

PRIVACY IMPACT ASSESSMENT (PIA)

PRESCRIBING AUTHORITY: DoD Instruction 5400.16, "DoD Privacy Impact Assessment (PIA) Guidance". Complete this form for Department of Defense (DoD) information systems or electronic collections of information (referred to as an "electronic collection" for the purpose of this form) that collect, maintain, use, and/or disseminate personally identifiable information (PII) about members of the public, Federal employees, contractors, or foreign nationals employed at U.S. military facilities internationally. In the case where no PII is collected, the PIA will serve as a conclusive determination that privacy requirements do not apply to system.

1. DOD INFORMATION SYSTEM/ELECTRONIC COLLECTION NAME:

Joint Interoperability Test Command - Fort Huachuca/JOINT ANALYSIS NET-CENTRIC EVALUATION TESTING TOOLKIT (JANETT)

2. DOD COMPONENT NAME:

Defense Information Systems Agency

3. PIA APPROVAL DATE:

02/07/20

SECTION 1: PII DESCRIPTION SUMMARY (FOR PUBLIC RELEASE)

a. The PII is: (Check one. Note: foreign nationals are included in general public.)

- | | |
|--|--|
| <input type="checkbox"/> From members of the general public | <input type="checkbox"/> From Federal employees and/or Federal contractors |
| <input checked="" type="checkbox"/> From both members of the general public and Federal employees and/or Federal contractors | <input type="checkbox"/> Not Collected (if checked proceed to Section 4) |

b. The PII is in a: (Check one)

- | | |
|--|---|
| <input type="checkbox"/> New DoD Information System | <input type="checkbox"/> New Electronic Collection |
| <input checked="" type="checkbox"/> Existing DoD Information System | <input type="checkbox"/> Existing Electronic Collection |
| <input type="checkbox"/> Significantly Modified DoD Information System | |

c. Describe the purpose of this DoD information system or electronic collection and describe the types of personal information about individuals collected in the system.

JITC-FHU has a need to provide test and evaluation of the interoperability of DoD business and healthcare systems. The types of information collected and analyzed vary by test event and systems under test. JITC has two needs; first is to use JANETT systems at JITC to analyze data, and the second to use JANETT software on laptops at a test site.

The Joint Analysis Net Centric Evaluation Testing Toolkit (JANETT) is a rules based data decomposition evaluation, and analysis test suite that obtains PII/PHI files via responsible customers transmitting the files through the JITC Data Management Tool (JDMT) web portal. Only encrypted PII/PHI files are accepted by the JDMT web interface and are "passed on" and stored encrypted on the JANETT server without being stored on the JDMT server. The files will be moved from the server to the JANETT analyst Virtual Machine (VM) and stored encrypted to perform analysis. The Specific PII/PHI information contained in the test data files is not manipulated or changed by the JANETT Test Suite. The analysis performed by JANETT on the PII/PHI files is for system interoperability, including accuracy, completeness and timeliness of data transactions. After test result reports are produced by JANETT and testing is complete, the PII/PHI data files will be deleted. All data files being "passed on" by JDMT will be considered to be PII/PHI and will be treated and protected accordingly.

JITC also has a need to utilize standalone laptops (Enclave Test and Development Zone D) at the test site to augment on-site testing. JITC has documented them as part of its infrastructure, so that Risk Management Framework IA controls and related requirements can be tracked. The JANETT Tool Suite is utilized on these standalone laptops and for that reason, this is an existing DOD Information System.

d. Why is the PII collected and/or what is the intended use of the PII? (e.g., verification, identification, authentication, data matching, mission-related use, administrative use)

The data is collected for test and evaluation using JANETT for system interoperability, including accuracy, completeness and timeliness of data transactions. It is the nature of the data that it will contain PII or PHI.

e. Do individuals have the opportunity to object to the collection of their PII? Yes No

(1) If "Yes," describe the method by which individuals can object to the collection of PII.

(2) If "No," state the reason why individuals cannot object to the collection of PII.

The data is collected from logs at the enterprise system level to determine the overall systems performance. The approval of individuals is given to the enterprise system, not directly to JITC-FHU. Note, the method used for collection does not allow for isolation or exclusion of records as it would not be representative of the systems environment at the time of test.

f. Do individuals have the opportunity to consent to the specific uses of their PII? Yes No

(1) If "Yes," describe the method by which individuals can give or withhold their consent.

(2) If "No," state the reason why individuals cannot give or withhold their consent.

Individuals are not aware the data is being shared with JITC-FHU for testing. The individual can't object to the general data analysis as the objection would have to be given to the enterprise system. The agency generating the data based on an individuals' PII/PHI will have the individual sign a privacy act statement to collect the data. The agency working with the system under test program management office will transfer the data to JITC for assessment. While the individual can object to PII/PHI being collected at the agency, once they sign the privacy act statement, they agree to DoD's use of that data.

g. When an individual is asked to provide PII, a Privacy Act Statement (PAS) and/or a Privacy Advisory must be provided. (Check as appropriate and provide the actual wording.)

Privacy Act Statement Privacy Advisory Not Applicable

h. With whom will the PII be shared through data exchange, both within your DoD Component and outside your Component? (Check all that apply)

Within the DoD Component Specify. JITC-FHU

Other DoD Components Specify.

Other Federal Agencies Specify.

State and Local Agencies Specify.

Contractor (Name of contractor and describe the language in the contract that safeguards PII. Include whether FAR privacy clauses, i.e., 52.224-1, Privacy Act Notification, 52.224-2, Privacy Act, and FAR 39.105 are included in the contract.) Specify. Jacobs Engineering.

Other (e.g., commercial providers, colleges). Specify.

i. Source of the PII collected is: (Check all that apply and list all information systems if applicable)

Individuals Databases

Existing DoD Information Systems Commercial Systems

Other Federal Information Systems

Varies by Test Event. Data will be analyzed by JITC-FHU and an analysis report is provided to the customer requesting test analysis, but the PII data itself will not be delivered to anyone.

j. How will the information be collected? (Check all that apply and list all Official Form Numbers if applicable)

E-mail Official Form (Enter Form Number(s) in the box below)

Face-to-Face Contact Paper

Fax Telephone Interview

Information Sharing - System to System Website/E-Form

Other (If Other, enter the information in the box below)

For JANETT, data will be transferred system to system using DoD SAFE and JDMT to make it to the JANET Server, and then encrypted file transfer to the JANETT analyst VM.

For the on-site laptop usage, data will be extracted from the existing information system and transferred to the Test and Development Zone D laptops via DoD SAFE and DVDs, using encryption capabilities FIPS-140-2, data encrypted at rest, and any folders or databases marked as appropriate.

k. Does this DoD Information system or electronic collection require a Privacy Act System of Records Notice (SORN)?

A Privacy Act SORN is required if the information system or electronic collection contains information about U.S. citizens or lawful permanent U.S. residents that is retrieved by name or other unique identifier. PIA and Privacy Act SORN information must be consistent.

Yes No

If "Yes," enter SORN System Identifier EDHA-17 78 FR 69076

SORN Identifier, not the Federal Register (FR) Citation. Consult the DoD Component Privacy Office for additional information or <http://dpclid.defense.gov/Privacy/SORNs/>
or

If a SORN has not yet been published in the Federal Register, enter date of submission for approval to Defense Privacy, Civil Liberties, and Transparency Division (DPCLTD). Consult the DoD Component Privacy Office for this date

If "No," explain why the SORN is not required in accordance with DoD Regulation 5400.11-R: Department of Defense Privacy Program.

I. What is the National Archives and Records Administration (NARA) approved, pending or general records schedule (GRS) disposition authority for the system or for the records maintained in the system?

(1) NARA Job Number or General Records Schedule Authority.

(2) If pending, provide the date the SF-115 was submitted to NARA.

(3) Retention Instructions.

No schedule required. System data is collected from logs at the enterprise system level to determine the overall systems performance. The approval of individuals is given to the enterprise system, not directly to JITC-FHU. No PII is retained.

m. What is the authority to collect information? A Federal law or Executive Order must authorize the collection and maintenance of a system of records. For PII not collected or maintained in a system of records, the collection or maintenance of the PII must be necessary to discharge the requirements of a statute or Executive Order.

(1) If this system has a Privacy Act SORN, the authorities in this PIA and the existing Privacy Act SORN should be similar.

(2) If a SORN does not apply, cite the authority for this DoD information system or electronic collection to collect, use, maintain and/or disseminate PII. (If multiple authorities are cited, provide all that apply).

(a) Cite the specific provisions of the statute and/or EO that authorizes the operation of the system and the collection of PII.

(b) If direct statutory authority or an Executive Order does not exist, indirect statutory authority may be cited if the authority requires the operation or administration of a program, the execution of which will require the collection and maintenance of a system of records.

(c) If direct or indirect authority does not exist, DoD Components can use their general statutory grants of authority ("internal housekeeping") as the primary authority. The requirement, directive, or instruction implementing the statute within the DoD Component must be identified.

The following authority allows JOINT INTEROPERABILITY TEST COMMAND (JITC)/JOINT ANALYSIS NET-CENTRIC EVALUATION TESTING TOOLKIT (JANETT) to collect the following data:

- NIST Special Publication 800-122, Guide to Protecting the Confidentiality of PII
- DoD Directive 5400.11, DoD Privacy Program
- 45 CFR 160, 162, and 164, HIPPA Standards for Privacy of Individually Identifiable Health Information
- Privacy Act of 1974 (5 U.S.C. 552a)
- CJCSI 6212.01F, Net Ready Key Performance Parameter (NR KPP)
- DoD Manual 8910.01, DoD Information Collections Manual: Procedures for DoD Internal Information Collections
- Office of Management and Budget Memorandum M-06-15, "Safeguarding Personally Identifiable Information
- Office of Management and Budget Memorandum M-07-16, Safeguarding Against and Responding to the Breach of PII

n. Does this DoD information system or electronic collection have an active and approved Office of Management and Budget (OMB) Control Number?

Contact the Component Information Management Control Officer or DoD Clearance Officer for this information. This number indicates OMB approval to collect data from 10 or more members of the public in a 12-month period regardless of form or format.

Yes No Pending

(1) If "Yes," list all applicable OMB Control Numbers, collection titles, and expiration dates.

(2) If "No," explain why OMB approval is not required in accordance with DoD Manual 8910.01, Volume 2, " DoD Information Collections Manual: Procedures for DoD Public Information Collections."

(3) If "Pending," provide the date for the 60 and/or 30 day notice and the Federal Register citation.

Exempt because of Section 1.b.16 of Enclosure 3 of DoD Manual 8910.01 - Volume 1