>
9, 10	The LSC serving IP Party A sends a PRACK to the SS, which forwards the PRACK to the terminating gateway.
11, 12	The terminating gateway sends a 200 OK PRACK to the SS, which forwards the 200 OK PRACK to the LSC serving IP Party A.
13	Upon no reply, the end office serving TDM Party B at location 1 modifies the IAM to reflect the call forward (e.g., adds the Original Called Number (OCN) parameter and the Redirection Information parameter, which includes the Original Redirection Reason set to “0010” (No reply), the Redirection counter, etc.) and sends the IAM on the CCS7 network to the end office serving TDM Party B at location 2.
14	The end office serving TDM Party B at location 2 creates an ACM, which it sends to the end office serving TDM Party B at location 1.
15	The end office serving TDM Party B at location 1 receives the ACM and creates a CPG with the event code set to 1 (Alerting), which it sends to the terminating gateway.
16, 17	<p>The terminating gateway creates a 180 Ringing response and sends the 180 Ringing response to the SS. The SS forwards the 180 Ringing response to the LSC serving IP Party A.</p> <p>NOTE: Signaling between the LSC serving IP Party A and the IP EI serving IP Party A is not depicted.</p>

STEP	INSTRUCTION
18	The end office serving TDM Party B at location 2 sends an ANM to the end office serving TDM Party B at location 1.
19	The end office serving TDM Party B at location 1 forwards the ANM to the terminating gateway.
20, 21	<p>The terminating gateway receives the ANM, creates a 200 (OK) response, and sends the 200 (OK) response to the SS. The SS forwards the 200 (OK) response to the LSC serving IP Party A.</p> <p>NOTE: Signaling between the LSC serving IP Party A and the IP EI serving IP Party A is not depicted.</p>
22, 23	<p>The LSC serving IP Party A sends an ACK to the SS, which forwards the ACK to the terminating gateway.</p> <p>The signaling between the IP EI and the LSC serving IP Party A is not depicted.</p>

The forwarded call is now established between IP Party A and TDM Party B at location 2.

5.3.4.19.7 Call Forward (BUSY)

A user instructs that call requests to a particular telephone number be routed to a different predefined telephone number if the EI is busy with another call. In the following call flow diagrams, User B has instructed that when a call request is addressed to User B's telephone at location 1 but the telephone is currently busy with another call, then the new call request is to be forwarded to an EI at location 2.

Recalling UCR 2008, AS-SIP Requirement [5.3.4.10.2.5.5](#), the new call request will be forwarded only if the existing call has either an equal or higher precedence level than the incoming call request. If the existing call has a lower precedence than the incoming call request, then the existing call will be preempted in favor of the new call request.

5.3.4.19.7.1 IP-to-IP Call Type

See UCR 2008, [Section 5.3.4.13.7.1](#), IP-to-IP Call Type.

5.3.4.19.7.2 TDM-to-IP Call Type

5.3.4.19.7.2.1 [Figure 5.3.4.19-23](#) depicts the sequence of AS-SIP and ISUP messages involved in forwarding a call request for IP Party B at location 1 to a forwarded telephonenumber (SIP URI) corresponding to an IP EI for Party B at location 2 when the IP EI at location 1 is busy with a call of equal or higher precedence than the new call

request. In the present scenario, the LSC serving IP Party B at location 1 has been notified previously that upon a “busy” the LSC is to forward calls for IP Party B at location 1 to IP Party B at location 2.

NOTE: To simplify the call flow diagram the 100 (Trying) responses have been omitted.

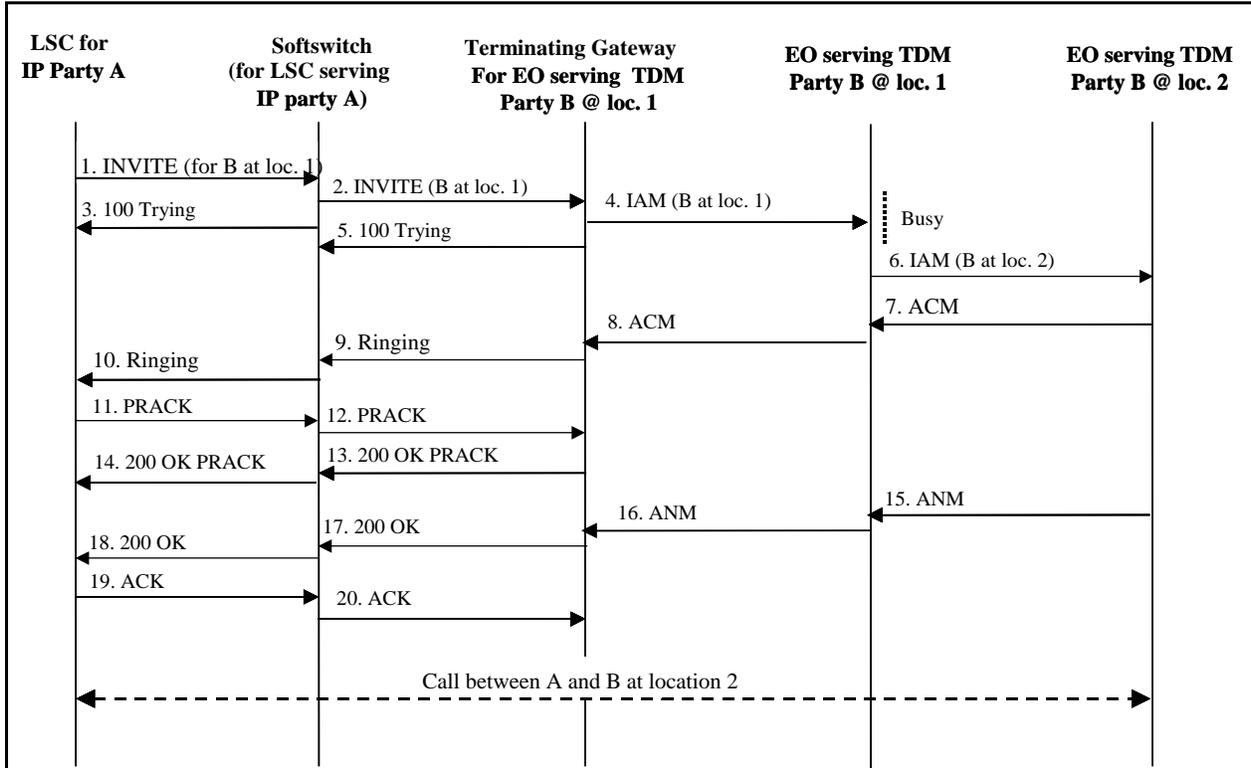


Figure 5.3.4.19-23. TDM-to-IP Call Forwarding on Busy

Call Forward

STEP	INSTRUCTION
1	The EO serving TDM Party A sends an IAM (intended for TDM Party B at location 1) to the originating gateway.
2	The originating gateway creates an INVITE and sends the INVITE to SS 1, which is responsible for the LSC serving IP Party B at location 1.
3	SS 1 forwards the INVITE to the LSC serving IP Party B at location 1. NOTE: Signaling between the LSC serving IP Party B at location 1 and the IP EI serving IP Party B at location 1 is not depicted.

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STEP	INSTRUCTION
4	<p>The IP EI is busy with a call of equal or higher precedence and rejects the call.</p> <p>NOTE: In the case of a SIP EI the SIP response is a 486 (Busy Here) and the LSC responds with an ACK.</p>
5	<p>The LSC serving IP Party B at location 1 rewrites the Request-URI to reflect that the call is now intended for IP Party B at location 2 and sends the INVITE to SS 1.</p>
6, 7	<p>SS 1 sends the INVITE to SS 2, which is responsible for the LSC serving IP Party B at location 2, and SS 2 sends the INVITE to the LSC serving IP Party B at location 2.</p> <p>NOTE: The signaling between the LSC serving IP Party B at location 2 and the IP EI for Party B at location 2 is not depicted.</p>
8, 9, 10, 11, 12	<p>The LSC serving IP Party B at location 2 sends a 180 (Ringing) response to SS 2. SS 2 sends the 180 (Ringing) response to SS 1. SS 1 sends the 180 (Ringing) response to the LSC serving IP Party B at location 1. The LSC serving IP Party B at location 1 sends the 180 (Ringing) response back to SS 1. SS 1 sends the 180 (Ringing) response to the originating gateway.</p> <p>NOTE: The signaling between the IP EI for Party B at location 2 to the LSC serving IP Party B at location 2 is not depicted.</p>
13	<p>The originating gateway creates an ACM, which it sends to the EO serving TDM Party A.</p>
14, 15, 17, 17, 18	<p>The LSC serving IP Party B at location 2 sends a 200 (OK) response to SS 2. SS 2 sends the 200 (OK) response to SS 1. SS 1 sends the 200 (OK) response to the LSC serving IP Party B at location 1. The LSC serving IP Party B at location 1 sends the 200 (OK) response back to SS 1. SS 1 sends the 200 (OK) response to the originating gateway.</p> <p>NOTE: The signaling between the IP EI for Party B at location 2 to the LSC serving IP Party B at location 2 is not depicted.</p>
19	<p>The originating gateway sends an ANM to the EO serving TDM Party A.</p>
20, 21, 22, 23, 24	<p>The originating gateway sends an ACK to SS 1. SS 1 sends the ACK to the LSC serving IP Party B at location 1. The LSC serving IP Party B at location 1 sends the ACK back to SS 1. SS 1 sends the ACK to SS 2. SS 2 sends the ACK to the LSC serving IP Party B at location 2.</p> <p>NOTE: The signaling between the LSC serving IP Party B at location 2 and the IP EI serving Party B at location 2 is not depicted.</p>

The forwarded call is now established between TDM Party A and IP Party B at location 2.

5.3.4.19.7.3 IP-to-TDM Call Type

5.3.4.19.7.3.1 [Figure 5.3.4.19-24](#) depicts the sequence of AS-SIP and ISUP messages involved in forwarding a call request for TDM Party B at location 1 to a forwarded telephone number corresponding to a TDM EI for Party B at location 2 when the IP EI at location 1 is busy with a call of equal or higher precedence than the new call request. In the present scenario, the EO serving TDM Party B at location 1 has been notified previously that upon a “busy” the EO is to forward calls for TDM Party B at location 1 to the EO serving TDM Party B at location 2.

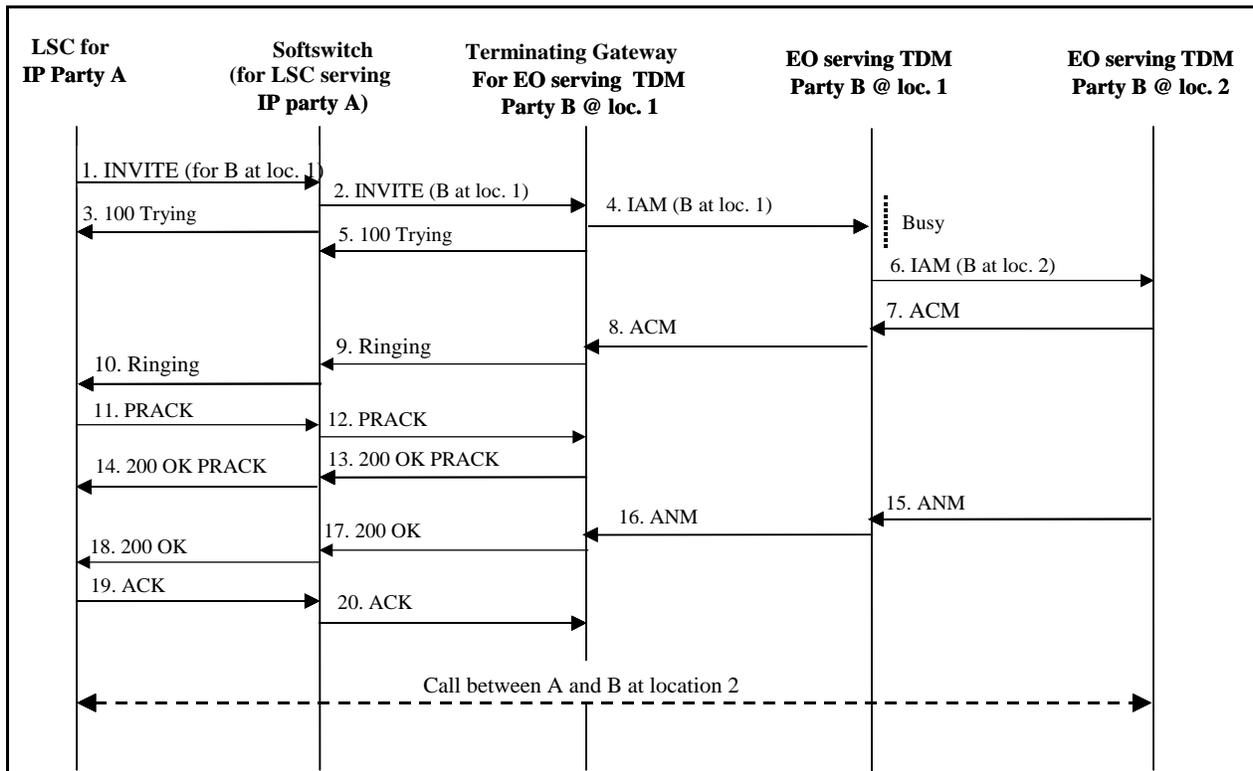


Figure 5.3.4.19-24. IP-to-TDM Call Forwarding on Busy

Call Forward

STEP	INSTRUCTION
1	The LSC serving IP Party A sends an INVITE to the SS. NOTE: Signaling between the IP EI serving IP Party A and the LSC serving IP Party A is not depicted.
2	The SS sends the INVITE to the terminating gateway providing connectivity to the EO serving TDM Party B at location 1.

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STEP	INSTRUCTION
3	The SS sends a 100 (Trying) response to the LSC serving IP Party A.
4	The terminating gateway creates an IAM that it sends to the EO serving TDM Party B at location 1.
5	The terminating gateway sends a 100 (Trying) response to the SS.
6	<p>The EO serving TDM Party B at location 1 has been notified by User B that if the EI is busy (with a call of equal or higher precedence than the new call request), the new call request is to be forwarded to another number (located at a different EO).</p> <p>Since the TDM EI is busy with a call of equal or higher precedence, the EO serving TDM Party B at location 1 modifies the IAM to forward the call (e.g., adds the OCN parameter and the Redirection Information parameter, which includes the Original Redirection Reason, set to “0001” (User Busy), the Redirection counter, etc.) and sends the IAM on the SS7 network to the EO serving TDM Party B at location 2.</p>
7	The EO serving TDM Party B at location 2 initiates a ringing tone at the TDM EI at location 2 and sends an ACM to the EO serving TDM Party B at location 1. The Notification Indicators field in the ACM is set to “1111011” (call is forwarded/deflected). The Called Party’s Status Indicator of the BCI is set to “01” (Subscriber free).
8	The EO serving TDM Party B at location 1 sends the ACM to the terminating gateway.
9, 10	<p>The terminating gateway creates a 180 (Ringing) response and sends the 180 (Ringing) response to the SS. The SS forwards the 180 (Ringing) response to the LSC serving IP Party A.</p> <p>NOTE: Signaling between the LSC serving IP Party A and the IP EI serving IP Party A is not depicted.</p>
11, 12	The LSC serving IP Party A sends a PRACK to the SS, which forwards the PRACK to the terminating gateway.
13, 14	The terminating gateway sends a 200 (OK) response to the SS, which forwards the 200 (OK) response to the LSC serving IP Party A.
15, 16	<p>The EO serving TDM Party B at location 2 sends an ANM to the EO serving TDM Party B at location 1.</p> <p>The EO serving TDM Party B at location 1 forwards the ANM to the terminating gateway.</p>

STEP	INSTRUCTION
17, 18	<p>The terminating gateway receives the ANM, creates a 200 (OK) response, and sends the 200 (OK) response to the SS. The SS forwards the 200 (OK) response to the LSC serving IP Party A.</p> <p>NOTE: Signaling between the LSC serving IP Party A and the IP EI serving IP Party A is not depicted.</p>
19, 20	<p>The LSC serving IP Party A sends an ACK to the SS, which forwards the ACK to the terminating gateway.</p> <p>NOTE: Signaling between the IP EI serving IP Party A and the LSC serving IP Party A is not depicted.</p>

The forwarded call is now established between IP Party A and TDM Party B at location 2.

5.3.4.19.8 Call Transfer

There are three actors in a given TDM transfer event, each playing one of the following roles:

- Transferred Party (or Transferee) – the party being transferred to the Transfer Target.
- Transferring Party (or Transferor) – the party initiating the transfer
- Transfer Target – the new party being introduced into a call with the Transferred Party.

NOTE: In a TDM call transfer the Transferor switch stays in the path of the bearer channels between the Transferee and the Transfer Target.

5.3.4.19.8.1 Call Transfer Modes

Call transfer can be operated in two different modes: unattended (blind) and attended (consultation transfer). Blind call transfer will forward the Transferee to the new destination without talking to the Transfer Target. The alternative to this type of call transfer would be consultation transfer, where the call Transferor will have a chance to talk to the Transfer Target before making the transfer.

5.3.4.19.8.2 Unattended Transfer – Call Transferor: TDM

As shown in [Figure 5.3.4.19-25](#), Steps 1–15 are the standard SIP and ISUP signaling for establishing a call between the Transferee (an AS-SIP signaling appliance serving an IP EI) and the Transferor (a TDM switch serving a TDM EI).

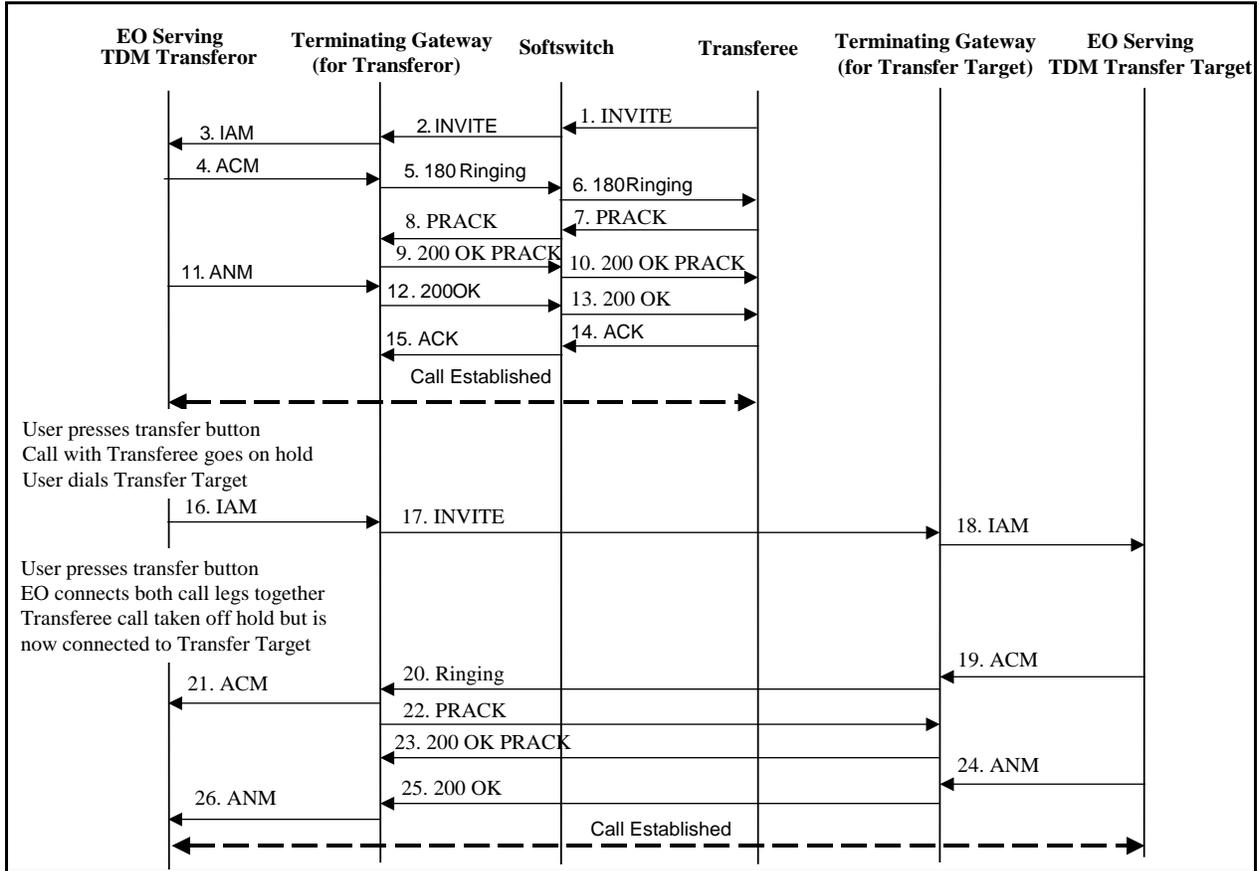


Figure 5.3.4.19-25. Unattended Call Transfer (Transferor – TDM)

The call Transferor decides to transfer this call to another TDM telephone served by a TDM EO. The Transferor user presses the transfer button (or equivalent); thereby signaling the local EO to place the call with the Transferee on hold while the Transferor user dials the Transfer Target. Then the Transferor initiates a call with the Transfer Target (Steps 16, 17, 18).

The Transferor user again presses the transfer button (or equivalent) and the local EO switch connects the two call legs and takes the Transferee off call hold.

Steps 19–26 detail the standard SIP and ISUP signaling for completing the establishment of the call between the Transferor switch and Transfer Target; however, the bearer traffic from the Transfer Target is being forwarded by the Transferor switch to the Transferee, and the bearer traffic from the Transferee is being forwarded by the Transferor switch to the Transfer Target.

5.3.4.19.8.3 Attended Transfer Call Transferor: TDM

As shown in [Figure 5.3.4.19-26](#), the Transferor establishes a standard TDM-IP call with the Transferee in Steps 1–11.

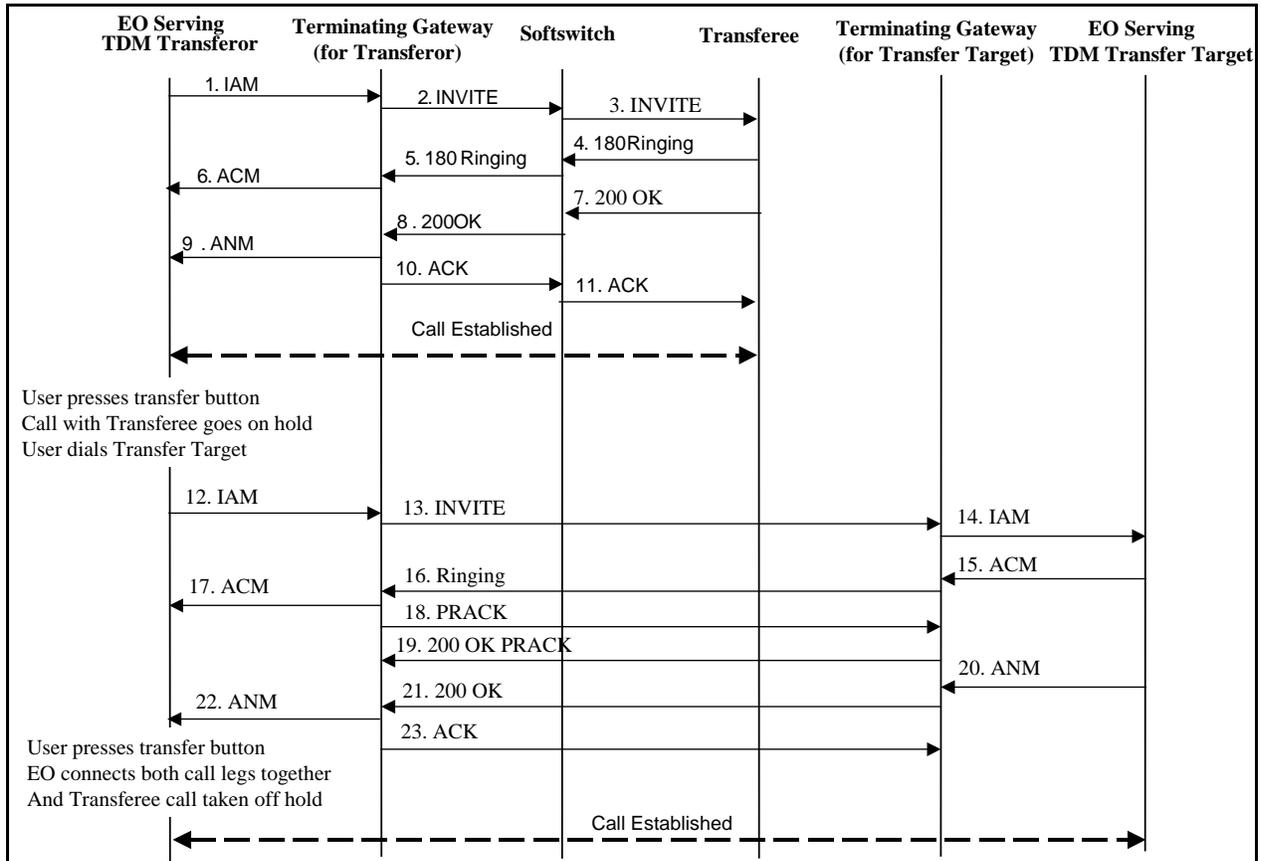


Figure 5.3.4.19-26. Attended Call Transfer Transferor – TDM

Then the Transferor user presses the transfer button or equivalent, which places the call between the Transferor and Transferee on hold after which the Transferor user dials the Transfer Target.

The Transferor establishes a standard TDM bridging call with the Transfer Target in Steps 12–23.

The Transferor user again presses the transfer button or equivalent, and the local EO switch connects the two call legs and takes the Transferee off call hold. At this point, the bearer traffic from the Transfer Target is being forwarded by the Transferor switch to the Transferee and the bearer traffic from the Transferee is being forwarded by the Transferor switch to the Transfer Target.

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